



# Public Utilities Commission

PUCO USE ONLY - Version 1.08		
Date Received	Renewal Certification Number	ORIGINAL AGG Case Number
		15 - 1589 - GA-AGG

38

FILE

## RENEWAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS/AGGREGATORS

Please **type or print** all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-15 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

### SECTION A - APPLICANT INFORMATION AND SERVICES

#### A-1 Applicant intends to renew its certificate as: (check all that apply)

☒ Retail Natural Gas Aggregator ☒ Retail Natural Gas Broker

#### A-2 Applicant information:

Legal Name Curago Energy LLC  
Address 8526 Pitlochry Court, Dublin, Ohio 43017  
Telephone No. 614-506-0345 Web site Address www.curagoenergy.com  
Current PUCO Certificate No. 15-471G (1) Effective Dates October 15, 2015 through October 15, 2017

#### A-3 Applicant information under which applicant will do business in Ohio:

Name Curago Energy LLC  
Address 8526 Pitlochry Court, Dublin, Ohio 43017  
Web site Address www.curagoenergy.com Telephone No. 614-506-0345

#### A-4 List all names under which the applicant does business in North America:

Curago Energy LLC

#### A-5 Contact person for regulatory or emergency matters:

Name Ray Hamman Title President & CEO  
Business Address 8526 Pitlochry Court, Dublin, Ohio 43017  
Telephone No. 614-506-0345 Fax No. Email Address ray@curagoenergy.com

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business. Technician je Date processed SEP 08 2017

**A-6 Contact person for Commission Staff use in investigating customer complaints:**

Name Ray Hamman

Title President & CEO

Business address 8526 Pitlochry Court, Dublin, Ohio 43017

Telephone No. 614-506-0345

Fax No.

Email Address ray@curagoenergy.com

**A-7 Applicant's address and toll-free number for customer service and complaints**

Customer service address 8526 Pitlochry Court, Dublin, Ohio 43017

Toll-Free Telephone No. 844-928-7246

Fax No.

Email Address ray@curagoenergy.com

**A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee**

Name Ray Hamman

Title President & CEO

Business address 8526 Pitlochry Court, Dublin, Ohio 43017

Telephone No. 614-506-0345

Fax No.

Email Address ray@curagoenergy.com

**A-9 Applicant's federal employer identification number 47-5549843**

**A-10 Applicant's form of ownership: (Check one)**

☐ Sole Proprietorship

☐ Partnership

☐ Limited Liability Partnership (LLP)

☒ Limited Liability Company (LLC)

☐ Corporation

☐ Other

**A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)**

<input checked="" type="checkbox"/> Columbia Gas of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Dominion East Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Duke Energy Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Vectren Energy Delivery of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial

**A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.**

☒ Columbia Gas of Ohio

<input checked="" type="checkbox"/> Residential	Beginning Date of Service	10/15/2015	End Date	current
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	10/15/2015	End Date	current
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	10/15/2015	End Date	current
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service	10/15/2015	End Date	current

☒ Dominion East Ohio

<input checked="" type="checkbox"/> Residential	Beginning Date of Service	10/15/2015	End Date	current
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	10/15/2015	End Date	current
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	10/15/2015	End Date	current
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service	10/15/2015	End Date	current

☒ Duke Energy Ohio

<input checked="" type="checkbox"/> Residential	Beginning Date of Service	10/15/2015	End Date	current
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	10/15/2015	End Date	current
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	10/15/2015	End Date	current
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service	10/15/2015	End Date	current

☒ Vectren Energy Delivery of Ohio

<input checked="" type="checkbox"/> Residential	Beginning Date of Service	10/15/2015	End Date	current
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	10/15/2015	End Date	current
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	10/15/2015	End Date	current
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service	10/15/2015	End Date	current

**A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:**

<input type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date
<input type="checkbox"/>	Dominion East Ohio	Intended Start Date
<input type="checkbox"/>	Duke Energy Ohio	Intended Start Date
<input type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 Exhibit A-15 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-16 Exhibit A-16 "Articles of Incorporation and Bylaws,"** provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, *only if the contents of the originally filed documents changed since the initial application.*
- A-17 Exhibit A-17 "Secretary of State,"** provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

## **SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.

☒ No      ☐ Yes

If Yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations,"** detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

☒ No      ☐ Yes

If Yes, provide a separate attachment, labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** detailing such action(s) and providing all relevant documents.

## **SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.  
(This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

**C-4 Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter “N/A” in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

**C-5 Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted income statements for the applicant's **NATURAL GAS related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

**C-6 Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter “N/A” in Exhibit C-6.

**C-7 Exhibit C-7 “Credit Report,”** provide a copy of the applicant's current credit report from Experian, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter “N/A” for Exhibit C-7.

- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

## **SECTION D – APPLICANT TECHNICAL CAPABILITY**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business functions.
- D-2 Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

*Raymond V. Hamm, President & CEO*  
 Applicant Signature and Title

Sworn and subscribed before me this 6 day of September Month 2017 Year

*[Signature]*  
 Signature of official administering oath

*Benjamin Trausch, notary*  
 Print Name and Title

My commission expires on May 1, 2021



BENJAMIN TRAUSCH  
 Notary Public, State of Ohio  
 My Comm. Expires May 1, 2021  
 Recorded in Franklin County



# The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service  
Affidavit Form  
(Version 1.07)

In the Matter of the Application of )

Curago Energy LLC )  
for a Certificate or Renewal Certificate to Provide )  
Competitive Retail Natural Gas Service in Ohio. )

Case No. 15 - 1589 -GA-AGG

County of Franklin  
State of Ohio

Raymond V. Hamman

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

Raymond V. Hamman, President & CEO

Sworn and subscribed before me this

6

day of

September

Month

2017

Year

[Signature]

Signature of Official Administering Oath

Benjamin Trausch, Notary

Print Name and Title



BENJAMIN TRAUSCH  
Notary Public, State of Ohio  
My Comm. Expires May 1, 2021  
Recorded in Franklin County

My commission expires on

May 1, 2021

(CRNGS Broker/Aggregator Renewal - Version 1.08, Revised May 2016)

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**Exhibit A-14 "Principal Officers, Directors & Partners"**

**Curago Energy LLC**

Raymond V. Hamman, President & CEO

8526 Pitlochry Court

Dublin, Ohio 43017

614-506-0345

David S. Laipple, EVP & Chief Sales Officer

1185 Starbuck Court

Westerville, Ohio 43081

614-499-9762

**Exhibit A-15 "Company History"**

**Curago Energy LLC**

Mr. Hamman founded Curago Energy in order to help consumers take charge of their energy purchasing and consumption. Curago Energy LLC was incorporated in the State of Ohio on June 13<sup>th</sup>, 2015. We received our first broker/aggregator certifications in Ohio on October 15<sup>th</sup>, 2015 for both natural gas and power. On November 2<sup>nd</sup>, 2015 David S. Laipple joined Curago as a managing partner and owner. Dave's title is EVP & Chief Sales Officer. He is in charge of Curago's sales efforts and sales compliance. We completed our first Ohio natural gas broker deal on November 4<sup>th</sup>, 2015 and our first Ohio power deal on November 23<sup>rd</sup>, 2015. Curago became certified as a natural gas and power broker/marketer in Pennsylvania in May 2016 and in Illinois in June 2016. Curago has not brokered any business in either state to date. Curago has brokered several individual site deals in the State of Texas.



DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
06/15/2015	201516423482	DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG (LCP)	125.00	100.00	0.00	0.00	0.00

**Receipt**

This is not a bill. Please do not remit payment.

LEGALZOOM.COM  
101 N. BRAND BLVD  
SUITE 1000  
GLENDALE, CA 91203

**STATE OF OHIO  
CERTIFICATE**

**Ohio Secretary of State, Jon Husted**  
2401311

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

**CURAGO ENERGY, LLC**

and, that said business records show the filing and recording of:

Document(s)

**DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG**

Effective Date: 06/13/2015

Document No(s):

**201516423482**

United States of America  
State of Ohio  
Office of the Secretary of State

Witness my hand and the seal of the  
Secretary of State at Columbus, Ohio this  
15th day of June, A.D. 2015.

*Jon Husted*  
Ohio Secretary of State



Form 533A Prescribed by:  
Ohio Secretary of State

**JON HUSTED**  
Ohio Secretary of State

Central Ohio: (614) 466-3910  
Toll Free: (877) SOS-FILE (767-3453)  
www.OhioSecretaryofState.gov  
Busserv@OhioSecretaryofState.gov

Date Electronically Filed: 6/13/2015

## Articles of Organization for a Domestic Limited Liability Company

Filing Fee: \$125

### CHECK ONLY ONE (1) BOX

(1) ☒ Articles of Organization for Domestic  
For-Profit Limited Liability Company  
(115-LCA)

(2) ☐ Articles of Organization for Domestic  
Nonprofit Limited Liability Company  
(115-LCA)

Name of Limited Liability Company **CURAGO ENERGY, LLC**

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "ld.," "or "ltd"

Effective Date  
(Optional) **6/13/2015**  
mm/dd/yyyy

(The legal existence of the limited liability company begins upon the filing  
of the articles or on a later date specified that is not more than ninety days  
after filing)

This limited liability company shall exist for  
(Optional)

Period of Existence

Purpose  
(Optional)

### \*\*Note for Nonprofit LLCs

The Secretary of State does not grant tax exempt status. Filing with our office is not sufficient to obtain state or federal tax exemptions. Contact the Ohio Department of Taxation and the Internal Revenue Service to ensure that the nonprofit limited liability company secures the proper state and federal tax exemptions. These agencies may require that a purpose clause be provided.

**ORIGINAL APPOINTMENT OF AGENT**

The undersigned authorized member(s), manager(s) or representative(s) of

CURAGO ENERGY, LLC

Name of Limited Liability Company

hereby appoint the following to be Statutory Agent upon whom any process, notice or demand required or permitted by statute to be served upon the limited liability company may be served. The name and address of the agent is

RAYMOND VALLERY HAMMAN

Name of Agent

5592 CAPLESTONE LN.

Mailing Address

DUBLIN

City

OH

State

43017

ZIP Code

**ACCEPTANCE OF APPOINTMENT**

The undersigned, named herein as the statutory agent

RAYMOND VALLERY HAMMAN

Statutory Agent Name

for

CURAGO ENERGY, LLC

Name of Limited Liability Company

hereby acknowledges and accepts the appointment of agent for said limited liability company

Statutory Agent Signature

RAYMOND VALLERY HAMMAN

Individual Agent's Signature / Signature on Behalf of Business Serving as Agent

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

**Required**

Articles and original appointment of agent must be signed by a member, manager or other representative.

If authorized representative is an individual, then they must sign in the "signature" box and print their name in the "Print Name" box.

If authorized representative is a business entity, not an individual, then please print the business name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print their name in the "Print Name" box.

LEGALZOOM.COM, INC.

Signature

CHEYENNE MOSELEY, ASSISTANT SECRETARY

By (if applicable)

Print Name

Signature

By (if applicable)

Print Name

Signature

By (if applicable)

Print Name

UNITED STATES OF AMERICA  
STATE OF OHIO  
OFFICE OF THE SECRETARY OF STATE

*I, Jon Husted, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show CURAGO ENERGY, LLC, an Ohio For Profit Limited Liability Company, Registration Number 2401311, was organized within the State of Ohio on June 13, 2015, is currently in FULL FORCE AND EFFECT upon the records of this office.*



*Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 30th day of August, A.D. 2017.*

*Jon Husted*

Ohio Secretary of State

Validation Number: 201724201226

**Exhibit B-1 "Jurisdictions of Operations,"**

**Curago Energy LLC**

In addition to Ohio, Curago Energy is currently certified to provide retail natural gas broker and aggregation services to all customer classes in the states of Illinois and Pennsylvania.



**Exhibit B-2 "Experience & Plans,"**

**Curago Energy LLC**

Mr. Hamman founded Curago Energy in order to help consumers take charge of their energy purchasing and consumption. Mr. Hamman and Mr. Laipple plan to utilize their extensive industry knowledge to assist clients in making educated procurement decisions. Their experience developing and executing the procurement and sales strategies for companies like Enron Energy Services, Accent Energy, IGS Energy and World Energy will be critical and beneficial in helping clients develop and execute their own plans. They will partner with the most reliable and financially secure suppliers in order to provide their customers with the best pricing options and terms. Once a customer has selected a supplier, price and term, Curago Energy will facilitate the process of the customer executing a contract directly with the supplier. This supplier will bill the customer directly. Mr. Hamman and Mr. Laipple will be available to all customers for questions and issues that may arise. They will draw upon their combined core competencies in accounting and operations to quickly resolve all customer inquiries. Curago Energy will be paid directly by the supplier for broker services.

Additionally, Mr. Hamman and Mr. Laipple want to help customers manage their overall natural gas and electricity usage. They will help them by assisting with analysis of energy efficiency projects, alternative energy resource opportunities, lighting and HVAC retrofits and other ways at reducing the overall usage and cost of energy. Initially, Curago Energy plans to partner with established energy efficiency, lighting and HVAC companies to train and utilize their sales teams to advise and sell electricity and natural gas. Curago will also look to work with veteran energy sales persons. After establishing the commercial and industrial business, Curago Energy plans to explore non-traditional ways to sell to residential customers. Current plans would be to explore relationship based and online marketing strategies. These plans do not include door-to-door channels.

**Exhibit B-3 "Summary of Experience"**

**Curago Energy LLC**

Curago Energy's first brokered deal began flowing on Decemeber 15, 2015 behind Dominion East Ohio Gas. Curago Energy's first brokered deal behind Columbia Gas of Ohio began flowing on April 1, 2016. Curago Energy has not brokered any deals behind the other Ohio natural gas utilities to date. Below is a summary of our current brokered customers.

<b><u>Customer Count</u></b>	<b><u>Utility</u></b>	<b><u>Customer Type</u></b>	<b><u>Annual Usage (dth)</u></b>
<b>10</b>	<b>Columbia Gas of Ohio</b>	<b>Commercial</b>	<b>118,000</b>
<b>5</b>	<b>Dominion East Ohio</b>	<b>Commercial</b>	<b>14,500</b>
<b>1</b>	<b>Dominion East Ohio</b>	<b>Industrial</b>	<b>9,600</b>

**Exhibit B-4 "Disclosure of Liabilities and Investigations,"**

**Curago Energy LLC**

Curago Energy LLC, Mr. Hamman and Mr. Laipple have no existing, pending or past rulings, judgements, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

**Exhibit C-1 "Annual Reports,"**

**Curago Energy LLC**

Exhibit C-1 is not applicable because Curago Energy LLC is a private company wholly owned by Mr. Hamman and Mr. Laipple and does not produce Annual Reports.

**Exhibit C-2 "SEC Filings,"**

**Curago Energy LLC**

Exhibit C-2 is not applicable because Curago Energy LLC is a privately held company wholly owned by Mr. Hamman and Mr. Laipple.

**Exhibit C-4 "Financial Arrangements,"**

**Curago Energy LLC**

Curago Energy does not have any near term plans to become a CRES and does not have any financial arrangements to conduct CRES as a business activity.

**Exhibit C-6 "Credit Rating,"**

**Curago Energy LLC**

N/A

Exhibit C-7 "Credit Report,"

Curago Energy LLC

*Attached*



# Exhibit C-7 "Credit Report"

Build: 252

1.800.700.2733

Welcome, Ray ▼

✱ Sign Out

Selected Company: CURAGO ENERGY LLC  
D-U-N-S #: 09-293-6839

## Overview

### Business Summary

**Company Name:** CURAGO ENERGY LLC  
**D-U-N-S #:** 09-293-6839  
**DBA's:** N/A  
**Address:** 8526 Pillochry Ct  
**City:** Dublin  
**State:** OH  
**Zip:** 43017  
**Phone:** 8449287246  
**Principal:** RAYMOND HAMMAN  
**Year Started:** 2015  
**Employs:** UNDETERMINED which includes partners.  
**SIC Code(s):** 49110000 Electric services  
**NAICS Code(s):** 221118 Other Electric Power Generation  
**Legal Structure:** DOMESTIC LIMITED LIABILITY CO

### Special Events

08/22/2017 -

Business address has changed from 5592 Caplestone Ln, Dublin, OH, 43017 to 8526 Pillochry Ct, Dublin, OH, 43017.

## History and Operations

### Officers and Directors

#### Current Officers

Name	Title
RAYMOND HAMMAN	OWNER

#### Current Directors

RAYMOND HAMMAN is the sole owner of CURAGO ENERGY LLC.

### Company History

Provides electric services.

### Operations

#### Employees

UNDETERMINED which includes partners.

#### Facilities

Occupies premises in building.

# Exhibit C-7 "Credit Report"

## Location

There is currently no location information listed in this company report.

## U.S. Branch

There are currently no U.S. branches listed in this company report.

## U.S. Subsidiary

There are currently no U.S. subsidiaries listed in this company report.

## International Branch

There are currently no international branches listed in this company report.

## International Subsidiary

There are currently no international subsidiaries listed in this company report.

## Payments

### Payment Summary

	Total Received	Total Dollar Amount	Largest High Credit Payment summary	Within Terms	Days Slow			
					<31	31-60	61-90	91+
<b>Top Industries</b>								
Public finance	1	\$5,000	\$5,000	100%	0	0	0	0
<b>Other Categories</b>								
Cash experiences	0	\$0	\$0					
Unfavorable comments	0	\$0	\$0					
Placed for collections with D&B	0	\$0	\$0					
Other	0	N/A	\$0					
Total in D&B's file	1	\$5,000	\$5,000					

The highest Now Owes on file is \$0

The highest Past Due on file is \$0

There are 1 payment experience(s) in D&B's file for the most recent 24 months, with 0 experience(s) reported during the last three month period.

### Payment Details

Total (Last 24 Months): 1

Date	Paying Record	High Credit	Now Owes	Past Due	Selling Terms	Last sale w/ (Mo )
08/2015	Ppt	\$5,000	--	--		1 mo

Payments Detail Key: 30 or more days beyond terms

Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

Payment experiences reflect how bills are met in relation to the terms granted. In some instances payment beyond terms can be the result of disputed terms, merchandise, shipping, etc.

Each experience shown is from a separate supplier. Updated trade experiences replace those previously reported.

## Finances

### Key Financial Comparisons

# Exhibit C-7 "Credit Report"

	(\$)	(\$)	(\$)
<b>This Company's Operating Results Year Over Year</b>			
Net Sales	NA	NA	NA
Gross Profit	NA	NA	NA
Net Profit	NA	NA	NA
Dividends / Withdrawals	NA	NA	NA
Working Capital	NA	NA	NA
<b>This Company's Assets Year Over Year</b>			
Cash	NA	NA	NA
Accounts Receivable	NA	NA	NA
Notes Receivable	NA	NA	NA
Inventories	NA	NA	NA
Other Current	NA	NA	NA
Total Current	NA	NA	NA
Fixed Assets	NA	NA	NA
Other Non Current	NA	NA	NA
Total Assets	NA	NA	NA
<b>This Company's Liabilities Year Over Year</b>			
Accounts Payable	NA	NA	NA
Bank Loan	NA	NA	NA
Notes Payable	NA	NA	NA
Other Current	NA	NA	NA
Total Current	NA	NA	NA
Long Term Debt	NA	NA	NA
Deferred Credit	NA	NA	NA
Net Worth	NA	NA	NA
Total Liabilities And Net Worth	NA	NA	NA

## Balance Sheet

### Fiscal Consolidated Statement Dated

We currently do not have any recent financial statement on file for this business.

## Key Business Ratios

(Industry Median is based on this number of firms: )

	This Company	Industry Median	Industry Quartile
<b>Solvency</b>			
Quick Ratio	NA	NA	NA
Current Ratio	NA	NA	NA
Current Liabilities to Net Worth	NA	NA	NA
Current Liabilities to Inventory	NA	NA	NA

# Exhibit C-7 "Credit Report"

Total Current	NA	NA	NA
Fixed Assets to Net Worth	NA	NA	NA
Efficiency			
Collection Period	NA	NA	NA
Inventory Turn Over	NA	NA	NA
Sales to NWC	NA	NA	NA
Acct Pay to Sales	NA	NA	NA
Profitability			
Return on Sales	NA	NA	NA
Return on Assets	NA	NA	NA
Return on NetWorth	NA	NA	NA

## Public Filings

### Summary

This following public filing data includes both open and closed filings found in D&B's database on this company. It is for informational purposes only and is not the official record. Certified copies can be obtained from the official source.

Record Type	# of Records	Most Recent Filing Date
Government History	1	N/A

### Details

#### Judgements

No judgments have been reported to D&B on this company.

#### Liens

No liens have been reported to D&B on this company.

#### Suits

No suits have been reported to D&B on this company.

#### UCC Filings

No UCC Filings have been reported to D&B on this company.

#### Bankruptcies

No bankruptcies have been reported to D&B on this company.

#### Government History

Borrower(Dir/Guar):	NO
Administrative Debt:	NO
Contractor:	NO
Grantee:	NO
	NO

# Exhibit C-7 "Credit Report"

Party excluded  
from federal program(s):

Labor Surplus Area: N/A

Small Business: YES (2016)

8(A) Firm: N/A



**Exhibit C-8 "Bankruptcy Information,"**

**Curago Energy LLC**

Curago Energy LLC nor the company's officers, Raymond V. Hamman and David S. Laipple, has not been involved in any reorganizations, had protection from creditors or requested or made any other form of bankruptcy filings and does not have any parent or affiliate organizations that guarantee the obligations of Curago Energy LLC or its officers.

Exhibit C-9 "Merger Information"

Curago Energy LLC

N/A

**Exhibit C-10 "Corporate Structure,"**

**Curago Energy LLC**

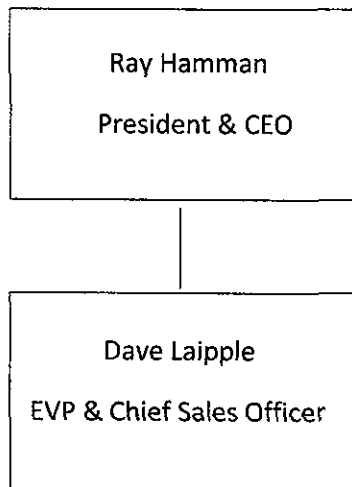
Curago Energy is an Ohio Limited Liability Company. Curago Energy does not currently have any affiliates or subsidiaries.



## **Exhibit D-1 "Operations"**

### **Curago Energy LLC**

Ray Hamman, President and CEO, has overall responsibility for company strategy, supplier relations, regulatory compliance, operations and accounting. Dave Laipple, EVP and Chief Sales Officer has overall responsibility for sales, marketing, sales and marketing compliance and sales training. In addition, he plays a key role in helping develop and execute the company strategy.



## **Exhibit D-2 "Operations Expertise"**

### **Curago Energy LLC**

Raymond V. Hamman  
Curago Energy, LLC  
614-506-0345 (M)  
[ray@curagoenergy.com](mailto:ray@curagoenergy.com)

### **PROFESSIONAL EXPERIENCE**

#### **CURAGO ENERGY, Dublin, OH**

**6/15 to Present**

##### **President & CEO**

Organized Curago Energy, LLC in the State of Ohio and applied for and received electricity and natural gas Broker/Aggregator licenses for all customer classes in the State of Ohio. Developed business plan that includes expanding across the PJM footprint in both electricity and natural gas. Together with Dave Laipple, look to utilize our extensive and diversified industry knowledge to build a company that provides exceptional service in helping clients develop and execute their energy plans. Securing and managing relationships with most reliable and financially secure suppliers will be paramount in providing clients with the best options. Building and maintaining quality processes and systems will enable us to provide ongoing customer service after the sale and prior to subsequent renewals.

#### **IGS ENERGY, Dublin, OH**

**1/11 – 3/14**

##### **Chief Supply and Risk Officer**

Member of the Executive Team responsible for developing and implementing the company's strategies and business plans. Responsible for integrating Accent Energy employees, processes and systems with the IGS Energy team and transitioning away from the Accent Energy brand. Responsible for all of IGS Energy's electricity and natural gas procurement, pricing, load forecasting, scheduling, and risk management personnel, systems and processes. Worked with team to license IGS Energy as an electric supplier in multiple states and setting-up the systems and processes so IGS Energy could enter numerous markets across the PJM footprint and significantly grow the company's customer base. Assumed the additional responsibility for both the C&I and Mass Market Operations Teams that handled the daily customer enrollment, drop and price delivery functions.

#### **ACCENT ENERGY, Dublin, OH**

**7/02 – 12/10**

##### **Chief Operating Officer**

Co-founder of natural gas and power marketer Accent Energy in Dublin, Ohio. Responsible for the customer service, load forecasting, operations, pricing, risk management and supply procurement functions. Member of the Executive team and played a key role in developing and executing the company's strategic plans. Through organic marketing and acquisitions the company grew to 140,000 customers in California, Illinois, New York and Texas. Oversaw the development and implementation of the systems infrastructure for managing demand load forecasting, position and risk management valuation and oversight and deal structuring. Evaluated and selected third-party edi and billing systems provider. Responsible for day-to-day management of third-party provider relationship including systems integration and enhancement.

**Exhibit D-2 "Operations Expertise", Continued**

**Curago Energy LLC**

Raymond V. Hamman

Curago Energy, LLC

614-506-0345 (M)

[ray@curagoenergy.com](mailto:ray@curagoenergy.com)

**PROFESSIONAL EXPERIENCE**

**AEP ENERGY, Columbus, OH**

**8/00 – 7/02**

**Energy Trader**

Member of the West trading and origination desk. Responsible for optimizing pipeline capacity in western U.S. natural gas markets. Managed daily gas supply positions in various western supply basins and at LDC city gates. Provided physical and financial price quotes to deal originators, LDCs, and wholesale suppliers. Bought and sold wholesale physical and financial indexed and fixed price natural gas.

**ENRON ENERGY SERVICES, Dublin, Ohio and Houston, TX**

**7/97 – 7/00**

**Director, U.S. Natural Gas Supply and Risk Management**

Responsible for the management of natural gas assets required to support the retail natural gas activities in all deregulated markets across the U.S. Lead team of twenty-five procurement and logistics professionals. Oversaw the delivery of up to 1 Bcf of daily gas deliveries. Monitored daily positions to comply with corporate position and value at risk limits.

**COLUMBIA GAS DISTRIBUTION COMPANIES, Columbus, OH**

**2/95 – 6/97**

**ENRON CAPITAL AND TRADE RESOURCES, Dublin, OH**

**4/90 – 1/95**

**INTERSTATE GAS MARKETING, Columbus, OH**

**4/88 – 3/90**

**EDUCATION**

BS in Business Administration (Accounting), Ohio State University, 1989

## **Exhibit D-2 "Operations Expertise", Continued**

### **Curago Energy LLC**

**DAVID S. LAIPPLE**

Curago Energy, LLC

614-499-9762 (M)

dave@curagoenergy.com

### **PROFESSIONAL EXPERIENCE**

#### **CURAGO ENERGY**

**10/15 to Present**

##### **EVP & Chief Sales Officer**

Together with Ray Hamman, look to utilize our extensive and diversified industry knowledge to build a company that provides exceptional service in helping clients develop and execute their energy plans. Securing and managing relationships with the most reliable and financially secure suppliers will be paramount in providing clients with the best options. Building and maintaining quality processes and systems will enable us to provide ongoing customer service after the sale and prior to subsequent renewals.

#### **CHAMPION ENERGY SERVICES**

**3/14 to 10/15**

##### **Regional Sales Director – PJM**

Responsible for building and leading the commercial and industrial direct electricity sales team in IL, OH and PA offices across the PJM footprint. Worked with team to identify areas of improvement that would help to drive better results. Interacted with internal risk, supply, legal and operations teams to identify and implement new/improved processes and systems that support a more customized selling approach. Grew year-over-year sales bookings by 63%.

#### **WORLD ENERGY SOLUTIONS, INC.**

**5/05 to 3/14**

##### **Senior Vice President – Sales**

Led National C&I, Wholesale, Governmental and Inside Sales teams. Also, led Retail Operations teams from 7/08 through 2/13. Played key strategic role as part of Executive Management team during last 4 years of tenure. Coordinated and grew team revenues from \$5M to 28.9M, was instrumental in increasing EBITDA from (\$4.1M) to \$2.3M and cash position from marginal to over \$9M enabling 3 key acquisitions. Led sales integration in all 3 acquisitions.

#### **JOHNSON CONTROLS, INC.**

**1/04 to 5/05**

##### **Sales Director**

Responsible for sales and maintenance of performance contracting business in the Healthcare vertical.

#### **ACCENT ENERGY LLC, Dublin, OH**

**1/02 to 1/04**

##### **Senior Vice President – Sales**

Played a key role in establishing and growing the organization from start-up to over 4,500 accounts through strategic acquisitions and organic growth. Utilized direct sales and agent channels to generate organic growth. Due to the size of the organization, also gained significant operations and financial experience.

#### **ENRON CORPORATION, Dublin, OH**

**1/91 to 12/01**

##### **Director, Energy Sales**

Set strategies and tactically lead both regional and national electricity and natural gas sales teams. During final year, team generated over \$2.2B in revenues, resulting in over \$67M of origination. In late 90's, managed significant business re-organization while maintaining key producers; increased origination by over 60%, reduced total expenses by 39% and lead business from negative operating income position in 1997 to over \$8M in positive contribution by the end of 1999.

**Exhibit D-2 "Operations Expertise", Continued**

**DAVID S. LAIPPLE**

Curago Energy, LLC

614-499-9762 (M)

dave@curagoenergy.com

**PROFESSIONAL EXPERIENCE**

**COOPER ENERGY SERVICES, Mt. Vernon, OH and Chicago, IL 1/81 to 1/86 & 10/89 to 1/91**

**SALES CONSULTANTS, INC., Columbus, OH 1/89 to 10/89**

**AIR PRODUCTS AND CHEMICALS, INC., Columbus, OH 1/86 to 1/89**

**EDUCATION**

BA, Business Administration, Capital University, 1981

MBA, University of Dayton, 1988

### **Exhibit D-3 "Key Technical Personnel"**

#### **Curago Energy LLC**

Mr. Hamman and Mr. Laipple will continue to utilize their extensive industry knowledge to assist clients in making educated procurement decisions. Their experience developing and executing the procurement and sales strategies for companies like Enron Energy Services, Accent Energy, IGS Energy and World Energy will be critical and beneficial in helping clients develop and execute their own plans.