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RENEWAL APPLICATION FOR ELECTRIC AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit C-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

	form. You may also download the form, by saving it to your local disk, for later use.
A.	RENEWAL INFORMATION
A-1	Applicant intends to be certified as: (check all that apply)
	Power Broker Aggregator
A-2	Applicant's legal name, address, telephone number, PUCO certificate number, and
	web site address
	Legal Name Biofuels Technology LLC
	Address 3501 Woodhead Drive, Northbrook, Illinois 60062
	PUCO Certificate # and Date Certified 15-1018E (1) - 10/26/15
	Telephone # (847) 509-2290 Web site address (if any) energyconnectionsite.com
A-3	List name, address, telephone number and web site address under which Applicant will do business in Ohio Legal Name Biofuels Technology, LLC d/b/a Energy Connection
	Address 3501 Woodhead Drive, Nortbrook, IL 60062
A-4	List all names under which the applicant does business in North America
	Biofuels Technology LLC d/b/a Energy Converting
	C PH
A-5	Contact person for regulatory or emergency matters
	Name Mark Rice
	Title CEO
	Business address 3501 Woodhead Drive, Northbrook, ILLinois 60062
	Telephone # (847) 509-2290 Fax # (847) 509-2295
	E-mail address energyconnectionsite.com

whis is to certify that the images appearing are an accurate and complete reproduction of a cosm file document delivered in the regular course of by page.

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BIOFUELS TECHNOLOGY LLC CASE NUMBER - 15-1676EL-AGG Exhibit A-10 PRINCIPAL OFFICERS, DIRECTORS & PARTNERS

The Company is a Limited Liability Corporation owned by a single individual.

The Company's only officer is:

Mark Rice, CEO 3501 Woodhead Drive Nortbrook, Illinois 60062

(847) 509-2290

A-6 Contact person for Commission Staff use in investigating customer complaints Name Mark Rice Title CEO Business address 3501 Woodhead Drive, Northbrook, Illinoi 60062 Telephone # (847) 509-2290 Fax # (847) 509-2295 E-mail address energyconnectionsite.com Applicant's address and toll-free number for customer service and complaints A-7 Customer Service address 3501 Woodhead Drive, Northbrook, Illinois 60062 Toll-free Telephone # (847) 509-2290 Fax # (847) 509-2295 E-mail address energyconnectionsite.com Applicant's federal employer identification number # 26-1212890 A-8 A-9 Applicant's form of ownership (check one) ☐ Sole Proprietorship ☐ Partnership Limited Liability Partnership (LLP) ☐ Limited Liability Company (LLC) □ Corporation Other PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED: Exhibit A -10 "Principal Officers, Directors & Partners" provide the names, titles, A-10 addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- **B-1** Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2 <u>Exhibit B-2 "Experience & Plans,"</u> provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.



- B-3 Exhibit B-3 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.
- B-4 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.
 ElNo

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-5 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☑No ☐Yes

If yes, provide a separate attachment labeled as **Exhibit B-5** "**Disclosure of Certification Denial, Curtailment, Suspension, or Revocation**" detailing such action(s) and providing all relevant documents.

C. FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- C-1 Exhibit C-1 "Annual Reports," provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 Exhibit C-2 "SEC Filings," provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

- C-3 <u>Exhibit C-3 "Financial Statements,"</u> provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).
- C-4 <u>Exhibit C-4 "Financial Arrangements."</u> provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

- 1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
- 2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
- 3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
- 4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

- **C-5** Exhibit C-5 "Forecasted Financial Statements," provide two years of forecasted income statements for the applicant's ELECTRIC related business activities in the state of Ohio Only, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- **C-6** Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.
- **C-7** Exhibit C-7 "Credit Report," provide a copy of the applicant's credit report from Experion, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.
- **C-8** Exhibit C-8 "Bankruptcy Information," provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- **C-9** Exhibit C9 "Merger Information," provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C 10 "Corporate Structure," provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

Sworn and subscribed before me this 26th day of Solvey, 2017 Month

Signature of official administering oath

My wet

--- CHRISTOPHER M WETTERMAN Notary Public - State of Illinois

My commission expires on $\alpha_0 29 200$

AFFIDAVIT

State of ILLIMIS: Normanuc ss. (Town)
County of Cook :
MAKK RICE, Affiant, being duly sworn/affirmed according to law, deposes and says that:
(He) She is the CEO (Office of Affiant) of Blooms Tannague Name of Applicant);
That he/she is authorized to and does make this affidavit for said Applicant

- 1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
- 2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
- 3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
- 4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
- 5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
- 6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
- 7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
- 8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
- 9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
- 10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)



11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Signature of Affiant & Title

Sworn and subscribed before me this 26 day of Special Applicant Applicant

Signature of official administering oath

Chucker MWs

Print Name and Title

My commission expires on _

OFFICIAL SEAL
CHRISTOPHER M WETTERMAN
Notary Public - State of Illinois
My Commission Expires Jun 29, 2018

BIOFUELS TECHNOLOGY LLC CASE NUMBER - 15-1676EL-AGG Exhibit B-1 Jurisdictions Of Operation

The Company is a broker of electricity and gas to commercial and residential customers through electricity and gas suppliers in:

Illinois Ohio Texas New York California

The Company only receives commissions from the suppliers based on the amount of electricity or gas consumed by the customer on a monthly basis.

BIOFUELS TECHNOLOGY LLC CASE NUMBER – 15-1676EL-AGG Exhibit B-2 Experience & Plans

The Company is a broker of electricity and gas to commercial and residential customers through electricity and gas suppliers. The Company does not contract with customers. All contracted services are provided by suppliers who handle all customer complaints and inquires.

BIOFUELS TECHNOLOGY LLC CASE NUMBER - 15-1676EL-AGG Exhibit B-3 Disclosure of Liabilities and Investigations

NONE

BIOFUELS TECHNOLOGY LLC CASE NUMBER – 15-1676EL-AGG Exhibit C-1 Annual Reports

NOT APPLICABLE

BIOFUELS TECHNOLOGY LLC CASE NUMBER – 15-1676EL-AGG Exhibit C-2 SEC FILINGS

NOT APPLICABLE

BIOFUELS TECHNOLOGY LLC CASE NUMBER – 15-1676EL-AGG Exhibit C-3 FINANCIAL STATEMENTS

The Company is a Limited Liability Corporation and its books and records are kept on a cash basis. The Company does not have audited financial statements. A copy of the Company's Balance Sheets and Income Statements for the years ended December 31, 2015 and 2016 certified by the Company's Chief Executive Officer and Owner is attached.

I certify that the Balance Sheets of Biofuels
Technology LLC d/b/a Energy Connection as of
December 31, 2015 and 2016 and the Income
Statements for the years ended December 31, 2015
and 2016 present fairly the financial position and
results of operations of the Company, on a cash basis
for the respective years.

Mark Rice, Chief Executive Officer and Owner

(14)

BIOFUELS TECHNOLOGY LLC dbla Energy Connection

PROFIT AND LOSS

January - December 2016

EXHIBIT C-3

	TOTAL
INCOME	
Consulting Fees	4,500.00
Sales Income	1,345,817.41
Total Income	\$1,350,317.41
GROSS PROFIT	\$1,350,317.41
EXPENSES	
Accounting Fees	2,145.00
Automobile Expense	20,252.40
Charitable Contributions	1,259.44
Commission Payment	593,773.38
Computer and Internet Expenses	24,270.27
Dues and Subscriptions	750.00
Health Insurance	21,737.04
Hotel Expenses	8,790.47
Insurance Expense	20,229.75
Legal Fees	4,250.93
License & Registrations	2,608.40
Marketing	255.84
Meals and Entertainment	17,206.17
Miscellaneous Expense	1,949.22
Office Expense	15,461.13
Office Supplies	5,681.39
Payroll Expenses	204,784.79
Postage and Delivery	253.30
Rent Expense	22,695.00
State Taxes	2,043.00
Telephone Expense	2,624.82
Travel & Entertainment	9,607.13
Travel Expense	3,230.83
Unapplied Cash Bill Payment Expense	0.00
Utilities	3,878.83
Total Expenses	\$989,738.53
NET OPERATING INCOME	\$360,578.88
NET INCOME	\$360,578.88

albia Energy Connection

PROFIT AND LOSS

January - December 2015

EXHIBIT C-3

	TOTAL
INCOME	
Sales Income	825,393.07
Unapplied Cash Payment Income	-1,200.00
Total Income	\$824,193.07
GROSS PROFIT	\$824,193.07
EXPENSES	
Automobile Expense	15,107.88
Charitable Contributions	1,915.00
Commission Payment	247,484.52
Computer and Internet Expenses	8,416.20
Dues and Subscriptions	450.00
Insurance Expense	31,697.82
Legal Fees	650.00
License & Registrations	1,600.50
Lodging - B. Parcher	450.16
Marketing	81.60
Meals and Entertainment	15,848.95
Miscellaneous Expense	2,956.63
Office Expense	10,452.63
Office Supplies	2,671.17
Payroll Expenses	137,505.00
Postage and Delivery	147.29
Professional Fees	2,315.00
Rent Expense	23,431.81
Telephone Expense	4,075.03
Travel & Entertainment	961.18
Travel Expense	9,953.23
Unapplied Cash Bill Payment Expense	-8,311.39
Utilities	3,939.79
Total Expenses	\$513,800.00
NET OPERATING INCOME	\$310,393.07
OTHER EXPENSES	
Reconciliation Discrepancies-1	152.30
Total Other Expenses	\$152.30
NET OTHER INCOME	\$ -152.30
NET INCOME	\$310,240.77

(14)

BIOFVELS TECHNOLOGY LLC d/6/a Energy Connection

BALANCE SHEET

As of December 31, 2016

EXHIBIT G3

	LPAIRII CO	
		TOTAL
ASSETS		
Current Assets		
Bank Accounts		
Biofuels Technology LLC		252,496.75
Total Bank Accounts		\$252,496.75
Accounts Receivable		
Accounts Receivable		34,919.47
Adv. Against Comm B. Parcher		0.00
Total Accounts Receivable		\$34,919.47
Other Current Assets		
Adv. Against Comm S. Heiman		0.00
Advance - Helen Lozier		0.00
Due from Mark		0.00
Due from Namax		0.00
Undeposited Funds	· ·	0.00
Total Other Current Assets		\$0.00
Total Current Assets		\$287,416.22
Fixed Assets		
Accumulated Depreciation		-2,230.22
Office Equipment		2,470.22
Total Fixed Assets		\$240.00
TOTAL ASSETS		\$287,656.22
LIABILITIES AND EQUITY		<u> </u>
Liabilities		
Current Liabilities		
Accounts Payable		
Accounts Payable		-320,784.89
Total Accounts Payable	·	\$ -320,784.89
Other Current Liabilities		
Due To Scott Heman		0.00
Mark - Short Term Loan		0.00
Total Other Current Liabilities		\$0.00
Total Current Liabilities		\$ -320,784.89
Long-Term Liabilities		
Mark Rice - Loan		0.00
Total Long-Term Liabilities		\$0.00
Total Liabilities		\$ -320,784.89
		. 2,22
Member 1 Draw		-578,478.74
		18,177.54
Opening Balance Equity		0.00
Retained Earnings	(17)	808,163.43
Total Long-Term Liabilities Total Liabilities Equity Member 1 Draw Member 1 Equity Opening Balance Equity	(17)	\$ -320,78 -578,474 18,17

	TOTAL
Net Income	360,578.88
Total Equity	\$608,441.11
TOTAL LIABILITIES AND EQUITY	\$287,656.22



BIOFUELS TECHNOLOGY LLC

Alba Energy Connection

BALANCE SHEET

As of December 31, 2015

EXHIBIT C-3

		TOTAL
ASSETS		
Current Assets		
Bank Accounts		
Biofuels Technology LLC		140,906.10
Total Bank Accounts		\$140,906.10
Accounts Receivable		
Accounts Receivable		34,919.47
Adv. Against Comm B. Parcher		-1,200.00
Total Accounts Receivable		\$33,719.47
Other Current Assets		
Adv. Against Comm S. Heiman		-13,466.38
Advance - Helen Lozier		0.00
Due from Mark		0.00
Due from Namax		0.00
Undeposited Funds		0.00
Total Other Current Assets		\$ -13,466.38
Total Current Assets	·	\$161,159.19
Fixed Assets		
Accumulated Depreciation		-2,230.22
Office Equipment		2,230.22
Total Fixed Assets		\$0.00
TOTAL ASSETS	•	\$161,159.19
LIABILITIES AND EQUITY		
Liabilities		
Current Liabilities		
Accounts Payable		
Accounts Payable		-320,784.89
Total Accounts Payable		\$ -320,784.89
Other Current Liabilities		
Due To Scott Heman		0.00
Mark - Short Term Loan		0.00
Total Other Current Liabilities		\$0.00
Total Current Liabilities		\$ -320,784.89
Long-Term Liabilities		
Mark Rice - Loan		0.00
Total Long-Term Liabilities		\$0.00
Total Liabilities		\$ -320,784.89
Equity		
Member 1 Draw		-344,396.89
Member 1 Equity		18,177.54
Opening Balance Equity	_	0.00
Retained Earnings	(19)	497,922.66
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	TOTAL
Net Income	310,240.77
Total Equity	\$481,944.08
TOTAL LIABILITIES AND EQUITY	\$ 161,1 59 .19



BIOFUELS TECHNOLOGY LLC CASE NUMBER – 15-1676EL-AGG Exhibit C-4 FINANCIAL ARRANGEMENTS

The Company does not have any Financial Arrangements pursuant to Exhibit C-4.

BIOFUELS TECHNOLOGY LLC CASE NUMBER – 15-1676EL-AGG Exhibit C-5 FORECASTED FINANCIAL STATEMENTS

The Company's forecasted revenues for its electric related business activities in the State of Ohio are as follows:

Year ending December 31 2017 - \$40,000.00

Year Ending December 31 2018 - \$55,000.00

The Company does not have any employees or Agents in Ohio and does not maintain an office in Ohio. All of the Company's operations are in Illinois.

BIOFUELS TECHNOLOGY LLC CASE NUMBER - 15-1676EL-AGG Exhibit C-6 CREDIT RATING

N/A

BIOFUELS TECHNOLOGY LLC CASE NUMBER – 15-1676EL-AGG Exhibit C-7 CREDIT REPORT

The Credit Rating Report pursuant to this Exhibit C-7 will be filed shortly.

BIOFUELS TECHNOLOGY LLC CASE NUMBER – 15-1676EL-AGG Exhibit C-8 BANKRUPCY INFORMATION

NONE

BIOFUELS TECHNOLOGY LLC CASE NUMBER - 15-1676EL-AGG Exhibit C-9 MERGER INFORMATION

NONE

BIOFUELS TECHNOLOGY LLC CASE NUMBER – 15-1676EL-AGG Exhibit C-10 CORPORATE STRUCTURE

The Company is a Limited Liability Corporation owned by a single individual. It is a stand-alone entity with no affiliates or subsidiary companies.