

FILE



Amerex Brokers LLC

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Sugar Land, TX 77478  
Tel: 281.340.6200  
www.amerexenergy.com

September 26, 2017

**VIA FEDEX**

Public Utilities Commission of Ohio  
Docketing Division  
180 Broad Street  
Columbus, Ohio 43215-3793

***RE: Amendment to Amerex Brokers LLC's Renewal Application for Competitive Retail Natural Gas/Aggregators under Original Case Number; 09-862-GA-AGG; request for more information***

To whom it may concern:

Please find enclosed herein the forecasted income statements for years 2018 and 2019 for Amerex Brokers LLC as requested in C-5 of the Renewal Application for Competitive Retail Natural Gas/Aggregators submitted under its original case number 09-862-GA-AGG. We wish to comply with the Commission's request for supplemental information as requested by Mr. Michael Palkowski in the attached email dated September 19, 2017. We submit this as an amendment to our renewal application for case number 09-862-GA-AGG. Thank you for your attention to this matter.

Very truly yours,

Amalia Berrios  
VP/Sr. Counsel

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.  
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Amerex Brokers LLC

Projected revenue/expense/NI for Intrastate Ohio production.

<b>Electric</b>	<b>2018</b>	<b>2019</b>
Total Projected Revenue	113,000	70,000
Total Expenses	90,000	55,000
Net Income	23,000	15,000

## Berrios, Amalia

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**From:** michael.palkowski@puco.ohio.gov  
**Sent:** Tuesday, September 19, 2017 1:06 PM  
**To:** Berrios, Amalia  
**Cc:** melissa.scarberry@puco.ohio.gov; michael.palkowski@puco.ohio.gov  
**Subject:** Your Ohio Renewal Application is not in compliance

Ms. Berrios,

The certification application for Amerex Brokers LLC (Case Number 09-0863-EL-AGG) was received by the PUCO Docketing Division on September 14, 2017. However, the application failed to properly address documentation required for the financial-related Exhibit: C-5 (Ohio only annual forecast).

Upon further review of your case, I discovered that your company did not supply Exhibit C-5 with its initial renewal application filing during 2015, 2013 and 2011. After contact with Commission Staff in each of those years, your company subsequently filed an amendment to its application which included Exhibit C-5. Provision of a properly completed C-5 is a core requirement for **ALL** applicants and your company's certificate will not be renewed if your company does not provide it.

Your company's response to Exhibit C-5 this year appears to be substantially similar to statements in its initial filings for the prior years noted above. If you are using a boiler plate response for Exhibit C-5, I suggest that you discard it and follow the directions provided on the PUCO's website.

Please file an annual forecast for 2018 and 2019 that includes, at minimum, revenues, expenses and net income that are associated with only the electric business that you expect to do in Ohio. Do not include revenues, expenses and net income that are associated with the gas business that you forecast for Ohio for 2018 and 2019.

In order to avoid suspension and/or denial of your application, please send the above referenced Exhibit to the PUCO Docketing Division with a note to include it as an amendment to your application for Case Number 09-0863-EL-AGG. The required amendment must be received by Docketing by Noon **Wednesday, October 4, 2017** and comply with filing content requirements in order for staff to have sufficient time to review the information and for you to avoid suspension or denial of your application.

Please email me if you have any questions.

*Michael J. Palkowski*

Public Utilities Commission of Ohio  
Rates and Analysis Department  
Specialist, Forecasting, Markets and Corporate Oversight  
3rd Floor, 180 East Broad Street, Columbus, Ohio 43215

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This message and any response to it may constitute a public record and thus may be publicly available to anyone who requests it.

**This foregoing document was electronically filed with the Public Utilities**

**Commission of Ohio Docketing Information System on**

**9/28/2017 9:19:31 AM**

**in**

**Case No(s). 09-0862-GA-AGG**

Summary: Exhibit C-5 of the renewal application filed on behalf of Amerex Brokers LLC by A. Berrios electronically filed by Docketing Staff on behalf of Docketing