

The Public Utilities Commission of Ohio
TELECOMMUNICATIONS FILING FORM

(Effective: 9-2-2015)

This form is intended to be used with most types of required filings. It provides check boxes with rule references for the most common types of filings. It does not replace or supersede Commission rules in any way.

In the Matter of the Application of Sherwood Mutual Telephone Association, Inc.
to Acquire a Portion of the Customer Base of Bright Long Distance, Ltd

) TRF Docket No. 90 - 5037-TP-TRF
) Case No. 17 - 1933 - **TP - ATR**
) NOTE: Unless you have reserved a Case #, leave the "Case No" fields BLANK.

Name of Registrant(s) Sherwood Mutual Telephone Association, Inc.
DBA(s) of Registrant(s) SMTA Long Distance
Address of Registrant(s) P.O. Box 4572, Sherwood, OH 43556
Company Web Address www.smta.cc
Regulatory Contact Person(s) Rick Rostorfer Phone 419-899-2121 Fax 419-899-4567
Regulatory Contact Person's Email Address rickrostorfer@smta.cc
Contact Person for Annual Report Rick Rostorfer Phone 419-899-2121
Address (if different from above) _____
Consumer Contact Information Rick Rostorfer Phone 419-899-2121
Address (if different from above) _____

Motion for protective order included with filing? ☐ Yes ☒ No

Motion for waiver(s) filed affecting this case? ☐ Yes ☒ No [Note: Waivers may toll any automatic timeframe.]

Notes:

Section I and II are Pursuant to Chapter 4901:1-6 OAC.

Section III – Carrier to Carrier is Pursuant to 4901:1-7 OAC, and Wireless is Pursuant to 4901:1-6-24 OAC.

Section IV – Attestation.

(1) Indicate the Carrier Type and the reason for submitting this form by checking the boxes below.

(2) For requirements for various applications, see the identified section of Ohio Administrative Code Section 4901 and/or the supplemental application form noted.

(3) Information regarding the number of copies required by the Commission may be obtained from the Commission's web site at www.puco.ohio.gov under the docketing information system section, by calling the docketing division at 614-466-4095, or by visiting the docketing division at the offices of the Commission.

(4) An Incumbent Local Exchange Carrier (ILEC) offering basic local exchange service (BLES) outside its traditional service area should choose CLEC designation when proposing to offer BLES outside its traditional service area or when proposing to make changes to that service.

All Filings that result in a change to one or more tariff pages require, at a minimum, the following exhibits:

Exhibit	Description:
A	The tariff pages subject to the proposed change(s) as they exist before the change(s)
B	The Tariff pages subject to the proposed change(s), reflecting the change, with the change(s) marked in the right margin.
C	A short description of the nature of the change(s), the intent of the change(s), and the customers affected.
D	A copy of the notice provided to customers, along with an affidavit that the notice was provided according to the applicable rule(s).

Section I – Part I - Common Filings

Carrier Type <input type="checkbox"/> Other (explain below)	For Profit ILEC	Not For Profit ILEC	CLEC
Change terms & conditions of existing BLES	<input type="checkbox"/> ATA <u>1-6-14(H)</u> (Auto 30 days)	<input type="checkbox"/> ATA <u>1-6-14(H)</u> (Auto 30 days)	<input type="checkbox"/> ATA <u>1-6-14(H)</u> (Auto 30 days)
Introduce non-recurring charge, surcharge, or fee to BLES			<input type="checkbox"/> ATA <u>1-6-14(H)</u> (Auto 30 days)
Introduce or Increase Late Payment	<input type="checkbox"/> ATA <u>1-6-14(I)</u> (Auto 30 days)	<input type="checkbox"/> ATA <u>1-6-14(I)</u> (Auto 30 days)	<input type="checkbox"/> ATA <u>1-6-14(I)</u> (Auto 30 days)
Revisions to BLES Cap.	<input type="checkbox"/> ZTA <u>1-6-14(F)</u> (0 day Notice)		
Introduce BLES or expand local service area (calling area)	<input type="checkbox"/> ZTA <u>1-6-14(H)</u> (0 day Notice)	<input type="checkbox"/> ZTA <u>1-6-14(H)</u> (0 day Notice)	<input type="checkbox"/> ZTA <u>1-6-14(H)</u> (0 day Notice)
Notice of no obligation to construct facilities and provide BLES	<input type="checkbox"/> ZTA <u>1-6-27(C)</u> (0 day Notice)	<input type="checkbox"/> ZTA <u>1-6-27(C)</u> (0 day Notice)	
Change BLES Rates	<input type="checkbox"/> TRF <u>1-6-14(F)</u> (0 day Notice)	<input type="checkbox"/> TRF <u>1-6-14(F)(4)</u> (0 day Notice)	<input type="checkbox"/> TRF <u>1-6-14(G)</u> (0 day Notice)
To obtain BLES pricing flexibility	<input type="checkbox"/> BLS <u>1-6-14(C)(1)(c)</u> (Auto 30 days)		
Change in boundary	<input type="checkbox"/> ACB <u>1-6-32</u> (Auto 14 days)	<input type="checkbox"/> ACB <u>1-6-32</u> (Auto 14 days)	
Expand service operation area			<input type="checkbox"/> TRF <u>1-6-08(G)(0 day)</u>
BLES withdrawal			<input type="checkbox"/> ZTA <u>1-6-25(B)</u> (0 day Notice)
Other* (explain)			

Section I – Part II – Customer Notification Offerings Pursuant to Chapter 4901:1-6-7 OAC

Type of Notice	Direct Mail	Bill Insert	Bill Notation	Electronic Mail
<input type="checkbox"/> 15-day Notice	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/> 30-day Notice	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Date Notice Sent: July 31, 2017				

Section I – Part III –IOS Offerings Pursuant to Chapter 4901:1-6-22 OAC

IOS	Introduce New	Tariff Change	Price Change	Withdraw
<input type="checkbox"/> IOS	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Section II – Part I – Carrier Certification - Pursuant to Chapter 4901:1-6-08, 09 & 10 OAC

Certification	ILEC (Out of territory)	CLEC	Telecommunications Service Provider Not Offering Local	CESTC	CETC
* See Supplemental form	<input type="checkbox"/> ACE <u>1-6-08</u> * (Auto 30- day)	<input type="checkbox"/> ACE <u>1-6-08</u> *(Auto 30 day)	<input type="checkbox"/> ACE <u>1-6-08</u> *(Auto 30 day)	<input type="checkbox"/> ACE <u>1-6-10</u> (Auto 30 day)	<input type="checkbox"/> UNC <u>1-6-09</u> *(Non-Auto)

*Supplemental Certification forms can be found on the Commission Web Page.

Section II – Part II – Certificate Status & Procedural

Certificate Status	ILEC	CLEC	Telecommunications Service Provider Not Offering Local
Abandon all Services		<input type="checkbox"/> ABN <u>1-6-26</u> (Auto 30 days)	<input type="checkbox"/> ABN <u>1-6-26</u> (Auto 30 days)
Change of Official Name *	<input type="checkbox"/> ACN <u>1-6-29(B)</u> (Auto 30 days)	<input type="checkbox"/> ACN <u>1-6-29(B)</u> (Auto 30 days)	<input type="checkbox"/> CIO <u>1-6-29(C)</u> (0 day Notice)
Change in Ownership *	<input type="checkbox"/> ACO <u>1-6-29(E)</u> (Auto 30 days)	<input type="checkbox"/> ACO <u>1-6-29(E)</u> (Auto 30 days)	<input type="checkbox"/> CIO <u>1-6-29(C)</u> (0 day Notice)
Merger *	<input type="checkbox"/> AMT <u>1-6-29(E)</u> (Auto 30 days)	<input type="checkbox"/> AMT <u>1-6-29(E)</u> (Auto 30 days)	<input type="checkbox"/> CIO <u>1-6-29(C)</u> (0 day Notice)
Transfer a Certificate *	<input type="checkbox"/> ATC <u>1-6-29(B)</u> (Auto 30 days)	<input type="checkbox"/> ATC <u>1-6-29(B)</u> (Auto 30 days)	<input type="checkbox"/> CIO <u>1-6-29(C)</u> (0 day Notice)
Transaction for transfer or lease of property, plant or business *	<input checked="" type="checkbox"/> ATR <u>1-6-29(B)</u> (Auto 30 days)	<input type="checkbox"/> ATR <u>1-6-29(B)</u> (Auto 30 days)	<input type="checkbox"/> CIO <u>1-6-29(C)</u> (0 day Notice)

* Other exhibits may be required under the applicable rule(s). ACN, ACO, AMT, ATC, ATR and CIO applications see the 4901:1-6-29 Filing Requirements on the Commission's Web Page for a complete list of exhibits.

Section III – Carrier to Carrier (Pursuant to 4901:1-7), and Wireless (Pursuant to 4901:1-6-24)

Carrier to Carrier	ILEC	CLEC
Interconnection agreement, or amendment to an approved agreement	<input type="checkbox"/> NAG <u>1-7-07</u> (Auto 90 day)	<input type="checkbox"/> NAG <u>1-7-07</u> (Auto 90 day)
Request for Arbitration	<input type="checkbox"/> ARB <u>1-7-09</u> (Non-Auto)	<input type="checkbox"/> ARB <u>1-7-09</u> (Non-Auto)
Introduce or change c-t-c service tariffs,	<input type="checkbox"/> ATA <u>1-7-14</u> (Auto 30 days)	<input type="checkbox"/> ATA <u>1-7-14</u> (Auto 30 days)
Request rural carrier exemption, rural carrier suspension or modification	<input type="checkbox"/> UNC <u>1-7-04 or 05</u> (Non-Auto)	
Changes in rates, terms & conditions to Pole Attachment, Conduit Occupancy and Rights- of-Way.	<input type="checkbox"/> ATA <u>1-3-04</u> (Auto 30 days)	
Wireless Providers See <u>4901:1-6-24</u>	<input type="checkbox"/> RCC [Registration & Change in Operations] (0 day)	<input type="checkbox"/> NAG [Interconnection Agreement or Amendment] (Auto 90 days)

Section IV. – Attestation

Registrant hereby attests to its compliance with pertinent entries and orders issued by the Commission.

AFFIDAVIT *Compliance with Commission Rules*

I am an officer/agent of the applicant corporation, , and am authorized to make this statement on its behalf.

Richard R. Rostorfer

(Name)

Please Check ALL that apply:

☐ I attest that these tariffs comply with all applicable rules for the state of Ohio. I understand that tariff notification filings do not imply Commission approval and that the Commission's rules as modified and clarified from time to time, supersede any contradictory provisions in our tariff. We will fully comply with the rules of the state of Ohio and understand that noncompliance can result in various penalties, including the suspension of our certificate to operate within the state of Ohio.

☒ I attest that customer notices accompanying this filing form were sent to affected customers, as specified in Section II, in accordance with Rule 4901:1-6-7, Ohio Administrative Code.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on

(Date)

September 14, 2017

at (Location) Sherwood, OH 43556

*Signature and
Title

Richard R
Rostorfer

Digitally signed by Richard
R Rostorfer
Date: 2017.09.14 08:55:20
-04'00'

Date 9/14/2017

**This affidavit is required for every tariff-affecting filing. It may be signed by counsel or an officer of the applicant, or an authorized agent of the applicant.*

VERIFICATION

I, , verify that I have utilized the Telecommunications Filing Form for most proceedings provided by the Commission and that all of the information submitted here, and all additional information submitted in connection with this case, is true and correct to the best of my knowledge.

*Signature and
Title

Richard R
Rostorfer

Digitally signed by Richard
R Rostorfer
Date: 2017.09.14 08:55:34
-04'00'

Date 9/14/2017

**Verification is required for every filing. It may be signed by counsel or an officer of the applicant, or an authorized agent of the applicant.*

File document electronically as directed in case number 06-900-AU-WVR

or

Send your completed Application Form, including all required attachments as well as the required number of copies, to:

Public Utilities Commission of Ohio
Attention: Docketing Division
180 East Broad Street, Columbus, OH 43215-3793

LIST OF EXHIBITS

- Exhibit A: Superseded tariff sheets
- Exhibit B: Revised tariff sheets
- Exhibit C: Ohio Secretary of State and Certificate of Good Standing
- Exhibit D: Officers and Directors
- Exhibit E: Description of and Rationale for the Transaction, including Public Interest Considerations
- Exhibit F: Customer Notice and Affidavit
- Exhibit G: List of Ohio Exchanges Involved or Affected

EXHIBIT A

Superseded Tariff Sheets

This transaction does not require any tariff changes and there are no superseded tariff sheets. See Exhibit E for additional details.

EXHIBIT B

Revised Tariff Sheets

This transaction does not require any tariff changes and there are no revised tariff sheets.

See Exhibit E for additional details.

EXHIBIT C

Ohio Secretary of State
Certificate of Good Standing

There are no transfers that require a Certificate of Good Standing from the Ohio
Secretary of State.

EXHIBIT D

Officers and Directors

The following are Officers and Directors of the Company:

John Wirth, President, Board of Directors
William Wendling, Vice President, Board of Directors
James Hohenberger, Secretary, Board of Directors
James Timbrook, Director
Thomas Turnbull, Director
Rick Rostorfer, General Manager

All Officers and Directors can be contacted at the company:

Sherwood Mutual Telephone Association, Inc.
P.O. Box 4572
Sherwood, OH 43556
Telephone: 419-899-2121

EXHIBIT E

Description of and Rationale for the Involved Transaction Including Public Interest Considerations

Pursuant to section 4901:1-6-29 of the Commission's rules, this Application seeks the consent of the Public Utilities Commission of Ohio ("Commission") for Sherwood Mutual Telephone Association, Inc. and its long distance division, SMTA Long Distance (collectively, "Assignee") to acquire portions of the retail, domestic customer base of Bright Long Distance, Ltd. ("BLD", a wholly-owned subsidiary of Com Net, Inc. ("CNI"), collectively "Assignors", and collectively with Assignee, the "Applicants").

I. PARTIES INVOLVED IN THE TRANSACTION

Assignee is a local exchange carrier organized in the State of Ohio with offices located at 105 Vine Street, Sherwood, Ohio 43556, and is authorized by the Commission to provide telecommunications services in the State of Ohio (Case No. 90-5037-TP-TRF). SMTA Long Distance is a newly-formed division of Assignee that was established as a trade name solely for its long distance resale operations (Case No. 17-1418-TP-ACN).

BLD is a single-member Ohio limited liability company with CNI as the sole member. Both BLD and CNI have offices located at 13888 County Road 25A, Wapakoneta, OH 45895. BLD is authorized by the Commission to provide resale long distance telecommunications services in the State of Ohio (Case No. 90-5794-CT-TRF and Case No. 97-1656-CT-ACE).

II. DESCRIPTION OF THE TRANSACTION

Currently, BLD provides domestic and international long distance resale service in Assignee's service area through a bill and collect agreement with Assignee. Assignee performs the billing, collection and customer interface on behalf of BLD. The proposed transaction would

assign portions of the retail, intrastate domestic customer base of BLD ("Customers") to Assignee. The Customers involved are those located in the service area of Assignee. The assets being acquired by Assignee do not include any certifications and only retail, domestic intrastate long distance customers of BLD are involved in the transfer. BLD will continue to provide international long distance service through the bill and collect agreement with Assignee. Assignee already holds blanket authority under section 214 of the Telecommunications Act and section 63.01 of the FCC's rules (47 C.F.R. §63.01) to provide domestic, interstate telecommunications services, and authority to provide intrastate telecommunications services as cited in Section I.

Assignee is also a party to a similar application for the transfer of interstate domestic Customers that is pending before the FCC in WC Docket No. 17-213.

III. RATIONALE AND PUBLIC INTEREST CONSIDERATIONS

The proposed transaction will serve the public interest, convenience and necessity by ensuring the continued availability of quality telecommunications services at affordable rates for the businesses and residents of the area served by the Assignee. The ability for Assignee to offer domestic long distance services to Customers that are tailored to their specific service area and needs will enable them to compete more effectively with the larger, regional and national long distance carriers. Customers will be the beneficiaries of increased competition. The assignment of Customers will be seamless since Assignees will continue to provide the same services at the same rates, terms and conditions that the Customers received immediately prior to the assignment. Assignees currently serve as agents for BLD by providing the billing, collection , customer service and interface functions on behalf of BLD. Customers are

accustomed to dealing with the Assignees in this capacity and nothing in that regard will change with the proposed transaction. The only change for Customers will be the name of their domestic long distance service provider. Assignee will provide advance written notice to the affected Customers at least thirty (30) days prior to the transfer, explaining the change in service provider in accordance with applicable Commission and FCC rules.

EXHIBIT F

Customer Notice and Affidavit

The following notice was sent to Customers on July 31, 2017 as a bill insert.



July 31, 2017

Notice of Long Distance Carrier Change

Dear Bright Long Distance Customer:

Great news for your long-distance service! Your current long-distance company, Bright Long Distance LTD ("BLD") has agreed to transfer a portion of its customer base to Sherwood Mutual Telephone Association. **Beginning on or after September 15, 2017, SMTA Long Distance ("SMTA LD"), a division of Sherwood Mutual Telephone Association, will become your new domestic (US) long distance telecommunication service provider.** The specific date of the transfer may differ depending upon when we receive the necessary Federal and State regulatory approvals.

This transaction will not affect the service you currently receive. You will continue to receive services with the same rates, features, terms and conditions as you currently enjoy. Billing will continue to be provided by Sherwood Mutual Telephone Association, just as it has been. In the event that changes are made to your service, you will be notified by separate mailing or bill insert at least thirty (30) days prior to the changes becoming effective.

BLD will continue to provide your international long-distance service and Sherwood Mutual Telephone Association will continue to act as their agent for billing and collection as well as customer service.

You will not incur any charges for the transfer of your long-distance account to SMTA LD. All charges associated with that transfer will be borne by SMTA LD. Although you have the right to select the long-distance carrier of your choice, we value your business and hope that SMTA LD may continue to serve you. If you should choose another long-distance provider you will need to contact that carrier directly to arrange for the change prior to the transfer of your services to SMTA LD. You may also incur service initiation fees from that provider for establishing a new service account.

If you have a preferred carrier freeze on your account it will be automatically lifted to implement the transfer to SMTA LD. You will need to contact SMTA LD or the long-distance provider you selected to arrange for a new freeze.

Sherwood Mutual Telephone Association will be responsible for responding to any customer inquiries or complaints prior to and during the transfer of service from BLD to SMTA LD. **Our customer service number 419-899-2121, which will remain the same after your services are transferred.**

We welcome you to SMTA LD and look forward to providing the same high-quality service to which you have grown accustomed with BLD.

Cordially,

Rick Rostorfer
General Manager
Sherwood Mutual Telephone Association

Tim Berelsman
Managing Director
Bright Long Distance, LTD

AFFIDAVIT

I, Richard R. Rostorfer , am an authorized agent of the applicant corporation, Sherwood Mutual Telephone Association, Inc., and am authorized to make this statement on its behalf. I attest that the customer notice accompanying this affidavit was sent to affected customers through printed bill insert on July 31, 2017, in accordance with Rule 4901:1-6-07, Ohio Administrative Code. I declare under penalty of perjury that the foregoing is true and correct.

Executed on: September 14, 2017

At: Sherwood, Ohio

Signature:

A handwritten signature in black ink, appearing to read 'RR Rostorfer', written over a horizontal line.

Title:

General Manager

EXHIBIT G

List of Ohio Exchanges Involved or Affected

The following Ohio exchanges currently have BLD customers that will be assigned to
Assignee: Sherwood.

This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

9/14/2017 11:51:23 AM

in

Case No(s). 17-1933-TP-ATR

Summary: Application of Sherwood Mutual Telephone Association, Inc. to Conduct Transactions electronically filed by Richard W. Jordan on behalf of Sherwood Mutual Telephone Association, Inc.