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DOCKET 15-1777-GA-CRS



September 21, 2017

VIA FEDERAL EXPRESS

Public Utilities Commission of Ohio
180 East Broad St.
Columbus OH 43215-3793
Attn: Docketing Division

Re: Renewal Application for Competitive Retail Natural Gas Suppliers

ENGIE Retail, LLC d/b/a Think Energy ("Think Energy"); Certificate No. 15-479G(1)

In accordance with the Ohio Administrative Code Chapters 4901:1-27-09 and 4901:1-24 and Section 4928.08 of the Ohio Revised Code, and the Commission rules and regulations, renewal applications are to be filed between 60 and 30 days before expiration of the current certificate. Think Energy's natural gas renewal certificate expires November 22, 2017 ("Renewal Application"). Therefore, Think Energy hereby submits its 2017 Renewal Application as a Competitive Retail Natural Gas Supplier.

Per instructions, enclosed please find one original and three (3) copies of the Renewal Application, together with attached exhibits and notarized affidavit.

Please note that pursuant to Rule 4901:1-27-07 of the Administrative Code, Exhibits C-3. Financial Statements, together with Attachments 1 and 2 thereto, C-4. Financial Arrangements, together with the Guaranty attached thereto as Attachment 4, C-5. Forecasted Financial Statements, and C-6. Credit Rating are all being filed "CONFIDENTIALLY" and "FILED UNDER SEAL" as these documents contain confidential and proprietary information.

If you have any questions in connection with this filing, please contact either Naveen Rabie at Naveen.rabie@na.engie.com or myself at marsha.griffin@na.engie.com.

Regards,

Marsha Griffin
Senior Paralegal
1990 Post Oak Blvd., Suite 1900
Houston, TX 77056
www.mythinkenergy.com

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Technician GAN Date Processed 9/22/17



RENEWAL CERTIFICATION FILING INSTRUCTIONS COMPETITIVE RETAIL NATURAL GAS SUPPLIERS

I. *Where to File:* Applications should be sent to: Public Utilities Commission of Ohio (PUCO or Commission), Docketing Division, 180 East Broad Street, Columbus Ohio 43215-3793.

II. *What to File:* Applicant must submit one original notarized application signed by a principal officer and three copies, including all exhibits, affidavits, and other attachments. All attachments, affidavits, and exhibits should be clearly identified. *For example, Exhibit C-10 should be marked "Exhibit C-10 - Corporate Structure."* All pages should be numbered and attached in a sequential order.

IMPORTANT REQUIREMENT: *The renewal application must be docketed in the applicant's original GA-CRS case number. Therefore, applicant should enter that number on the renewal application form when filing a renewal application.*

III. *When to File:* Pursuant to Rule 4901:1-27-09 of the Ohio Administrative Code, renewal applications shall be filed between 30 and 60 days to the prior certificate's expiration date.

IV. *Renewal Application Form:* The renewal application form is available on the PUCO Web site, www.puco.ohio.gov or directly from the Commission located at: Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

V. *Confidentiality:* An applicant may file financial statements, financial arrangements, and forecasted financial statements under seal. If these exhibits are filed under seal, they will be afforded protective treatment for a period of six years from the date of the certificate for which the information is being provided.

An applicant may file a motion for a protective order for other information not filed under seal per the previous paragraph. If the motion is filed in conformance with rule 4901:1-27-07 of the Administrative Code, it shall be automatically approved on the thirty-first day after the date of filing and the information shall be afforded protective treatment for a period of six years from the date of the certificate for which the information is being provided, unless the commission or an attorney examiner appointed by the commission rules otherwise.

At the expiration of the six-year period provided for in the previous paragraphs, the information will be automatically released into the open record. An applicant wishing to extend a protective order beyond the six-year time period must comply with paragraph (F) of rule 4901-1-24 of the Administrative Code.

VI. *Commission Process for Certification Renewal:* An application for renewal shall be made on forms approved and supplied by the Commission. The applicant shall complete the



Public Utilities Commission

appropriate renewal form in its entirety and supply all required attachments, affidavits, and evidence of capability specified by the form at the time an application is filed. The Commission renewal process begins when the Commission's Docketing Division receives and time/date stamps the application. An incomplete application may be suspended or rejected. An application that has been suspended as *incomplete* will cause delay in renewal.

The Commission may approve, suspend, or deny an application within 30 days. If the Commission does not act within 30 days, the renewal application is deemed automatically approved on the 31st day after the official filing date. If the Commission suspends the renewal application, the Commission shall notify the applicant of the reasons for such suspension and may direct the applicant to furnish additional information. The Commission shall act to approve or deny a suspended application within 90 days of the date that the renewal application was suspended.

Upon Commission approval, the applicant shall receive notification of approval and a numbered, renewed certificate that specifies the service(s) for which the applicant is certified and the dates for which the certificate is valid. Unless otherwise warranted, the renewed certification designation will remain consistent with the previously granted certification. For example, a certified marketer will renew as a certified marketer.

Unless otherwise specified by the Commission, the competitive retail natural gas service (CRNGS) supplier's renewed certificate is valid for an additional period of two years, beginning and ending on the dates specified on the certificate. The applicant may renew its certificate in accordance with Rule 4901:1-27-09 of the Ohio Administrative Code. CRNGS suppliers, which include marketers, shall inform the Commission of any material change to the information supplied in a renewal application within thirty (30) days of such material change in accordance with Rule 4901:1-27-11 of the Ohio Administrative Code.

VII. *Contractual Arrangements for Capability Standards:* If the applicant is relying upon contractual arrangements with a third-party, to meet any of the certification requirements, the applicant must provide with its application all of the following:

- ☐ The legal name of any contracted entity;
- ☐ A statement that a valid contract exists between the applicant and the third-party;
- ☐ A detailed summary of the contract(s), including all services provided thereunder; and
- ☐ The documentation and evidence to demonstrate the contracting entity's capability to meet the requirements as if the contracting entity was the applicant.

VIII. Questions: Questions regarding filing procedures should be directed to CRNGS@puc.state.oh.us

IX. *Governing Law:* The certification/renewal of CRNGS suppliers is governed by Chapters 4901:1-27 and 4901:1-29 of the Ohio Administrative Code, and Section 4929.20 of the Ohio Revised Code.



Public Utilities Commission

PUCO USE ONLY - Version 1.08 May 2016		
Date Received	Renewal Certification Number	ORIGINAL CRS Case Number
		15 - 1777 - GA-CRS

RENEWAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS SUPPLIERS

Please type or print all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-15 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

A-1 Applicant intends to renew its certificate as: (check all that apply)

☐ Retail Natural Gas Aggregator ☐ Retail Natural Gas Broker ☒ Retail Natural Gas Marketer

A-2 Applicant information:

Legal Name ENGIE Retail, LLC d/b/a Think Energy
Address 1990 Post Oak Blvd., Suite 1900, Houston, TX 77056
Telephone No. (866) 252-0078 Web site Address www.mythinkenergy.com
Current PUCO Certificate No. 15-479G(1) Effective Dates 11/22/2015

A-3 Applicant information under which applicant will do business in Ohio:

Name Think Energy
Address 1990 Post Oak Blvd., Suite 1900, Houston, TX 77056
Web site Address www.mythinkenergy.com Telephone No. (866) 252-0078

A-4 List all names under which the applicant does business in North America:

ENGIE Retail, LLC Think Energy

A-5 Contact person for regulatory or emergency matters:

Name Julian Mithani Title Counsel
Business Address 1990 Post Oak Blvd., Suite 1900, Houston, TX 77056
Telephone No. (713) 636-1797 Fax No. (713) 636-1601 Email Address julian.mithani@na.engie.com

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name Katie Fry Title Account Services Manager
Business address 1990 Post Oak Blvd., Suite 1900, Houston, TX 77056
Telephone No. (713) 636-1095 Fax No. (713) 636-1601 Email Address katie.fry@na.engie.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address 1990 Post Oak Blvd., Suite 1900, Houston, TX 77056
Toll-Free Telephone No. (866) 252-0078 Fax No. (800) 627-8813 Email Address questions@mythinkenergy.com

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name Mary Beth Corron Title Sales Manager
Business address 1900 Polaris Parkway, Suite 450, Columbus, OH 43240
Telephone No. (614) 785-6428 Fax No. (614) 785-6496 Email Address marybeth.corron@na.engie.com

A-9 Applicant's federal employer identification number 35-2408190

A-10 Applicant's form of ownership: (Check one)

- | | |
|--|---|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other |

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<input checked="" type="checkbox"/> Columbia Gas of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Dominion East Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Duke Energy Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Vectren Energy Delivery of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input type="checkbox"/> Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

☒ **Columbia Gas of Ohio**

<input checked="" type="checkbox"/> Residential	Beginning Date of Service	11/14/2016	End Date
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	12/06/2016	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service		End Date
<input type="checkbox"/> Industrial	Beginning Date of Service		End Date

☒ **Dominion East Ohio**

<input checked="" type="checkbox"/> Residential	Beginning Date of Service	09/07/2016	End Date
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	09/19/2016	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service		End Date
<input type="checkbox"/> Industrial	Beginning Date of Service		End Date

☒ **Duke Energy Ohio**

<input checked="" type="checkbox"/> Residential	Beginning Date of Service	08/01/2016	End Date
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	12/21/2016	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service		End Date
<input type="checkbox"/> Industrial	Beginning Date of Service		End Date

☒ **Vectren Energy Delivery of Ohio**

<input checked="" type="checkbox"/> Residential	Beginning Date of Service	01/26/2017	End Date
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	No accounts yet	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service		End Date
<input type="checkbox"/> Industrial	Beginning Date of Service		End Date

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

<input type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date
<input type="checkbox"/>	Dominion East Ohio	Intended Start Date
<input type="checkbox"/>	Duke Energy Ohio	Intended Start Date
<input type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 **Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 **Exhibit A-15 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-16 **Exhibit A-16 "Articles of Incorporation and Bylaws,"** provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, *only if the contents of the originally filed documents changed since the initial application.*
- A-17 **Exhibit A-17 "Secretary of State,"** provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 **Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 **Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations,"** detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
(This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).
- C-4 Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's current financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

C-5 Exhibit C-5 "Forecasted Financial Statements," provide two years of forecasted income statements for the applicant's **NATURAL GAS related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

C-6 Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.

- C-7 Exhibit C-7 "Credit Report,"** provide a copy of the applicant's current credit report from Experion, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.
- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate within the two most recent years preceding the application.

SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business. Please include whether the applicant's operations will include the contracting of natural gas purchases for retail sales, the nomination and scheduling of retail natural gas for delivery, and the provision of retail ancillary services, as well as other services used to supply natural gas to the natural gas company city gate for retail customers.
- D-2 Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

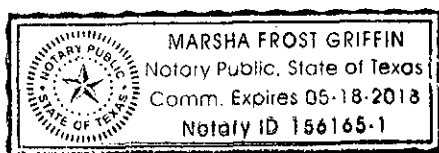
Sworn and subscribed before me this 21st day of September

Month 2017 Year

Signature of official administering oath

MARSHA FROST GRIFFIN, Senior Paralegal

Print Name and Title



My commission expires on 05/18/2018



The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service
Affidavit Form
(Version 1.07)

In the Matter of the Application of

ENGIE RETAIL, LLC d/b/a THINK ENERGY

for a Certificate or Renewal Certificate to Provide
Competitive Retail Natural Gas Service in Ohio.

Case No. 15 - 17777 -GA-CRS

County of HARRIS

State of TEXAS

JOHN HENDERSON, SENIOR VICE PRESIDENT

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

Sworn and subscribed before me this

2/5

day of

September

Month

2017

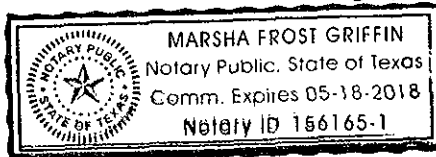
Year

Marsha Frost Griffin

Signature of Official Administering Oath

MARSHA FROST GRIFFIN, Senior Paralegal

Print Name and Title



My commission expires on 05/18/2018

(CRNGS Supplier Renewal) - Version 1.08

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ENGIE RETAIL, LLC d/b/a THINK ENERGY
Renewal Certification Application for Competitive Retail Natural Gas Suppliers

Exhibit A-14 - "Principal Officers, Directors & Partners"

Provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

ENGIE Retail, LLC d/b/a Think Energy is a wholly-owned subsidiary of ENGIE Resources LLC. The officers and directors of ENGIE Resources LLC are:

Sayun Sukduang

President & CEO

1990 Post Oak Blvd, Suite 1900

Houston, TX 77056

T: 713-636-0000

F: 713-636-1601

Email: sayun.sukduang@na.engie.com

John Henderson

Senior Vice President

1990 Post Oak Blvd, Suite 1900

Houston, TX 77056

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F: 713-636-1601

Email: john.henderson@na.engie.com

Suthiwong Kongsiri

Vice President, CFO & Treasurer

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Ray Cunningham

Vice President, General Counsel & Secretary

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David Grigsby

*Vice President & Assistant Treasurer
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Rachel W. Kilpatrick

*Vice President & Assistant Secretary
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Graham Leith

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William "Bill" Jordan

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F: 713-636-1601
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Douglas Stein

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Email: douglas.stein@na.engie.com*

Greg Leflar

*Vice President, Operations
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F: 713-636-1601
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Julian Mithani

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F: 713-636-1601
Email: julian.mithani@na.engie.com*



ENGIE RETAIL, LLC d/b/a THINK ENERGY

Renewal Certification Application for Competitive Retail Natural Gas Suppliers

Exhibit A-15 – “Company History”

Provide a concise description of the applicant’s company history and principal business interests.

ENGIE Retail, LLC d/b/a Think Energy (“Think Energy”) is a wholly-owned, sole member-managed subsidiary of ENGIE Resources LLC (“ENGIE Resources”), which is a wholly-owned subsidiary of ENGIE Holdings Inc. (“EHI”). ENGIE Resources, the parent company and sole managing member of Think Energy, currently provides risk-managed electric energy supply to commercial and industrial customers as a licensed retail electric supplier in Ohio, licensed pursuant to Certificate No. 04-118, granted on July 25, 2004.

ENGIE Resources is a licensed competitive retail electric supplier that serves approximately 5,000 businesses and 80,000 accounts in the United States in Connecticut, Delaware, District of Columbia, Illinois, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Rhode Island and Texas.

Think Energy was formed to serve the small commercial and residential markets and is also a licensed retail electric supplier in Ohio, licensed pursuant to Certificate No. 11-407E(1), granted on November 17, 2011. Think Energy was granted a Retail Natural Gas Marketer Certificate pursuant to Case No. 15-1777-GA-CRS on November 23, 2015. Think Energy wishes to continue to serve all residential and small commercial customers in the natural gas industry in Ohio.



ENGIE RETAIL, LLC d/b/a THINK ENERGY

Renewal Certification Application for Competitive Retail Natural Gas Suppliers

Exhibit A-16 – “Articles of Incorporation and Bylaws”

If applicable, provide the articles of incorporation filed with the state of jurisdiction in which the applicant is incorporated and any amendments thereto.

See attached.

Delaware

PAGE 1

The First State

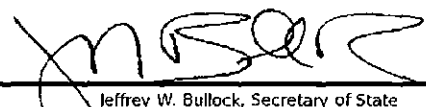
I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF FORMATION OF "GDF SUEZ ENERGY SOLUTIONS, LLC", FILED IN THIS OFFICE ON THE EIGHTEENTH DAY OF APRIL, A.D. 2011, AT 11:51 O'CLOCK A.M.



4970172 8100

110424850

You may verify this certificate online
at corp.delaware.gov/authver.shtml


Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 8700231

DATE: 04-18-11

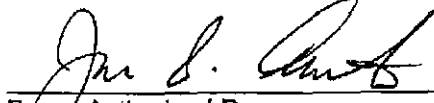
State of Delaware
Secretary of State
Division of Corporations
Delivered 11:54 AM 04/18/2011
FILED 11:51 AM 04/18/2011
SRV 110424850 - 4970172 FILE

CERTIFICATE OF FORMATION
OF
GDF SUEZ ENERGY SOLUTIONS, LLC

1. The name of the limited liability company is GDF SUEZ Energy Solutions, LLC.
2. The address of its registered office in the State of Delaware is 615 South Dupont Highway, in the City of Dover, County of Kent. The name of its registered agent at such address is Capitol Services, Inc.

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Formation of GDF SUEZ Energy Solutions, LLC this 15th day of April, 2011.

GDF SUEZ ENERGY RESOURCES NA, INC.


By: _____
Authorized Person
Name: Jason Austin, Vice President

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "GDF SUEZ ENERGY SOLUTIONS, LLC", CHANGING ITS NAME FROM "GDF SUEZ ENERGY SOLUTIONS, LLC" TO "GDF SUEZ RETAIL ENERGY SOLUTIONS, LLC", FILED IN THIS OFFICE ON THE TWENTY-SIXTH DAY OF APRIL, A.D. 2011, AT 7:24 O'CLOCK P.M.

4970172 8100

110458301

You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 8719331

DATE: 04-27-11

**STATE OF DELAWARE
CERTIFICATE OF AMENDMENT**

1. Name of Limited Liability Company: GDF SUEZ Energy Solutions, LLC
2. The Certificate of Formation of the limited liability company is hereby amended as follows:

1. The name of the limited liability company is GDF SUEZ Retail Energy Solutions, LLC.

IN WITNESS WHEREOF, the undersigned have executed this Certificate on the 26th day of April, A.D. 2011.

By: 

Authorized Person(s)

Name: Jason Austin

Print or Type

201112600156

DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
05/08/2011	201112600156	REG. OF FOR. PROFIT LIM. LIAB. CO. (LFP)	125.00	100.00	.00	.00	.00

Receipt

This is not a bill. Please do not remit payment.

UNISEARCH, INC.
4694 CEMETERY RD
PMB 217
HILLIARD, OH 43026

**STATE OF OHIO
CERTIFICATE**

Ohio Secretary of State, Jon Husted

2018277

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

GDF SUEZ RETAIL ENERGY SOLUTIONS, LLC

and, that said business records show the filing and recording of:

Document(s)

REG. OF FOR. PROFIT LIM. LIAB. CO.

Document No(s):

201112600156



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of
the Secretary of State at Columbus,
Ohio this 4th day of May, A.D.
2011.

Jon Husted

Ohio Secretary of State



www.sos.state.oh.us
e-mail: busserv@sos.state.oh.us

Prescribed by:
The Ohio Secretary of State
Central Ohio: (614) 466-3910
Toll Free: 1-877-SOS-FILE (1-877-767-3453)

Expedite this Form: (Select One)	
<input checked="" type="radio"/> Expedite	PO Box 1380 Columbus, OH 43216 *** Requires an additional fee of \$100***
<input type="radio"/> Non Expedite	PO Box 670 Columbus, OH 43216

**REGISTRATION OF A FOREIGN
LIMITED LIABILITY COMPANY**
Filing Fee \$125.00

THE UNDERSIGNED DESIRING TO FILE A:

(CHECK ONLY ONE (1) BOX)

(1) <input checked="" type="checkbox"/> Registration of a Foreign For-Profit Limited Liability Company (106-LFA) ORC 1706 State of Organization <u>DELAWARE</u> Date of Formation <u>4/18/2011</u>	(2) <input type="checkbox"/> Registration of a Foreign Nonprofit Limited Liability Company (106-LFA) ORC 1706 State of Organization _____ Date of Formation _____
--	---

Name of limited liability company in its state of organization: <u>GDF SUEZ RETAIL ENERGY SOLUTIONS, LLC</u>	
Name under which the foreign limited liability company desires to transact business in Ohio (if different from its home-state name) is: Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "Ltd.," or "Ltd."	
<input type="checkbox"/> Check here if additional provisions are attached	
The address to which interested persons may direct requests for copies of the limited liability company's operating agreement, bylaws, or other charter documents of the company is: <u>CLAUDIA SANTOS</u> Name <u>1990 POST OAK BLVD., STE. 1900</u> Street Address / P.O. Box Address <u>HOUSTON</u> <u>TEXAS</u> <u>77056</u> City State Zip code	

The limited liability company hereby appoints the following as its agent upon whom process against the limited liability company may be served in the state of Ohio. The name and complete address of the agent is:

Capitol Corporate Services, Inc.

Name

4568 Mayfield Road, Suite 204

Mailing Address

Cleveland

City

Ohio

State

44121

Zip Code

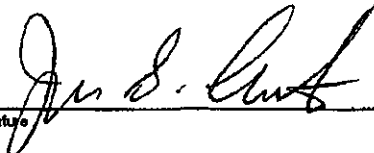


If the agent is an individual and using a P.O. Box, check this box to certify the agent is a resident of the state of Ohio.

The limited liability company irrevocably consents to service of process on the agent listed above as long as the authority of the agent continues, and to service of process upon the OHIO SECRETARY OF STATE if:

- a. an agent is not appointed, or
- b. an agent is appointed but the authority of that agent has been revoked, or
- c. the agent cannot be found or served after the exercise of reasonable diligence.

REQUIRED
Articles and original
appointment of agent
must be (signed) by a
member, manager or
other representative.



Signature

4/28/2011

Date

GDF SUEZ ENERGY RESOURCES NA, INC.

Print Name

Signature

Date

Print Name

Signature

Date

Print Name

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "GDF SUEZ RETAIL ENERGY SOLUTIONS, LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE THIRD DAY OF MAY, A.D. 2011.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "GDF SUEZ RETAIL ENERGY SOLUTIONS, LLC" WAS FORMED ON THE EIGHTEENTH DAY OF APRIL, A.D. 2011.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL TAXES HAVE NOT BEEN ASSESSED TO DATE.

4970172 8300

110484206

You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock Secretary of State
AUTHENTICATION: 8733870

DATE: 05-03-11

201122800104

DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
08/16/2011	201122800104	FICTITIOUS NAME/ORIGINAL FILING (NFO)	50.00	100.00	.00	.00	.00

Receipt

This is not a bill. Please do not remit payment.

UNISEARCH, INC.
4694 CEMETERY RD
PMB 217
HILLIARD, OH 43026

**STATE OF OHIO
CERTIFICATE**

Ohio Secretary of State, Jon Husted

2040658

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

THINK ENERGY

and, that said business records show the filing and recording of:

Document(s)

FICTITIOUS NAME/ORIGINAL FILING

Expiration Date: 08/15/2016

Document No(s):

201122800104

GDF SUEZ RETAIL ENERGY
SOLUTIONS, LLC
1990 POST OAK BLVD.
SUITE 1900
HOUSTON, TX 77056



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of
the Secretary of State at Columbus,
Ohio this 15th day of August, A.D.
2011.

Jon Husted

Ohio Secretary of State



Form 634A Prescribed by the:
Ohio Secretary of State

Central Ohio: (614) 466-3910
Toll Free: (877) SOS-FILE (767-3453)

www.sos.state.oh.us
Buseerv@sos.state.oh.us

Expedite this form: (select one)
Mail form to one of the following:

☒ Expedite
Level 1
--- Requires an additional fee of \$100 ---
☐ Non Expedite
PO Box 1390
Columbus, OH 43216
PO Box 670
Columbus, OH 43216

NAME REGISTRATION
Filing Fee \$50

(CHECK ONLY ONE (1) BOX)

<input type="checkbox"/> Trade Name (167-RNO) Date of first use: _____	<input checked="" type="checkbox"/> Fictitious Name (169-NFO)
--	--

Name being registered or reported:	<u>THINK ENERGY</u>
Name of the Registrant:	<u>GDF SUEZ RETAIL ENERGY SOLUTIONS, LLC</u>
NOTE: If the registrant is a foreign corporation licensed in Ohio under an assumed name, provide the assumed name and the name as registered in its jurisdiction of formation.	

The Registrant is a(n): (Check only one (1) box)

- | | |
|--|---|
| <input type="checkbox"/> Individual | <input type="checkbox"/> Unincorporated Association |
| <input type="checkbox"/> Partnership
Registration #, if any _____ | <input type="checkbox"/> Professional Association |
| <input type="checkbox"/> Limited Partnership
Registration # _____ | <input type="checkbox"/> Other |
| If foreign, Jurisdiction of Formation _____ | |
| <input type="checkbox"/> Limited Liability Partnership
Registration # _____ | |
| If foreign, Jurisdiction of Formation _____ | |
| <input checked="" type="checkbox"/> Limited Liability Company
Registration # <u>2018277</u> | |
| If foreign, Jurisdiction of Formation <u>Delaware</u> | |
| <input type="checkbox"/> Ohio Corporation
Charter # _____ | |
| <input type="checkbox"/> Foreign Corporation
Ohio license # _____ | |
| Jurisdiction of Formation _____ | |

RECEIVED
SECRETARY OF STATE
2011 AUG 15 PM 1:32
CLIENT SERVICE CENTER

All registrants must complete the information in this section

Business address:

1990 Post Oak Blvd., Suite 1900

Mailing Address

Houston

TX

77056

City

State

Zip Code

The general nature of the business conducted by the registrant:

SALE OF RETAIL ENERGY

Complete the information in this section if registrant is a partnership not registered in Ohio

Provide the name and address of at least one general partner:

Name

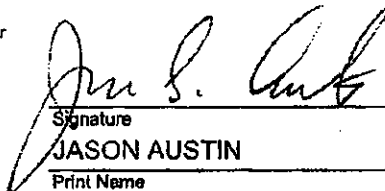
Address

NOTE: Pursuant to OAG 89-081, if a general partner is a foreign corporation, it must be licensed to transact business in Ohio; if a general partner is a foreign corporation licensed in Ohio under an assumed name, please provide the assumed name and the name as registered in its jurisdiction of formation.

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

REQUIRED

Must be authenticated
(signed) by the registrant or
an authorized
representative


Signature
JASON AUSTIN
Print Name

8/10/2011

Date

Signature

Date

Print Name

201532901216

DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
11/25/2015	201532901216	CORRECT REG./FOREIGN LLC (LFC)	50.00	100.00	.00	.00	.00

Receipt

This is not a bill. Please do not remit payment.

UNISEARCH INC.
3958-D BROWN PARK DR
HILLIARD, OH 43026

**STATE OF OHIO
CERTIFICATE**

Ohio Secretary of State, Jon Husted

2018277

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

ENGIE RETAIL, LLC

and, that said business records show the filing and recording of:

Document(s)

CORRECT REG./FOREIGN LLC

Document No(s):

201532901216

Effective Date: 11/24/2015



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio
this 25th day of November, A.D. 2015.

Jon Husted

Ohio Secretary of State

Jon Husted

Form 543B Prescribed by:
Ohio Secretary of State
JON HUSTED
Ohio Secretary of State
Central Ohio: (614) 466-3910
Toll Free: (877) SOS-FILE (767-3453)
www.OhioSecretaryofState.gov
Busserv@OhioSecretaryofState.gov

Mail this form to one of the following:

Regular Filing (non expedite)
P.O. Box 1329
Columbus, OH 43216

Expedite
* Expedite Filing (Two-business day processing
time requires an additional \$100.00).
P.O. Box 1390
Columbus, OH 43216

**Foreign Limited Liability Company
Certificate of Correction**
Filing Fee: \$50
(135-LFC)

The undersigned authorized representative of:

GDF SUEZ Retail Energy Solutions, LLC

Name of limited liability company in jurisdiction of formation

Name of limited liability company in Ohio, if different than above

2018277
Ohio Registration Number

Delaware
Jurisdiction of Formation

Only complete sections that apply. (sections to be corrected)

ENGIE Retail, LLC

Name of limited liability company in jurisdiction of formation

Name of limited liability company in Ohio

ENGIE Retail, LLC

Name of limited liability company in Ohio must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "Ltd.," or "Ltd."

The address to which interested persons may direct requests for copies of any operating agreement, or any bylaws, or other charter documents of the company is:

1990 Post Oak Blvd., Suite 1900

Mailing Address

Houston
City

TX
State

77056
ZIP Code

Complete the information in this section if the limited liability company wants to correct the a statutory agent information.

The limited liability company hereby appoints the following as its agent upon whom process against the limited liability company may be served in the state of Ohio. The name and complete address of the agent is

Name

Mailing Address

City

Ohio

State

ZIP Code

The limited liability company irrevocably consents to service of process on the agent listed above as long as the authority of the agent continues, and to service of process upon the Ohio Secretary of State if:

- a. an agent is not appointed, or
- b. an agent is appointed but the authority of that agent has been revoked, or
- c. the agent cannot be found or served after the exercise of reasonable diligence.

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

Required

Must be signed by an authorized representative.

If authorized representative is an individual, then they must sign in the "signature" box and print their name in the "Print Name" box.

If authorized representative is a business entity, not an individual, then please print the business name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print their name in the "Print Name" box.

Signature

By (if applicable)

Print Name

Signature

By (if applicable)

Print Name

Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "GDF SUEZ RETAIL ENERGY SOLUTIONS, LLC", CHANGING ITS NAME FROM "GDF SUEZ RETAIL ENERGY SOLUTIONS, LLC" TO "ENGIE RETAIL, LLC", FILED IN THIS OFFICE ON THE TWENTIETH DAY OF NOVEMBER, A.D. 2015, AT 4:40 O'CLOCK P.M.



4970172 8100
SR# 20151022220

You may verify this certificate online at corp.delaware.gov/authver.shtml

A handwritten signature in black ink, appearing to read "JB", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed.

Authentication: 10475356
Date: 11-23-15

State of Delaware
Secretary of State
Division of Corporations
Delivered 04:40 PM 11/20/2015
FILED 04:40 PM 11/20/2015
SR 20151022220 - File Number 4970172

**STATE OF DELAWARE
CERTIFICATE OF AMENDMENT**

1. Name of Limited Liability Company: GDF SUEZ Retail Energy Solutions, LLC

2. The Certificate of Formation of the limited liability company is hereby amended as follows:

The name of the company shall be ENGIE Retail, LLC

IN WITNESS WHEREOF, the undersigned have executed this Certificate on the 20th day of November, A.D. 2015.

By: Rachel W. Kilpatrick
Authorized Person(s)

Name: Rachel W. Kilpatrick, VP
Print or Type

201532901218

DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
11/25/2015	201532901218	TRADE NAME/ASSIGNMENT (RNA)	25.00	100.00	.00	.00	.00

Receipt

This is not a bill. Please do not remit payment.

UNISEARCH INC.
3958-D BROWN PARK DR
HILLIARD, OH 43026

**STATE OF OHIO
CERTIFICATE**

Ohio Secretary of State, Jon Husted

2040658

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

THINK ENERGY

and, that said business records show the filing and recording of:

Document(s)

TRADE NAME/ASSIGNMENT

Document No(s):

201532901218

Effective Date: 11/24/2015

Expiration Date: 08/15/2016

ENGIE RETAIL, LLC
1990 POST OAK BLVD., SUITE 1900
HOUSTON, TX 77056



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio
this 25th day of November, A.D. 2015.

Jon Husted

Ohio Secretary of State



Form 524A Prescribed by:

JON HUSTED
OHIO SECRETARY OF STATE

Toll Free: (877) SOS-FILE (877-767-3453)
Central Ohio: (614) 469-3010

www.OhioSecretaryofState.gov
bussovc@OhioSecretaryofState.gov

File online or for more information: www.OHBusinessControl.com

Mail this form to one of the following:

Regular Filing (non expedite)
P.O. Box 700
Columbus, OH 43216

Expedite Filing (two business day processing time,
Requires an additional \$100.00)

P.O. Box 1390
Columbus, OH 43216

Trade Name or Fictitious Name Registration Update

Filing Fee: \$25

CHECK ONLY ONE (1) Box

(1) <input checked="" type="checkbox"/> Assignment of Registered Name (116-RNA)	(2) <input type="checkbox"/> Change of Nature of Business (999-GEN)
(3) <input type="checkbox"/> Change of Address for Registrant (176-RNB)	(4) <input type="checkbox"/> Cancellation of Registration (184-RNX)
(5) <input type="checkbox"/> Change of Partners of Registrant, if Registrant is a General Partnership (999-GEN)	

Trade Name or Fictitious Name	THINK ENERGY
Registration Number	2040058
Name of Current Registrant	GDF SUEZ Retail Energy Solutions, LLC

Complete the information in this section if box (1) is checked above

New Registrant's Name	ENGIE Retail, LLC				
New Registrant's Charter/Registration/License Number in Ohio (if applicable)					
New Registrant's Address					
Mailing Address	1990 Post Oak Blvd., Suite 1900				
City	Houston	State	TX	ZIP Code	77056

If new registrant is a General Partnership NOT registered in Ohio pursuant to ORC 1776, please provide the name and address of at least one general partner.

Name	Address

Note: Pursuant to OAG 89-081, if a general partner is a foreign corporation/limited liability company, it must be licensed to transact business in Ohio.

Complete the information in this section if box (2) is checked

The general nature of business conducted by the registrant:

Complete the information in this section if box (3) is checked

Registrant's New Address

Mailing Address

--

City

--

 State

--

 ZIP Code

--

No additional information required if box (4) is checked above. Please sign form below.

Complete the information in this section if box (5) is checked

New General Partner(s) names(s) and addresses

Name	Address		
<table border="1"><tr><td></td></tr></table>		<table border="1"><tr><td></td></tr></table>	
<table border="1"><tr><td></td></tr></table>		<table border="1"><tr><td></td></tr></table>	
<table border="1"><tr><td></td></tr></table>		<table border="1"><tr><td></td></tr></table>	

Note: Pursuant to OAG 89-081, if a general partner is a foreign corporation/limited liability company, it must be licensed to transact business in Ohio.

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

Required

Application must be signed by the registrant or an authorized representative.

If authorized representative is an individual, then they must sign in the "signature" box and print their name in the "Print Name" box.

<i>Rachel W Kilpatrick</i>

Signature

--

By (if applicable)

Rachel W. Kilpatrick, Vice President

Print Name

If authorized representative is a business entity, not an individual, then please print the business name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print their name in the "Print Name" box.



JON HUSTED
OHIO SECRETARY OF STATE

Toll Free: (877) SOS-FILE (877-767-3453) | Central Ohio: (614) 466-3910

www.OhioSecretaryofState.gov | busserv@OhioSecretaryofState.gov

File online or for more information: www.OHBusinessCentral.com

Please return the approval certificate to:

Name:

ENGIE RETAIL, LLC

(Individual or Business Name)

To the attention of:

MARTHA THALMAN

(If necessary)

Address:

1990 POST OAK BLVD, SUITE 1900

City:

HOUSTON

State:

TEXAS

ZIP Code: 77056

Phone Number:

713-636-1396

E-mail Address:

MARTHA.THALMAN@NA.ENGIE.CO

- ☐ Check here if you would like to receive important notices via e-mail from the Ohio Secretary of State's office regarding Business Services.
- ☐ Check here if you would like to be signed up for our Filing Notification System for the business entity being created or updated by filing this form. This is a free service provided to notify you via e-mail when any document is filed on your business record.

Please make checks or money orders payable to: "Ohio Secretary of State"

Type of Service Being Requested: (PLEASE CHECK **ONE** BOX BELOW)

- ☒ **Regular Service:** Only the filing fee listed on page one of the form is required and the filing will be processed in approximately 3-7 business days. The processing time may vary based on the volume of filings received by our office.
- ☐ **Expedite Service 1:** By including an Expedite fee of \$100.00, in addition to the regular filing fee on page one of the form, the filing will be processed within 2 business days after it is received by our office.
- ☐ **Expedite Service 2:** By including an Expedite fee of \$200.00, in addition to the regular filing fee on page one of the form, the filing will be processed within 1 business day after it is received by our office. **This service is only available to walk-in customers who hand deliver the document to the Client Service Center.**
- ☐ **Expedite Service 3:** By including an Expedite fee of \$300.00, in addition to the regular filing fee on page one of the form, the filing will be processed within 4 hours after it is received by our office, if received by 1:00 p.m. **This service is only available to walk-in customers who hand deliver the document to the Client Service Center.**
- ☐ **Preclearance Filing:** For the purpose of advising as to the acceptability of the proposed filing, a form that is to be submitted at a later date for processing may be submitted for examination for a fee of \$50.00. The Preclearance will be complete within 1-2 business days.



Form 523A Prescribed by:

JON HUSTED
OHIO SECRETARY OF STATE

Toll Free: (877) SOS-FILE (877-787-3453)
Central Ohio: (614) 486-3910

www.OhioSecretaryofState.gov
busserv@OhioSecretaryofState.gov

File online or for more information: www.OHBusinessCentral.com

Mail this form to one of the following:

Regular Filing (non expedite)
P.O. Box 768
Columbus, OH 43216

Expedite Filing (Two business day processing time.
Requires an additional \$100.00)
P.O. Box 1390
Columbus, OH 43216

Renewal of Trade Name or Fictitious Name Registration

Filing Fee: \$25

(CHECK ONLY ONE (1) BOX)

☐ Renewal of Trade Name (172-RNR)

Reg. No.

☒ Renewal of Fictitious Name (159-NFR)

Reg. No.

Trade Name or Fictitious Name to be Renewed

Name of Registrant Renewing Name

Registrant's Entity Number (if registered with Ohio Secretary of State):

Complete if the registrant is a general partnership and has not provided an entity number above. Registration numbers are assigned to partnerships that have filed a statement under Ohio Revised Code Chapter 1776 OR complete if a partner was listed on the original application and that person/entity is no longer a partner.

Provide the name and address of at least one general partner.

Name

Address

NOTE: Pursuant to OAG 89-081, if a general partner is a foreign corporation/limited liability company, it must be licensed to transact business in Ohio; if a general partner is a foreign corporation/limited liability company licensed in Ohio under an assumed name, please provide the assumed name and the name as registered in its jurisdiction of formation.

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

REQUIRED

Renewal must be signed by the registrant or authorized representative of the registrant.

If authorized representative is an individual, then they must sign in the "signature" box and print their name in the "Print Name" box.

If authorized representative is a business entity, not an individual, then please print the business name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print their name in the "Print Name" box.



Signature



By (if applicable)

Julian Mithani

Print Name



Signature



By (if applicable)



Print Name

ENGIE RETAIL, LLC d/b/a THINK ENERGY
Renewal Certification Application for Competitive Retail Natural Gas Suppliers

Exhibit A-17 – “Secretary of State”

Provide evidence that the applicant is still currently registered with the Ohio Secretary of State.

*See attached OH Secretary of State Business Details report and Certificate of Good Standing. See also **Exhibit A-16**.*

Jon Husted Ohio Secretary of State

[Jon Husted & the Office](#) | [Elections & Voting](#) | [Campaign Finance](#) | [Legislation & Ballot Issues](#) | [Businesses](#) | [Records](#) | [Media Center](#) | [Publications](#)

Business Filing Portal

[Print this report](#)

Corporation Details

Corporation Details		
Entity Number	2018277	
Business Name	ENGIE RETAIL, LLC	
Filing Type	FOREIGN LIMITED LIABILITY COMPANY	
Status	Active	
Original Filing Date	05/04/2011	
Expiry Date		
Location:	County:	State: DELAWARE
Agent / Registrant Information		
CAPITOL CORPORATE SERVICES, INC. 4568 MAYFIELD ROAD SUITE 204 CLEVELAND, OH 44121 Effective Date: 05/04/2011 Contact Status: Active		
Filings		
Filing Type	Date of Filing	Document Number/Image
REG. OF FOR. PROFIT LIM. LIAB. CO.	05/04/2011	201112600156
FICTITIOUS NAME/ORIGINAL FILING	08/15/2011	201122800104
CORRECT REGISTRATION/FOREIGN LIMITED LIABILITY CO	11/24/2015	201532901216
TRADE NAME/ASSIGNMENT	11/24/2015	201532901218
FICTITIOUS NAME RENEWAL	06/03/2016	201616502194
Old Names		
Effective Date	Old Name	
11/24/2015	GDF SUEZ RETAIL ENERGY SOLUTIONS, LLC	

UNITED STATES OF AMERICA
STATE OF OHIO
OFFICE OF THE SECRETARY OF STATE

I, Jon Husted, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show a Report of Use of Fictitious Name of THINK ENERGY, Registration Number 2040658, filed in this office on August 15, 2011, filed by Engie Retail, Llc, 1990 Post Oak Blvd., Suite 1900, Houston, TX 77056, under article 1329.01 of the Ohio Revised Code, and is currently in FULL FORCE AND EFFECT upon the records of this office.



*Witness my hand and the seal of the
Secretary of State at Columbus, Ohio
this 13th day of September, A.D.
2017.*

Jon Husted

Ohio Secretary of State

Validation Number: 201725601950



ENGIE RETAIL, LLC d/b/a THINK ENERGY

Renewal Certification Application for Competitive Retail Natural Gas Suppliers

Exhibit B-1 – “Jurisdiction of Operation”

Provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.

ENGIE Retail, LLC d/b/a Think Energy – Natural Gas Supplier Licenses

STATE OF LICENSE	LICENSE NO.	LICENSE ISSUE DATE
Illinois	Certificate No. 16-0391	09/22/2016
Maryland	License #IR-3794	02/08/2017
New Jersey	License #GSL-0169	03/24/2017
New York	(NY does not issue license #)	02/01/2017
Ohio	Certificate #15-479G(1)	11/22/2015
Pennsylvania	License No. A-2016-2534026	04/18/2016

ENGIE Retail, LLC d/b/a Think Energy – Electric Supplier Licenses

STATE OF LICENSE	LICENSE NO.	LICENSE ISSUE DATE
Connecticut	Docket #11-10-14	02/09/2012
Delaware	Docket #11-482	04/17/2012
District of Columbia	Order # 16630	12/02/2011
Illinois	ICC Cert. No. 11-0531	09/21/2011
Maine	Docket #2011-425	11/22/2011
Maryland	License #IR-2404	08/17/2011
Massachusetts	License # CS-087	08/10/2011
New Hampshire	Docket #DM 15-490	12/28/2015
New Jersey	License # ESL-0120	03/12/2012
New York	(NY does not issue license #)	09/16/2011
Ohio	Certificate #11-407E(1)	11/17/2011
Pennsylvania	License No. A-2011-2268361	12/16/2011
Rhode Island	Docket #D-96-6(R7)	09/11/2015
Texas	License #10204	08/26/2011

ENGIE Resources LLC – Electric Supplier Licenses

STATE OF LICENSE	LICENSE NO.	LICENSE ISSUE DATE
Connecticut	Docket #04-06-11	09/29/2004
Delaware	Docket #04-325	11/23/2004
District of Columbia	Order # 13472	01/05/2005
Illinois	ICC Cert. No. 17-0163	05/03/2017
Maine	Docket #2003-120	03/14/2003
Maryland	License #IR-605	03/21/2004



STATE OF LICENSE	LICENSE NO.	LICENSE ISSUE DATE
Massachusetts	License # CS-037	05/15/2002
New Hampshire	Docket #DM 15-468	12/11/2015
New Jersey	License # ESL-0061	08/06/2003
New York	(NY does not issue license #)	09/13/2004
Ohio	Certificate #04-118	08/10/2004
Pennsylvania	License No. A-110156	07/18/2002
	Name Change Approved:	02/22/2017
Rhode Island	Docket #D-96-6(P2)	10/25/2004
Texas	License # 10053	08/05/2003

ENGIE RETAIL, LLC d/b/a THINK ENERGY**Renewal Certification Application for Competitive Retail Natural Gas Suppliers**

Exhibit B-2 – “Experience & Plans”

Provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.

ENGIE Retail, LLC d/b/a Think Energy (“Think Energy”), markets to and sells natural gas in the competitive retail market to residential and small commercial customers in the State of Ohio, in the following utility territories: Columbia Gas of Ohio, Dominion East Ohio, Duke Energy Ohio and Vectren Energy Delivery of Ohio.

Think Energy's parent company, ENGIE Resources LLC (“ENGIE Resources”), is a licensed competitive retail electric supplier that serves approximately 5,000 businesses and 80,000 accounts in the United States in Connecticut, Delaware, District of Columbia, Illinois, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island and Texas. Think Energy was formed to manage the small commercial and residential markets for ENGIE Resources. Think Energy operates as a licensed competitive retail electric supplier in the states listed above and focuses on marketing to and serving the small commercial and residential markets in these states also.

Thin Energy offers a variety of natural gas supply products to customers including fixed-price products and index-based products.

Think Energy's marketing initiative in the Ohio retail small commercial and residential markets continues to consist of a multi-channel strategy to reach customers through: (i) online direct enrollment; and (ii) non-dedicated sales channels such as consultants, aggregators, and brokers that function as a customer representative and solicit products and services from Think Energy, as well as other supplies, on the customer's behalf.

Think Energy utilizes Hansen Solutions, Inc. (f/k/a PPL Solutions, Inc.) (“Hansen”) as its outsourced billing, call center, and customer care group to provide customized billing services such as statement generation, and mailing and payment processing; customer care services including call center, inbound and outbound call management, call center consulting, error handling and resolutions, online customer service, contract management, customer enrollments, accounts services and customer management. Think Energy also maintains an internal staff as follows: (i) operations and customer care staff to manage the day-to-day management of the Hansen account (outsourced billing and customer care provider) and direct contact, as needed, with customers for customer care and billing matters; (ii) supply group to manage pricing analytics and portfolio management; (iii) a marketing team to manage all marketing functions, including strategic pricing, market planning, go-to-market strategies, new customer acquisition, and campaign management and analysis; (iv) an IT (information technology) team to manage the internal systems, databases, technical support, customer-facing applications, back-office applications, and system integration; and (v) a business control team to manage all financial control

matters including, billing, revenue, accounting, and settlements. Think Energy also offers e-services and after-hour services for 24/7 availability to customers.

Think Energy also utilizes Energy Services Group ("EGS") for support of EDI, XML and other data translation, transmission, auditing, archiving, business rule validation, exception identification and resolution, transaction management services, market portal, and data exchange.

Contracting: In accordance with Section 4929.22 of the Revised Code, Think Energy provides consumers with adequate, accurate, and understandable pricing and terms and conditions of service, including any switching fees, and with a document containing the terms and conditions of pricing and service before the consumer enters into the contract for service; and disclose the conditions under which a customer may rescind a contract without penalty.

Billing: Think Energy's customer bills, at a minimum, include (1) price disclosure and disclosures of total billing units for the billing period and historical annual usage; (2) to the maximum extent practicable, separate listing of each service component to enable a customer to re-calculate its bill for accuracy; (3) identification of the supplier of each service; (4) statement of where and how payment may be made and provision of a toll-free or local customer assistance and complaint number for the electric utility, electric services company, electric cooperative, or governmental aggregator, as well as a consumer assistance telephone number or numbers for state agencies, such as the Commission, the Office of the Consumer's Counsel, the Attorney General's office, with the available hours noted; and (5) other than for the first billing after the starting date of competitive retail electric service, highlighting and clear explanation of each customer bill, for two (2) consecutive billing periods, of any changes in the rates, terms and conditions of service.

ENGIE RETAIL, LLC d/b/a THINK ENERGY
Renewal Certification Application for Competitive Retail Natural Gas Suppliers

Exhibit B-3 – “Summary of Experience”

Provide a concise and current summary of the applicant’s experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).

Think Energy has been in business since 2011 and has extensive experience contracting with retail energy providers. Think Energy was awarded its Natural Gas Supplier Certificate in 2015; however, did not actively begin marketing and serving customers in the State of Ohio until 2016.

Think Energy, continues to market to and sell natural gas in the competitive retail market to residential and small commercial customers in the State of Ohio, in the following utility territories: Columbia Gas of Ohio, Dominion East Ohio, Duke Energy Ohio and Vectren Energy Delivery of Ohio by multi-channel strategies to reach customers through: (i) online direct enrollment; and (ii) non-dedicated sales channels such as consultants, aggregators, and brokers that function as a customer representative and solicit products and services from Think Energy, as well as other supplies, on the customer’s behalf.

Think Energy continues to offer a variety of natural gas supply products to customers including fixed-price products and index-based products.

Think Energy currently serves approximately 59 small commercial natural gas customers, approximately 11,672 residential natural gas customers and sales of approximately 4,826 Mcf for CY2016.

ENGIE RETAIL, LLC d/b/a THINK ENERGY**Renewal Certification Application for Competitive Retail Natural Gas Suppliers**

Exhibit B-4 – “Disclosure of Liabilities and Investigations”

Provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

Think Energy does not currently have any liabilities or investigations to disclose which could adversely impact its financial or operational ability to serve as a retail natural gas supplier in the State of Ohio.

However, in Maine, Think Energy was instructed to stop marketing in a utility service area that was not included in Think Energy's original license application. As clarification, there are two utilities with "Bangor" in the name; Think Energy was only licensed in one of the Bangor utilities. The error was due to incorrect zip codes in Think Energy's systems which was rectified within one day of the Commission's notice to Think Energy.

In Ohio, a single slam allegation led a staff member to instruct Think Energy to cease natural gas marketing. The Commission's instruction has since been lifted and Think Energy has resumed natural gas marketing services in Ohio.

ENGIE RETAIL, LLC d/b/a THINK ENERGY
Renewal Certification Application for Competitive Retail Natural Gas Suppliers

Exhibit C-1 – “Annual Reports”

Provide the two (2) most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)

Not Applicable. Think Energy does not have shareholder Annual Reports. Think Energy is a wholly-owned sole member-managed subsidiary of ENGIE Resources LLC, who is a wholly-owned subsidiary of ENGIE Holdings Inc., who is a wholly-owned subsidiary of ENGIE S.A. The two most recent Annual Reports to shareholders can be found at <https://www.engie.com/wp-content/uploads/2016/03/engie-2015-management-report.pdf> and <http://www.engie.com/en/group/publications/>.

ENGIE RETAIL, LLC d/b/a THINK ENERGY
Renewal Certification Application for Competitive Retail Natural Gas Suppliers

Exhibit C-2 – “SEC Filings”

Provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

Neither Think Energy nor its parent company is required to file reports with the SEC because ENGIE Holdings Inc. is a privately held corporation.



ENGIE RETAIL, LLC d/b/a THINK ENERGY
Renewal Certification Application for Competitive Retail Natural Gas Suppliers

Exhibit C-7 – “Credit Report”

Provide a copy of the applicant’s credit report from Experian, Dun & Bradstreet or a similar organization.

*Think Energy is a wholly-owned sole member-managed subsidiary of ENGIE Resources LLC. A copy of ENGIE Resources’ Experian report is attached hereto as **Attachment 5**.*

Premier Profile - ENGIE RESOURCES

Subcode: 515201

Ordered: 09/11/2017 11:02:47 CST



Transaction Number: C106498117

Search Inquiry: ENGIE RESOURCES/1990 POST OAK BLVD STE 1900/HOUSTON/TX/77056/US/Phone 713-636-0000/786666414

Model Description: Intelliscore Plus V2

Business Name GDF SUEZ ENERGY RESOURCES NA		Business Identification Number 786666414	
Doing Business As: GDF SUEZ ENERGY RESOURCES NA INC		Website: www.suezenergyresources.com	
Primary Address: 1990 POST OAK BLVD STE 1900 HOUSTON, TX 77056-3831		Phone: (713) 636-0000	
		Tax ID: 76-0685946	
Ultimate Parent: DYNEGY, INC		This business is a member of a corporate family. See the corporate hierarchy by clicking here	

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Risk Scores and Credit Limit Recommendation		Days Beyond Terms	Derogatory Legal	Fraud Alerts
Intelliscore Plus LOW TO MEDIUM RISK Score range: 1 - 100 percentile	Financial Stability Risk LOW RISK	Company DBT Industry DBT: 5	Original Filings 	High Risk Alerts
Credit Limit Recommendation: \$7,400				

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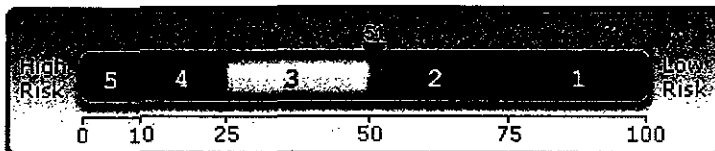
Business Facts			
Years on File:	20 (FILE ESTABLISHED 05/1997)	SIC Code:	ELECTRIC SERVICES - 4911
State of Incorporation:	DE		CRUDE PETROLEUM & NATURAL GAS - 1311
Date of Incorporation:	01/08/2004	NAICS Code:	MANAGEMENT CONSULTING SERVICES - 8742
Business Type:	Profit		Electric Power Generation, Transmission and Distribution - 221100
Contacts:	SAM HENRY - CHIEF EXECUTIVE OFFICER		Oil and Gas Extraction - 211100
	ROBERT WILSON - PRESIDENT		Management Consulting Services - 541610
	RAY CUNNINGHAM - PRESIDENT	Number of Employees:	550

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Commercial Fraud Shield		
Evaluation for: ENGIE RESOURCES, 1990 POST OAK BLVD STE 1900, HOUSTON, TX77056-3831		
Business/Alert	Indicator	Verification Details
Active Business Indicator:		The primary Business Name, Address, and Phone Number on Experian File were reviewed for High Risk indicators, no High Risk indicators were found.
Possible OFAC Match:		
Business Victim Statement:		

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Credit Risk Score and Credit Limit Recommendation	
Credit Risk Score: Intelliscore Plus	
Current Intelliscore Plus Score: 51	Risk Class: 2



This score predicts the likelihood of serious credit delinquencies for this business within the next 12 months. Payment history and public record along with other variables are used to predict future risk. Higher scores indicate lower risk.

Factors lowering the score

- NUMBER OF COMMERCIAL ACCOUNTS WITH NET 1-30 DAYS TERM
- NBR OF ACTIVE COMMERCIAL ACCTS WITHIN THE LAST 12 MOS
- NUMBER OF DEROGATORY COMMERCIAL LEGAL ITEMS
- NUMBER OF RECENTLY ACTIVE COMMERCIAL ACCOUNTS

LOW - MEDIUM RISK

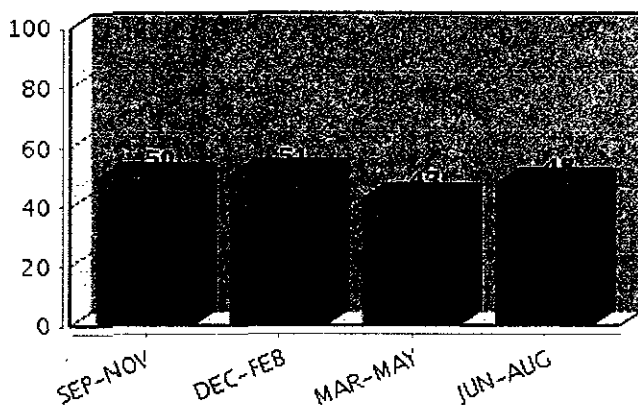
The risk class groups scores by risk into ranges of similar performance. Range 5 is the highest risk, range 1 is the lowest risk.

Industry Risk Comparison

50% of businesses indicate a higher likelihood of severe delinquency.

Intelliscore Plus Quarterly Score Trends

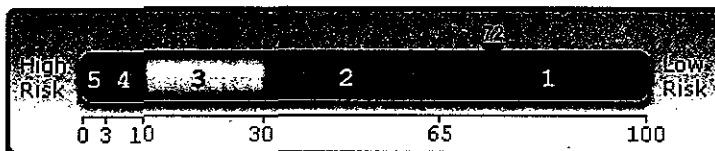
Quarterly Score Trends



The Intelliscore Plus Quarterly Score Trends provide a view of the likelihood of delinquency over the past 12 months for this business. The trends will indicate if the score improved, remained stable, fluctuated or declined over the last 12 months.

Credit Risk Score Financial Stability Risk

Current Financial Stability Risk Score: 72



This score predicts the likelihood of financial stability risk within the next 12 months. The score uses tradeline and collections information, public filings as well as other variables to predict future risk. Higher scores indicate lower risk.

Factors lowering the score

- NUMBER OF ACTIVE COMMERCIAL ACCOUNTS
- NUMBER OF COMMERCIAL DEROGATORY PUBLIC RECORDS
- RISK ASSOCIATED WITH THE BUSINESS TYPE
- BALANCE TO HIGH CREDIT RATIO FOR COMMERCIAL ACCOUNTS

Risk Class: 1

LOW RISK

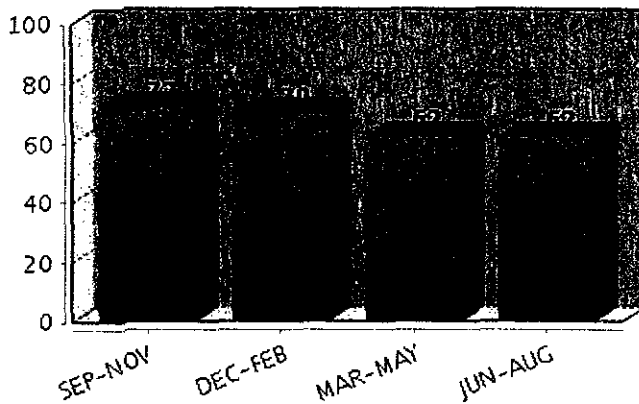
The risk class groups scores by risk into ranges of similar performance. Range 5 is the highest risk, range 1 is the lowest risk.

Industry Risk Comparison

71% of businesses indicate a higher likelihood of financial stability risk.

Financial Stability Risk Quarterly Score Trends

Quarterly Score Trends



The Financial Stability Risk Quarterly Score Trends provide a view of the likelihood of financial stability risk over the past 12 months for this business. The trends will indicate if the score improved, remained stable, fluctuated or declined over the last 12 months.

Credit Limit Recommendation

Credit Limit Recommendation

\$7,400

This recommendation compares this business against similar businesses in the Experian business credit database. It is based on trade information, industry, age of business and the Intelliscore Plus. The recommendation is a guide. The final decision must be made based on your company's business policies.

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Payment and Legal Filings Summary

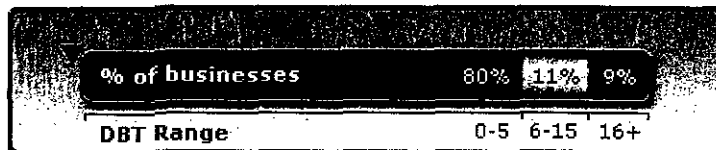
Payment Performance		Trade and Collection Balance		Legal Filings	
Current DBT:	0	Total trade and collection (4):	\$200	Bankruptcy:	No
Predicted DBT as 11/08/2017 :	1	All trades (4):	\$200	Tax Lien filings:	1
Monthly Average DBT:	0	All collections (0):	\$0	Judgment filings:	0
Highest DBT Previous 6 Months:	4	Continuous trade (3):	\$200	Sum of legal filings:	\$532
Highest DBT Previous 5 Quarters:	9	6 month average:	\$100 - \$5,700	UCC filings:	2
Payment Trend Indication:		Highest credit amount extended:	\$5,700	Cautionary UCC filings:	Yes
Payments are improving		Most frequent industry purchasing terms:	NET 30,CONTRCT,CREDIT		

Industry Comparison

Industry DBT Range Comparison

The current DBT of this business is 0. 80% of businesses have a DBT range of 0-5.

DBT for this business: 0



DBT Norms

All industry: 3

Same industry: 5

Industry Payment Comparison

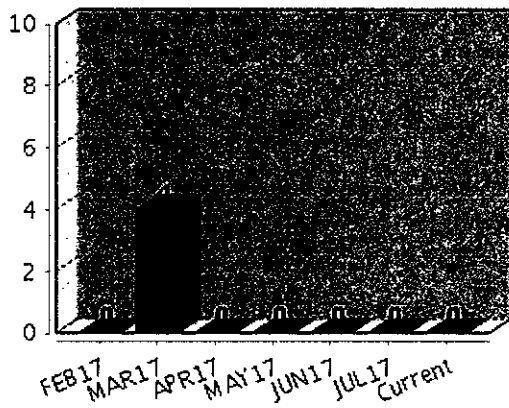
Has paid sooner than 50% of similar businesses

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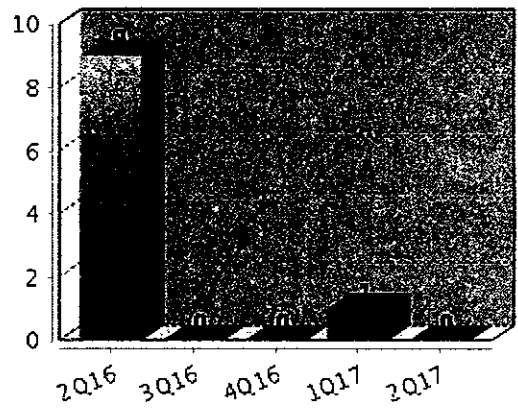
Payment Trending

Payment Trending

Monthly DBT Trends



Quarterly DBT Trends



Monthly Payment Trends

Payment Trends Analysis ELECTRIC SERVICES - 4911						Account Status Days Beyond Terms			
Date Reported	Cur	Industry DBT	Business DBT	Balance	Cur	1-30	31-60	61-90	91+
CURRENT	N/A	N/A	0	\$200	100%				
JUL17	87%	5	0	\$5,700	100%				
JUN17	86%	5	0	\$5,700	100%				
MAY17	88%	4	0	\$600	100%				
APR17	87%	4	0	\$100	100%				
MAR17	86%	4	4	\$400	71%	29%			
FEB17	85%	4	0	\$800	100%				

Quarterly Payment Trends

Payment History - Quarterly Averages					Account Status Days Beyond Terms			
Quarter	Months	DBT	Balance	Cur	1-30	31-60	61-90	91+
Q2 - 17	APR - JUN	0	\$2,100	100%				
Q1 - 17	JAN - MAR	1	\$700	94%	6%			
Q4 - 16	OCT - DEC	0	\$300	100%				
Q3 - 16	JUL - SEP	0	\$500	100%				
Q2 - 16	APR - JUN	9	\$600	79%		21%		

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Trade Payment Summary

Trade Line Type	Lines Reported	DBT	Recent High Credit	Balance	Current	01-30	31-60	61-90	91+
Continuous	3		\$5,700	\$200	100%				
New	0			\$0					
Combined Trade	3		\$5,700	\$200	100%				
Additional	1		\$1,200	\$0					
Total Trade	4		\$6,900	\$200	100%				

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Trade Payment - New and Continuously Reported Trade Details

Payment Expenses

(Trade Lines with an (*) after the date are newly reported)						Account Status Days Beyond Terms					Comments
Business Category	Date Reported	Last Sale	Payment Terms	Recent High Credit	Balance	Cur	1-30	31-60	61-90	91+	
AIR TRANS	08/2017	08/2017	OTHER	\$5,700	\$200	100%					
FINCL SVCS	08/2017		CONTRCT		\$0						
PACKAGING	09/2017		NET 30		\$0						CUST 7 YR

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Trade Payment - Additional Trade Details

(Trade Lines with an (*) after the date are newly reported)						Account Status Days Beyond Terms					Comments
Business Category	Date Reported	Last Sale	Payment Terms	Recent High Credit	Balance	Cur	1-30	31-60	61-90	91+	
COMMUNICTN	07/2015		VARIED	\$1,200	\$0						ACCTCLOSED

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Legal Filings

Tax Liens						
File Date	Filing Type	Status	Amount	Filing Number	Jurisdiction	
10/23/2014	State Tax Lien	Released	\$532	E022791684W0017	ALBANY COUNTY CLERK	
Filed by: STATE OF NEW YORK						
07/29/2014	State Tax Lien	Filed	\$532	E022791684W0017	ALBANY COUNTY CLERK	
Filed by: STATE OF NEW YORK						

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Uniform Commercial Code (UCC) Filings

UCC Filing Summary						
Date Range	Year	Cautionary UCCs **	Total Filed	Released / Termination	Continuous	Amended / Assigned
JUL - PRESENT	2017					
JAN - JUN	2017	2	2			
JUL - DEC	2016					
JAN - JUN	2016					
JUL - DEC	2015					
PRIOR TO JUL	2015					
Total		2	2	0	0	0

** Cautionary UCC Filings include one or more of the following collateral:
Accounts, Accounts Receivables, Contract Rights, Hereafter Acquired Property, Inventory, Leases, Notes Receivable or Proceeds.

UCC Details	
UCC FILED Date: 03/21/2017 Filing Number: 2017 1833778 Jurisdiction: SEC OF STATE DE Secured Party: DE LAGE LANDEN FINANCIAL SERVICES, INC. PA WAYNE 19087 1111 Collateral: UNDEFINED, EQUIP, HEREAFTER AQUIRED PROP	UCC FILED Date: 01/06/2017 Filing Number: 2017 0128899 Jurisdiction: SEC OF STATE DE Secured Party: D/B/A NATIONAL GRID NY SYRACUSE 13202 300 ERIE BOULEVARD WES Collateral: UNDEFINED, ACCTS REC, HEREAFTER AQUIRED PROP

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Additional Business Facts

Corporate Registration	
THE FOLLOWING INFORMATION WAS PROVIDED BY THE STATE OF VERMONT. THE DATA IS CURRENT AS OF 09/11/2017.	
State of Origin:	DE
Date of Incorporation:	01/08/2004
Current Status:	Active
Business Type:	Profit

Charter Number: F-25672-0
Agent: CAPITOL CORPORATE SERVICES, INC.
Agent Address: 65 GROVE STREET RUTLAND, VT

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Corporate Linkage

Business Name	Location	BIT
Ultimate Parent of the inquired upon business and the top entity within the corporate family:		
DYNEGY, INC	601 TRAVIS ST STE 1400 - HOUSTON, TX	854238769
Immediate Parent of the inquired upon business:		
GDF SUEZ ENERGY NA, INC	1990 POST OAK BLVD STE 1900 - HOUSTON, TX	789791212
Branches of the inquired upon business:		
ENGIE RESOURCES	1990 POST OAK BLVD STE 1900 - HOUSTON, TX	413330528
ENGIE RESOURCES	15 CABOT ST - TURNERS FALLS, MA	711050479
ENGIE RESOURCES	112 PLANTATION CT - EAST AMHERST, NY	829034098
ENGIE RESOURCES	23 WINTERGREEN HILL RD - DANBURY, CT	867969109
ENGIE RESOURCES	6 ROWE SQ - GLOUCESTER, MA	952627490
ENGIE RESOURCES	225 E CITY AVE - BALA CYNWYD, PA	957641786

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Inquiries

Summary of Inquiries									
Business Category	SEP17	AUG17	JUL17	JUN17	MAY17	APR17	MAR17	FEB17	JAN17
BANK				1					
FINCL SVCS					1				
Totals				1	1				

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Experian prides itself on the depth and accuracy of the data maintained on our databases. Reporting your customer's payment behavior to Experian will further strengthen and enhance the power of the information available for making sound credit decisions. Give credit where credit is due. Call 1-800-520-1221, option #4 for more information.

End of report

1 of 1 report

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ENGIE RETAIL, LLC d/b/a THINK ENERGY
Renewal Certification Application for Competitive Retail Natural Gas Suppliers

Exhibit C-8 – “Bankruptcy Information”

Provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two (2) most recent years preceding the application.

None.



ENGIE RETAIL, LLC d/b/a THINK ENERGY
Renewal Certification Application for Competitive Retail Natural Gas Suppliers

Exhibit C-9 – “Merger Information”

Provide a statement describing any dissolution or merger or acquisition of the applicant within the two (2) most recent years preceding the application.

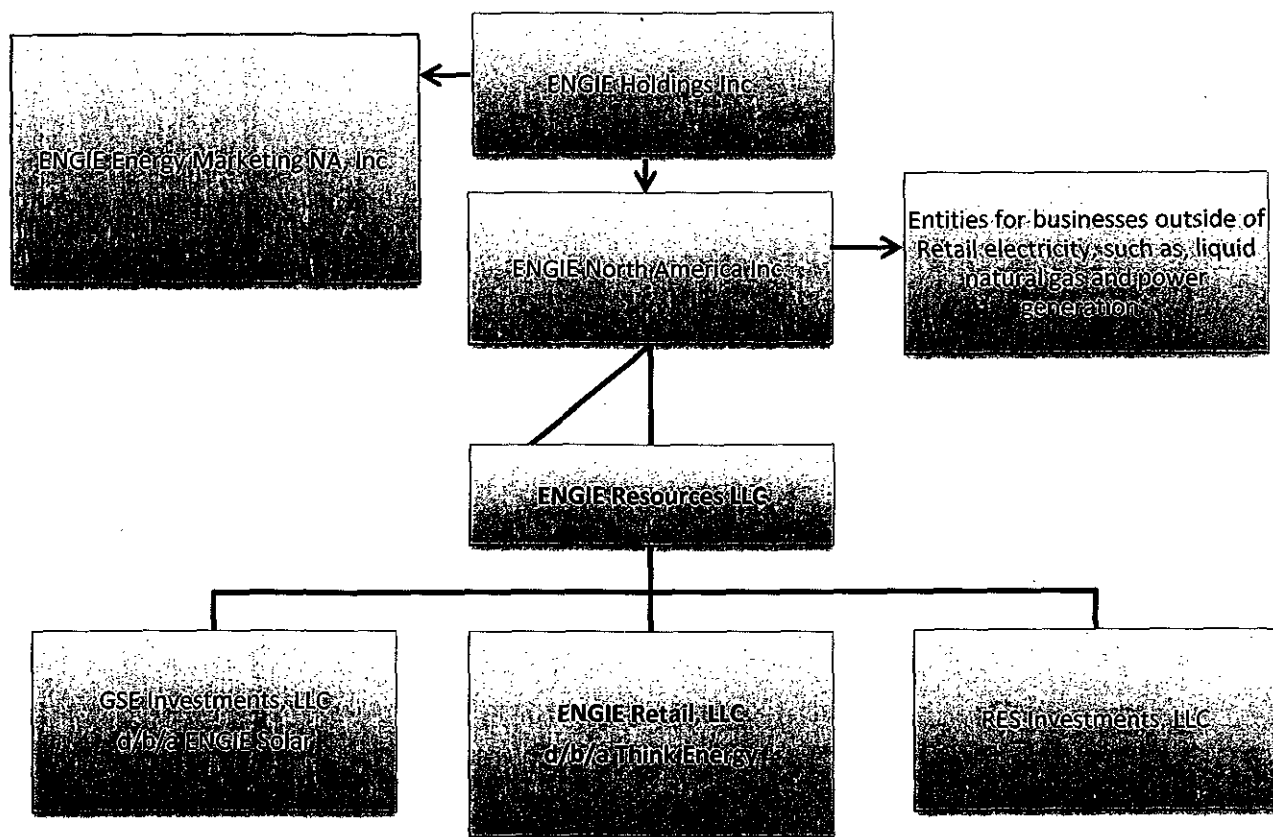
None.

ENGIE RETAIL, LLC d/b/a THINK ENERGY

Renewal Certification Application for Competitive Retail Natural Gas Suppliers

Exhibit C-10 – “Corporate Structure”

Provide a description of the applicant’s corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America.



*This represents our general North America structure. Only ENGIE Resources LLC and ENGIE Retail, LLC d/b/a Think Energy are retail electricity suppliers.

ENGIE Resources LLC
ENGIE Retail, LLC d/b/a Think Energy
ENGIE Energy Marketing NA, Inc.

Supplies Commercial & Industrial Retail Electricity
Supplies Residential & Small Commercial Retail Electricity &
Natural Gas
Supplies Wholesale Electricity

ENGIE RETAIL, LLC d/b/a THINK ENERGY**Renewal Certification Application for Competitive Retail Natural Gas Suppliers**

Exhibit D-1 – “Operations”

Provide a current written description of the operational nature of the applicant's business. Please include whether the applicant's operations include the contracting of natural gas purchases for retail sales, the nomination and scheduling of retail natural gas for delivery and the provision of retail ancillary services, as well as other services used to supply natural gas to the natural gas company city gate for retail customers.

AND**Exhibit D-2 – “Operations Expertise”**

Given the operational nature of the applicant's business, provide evidence of the applicant's expertise and technical expertise in performing such operations.

Think Energy's operations include the retail power supply and other retail ancillary services used to arrange for the purchase and delivery of natural gas to small commercial retail and residential customers. Think Energy does not generate power and does not own any generation facilities.

Think Energy's parent company, ENGIE Resources LLC (“ENGIE Resources”), is a licensed, active electric supplier in the retail marketplace in Connecticut, Delaware, District of Columbia, Illinois, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Rhode Island, and Texas, and serves over 5,000 businesses and 80,000 accounts in the United States. Think energy has access to shared services, support and oversight by its parent company for day-to-day technical, managerial and operational activities, including risk management; legal counsel services, contract administration services; accounting and finance services; general management services; transaction related services, power supply procurement and portfolio management services; hedging of load requirements and supply resources; logistics management and coordination activities; compliance with ISO and state regulatory directives; wholesale counterparty credit administration; and the maintenance of all systems necessary to coordinate with the ISO and/or local utility.

Think Energy has a contractual relationship with its affiliate, ENGIE Energy Marketing NA, Inc. (“EENA”), an active participant in the wholesale market, to meet all of Think Energy's wholesale supply, scheduling and settlement needs pursuant to a Master Supply Agreement, as amended (collectively the “MSA”) between Think Energy and EENA. In accordance with the MSA, Think Energy is provided the following support services by its affiliate: all activities with the ISP regarding Think Energy's provision of energy, capacity, ancillary services, scheduling and procurement of transmission service, congestion management and all other required products and services necessary to serve Think Energy's load; bidding, sale, scheduling, dispatch, and delivery of energy, capacity and ancillary services necessary to serve Think Energy's load; and assuring compliance with tariff obligations and reliability obligations, load scheduling; transmission services; and capacity and ancillary supply services.

Think Energy's parent and Think Energy's affiliate have decades of experience in the energy industry. Think Energy operates its business in the same manner and processes as its parent company operates. Think Energy believes that its continued proven record of compliant performance of its parent company

and affiliate substantially demonstrates that Think Energy maintains the managerial resources and abilities to match the services it provides to its customers, and that Think Energy continues to have the ability and commitment to comply with all CRES standard and procedures, and the rules and regulations of ISP, the local utilities, and the Ohio Revised Code.

Think Energy is contracted with Hansen Solutions, Inc. (f/k/a PPL Solutions, Inc.) ("Hansen") as its outsourced billing, call center, and customer care group to provide customized billing services such as statement generation and mailing and payment processing; customer care services including call center, inbound and outbound call management, call center consulting, error handling and resolutions, online customer service, contract management, customer enrollments, accounts services, and customer management. Think Energy continues to maintain an internal staff as follows: (i) operations and customer care staff to manage the day-to-day management of the Hansen account (outsourced billing and customer care provider) and direct contact, as needed, with customers for customer care and billing matters; (ii) supply group to manage pricing analytics and portfolio management; (iii) a marketing team to manage all marketing functions including strategic pricing, market planning, go-to-market strategies, new customer acquisition, and campaign management and analysis; (iv) an IT (information technology) team to manage the internal systems, databases, technical support, customer-facing applicants, back-office applicants, and system integration; (v) a business control team to manage all financial control matters including, billing, revenue, accounting, and settlements; and (vi) an exclusive sales channel to manage all direct and indirect sales channels and third-party relationships. Think Energy continues to offer e-services and after-hour services for 24/7 availability to customers. Think Energy is also contracted with Energy Services Group ("ESG") for support of EDI, XML and other data translation, transmission, auditing, archiving, business rule validation, exception identification and resolution, transaction management services, market portal, and data exchange.



ENGIE RETAIL, LLC d/b/a THINK ENERGY
Renewal Certification Application for Competitive Retail Natural Gas Suppliers

Exhibit D-3 – “Key Technical Personnel”

Provide the names, titles, e-mail addresses, telephone numbers and the background of key personnel involved in the operational aspects of the applicant’s current business.

ENGIE Retail, LLC d/b/a Think Energy is a wholly-owned subsidiary of ENGIE Resources LLC. The officers and directors of ENGIE Resources LLC are:

Sayun Sukduang

President & CEO

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Mr. Sukduang is the President and CEO of ENGIE Resources LLC (“ENGIE”), one of the nation’s leading energy providers serving residential, commercial and industrial customers and a subsidiary of ENGIE North America, Inc. (“ENA”), active in the development, acquisition, and operation of electricity and cogeneration facilities, the marketing and sales of products and services, and the import and distribution of natural gas and LNG. Mr. Sukduang joined ENA in 2000 and has held executive level positions in Mergers and Acquisitions, Energy Infrastructure Development, Commodity Trading, Operations and Engineering. Mr. Sukduang has 20 years of experience in the energy industry and currently serves as a board member of C2C Power, one of Canada’s largest renewable energy companies, and TagSur Pipelines, a mid-stream pipeline company in Mexico. Mr. Sukduang holds a bachelor’s of science in Mechanical Engineering and a Masters of Business Administration.

John Henderson

Senior Vice President

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Mr. Henderson joined ENGIE in October 2012 to focus on strategies for extending the company’s commercial and industrial capabilities to the B2C market. Previously, Mr. Henderson served as Vice President, Central Portfolio Management focusing on compliance with the new Dodd-Frank financial reform regulations and hedging strategy and optimization of ENA electricity, LNG and retail portfolio. Mr. Henderson has served in a broad range of commercial and operational executive roles including retail marketing and operations, COO of Mexico regulated gas distribution and co-generation, North America merchant generation management, and portfolio risk management. Prior to joining ENGIE,

Mr. Henderson held energy-related executive positions at The New Power Company, a joint venture between Enron, AOL, GE and IBM to serve residential natural gas and electricity customers, and Enron in North America and the United Kingdom. Mr. Henderson began his career working in New York in investment banking prior to moving to Houston and focusing on natural gas and electricity. In total Mr. Henderson has 25 years of experience focusing energy risk management and marketing. Mr. Henderson holds a Bachelor of Science degree in Electrical Engineering and also Economics from Rice University (with highest distinction) and an MBA degree from The Standard Graduate School of Business. Mr. Henderson is a member of the Global Association of Risk Professionals and is actively involved in advancing competitive policy through numerous gas, electric and retail industry associations.

Ray Cunningham

Vice President, General Counsel & Secretary
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Mr. Cunningham joined ENGIE in October 2012 as its Vice President & Assistant General Counsel where he is responsible for government affairs, regulatory compliance, corporate transactions, litigation, risk management, and all legal matters impacting the retail business. Mr. Cunningham has over 25 years of experience in the energy industry and was most recently Vice President & Assistant General Counsel of the wholesale gas and power business unit of ENA. Mr. Cunningham is a graduate of Texas Tech University and South Texas College of Law.

Graham Leith

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Mr. Leith joined ENGIE in May, 2014 as Vice President, Sales. He has over 20 years of experience in the energy industry, with 16 years in retail energy in the areas of Sales, Marketing, Risk Management and Operations. Most recently, he held the positions of Chief Risk Officer and Vice President & General Manager, Commercial Energy Sales, at AEP Energy. Prior to AEP, Graham held senior positions with Direct Energy & British Gas (Centrica plc), Ontario Power Generation Inc., and Photowatt Solar, in Canada and the United Kingdom. Mr. Leith has also held various energy marketing and sales roles with PremStar Energy Inc., TransCanada Pipelines, Ltd., and Air Solutions, Inc., in Ontario, Canada. Mr. Leith holds a Master of Business Administration from the Schulich School of Business at York University in Toronto, Ontario, Canada and a Bachelor of Business Administration from Wilfrid Laurier University in Waterloo, Ontario, Canada.



J.D. Burrows

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Mr. Burrows rejoined ENGIE in April 2013 as its Vice President of Marketing where he is responsible for lead generation, brand positioning, market analytics and pricing strategies. Prior to rejoining ENGIE, Mr. Burrows worked as the Vice President of Origination & Procurement for the wholesale gas and power business unit of ENA where he was responsible for wholesale origination of structured energy products; mid-marketing of energy, capacity, RECs; and procurement of natural gas, fuel oil, coal, transportation and rail capacity; and prior to that he was the Vice President of Strategy & Marketing for ENA. Before joining ENGIE, Mr. Burrows held positions with Enron in structuring and risk management, and with Dynegy in Northeast market development. He started his career in energy with the Public Utility Commission of Texas, where he served as a witness and staff expert on rate design and fuel factors. Mr. Burrows earned a Bachelor of Science in economics from the University of Texas and a Master of Science in economics from Texas A&M University.

Bill Jordan

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Mr. Jordan joined ENGIE in March 2015 and is responsible for pricing, and portfolio risk management. He has over 20 years of experience and brings a broad background in energy marketing, portfolio management, wholesale generation and retail operations, valuation, risk management, and structuring to the role. He joined ENA in 2004 and has held roles in retail operations and wholesale marketing. Prior to ENGIE, he was Director, ERCOT Portfolio Management and responsible for all commercial operations of the ERCOT generation portfolio including budget year term hedging, and day-ahead and real-time optimization. During his career, he held various retail commodity structuring and risk management roles with Centrica, Reliant Resources, Enron, Duke, and Central & Southwest, including developing hedging strategies for retail load obligations and risk management of supply positions. He holds an electric engineering degree from Louisiana Tech.

Douglas Stein

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Mr. Stein is responsible for the financial functions, including accounting, performance reporting, planning, settlements and credit. In addition, he heads up the customer billing and account management functions. Prior to ENGIE, Mr. Stein was a Director for the generation group of ENA. Mr. Stein began his career working with PricewaterhouseCoopers. He is a certified public accountant and holds a Bachelor in Business Administration and Masters in Accounting from Trinity University.

Vikram Kulkarni

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Mr. Kulkarni joined ENGIE in June 2003 and is responsible for pricing, and portfolio risk management. Mr. Kulkarni has more than 12 years of experience in the retail energy space within operations, structuring and risk management functions. He was previously with TXU Energy and an associate with Enron Energy Services. Mr. Kulkarni holds a Bachelor of Science degree in Economics from the University of Wisconsin – Madison, and a Master of Science degree in Finance from Boston College.

Greg Leflar

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Mr. Leflar joined ENGIE July 2017 as the Vice President of Operations. Mr. Leflar is responsible for sales support, transaction management, contract validation, process and systems management, and information technology. Mr. Leflar has over 18 years of experience working with companies in a variety of industries to bring about transformative change in the areas of organization effectiveness, culture, and the adoption of emerging technology. Prior to joining ENGIE, Mr. Leflar served as an officer of Pariveda Solutions responsible for the firm's largest clients in the retail electric energy industry and served in a leadership role over operations in the consulting firm with responsibility over business planning, financial performance, and marketing activities. As a management consultant, Mr. Leflar partnered with executives to devise strategies around business agility, organizational structure, and team culture. At Continental Airlines, Mr. Leflar led the adoption of mobile devices in the aircraft maintenance organization and the digitization of many of their processes and procedures. In his lengthy career as an IT consultant, Mr. Leflar worked with companies in upstream oil and gas, midstream, and healthcare industries to envision and implement leading-edge technology solutions. Mr. Leflar is a subject matter expert in agile methods, cloud computing, advanced analytics, mobility, and enterprise architecture. Mr. Leflar has published papers and spoken at conferences on the API economy, enterprise cloud adoption, and the integration of big data and machine learning into business operations. Mr. Leflar is a graduate of Baylor University.