

Original

# RENEWAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS/AGGREGATORS

Please type or print all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-15 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

							7).
A-1			ts certificate as	INFORMATIO  : (check all that  Natural Gas Bro	t apply)	ERVICES	2017 APR 28 PM
A-2	Applicant info	ormation:					T.
	Legal Name Address	T.E.S. Energy Se	rvices, L.P. kway, Suite 200, D	allas, TX 75287			0 6
	Telephone No.	972-447-0447		Web site	Address	www.tesenergyse	vices.com
	Current PUCO Co	ertificate No.	11-214G (3)	Effective Dates	5/8/201	5 through 5/8/2017	
<b>A-3</b>	Applicant info	ormation unde	r which applica	ant will do busir	iess in Ol	hio:	
	Name	T.E.S. Energy Se	ervices, L.P.				
	Address	17480 Dallas Pa	rkway, Suite 200, [	Dallas, TX 75287			
	Web site Address	www.tesenergys	ervices.com	Telephon	e No. 972	-447 <b>-</b> 0447	
A-4	List all names	under which t	he applicant de	oes business in I	North An	nerica:	
	T.E.S. Energy Serv	rices, L.P.		TES Energ	y Services,	LP	
<b>A-5</b>	Contact perso	n for regulator	y or emergenc	y matters:			
	Name Linda D.	Graham		Title	Office Man	nager	-
	Business Address	17480 Dallas Pa	arkway, Suite 200,	Dallas, TX 75287			
	Telephone No. 9	72-447-0447	Fax No. 972	-447-0400	Email Add	Iress linda@tesene	ergyservices.cç

(CRNGS Broker/Aggregator Renewal-Revised May 2016)

Lev

A-6	Contact person for Commission Staff use in in	nvestigating o	customer complaints:					
	Name Linda D. Graham	Title	Office Manager					
	Business address 17480 Dallas Parkway, Suite 200, Dallas, TX 75287							
	Telephone No. 972-447-0447 Fax No. 972-447-	0400	Email Address linda@tesenergyservices.com					
<b>A-7</b>	Applicant's address and toll-free number for	customer ser	vice and complaints					
	Customer service address 17480 Dallas Parkway, Suite	e 200, Dalias, TX	75287					
	Toll-Free Telephone No. 866-948-5720 Fax No. 9	972-447-0400	Email Address linda@tesenergyservices.c					
A-8	Provide "Proof of an Ohio Office and Employ Revised Code, by listing name, Ohio office add designated Ohio Employee	,						
	Name CT Corporation System	Title	Ryan Nelson					
	Business address 4400 Easton Commons Way, Suite 125, Columbus, OH 43219							
	Telephone No. 614-621-1919 Fax No. 800-914-42	250 Em	nail Address ryan.nelson@wolterskluwer.com					
A-9	Applicant's federal employer identification nu	umber <sup>20-0</sup>	0598068					
A-10	Applicant's form of ownership: (Check one)							
	Sole Proprietorship	Partn	ership					
	Limited Liability Partnership (LLP)	Limit	ed Liability Company (LLC)					
	Corporation	<b>✓</b> Other	Limited Partnership					
A-11	(Check all that apply) Identify each natural currently providing service or intends to providings that the applicant is currently serving commercial, and/or large commercial/industric in Section 4929.01(L)(1) of the Ohio Revised Code, mean than 500,000 cubic feet of natural case per year at a single	vide service, i or intends ( al (mercantile uns a customer t	ncluding identification of each customer to serve, for example: residential, small customers. (A mercantile customer, as defined that consumes, other than for residential use, more					

than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<ul><li>✓ Duke Energy Ohio</li><li>✓ Vectren Energy Deliver</li></ul>		all Commercial 🗸 Large Commercial / Indus
rograms, for each servic ate(s) that the applicant		cipated in any of Ohio's Natural Gas provide approximate start date(s) and ed services.
Columbia Gas of Ohio	Beginning Date of Service	End Date
Small Commercial	Beginning Date of Service	End Date
	Beginning Date of Service	End Date
Industrial	Beginning Date of Service	End Date
Dominion East Ohio		
Residential	Beginning Date of Service	End Date
Small Commercial	Beginning Date of Service	End Date
Large Commercial	Beginning Date of Service	End Date
Industrial	Beginning Date of Service	End Date
Duke Energy Ohio		
Residential	Beginning Date of Service	End Date
Small Commercial	Beginning Date of Service	End Date
Large Commercial	Beginning Date of Service	End Date
Industrial	Beginning Date of Service	End Date
Vectren Energy Delivery	of Ohio	
	Beginning Date of Service	End Date
Residential		and the state of t

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

$\overline{\mathbf{V}}$	Columbia Gas of Ohio	Intended Start Date	N/A do not have date
$\checkmark$	Dominion East Ohio	Intended Start Date	N/A do not have date
$\overline{\mathbf{V}}$	Duke Energy Ohio	Intended Start Date	N/A do not have date
<b>V</b>	Vectren Energy Delivery of Ohio	Intended Start Date	N/A do not have date

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 <u>Exhibit A-14 "Principal Officers, Directors & Partners,"</u> provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 Exhibit A-15 "Company History," provide a concise description of the applicant's company history and principal business interests.
- A-16 Exhibit A-16 "Articles of Incorporation and Bylaws," provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, only if the contents of the originally filed documents changed since the initial application.
- A-17 Exhibit A-17 "Secretary of State," provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

# SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

### PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 Exhibit B-1 "Jurisdictions of Operation," provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 Exhibit B-2 "Experience & Plans," provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- **B-3** Exhibit B-3 "Summary of Experience," provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 <u>Exhibit B-4 "Disclosure of Liabilities and Investigations,"</u> provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

B-5	Exhibit B-5 "Disclosure of Consumer Protection Violations," disclose whether the applicant,
	affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held
	liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for
	certification.

Į,	/	No		Yes
----	---	----	--	-----

If Yes, provide a separate attachment labeled as <u>Exhibit B-5</u> "<u>Disclosure of Consumer Protection Violations</u>," detailing such violation(s) and providing all relevant documents.

B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

$\checkmark$	No		Yes
--------------	----	--	-----

If Yes, provide a separate attachment, labeled as <u>Exhibit B-6</u> "Disclosure of Certification Denial, <u>Curtailment</u>, <u>Suspension</u>, or <u>Revocation</u>," detailing such action(s) and providing all relevant documents.

# SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports," provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.

  (This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 Exhibit C-2 "SEC Filings," provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 <u>Exhibit C-3 "Financial Statements,"</u> provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

C-4 <u>Exhibit C-4 "Financial Arrangements,"</u> provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.,).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

- 1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
- 2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
- 3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
- 4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A "in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

- C-5 Exhibit C-5 "Forecasted Financial Statements," provide two years of forecasted income statements for the applicant's NATURAL GAS related business activities in the state of Ohio Only, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- C-6 Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.
- C-7 <u>Exhibit C-7 "Credit Report,"</u> provide a copy of the applicant's current credit report from Experion, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.

- C-8 Exhibit C-8 "Bankruptcy Information," provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information," provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure," provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

# SECTION D - APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 Exhibit D-1 "Operations," provide a current written description of the operational nature of the applicant's business functions.
- D-2 Exhibit D-2 "Operations Expertise," given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- Exhibit D-3 "Key Technical Personnel," provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

BuinMorkham PRESIDENT

Sworn and subscribed before me this

27 day of

Brian Markham, President

Signature of official administering oath

Print Name and Title

ABBY MARTIN My Commission Expires January 28, 2019

My commission expires on

1-28-19



# The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service Affidavit Form (Version 1.07)

In 1	the Matter of the Application of						
T.E.	T.E.S. Energy Services, L.P.  Case No. 11 - 1209 -GA-AGG						
for	for a Certificate or Renewal Certificate to Provide						
Co	Competitive Retail Natural Gas Service in Ohio.						
	anty of Collinte of Texas						
	Brian Markham [Affiant], being duly sworn/affirmed, hereby states that:						
(1)	The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.						
(2)	The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.						
(3)	The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.						
(4)	Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.						
(5)	Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.						
(6)	Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.						
(7)	Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.						
(8)	Affiant further sayeth naught.						
	Affiant Signature & Title Quan Markham PRESINENT						
	Sworn and subscribed before me this 21 day of April Month 7017 Year						
	Show Markham, President						
	Signature of Official Administering Oath  Print Name and Title						
	ABBY MARTIN My commission expires on 1-28-17						

January 28, 2019

# Illuminated Partners, Inc., General Partner to T.E.S. Energy Services, L.P.

# Officers

Address:

17480 Dallas Parkway

Suite 200 Dallas, TX 75287

Telephone:

972-447-0447

Brian Markham, President

Jerry Gatti, Vice-President

Pat Teague, Secretary

Directors: Brian Markham, Jerry Gatti, Pat Teague

Exhibit A-14
Principal Officers, Directors,
& Partners
Exhibit A-15
Company Structure

# Illuminated Partners, Inc., General Partner to T.E.S. Energy Services, L.P.

Address:

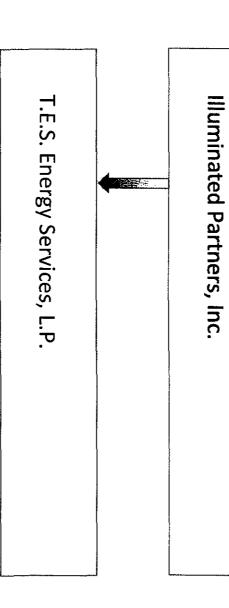
17480 Dallas Parkway

Suite 200

Dallas, TX 75287

Telephone: 972-447-0447

Exhibit A-14
Principal Officers, Directors,
& Partners
Exhibit A-15
Company Structure



# Kathi Teague, Board Member / Partner

Deborah Teague, Board Member/ Partner

Pat Teague, Co-CEO

Mike Teague, Co-CEO

Brian Markham, President / Partner

Jerry Gatti, VP / Partner

Jim Bono, VP Sales / Partner

# T.E.S. Energy Services, L.P. Organizational Structure

Exhibit A-14
Principal Officers, Directors,
& Partners
Exhibit A-15
Company Structure

Address: 17480 Dallas Parkway Suite 200 Dallas, TX 75287

Telephone: 972-447-0447

# Exhibit A-15 Company History

T. E. S. Energy Services, L.P.

# **Current Services:**

Applicant is an energy consulting company of retail electric and natural gas supply to end user commercial, industrial and residential customers. We work with businesses in the commercial, industrial and government entities to help them make informed decisions on their energy procurement throughout the deregulated territories.

Form 207

Secretary of State P.O. Box 13697 Austin, TX 78711-3697 FAX: 512/463-5709

Filing Fee: \$750



Certificate of Limited Partnership Pursuant to Article 6132a-1 iled in the Office of the Secretary of State of Texas Filing #: 800253124 10/02/2003 Document #: 43410020003 Image Generated Electronically for Web Filing

	1. Name o	f Limited Partnership	<u> </u>				
he name of the Ilmited partnership is:	E. S. Energy S						
	- when appropriately a rear way 1 176 between any afficient or an a		I II D I a III tol II an the look	words or letters of the			
he name must contain the words "Limited Partnership," or "Limited," or the abbreviation "L.P.," "LP," or "Ltd." as the last words or letters of its ame. The name must not be the same as, deceptively similar to or similar to that of an existing corporate, limited liability company, or limited partnership name on file with the secretary of state. A preliminary check for "name availability" is recommended.							
	CONTRACTOR CONTRACTOR	Principal Office	and the least and the latest and the	41 41 141 MA			
The address of the principal office in the Uni	ted States where reco	ords of the partnership are to	pe kept or made available	ls set forth below:			
1504 Westlake Drive, Suite 1	01, Plano, TX,	USA 75075					
Article 3 – Registered Agent	and Registered (	Office (Select and com	plete either A or B a	and complete C)			
A. The initial registered agent is an org	janization (cannot b	e limited partnership named	l above) by the name of:				
	and the second second		e i neem method i kommunicasi shift	a see a see a see			
		OR					
☑B. The initial registered agent is an inc			forth below:				
First Name M.	Bruce	Last Name Peele		Suffix			
C. The business address of the registere	d agent and the regi	stered office address is:					
Street Address	The state of the s	City	State	Zip Code			
1504 Westlake Drive, Suite 1	01	Plano	TΧ	75075			
	4. Genera	al Partner Information					
The name, street address, and the		CALL TO SERVICE STREET, SPACE					
CHARLEST THE SCHOOL SECTION SE							
Legal Entity: The general partner is a legal	entity named:	and the state of t					
Illuminated Partners, L.L.C. INDIVIDUAL: First Name	\[M.I.	Last Name		\(\(\c\)\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\			
INDIVIDUAL: FIRST NAME		Last Name		Suffix			
Street Address		City	State	Zip Code			
1504 Westlake Drive, Suite 1	01	Plano	TX, USA	75075			
Mailing Address		City	State	Zip Code			
	- reliable for the first transfer to the first transfer to the						
	Supplementa	al Provisions / Informa	tion				
The attached addendum, if any, is incorpor	ated herein by refere	nce 1					
The addition of the state of th	atou nordina, rolordi						
Ì							
}							
1	,						
to proceed the company of the contraction of the contract of t		tive Date of Filing	<u> </u>	*			

☑A. This document will become effective when the document is filed by the secretary of state.

OR

B. This document will become effective at a later date, which is not more than ninety (90) days from the date of its filing by the secretary of state. The delayed effective date is:

# Name Reservation Document Number

# EXECUTION

The undersigned sign this document subject to the penalties imposed by law for the submission of a false or fraudulent document.

Signature of General Partner 1: Illuminated Partners, L.L.C., a Texas Limited Liability Company, By. M. Bruce Peele, Member

FILING OFFICE COPY

# FILED In the Office of the Secretary of State of Texas

# CERTIFICATE OF AMENDMENT TO THE CERTIFICATE OF LIMITED PARTNERSHIP

FEB 15 2005

# T.E.S. ENERGY SERVICES, L.P.

Corporations Section

The undersigned limited partnership (the "Partnership"), having filed an original certificate of limited partnership hereby duly executes this Certificate of Amendment to the Certificate of Limited Partnership, which is being filed with the Secretary of State in accordance with Section 2.02 of the Texas Revised Limited Partnership Act.

- 1. The name of the Partnership is T.E.S. ENERGY SERVICES, L.P.
- II. Sections 2, 3 and 4 of the Certificate of Limited Partnership are hereby amended to read as follows:
  - 2. <u>Principal Office</u>: The address of the principal office of the Partnership where records of the Partnership are to be kept or made available is 17480 Dallas Parkway, Suite 125, Dallas, Texas 75287.
  - 3. Registered Agent and Registered Office. The registered agent of the Partnership for service of process is an individual resident of the State of Texas whose name and business address are Mike Teague, 17480 Dallas Parkway, Suite 125, Dallas, Texas 75287.
  - 4. General Partner: The name and address of the General Partner is as follows:

Name:

Illuminated Partners, Inc.

Mailing Address

17480 Dallas Parkway, Suite 125

Dallas, Texas 75287

SIGNED the 14th day of Jubuay, 2005.

GENERAL PARTNER:

ILLUMINATED PARTNERS, INC.

Bv:

Brian Markham, President

1:\6900s\6960\02\D\CERT-AMD.DOC

# UNITED STATES OF AMERICA STATE OF OHIO OFFICE OF THE SECRETARY OF STATE

I, Jon Husted, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show T.E.S. ENERGY SERVICES, L.P., a Texas Limited Partnership, Registration Number 1996446, filed on February 11, 2011, is currently in FULL FORCE AND EFFECT upon the records of this office.



Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 27th day of April, A.D. 2017.

Ohio Secretary of State

Jon Husted

Validation Number: 201711702170

# Exhibit B-1

# JURISDICTIONS OF OPERATION T.E.S. ENERGY SERVICES, L.P.

# **Natural Gas**

License Required - License #

State

License #

Maryland	MD	IR-2563	
Ohio	OH	11-214G(3)	

License Not Required

Arizona

California

Colorado

District of Columbia (Washington

DC)

Illinois

Indiana

lowa

Kansas

Kentucky

Michigan

Minnesota

Mississippi

Missouri

Montana

Nevada

**New Hampshire** 

**New Jersey** 

**New Mexico** 

North Carolina

Oklahoma

Oregon

Pennsylvania

**South Carolina** 

Tennessee

Wisconsin

Virginia

# **Exhibit B-2 Experience & Plans**

# T.E.S. ENERGY SERVICES, L.P.

Other:

# Products and services include:

<u>Current Services:</u> Applicant is an energy consulting company of retail electric supply to end user commercial, industrial and residential customers. We work with businesses in the commercial, industrial and government entities to help them make informed decisions on their energy procurement throughout the deregulated territories.

## **Power Factor Correction**

- Service Entrance Capacitor Banks eliminate low power factor utility penalties
- Motor Capacitors also eliminate distribution losses and save kilowatt hours (energy)

# Energy / Operational Savings

- Lighting retrofits, on/off controls, daylight harvesting, skylights
- Identify incorrectly sized motors, overloaded equipment, loads that can be turned off
- > Electrical capacity increases
- > Adjustable speed drives
- High efficiency motors
- > Identify compressed air leaks
- Air conditioning system desiccants
- > Infrared inspection / scanning
- Predominant use studies to obtain sales tax exemption on gas and electric utility bills

# **Power Quality**

- Measurements/studies to identify the root cause(s) of problems
- > Provide power conditioning and various equipment solutions
- ➤ UPS
- Voltage regulation
- > Surge protection
- Harmonic filters and line reactors
- Dip Proofing inverters
- Programming AC/DC adjustable speed drives to eliminate nuisance tripping
- Single phasing protection
- > Flicker, motor soft starters
- Protective device coordination
- "Ride thru" techniques to eliminate nuisance equipment/process shutdowns

# Engineering / Projects

- Studies
- Specialized design such as power measurement systems
- > Specification preparation
- Turn key projects

# MIKE TEAGUE

17:80 Dallas Plury #200 \* Dallas, To 75287 \* Plants: 9720108-0877 \* Free 972-147-0400 \* E-Mail: unice@securityserrice.com

### Objective

To provide quality consulting services through education and practical experiences.

### Experience

Over-Iread and Underground Electrical Construction 1978-2004

- · Co-owner and President of Teague Industries ,Inc./ dba Utility Construction Co. , Inc.
- Torque industries, inc. was a major contractor for Texas Utilities, inc. for 54 years. We excelled in
  pioneering the development of underground electrical distribution systems throughout the State of Texas. As a
  complement to the business we installed thousands of miles of fiber-optic cable throughout the region as well
  as Overhead distribution power lines.

Power and Demand Side Consulting 2002-present

- I was co-founder of TES Energy Service, I.P i.e., Teague Energy Services. TES Energy Services was founded to bring my energy background to the market place assisting customers with pricing options as well as demand side management of their facilities. My knowledge of the grid systems as well the production of electricity is of benefit to the customer who does not have the background to make difficult decisions on their electricity needs.
- Pamily
- . I have been married for 36 years and have 3 children and three grandchildren.

### Education

Graduated from Sherman High School 1968

Associate Degree- Business Grayson County College 1968-1970 Studied Business and Accounting University of Texas at Austin 1968-1978

Community Involvement

Chairman of the local American Diabetes Association 1975

Plano Symphony Orchestra Board 1983-1985

Plano Purks and Recreation Board 1985-1986

Plano City Council Member 1989-1991

Collin County Sherill' Reserve (Certified Texas Peace Officer) 1991-2004

Frisco, Texas [Postal Code]

Phone: 972-447-0447 ext. 107 Fax: 972-447-0400 E-Mail: linda@tesenergyservices.com

# Pat Teague

# Experience

Teague Industries, Inc. 1975-2002

- 1975-77 Worked on Electrical Service Crew installing electrical services to residential
- 1977-79 Services Crew Foreman
- 1979-85 Superintendent of Services-in charge of as many as 30 services crews-90 people
- 1985 Vice-President of Construction for Electrical Underground and Overhead Distribution
- -2002 Executive Vice-President for Teague Industries head of Construction in charge of up to 350 employees

TES Energy Services 2002-2010

■ Co-Founder and Co-CEO of TES Energy Services

# Education

Sherman High School 1970

University of Texas @ Austin 1970-74

Degree-Bachelor of Science Business Administration

# Jim H. Bono

17480 Dailas Parkway, Suite #200 Dallas, TX 75287

Phone 972-447-0447 Fax 972-447-0400 email: ilm@tesenergyservices.com

# **Objective**

To assist customers with all of their energy needs. These needs would include electricity procurement, natural gas procurement, energy efficiency improvement projects, contract review, and billing audits. Building an overall relationship with customers where trust and honesty are vitally important and partnering with customers in make them as profitable as possible.

# Experience

## VP of Sales - TES Energy Services

3/2003 - Present

- Provide energy procurement and consultant services to customers throughout Texas.
- Assist clients with energy efficiency improvement projects
- Assist customers with billing issues as well as all service related problems.

### Account Manager - TXU Energy

1/2000 - 3/2003

- Provide electricity and natural gas procurement services to commercial and industrial customers in Texas
- Build relationahips with all customers to maintain and increase revenue.
- Assist all customers with service related problems

# **Education**

Texas A&M University May 1986

Bachelor of Science Degree in Electrical Engineering

Tyler Jr. College May 1983

Associate of Art Degree

### Skills

24 years of experience in the electric utility industry.

Motivated and enthusiastic about deleveloping relationships with all clients

Successfully built and renewed energy agreements with over 100 commercial and industrial customers

Organized effective marketing tools to communicate with accounts.



# Brian Markham, President

Brian Markham is the President of TES Energy Services, LP. Drawing a career that has cut across multiple industries inkling energy, distribution logistics, manufacturing representation and electrical supply distribution. Brian was also the past President of the Texas Electricity Professionals Association.

Prior to joining TES Energy Services, LP, Brian served as Vice President and General Manager of PhD. Southwest, Inc. PhD Southwest, Inc. is a leader in the distribution and logistics business throughout the United States.

Prior to joining PhD. Southwest, Inc., Brian worked within the house ware industry as the President and owner of D.U. Parker and Associates. D.U. Parker and Associates was a manufacturer representative in leading house ware manufactures.

Before joining D.U. Parker and Associates, Brian was the Director of Consumer Goods for Graybar Electric Co., located at the New York headquarters. Also, he served as the National Account Manager, Regional Manager and Branch Manager.

Brian earned his Bachelor of Business Administration at SMU and studied management courses at Harvard University. Brian served in the USMC.



# Jerry Gatti

17480 Dallas Parkway, Suite 200 Dallas, TX. 75001 Phone: 972-447-0447 Fax: 972-447-0400 E-Mail: jerry@tesenergyservices.com

# Objective

To offer complete energy consulting services nationwide.

# Experience

### TES Energy Services, LP

Oct. 2001 to Present

- Started energy services company in late 2001 as a business development idea out of Teague Industries, a utility construction firm founded in Texas in the late 1940's. Became "Registered aggregator" with Public Utility Commission of Texas in January 2002, the beginning of Texas deregulation of Biectricity.
- As partner in firm, have built electric and gas clientele in Texas, California, Ohio, Maryland, California, Illinois, Washington DC. Need license in Pennsylvania to complete our services to nationwide clients.

### **ROK** and Associates

September 1989 to October 2000.

- Sales Manager of Manufactures Rep firm covering Texas, Oklahoma, Arkansas, and Louisiana. Responsible for 17 salesmen and key accounts within territory doing \$95 million in sales.
- Responsible for transitioning firm from predominantly traditional auto parts to more lucrative retail product lines. Business more than tripled during tenure.

## Education

Texas Christian University

Bachelor of Arts Degree

1967 to 1972

### Skills

Business Development, Account management, and business computer skills.

# Community Involvement

Boys and Girls Clubs, Prestonwood Country Club, Prestonwood Business Networking Group, Texas Electric Professionals Association, numerous Chambers of Commerce in the Dallas area.

Exhibit B-4 " Disclosure of Liabilities and Investigations" T.E.S. ENERGY SERVICES, L.P.

T.E.S. ENERGY SERVICES, L.P. <u>does not</u> have any existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

# Exhibit C-1 Annual Report

T.E.S. ENERGY SERVICES, L.P.

Exhibit C-1 is not applicable. There are no annual reports to shareholders.

# Exhibit C-2 SEC Filings

T.E.S. ENERGY SERVICES, L.P.

Exhibit C-2 is not applicable. As an energy consultant, we are not required to report to the SEC.



# SHORT & ASSOCIATES, P.C.

CERTIFIED PUBLIC ACCOUNTANTS
18383 PRESTON ROAD, SUITE 410
DALLAS, TEXAS 75252
TELEPHONE (972) 733-3393 FACSIMILE (972)733-3174

## ACCOUNTANT'S COMPILATION REPORT

To the Partners T.E.S. Energy Services, L.P. Dallas, Texas

Management is responsible for the accompanying financial statements of T.E.S. Services, L.P. (a partnership), which comprise the statement of assets and capital – tax basis as of December 31, 2015, and the related statement of revenues and expenses – tax basis for the three months and twelve months then ended, and for determining that the tax basis of accounting is an acceptable financial reporting framework. We have performed a compilation engagement in accordance with Statements on Standards for Accounting and Review Services promulgated by the Accounting and Review Services Committee of the AICPA. We did not audit or review the financial statements nor were we required to perform any procedures to verify the accuracy or completeness of the information provided by management. Accordingly, we do not express an opinion, a conclusion, nor provide any form of assurance on these financial statements.

The financial statements are prepared in accordance with the tax basis of accounting, which is a basis of accounting other than accounting principles generally accepted in the United States of America.

Management has elected to omit substantially all of the disclosures ordinarily included in financial statements prepared in accordance with the tax basis of accounting. If the omitted disclosures were included in the financial statements, they might influence the user's conclusions about the Company's assets, liabilities, capital, revenues, and expenses. Accordingly, the financial statements are not designed for those who are not informed about such matters.

Stort 'Associates P.C.

April 13, 2016

# Exhibit C-3 2015 Financials

# T.E.S. ENERGY SERVICES, L.P.

STATEMENT OF ASSETS AND CAPITAL-INCOME TAX BASIS December 31, 2015

# **ASSETS**

CURRENT ASSETS		
Cash-Checking Capital One	\$ 290,941.71	
Cash-Savings Capital One	401,400.04	
Cash-Deer Lease	6,999.04	
Accounts Receivable-Other	408.43	
TOTAL CURRENT ASSETS		\$ 699,749.22
PROPERTY AND EQUIPMENT		
Furniture and Fixtures	76,655.67	
Office and Computer Equipment	73,679.83	
Total Property and Equipment	150,335.50	
Less Accumulated Depreciation	(150,335.50)	
NET PROPERTY AND EQUIPMENT		0.00
OTHER ASSETS		
Investment-Skyline Drive LLC	62,869.00	
Deer Lease	19,599.72	
TOTAL OTHER ASSETS		82,468.72
TOTAL ASSETS		\$ 782,217.94
CAPITAL		
CARYEAN		
CAPITAL Protection Control	ф. 461 <b>7</b> 1 7 00	
Partners' Capital Distributions	\$ 461,715.08	
	(646,016.06)	
Current Earnings	966,518.92	
TOTAL CAPITAL		\$ 782,217.94

# Exhibit C-3 2015 Financials

# T.E.S. ENERGY SERVICES, L.P.

STATEMENT OF REVENUES AND EXPENSES-INCOME TAX BASIS For the Three Months and Twelve Months Ended December 31, 2015

	Current	%	Y	ear-To-Date	%
REVENUES					
Consulting Income \$	1,099,224.37	100.0	\$ 3	,393,903.98	100.0
Investment Income (Loss)	(4,207.00)	(0.4)		(4,207.00)	(0.1)
Other Income	3,641.34	0.3		3,641.34	0.1
Interest Income	229.29	0.0		898.21	0.0
TOTAL REVENUES	1,098,888.00	100.0	3	,394,236.53	100.0
OPERATING EXPENSES					
Salaries	285,355.05	26.0	1	,026,779.50	30.3
Taxes-Other	200.00	0.0		9,201.43	0.3
Commissions Expense	191,203.70	17.4		587,466.03	17.3
Contract Labor	5,800.00	0.5		10,526.31	0.3
Outside Services	1,700.00	0.2		40,723.07	1.2
HR Administrative Fees	57,871.88	5.3		225,817.64	6.7
Retirement Contributions	7,049.19	0.6		27,440.99	0.8
Automobile Expense	17,794.02	1.6		63,908.06	1.9
Rent	18,453.00	1.7		73,812.00	2.2
Insurance	3,238.99	0.3		14,047.07	0.4
Office Supplies	25,954.08	2.4		56,693.82	1.7
Trade Shows	704.10	0.1		17,668.73	0.5
Postage and Delivery	359.16	0.0		966.63	0.0
Printing and Reproduction	384.61	0.0		944.79	0.0
Equipment Rental	1,632.29	0.1		5,535.73	0.2
Telephone	14,978.83	1.4		37,666.76	1.1
Dues and Subscriptions	1,556.00	0.1		8,119.13	0.2
Professional Fees	4,503.59	0.4		10,034.04	0.3
Travel Expenses	14,004.34	1.3		40,937.49	1.2
Bank Fees	328.11	0.0		644.68	0.0
Entertainment	48,640.40	4,4		98,029.78	2.9
Licenses and Permits	650.00	0.1		1,175.00	0.0
Advertising and Promotion	15,750.15	1.4		56,217.44	1.7
Web Hosting Expense	0.00	0.0		100.00	0.0
Deer Lease Expenses	(13,327.74)	(1.2)		0.00	0.0
Other Operating Expenses	1,748.58	0.2		3,297.02	0.1
TOTAL OPERATING EXPENSES	706,532.33	64.3	_ 2	,417,753.14	71.2
OPERATING INCOME	392,355.67	35.7		976,483.39	28.8
OTHER EXPENSES					
Contributions	290.00	0.0		1,910.00	0.1
Depreciation Expense	2,684.69	0.2		8,054.47	0.2
TOTAL OTHER EXPENSES	2,974.69	0.3		9,964.47	0.3
NET INCOME	\$ 389,380.98	35.4	\$	966,518.92	28.5

# T.E.S. ENERGY SERVICES, L.P.

STATEMENT OF ASSETS AND CAPITAL-TAX BASIS
December 31, 2016

	ASSETS		DRAI	<b>-</b> 7	
CURRENT ASSETS					
Cash-Checking Capital One		\$	298,435.42		
Cash-Savings Capital One			254,900.35		
Cash-Deer Lease		_	2,287.07		
TOTAL CURRENT ASSETS				\$	555,622.84
PROPERTY AND EQUIPMENT					
Furniture and Fixtures			79,902.09		
Office and Computer Equipment			87,366.13		
Total Property and Equipment		_	167,268.22		
Less Accumulated Depreciation			(167,268.22)		
OTHER ASSETS					
Investment-Skyline Drive LLC			60,084.32		
Deer Lease			19,599.72		
TOTAL OTHER ASSETS		•			79,684.04
TOTAL ASSETS				\$ _	635,306.88
	C. Dum. I				
	CAPITAL				
CAPITAL					
Partners' Capital		\$	782,217.94		
Distributions			(749,907.89)		
Current Earnings		_	609,996.83		
TOTAL CAPITAL		•		\$_	642,306.88

# T.E.S. ENERGY SERVICES, L.P.

# STATEMENT OF REVENUES AND EXPENSES-TAX BASIS For the Twelve Months Ended December 31, 2016

Part   Part	DRAFT		Year-To-Date	%
Investment Income         (3,845.96)         (0.1)           Interest Income         325.75         0.0           TOTAL REVENUES         3,11,328.46         10.00           OPERATING EXPENSES         31,13,286.46         10.00           Salaries         1,034,509.56         33.2           Payroll Taxes         88,404.09         2.8           Insurance-Health         76,941.41         2.5           Insurance-Workers Compensation         8,244.57         0.3           Commissions Expense         607,705.01         19.5           Contract Labor         21,897.72         0.7           Outside Services         12,845.00         0.4           HR Administrative Fees         50,290.35         1.6           Retrement Contributions         34,092.85         1.1           Automobile Expense         78,454.58         2.5           Rent         73,924.03         2.4           Insurance         29,980.12         1.0           Office Supplies         74,164.74         2.4           Rent         73,924.03         2.4           Insurance         29,980.12         1.0           Office Supplies         74,164.74         2.           Rent	REVENUES			
Interest Income	Consulting Income	\$	3,116,606.67	100.1
TOTAL REVENUES         3,113,286.46         100.0           OPERATING EXPENSES         3.3.2         4.03,4509.56         33.2           Payroll Taxes         88,404.09         2.8           Insurance-Health         76,941.41         2.5           Insurance-Workers Compensation         8,244.57         0.3           Commissions Expense         607,705.01         19,5           Contract Labor         21,807.72         0.7           Outside Services         12,845.00         0.4           HR Administrative Fees         50,290.35         1.6           Retirement Contributions         34,092.85         1.1           Automobile Expense         78,454.58         2.5           Rent         73,924.03         2.4           Insurance         29,980.12         1.0           Office Supplies         74,164.74         2.4           Trade Shows         17,514.03         0.6           Postage and Delivery         593.32         0.0           Printing and Reproduction         1,422.36         0.0           Equipment Rental         6,826.71         0.2           Telephone         43,506.15         1.4           Taxes-Other         10,351.46         0.3	Investment Income (Loss)		(3,845.96)	(0.1)
OPERATING EXPENSES         Salaries         1,034,509,56         33.2           Payroll Taxes         88,404.09         2.8           Insurance-Health         76,941,41         2.5           Insurance-Workers Compensation         8,244.57         0.3           Commissions Expense         607,050,10         19,5           Contract Labor         21,807.72         0.7           Outside Services         12,845.00         0.4           HR Administrative Fees         50,290,35         1.6           Retirement Contributions         34,092.85         1.1           Automobile Expense         78,454.58         2.5           Rent         73,924.03         2.4           Insurance         29,980.12         1.0           Office Supplies         74,164.74         2.4           Insurance         29,980.12         1.0           Office Supplies         74,164.74         2.4           Insurance         10,251.46         0.3           Postage and Delivery         593.32         0.0           Printing and Reproduction         1,422.36         0.0           Equipment Rental         6,826.71         0.2           Telephone         43,606.15         1.4 <td>Interest Income</td> <td></td> <td>525.75</td> <td>0.0</td>	Interest Income		525.75	0.0
Salaries         1,034,599,56         33.2           Payroll Taxes         88,404.09         2.8           Insurance-Health         76,941.41         2.5           Insurance-Workers Compensation         8,244.57         0.3           Commissions Expense         607,705.01         19,5           Contract Labor         21,807.72         0.7           Outside Services         12,845.00         0.4           HR Administrative Fees         50,290.35         1.6           Retirement Contributions         34,092.85         1.1           Automobile Expense         78,454.58         2.5           Rent         73,924.03         2.4           Insurance         29,980.12         1.0           Office Supplies         74,164.74         2.4           Trade Shows         17,514.03         0.6           Postage and Delivery         593.32         0.0           Printing and Reproduction         1,422.36         0.0           Equipment Rental         6,826.71         0.2           Telephone         43,606.15         1.4           Taxes-Other         10,351.46         0.3           Dues and Subscriptions         6,060.36         0.2           Pr	TOTAL REVENUES		3,113,286.46	100.0
Payroll Taxes         88,404.09         2.8           Insurance-Health         76,941.41         2.5           Insurance-Workers Compensation         8,244.57         0.3           Commissions Expense         607,705.01         19.5           Contract Labor         21,807.72         0.7           Outside Services         12,845.00         0.4           HR Administrative Fees         50,290.35         1.6           Retirement Contributions         34,092.85         1.1           Automobile Expense         78,454.58         2.5           Rent         73,924.03         2.4           Insurance         29,980.12         1.0           Office Supplies         74,164.74         2.4           Trade Shows         17,514.03         0.6           Postage and Delivery         593.32         0.0           Printing and Reproduction         1,422.36         0.0           Equipment Rental         6,826.71         0.2           Telephone         43,606.15         1.4           Taxes-Other         10,351.46         0.3           Dues and Subscriptions         6,060.36         0.2           Professional Fees         13,899.17         0.4           <	OPERATING EXPENSES			
Insurance-Health         76,941.41         2.5           Insurance-Workers Compensation         8,244.57         0.3           Commissions Expense         607,705.01         19.5           Contract Labor         21,807.72         0.7           Outside Services         12,845.00         0.4           HR Administrative Fees         50,290.35         1.6           Retirement Contributions         34,092.85         1.1           Automobile Expense         78,454.58         2.5           Rent         73,924.03         2.4           Insurance         29,980.12         1.0           Office Supplies         74,164.74         2.4           Trade Shows         17,514.03         0.6           Postage and Delivery         593.32         0.0           Printing and Reproduction         1,422.36         0.0           Equipment Rental         6,826.71         0.2           Telephone         43,606.15         1.4           Taxes-Other         10,351.46         0.3           Dues and Subscriptions         6,060.36         0.2           Professional Fees         13,899.17         0.4           Travel Expenses         690.11         0.0 <t< td=""><td>Salaries</td><td></td><td>1,034,509.56</td><td>33.2</td></t<>	Salaries		1,034,509.56	33.2
Insurance-Workers Compensation         8,244.57         0.3           Commissions Expense         607,705.01         19,5           Contract Labor         21,807.72         0.7           Outside Services         12,845.00         0.4           HR Administrative Fees         50,290.35         1.6           Retirement Contributions         34,092.85         1.1           Automobile Expense         78,454.58         2.5           Rent         73,924.03         2.4           Insurance         29,980.12         1.0           Office Supplies         74,164.74         2.4           Trade Shows         17,514.03         0.6           Postage and Delivery         593.32         0.0           Poritting and Reproduction         1,422.36         0.0           Equipment Rental         6,826.71         0.2           Telephone         43,606.15         1.4           Taxes-Other         10,351.46         0.3           Dues and Subscriptions         6,806.15         1.4           Taxes-Other         10,351.46         0.3           Dues and Subscriptions         6,8160.75         2.2           Bank Fees         690.11         0.0           Ent	Payroll Taxes		88,404.09	2.8
Commissions Expense         607,705.01         19.5           Contract Labor         21,807.72         0.7           Outside Services         12,845.00         0.4           HR Administrative Fees         50,290.35         1.6           Retirement Contributions         34,092.85         1.1           Automobile Expense         78,454.58         2.5           Reat         73,924.03         2.4           Insurance         29,980.12         1.0           Office Supplies         74,164.74         2.4           Trade Shows         17,514.03         0.6           Postage and Delivery         593.32         0.0           Printing and Reproduction         1,422.36         0.0           Equipment Rental         6,826.71         0.2           Telephone         43,606.15         1.4           Taxes-Other         10,351.46         0.3           Dues and Subscriptions         6,060.36         0.2           Professional Fees         13,899.17         0.4           Travel Expenses         37,472.05         1.2           Bank Fees         690.11         0.0           Entertainment         62,60.75         0.2           Licenses and Permits </td <td>Insurance-Health</td> <td></td> <td>76,941.41</td> <td>2.5</td>	Insurance-Health		76,941.41	2.5
Contract Labor         21,807.72         0.7           Outside Services         12,845.00         0.4           HR Administrative Fees         50,290.35         1.6           Retirement Contributions         34,092.85         1.1           Automobile Expense         78,454.58         2.5           Reat         73,924.03         2.4           Insurance         29,980.12         1.0           Office Supplies         74,164.74         2.4           Trade Shows         17,514.03         0.6           Postage and Delivery         593.32         0.0           Printing and Reproduction         1,422.36         0.0           Equipment Rental         6,826.71         0.2           Telephone         43,606.15         1.4           Taxes-Other         10,351.46         0.3           Dues and Subscriptions         6,060.36         0.2           Professional Fees         13,899.17         0.4           Travel Expenses         37,472.05         1.2           Bank Fees         690.11         0.0           Entertainment         68,60.75         2.2           Licenses and Permits         825.00         0.0           Advertising and Promotion<	Insurance-Workers Compensation		8,244.57	0.3
Outside Services       12,845.00       0.4         HR Administrative Fees       50,290.35       1.6         Retirement Contributions       34,092.85       1.1         Automobile Expense       78,454.58       2.5         Rent       73,924.03       2.4         Insurance       29,980.12       1.0         Office Supplies       74,164.74       2.4         Trade Shows       17,514.03       0.6         Postage and Delivery       593.32       0.0         Postage and Delivery       593.32       0.0         Printing and Reproduction       1,422.36       0.0         Equipment Rental       6,826.71       0.2         Telephone       43,606.15       1.4         Taxes-Other       10,351.46       0.3         Dues and Subscriptions       6,060.36       0.2         Professional Fees       13,899.17       0.4         Travel Expenses       37,472.05       1.2         Bank Fees       690.11       0.0         Entertainment       68,160.75       2.2         Licenses and Permits       825.00       0.0         Advertising and Promotion       45,223.54       1.5         Deer Lease Expenses	Commissions Expense		607,705.01	19.5
HR Administrative Fees       50,290.35       1.6         Retirement Contributions       34,092.85       1.1         Automobile Expense       78,454.58       2.5         Rent       73,924.03       2.4         Insurance       29,980.12       1.0         Office Supplies       74,164.74       2.4         Trade Shows       17,514.03       0.6         Postage and Delivery       593.32       0.0         Printing and Reproduction       1,422.36       0.0         Equipment Rental       6,826.71       0.2         Telephone       43,606.15       1.4         Taxes-Other       10,351.46       0.3         Dues and Subscriptions       6,060.36       0.2         Professional Fees       13,899.17       0.4         Travel Expenses       37,472.05       1.2         Bank Fees       690.11       0.0         Entertainment       68,160.75       2.2         Licenses and Permits       825.00       0.0         Advertising and Promotion       45,223.54       1.5         Deer Lease Expenses       33,319.30       1.1         Other Operating Expenses       3,293.57       0.1         TOTAL OPERATING EXPEN	Contract Labor		21,807.72	0.7
Retirement Contributions       34,092.85       1.1         Automobile Expense       78,454.58       2.5         Rent       73,924.03       2.4         Insurance       29,980.12       1.0         Office Supplies       74,164.74       2.4         Trade Shows       17,514.03       0.6         Postage and Delivery       593.32       0.0         Printing and Reproduction       1,422.36       0.0         Equipment Rental       6,826.71       0.2         Telephone       43,606.15       1.4         Taxes-Other       10,351.46       0.3         Dues and Subscriptions       6,060.36       0.2         Professional Fees       13,899.17       0.4         Travel Expenses       37,472.05       1.2         Bank Fees       690.11       0.0         Entertainment       68,160.75       2.2         Licenses and Permits       825.00       0.0         Advertising and Promotion       45,223.54       1.5         Deer Lease Expenses       33,319.30       1.1         Other Operating Expenses       3,293.57       0.1         TOTAL OPERATING EXPENSES       2,480,621.91       79.7         OPERATING INCOM	Outside Services		12,845.00	0.4
Automobile Expense       78,454.58       2.5         Rent       73,924.03       2.4         Insurance       29,980.12       1.0         Office Supplies       74,164.74       2.4         Trade Shows       17,514.03       0.6         Postage and Delivery       593.32       0.0         Printing and Reproduction       1,422.36       0.0         Equipment Rental       6,826.71       0.2         Telephone       43,606.15       1.4         Taxes-Other       10,351.46       0.3         Dues and Subscriptions       6,060.36       0.2         Professional Fees       13,899.17       0.4         Travel Expenses       37,472.05       1.2         Bank Fees       690.11       0.0         Entertainment       68,160.75       2.2         Licenses and Permits       825.00       0.0         Advertising and Promotion       45,223.54       1.5         Deer Lease Expenses       33,319.30       1.1         Other Operating Expenses       3,293.57       0.1         TOTAL OPERATING EXPENSES       2,480,621.91       79.7         OPERATING INCOME       632,664.55       20.3         OTHER EXPENSES	HR Administrative Fees		50,290.35	1.6
Rent       73,924.03       2.4         Insurance       29,980.12       1.0         Office Supplies       74,164.74       2.4         Trade Shows       17,514.03       0.6         Postage and Delivery       593.32       0.0         Printing and Reproduction       1,422.36       0.0         Equipment Rental       6,826.71       0.2         Telephone       43,606.15       1.4         Taxes-Other       10,351.46       0.3         Dues and Subscriptions       6,060.36       0.2         Professional Fees       13,889.17       0.4         Travel Expenses       37,472.05       1.2         Bank Fees       690.11       0.0         Entertainment       68,160.75       2.2         Licenses and Permits       825.00       0.0         Advertising and Promotion       45,223.54       1.5         Deer Lease Expenses       33,319.30       1.1         Other Operating Expenses       3,293.57       0.1         TOTAL OPERATING EXPENSES       2,480,621.91       79.7         OPERATING INCOME       632,664.55       20.3         OTHER EXPENSES       5,735.00       0.2         Contributions       <	Retirement Contributions		34,092.85	1.1
Insurance         29,980.12         1.0           Office Supplies         74,164.74         2.4           Trade Shows         17,514.03         0.6           Postage and Delivery         593.32         0.0           Printing and Reproduction         1,422.36         0.0           Equipment Rental         6,826.71         0.2           Telephone         43,606.15         1.4           Taxes-Other         10,351.46         0.3           Dues and Subscriptions         6,060.36         0.2           Professional Fees         13,899.17         0.4           Travel Expenses         37,472.05         1.2           Bank Fees         690.11         0.0           Entertainment         68,160.75         2.2           Licenses and Permits         825.00         0.0           Advertising and Promotion         45,223.54         1.5           Deer Lease Expenses         33,319.30         1.1           Other Operating Expenses         3,293.57         0.1           TOTAL OPERATING EXPENSES         2,480,621.91         79.7           OPERATING INCOME         632,664.55         20.3           OTHER EXPENSES         5,735.00         0.2           <	Automobile Expense		78,454.58	2.5
Office Supplies       74,164.74       2.4         Trade Shows       17,514.03       0.6         Postage and Delivery       593.32       0.0         Printing and Reproduction       1,422.36       0.0         Equipment Rental       6,826.71       0.2         Telephone       43,606.15       1.4         Taxes-Other       10,351.46       0.3         Dues and Subscriptions       6,060.36       0.2         Professional Fees       13,899.17       0.4         Travel Expenses       37,472.05       1.2         Bank Fees       690.11       0.0         Entertainment       68,160.75       2.2         Licenses and Permits       825.00       0.0         Advertising and Promotion       45,223.54       1.5         Deer Lease Expenses       33,319.30       1.1         Other Operating Expenses       3,293.57       0.1         TOTAL OPERATING EXPENSES       2,480,621.91       79.7         OPERATING INCOME       632,664.55       20.3         OTHER EXPENSES       5,735.00       0.2         Contributions       5,735.00       0.2         Depreciation Expense       16,932.72       0.5         TOTAL O	Rent		73,924.03	2.4
Trade Shows       17,514.03       0.6         Postage and Delivery       593.32       0.0         Printing and Reproduction       1,422.36       0.0         Equipment Rental       6,826.71       0.2         Telephone       43,606.15       1.4         Taxes-Other       10,351.46       0.3         Dues and Subscriptions       6,060.36       0.2         Professional Fees       13,899.17       0.4         Travel Expenses       37,472.05       1.2         Bank Fees       690.11       0.0         Entertainment       68,160.75       2.2         Licenses and Permits       825.00       0.0         Advertising and Promotion       45,223.54       1.5         Deer Lease Expenses       33,319.30       1.1         Other Operating Expenses       3,293.57       0.1         TOTAL OPERATING EXPENSES       2,480,621.91       79.7         OPERATING INCOME       632,664.55       20.3         OTHER EXPENSES       5,735.00       0.2         Contributions       5,735.00       0.2         Depreciation Expense       16,932.72       0.5         TOTAL OTHER EXPENSES       22,667.72       0.5	Insurance		29,980.12	1.0
Postage and Delivery       593.32       0.0         Printing and Reproduction       1,422.36       0.0         Equipment Rental       6,826.71       0.2         Telephone       43,606.15       1.4         Taxes-Other       10,351.46       0.3         Dues and Subscriptions       6,060.36       0.2         Professional Fees       13,899.17       0.4         Travel Expenses       37,472.05       1.2         Bank Fees       690.11       0.0         Entertainment       68,160.75       2.2         Licenses and Permits       825.00       0.0         Advertising and Promotion       45,223.54       1.5         Deer Lease Expenses       33,319.30       1.1         Other Operating Expenses       3,293.57       0.1         TOTAL OPERATING EXPENSES       2,480,621.91       79.7         OPERATING INCOME       632,664.55       20.3         OTHER EXPENSES       5,735.00       0.2         Contributions       5,735.00       0.2         Depreciation Expense       16,932.72       0.5         TOTAL OTHER EXPENSES       22,667.72       0.5	Office Supplies		74,164.74	2.4
Printing and Reproduction       1,422.36       0.0         Equipment Rental       6,826.71       0.2         Telephone       43,606.15       1.4         Taxes-Other       10,351.46       0.3         Dues and Subscriptions       6,060.36       0.2         Professional Fees       13,899.17       0.4         Travel Expenses       37,472.05       1.2         Bank Fees       690.11       0.0         Entertainment       68,160.75       2.2         Licenses and Permits       825.00       0.0         Advertising and Promotion       45,223.54       1.5         Deer Lease Expenses       33,319.30       1.1         Other Operating Expenses       3,293.57       0.1         TOTAL OPERATING EXPENSES       2,480,621.91       79.7         OPERATING INCOME       632,664.55       20.3         OTHER EXPENSES       5,735.00       0.2         Contributions       5,735.00       0.2         Depreciation Expense       16,932.72       0.5         TOTAL OTHER EXPENSES       22,667.72       0.5	Trade Shows		17,514.03	0.6
Equipment Rental       6,826.71       0.2         Telephone       43,606.15       1.4         Taxes-Other       10,351.46       0.3         Dues and Subscriptions       6,060.36       0.2         Professional Fees       13,899.17       0.4         Travel Expenses       37,472.05       1.2         Bank Fees       690.11       0.0         Entertainment       68,160.75       2.2         Licenses and Permits       825.00       0.0         Advertising and Promotion       45,223.54       1.5         Deer Lease Expenses       33,319.30       1.1         Other Operating Expenses       3,293.57       0.1         TOTAL OPERATING EXPENSES       2,480,621.91       79.7         OPERATING INCOME       632,664.55       20.3         OTHER EXPENSES       5,735.00       0.2         Contributions       5,735.00       0.2         Depreciation Expense       16,932.72       0.5         TOTAL OTHER EXPENSES       22,667.72       0.5	Postage and Delivery		593.32	0.0
Telephone       43,606.15       1.4         Taxes-Other       10,351.46       0.3         Dues and Subscriptions       6,060.36       0.2         Professional Fees       13,899.17       0.4         Travel Expenses       37,472.05       1.2         Bank Fees       690.11       0.0         Entertainment       68,160.75       2.2         Licenses and Permits       825.00       0.0         Advertising and Promotion       45,223.54       1.5         Deer Lease Expenses       33,319.30       1.1         Other Operating Expenses       3,293.57       0.1         TOTAL OPERATING EXPENSES       2,480,621.91       79.7         OPERATING INCOME       632,664.55       20.3         OTHER EXPENSES       5,735.00       0.2         Depreciation Expense       16,932.72       0.5         TOTAL OTHER EXPENSES       22,667.72       0.7	Printing and Reproduction		1,422.36	0.0
Taxes-Other       10,351.46       0.3         Dues and Subscriptions       6,060.36       0.2         Professional Fees       13,899.17       0.4         Travel Expenses       37,472.05       1.2         Bank Fees       690.11       0.0         Entertainment       68,160.75       2.2         Licenses and Permits       825.00       0.0         Advertising and Promotion       45,223.54       1.5         Deer Lease Expenses       33,319.30       1.1         Other Operating Expenses       3,293.57       0.1         TOTAL OPERATING EXPENSES       2,480,621.91       79.7         OPERATING INCOME       632,664.55       20.3         OTHER EXPENSES       5,735.00       0.2         Contributions       5,735.00       0.2         Depreciation Expense       16,932.72       0.5         TOTAL OTHER EXPENSES       22,667.72       0.7	Equipment Rental		6,826.71	0.2
Dues and Subscriptions       6,060.36       0.2         Professional Fees       13,899.17       0.4         Travel Expenses       37,472.05       1.2         Bank Fees       690.11       0.0         Entertainment       68,160.75       2.2         Licenses and Permits       825.00       0.0         Advertising and Promotion       45,223.54       1.5         Deer Lease Expenses       33,319.30       1.1         Other Operating Expenses       3,293.57       0.1         TOTAL OPERATING EXPENSES       2,480,621.91       79.7         OPERATING INCOME       632,664.55       20.3         OTHER EXPENSES       5,735.00       0.2         Depreciation Expense       16,932.72       0.5         TOTAL OTHER EXPENSES       22,667.72       0.7	Telephone		43,606.15	1.4
Professional Fees       13,899.17       0.4         Travel Expenses       37,472.05       1.2         Bank Fees       690.11       0.0         Entertainment       68,160.75       2.2         Licenses and Permits       825.00       0.0         Advertising and Promotion       45,223.54       1.5         Deer Lease Expenses       33,319.30       1.1         Other Operating Expenses       3,293.57       0.1         TOTAL OPERATING EXPENSES       2,480,621.91       79.7         OPERATING INCOME       632,664.55       20.3         OTHER EXPENSES       5,735.00       0.2         Contributions       5,735.00       0.2         Depreciation Expense       16,932.72       0.5         TOTAL OTHER EXPENSES       22,667.72       0.7	Taxes-Other		10,351.46	0.3
Travel Expenses       37,472.05       1.2         Bank Fees       690.11       0.0         Entertainment       68,160.75       2.2         Licenses and Permits       825.00       0.0         Advertising and Promotion       45,223.54       1.5         Deer Lease Expenses       33,319.30       1.1         Other Operating Expenses       3,293.57       0.1         TOTAL OPERATING EXPENSES       2,480,621.91       79.7         OPERATING INCOME       632,664.55       20.3         OTHER EXPENSES       5,735.00       0.2         Depreciation Expense       16,932.72       0.5         TOTAL OTHER EXPENSES       22,667.72       0.7	Dues and Subscriptions		6,060.36	0.2
Bank Fees       690.11       0.0         Entertainment       68,160.75       2.2         Licenses and Permits       825.00       0.0         Advertising and Promotion       45,223.54       1.5         Deer Lease Expenses       33,319.30       1.1         Other Operating Expenses       3,293.57       0.1         TOTAL OPERATING EXPENSES       2,480,621.91       79.7         OPERATING INCOME       632,664.55       20.3         OTHER EXPENSES       5,735.00       0.2         Depreciation Expense       16,932.72       0.5         TOTAL OTHER EXPENSES       22,667.72       0.7	Professional Fees		13,899.17	0.4
Entertainment       68,160.75       2.2         Licenses and Permits       825.00       0.0         Advertising and Promotion       45,223.54       1.5         Deer Lease Expenses       33,319.30       1.1         Other Operating Expenses       3,293.57       0.1         TOTAL OPERATING EXPENSES       2,480,621.91       79.7         OPERATING INCOME       632,664.55       20.3         OTHER EXPENSES       5,735.00       0.2         Contributions       5,735.00       0.2         Depreciation Expense       16,932.72       0.5         TOTAL OTHER EXPENSES       22,667.72       0.7	Travel Expenses		37,472.05	1.2
Licenses and Permits       825.00       0.0         Advertising and Promotion       45,223.54       1.5         Deer Lease Expenses       33,319.30       1.1         Other Operating Expenses       3,293.57       0.1         TOTAL OPERATING EXPENSES       2,480,621.91       79.7         OPERATING INCOME       632,664.55       20.3         OTHER EXPENSES       5,735.00       0.2         Contributions       5,735.00       0.2         Depreciation Expense       16,932.72       0.5         TOTAL OTHER EXPENSES       22,667.72       0.7	Bank Fees		690.11	0.0
Advertising and Promotion       45,223.54       1.5         Deer Lease Expenses       33,319.30       1.1         Other Operating Expenses       3,293.57       0.1         TOTAL OPERATING EXPENSES       2,480,621.91       79.7         OPERATING INCOME       632,664.55       20.3         OTHER EXPENSES       5,735.00       0.2         Contributions       5,735.00       0.2         Depreciation Expense       16,932.72       0.5         TOTAL OTHER EXPENSES       22,667.72       0.7	Entertainment		68,160.75	2.2
Deer Lease Expenses       33,319.30       1.1         Other Operating Expenses       3,293.57       0.1         TOTAL OPERATING EXPENSES       2,480,621.91       79.7         OPERATING INCOME       632,664.55       20.3         OTHER EXPENSES       5,735.00       0.2         Depreciation Expense       16,932.72       0.5         TOTAL OTHER EXPENSES       22,667.72       0.7	Licenses and Permits		825.00	0.0
Other Operating Expenses         3,293.57         0.1           TOTAL OPERATING EXPENSES         2,480,621.91         79.7           OPERATING INCOME         632,664.55         20.3           OTHER EXPENSES         5,735.00         0.2           Depreciation Expense         16,932.72         0.5           TOTAL OTHER EXPENSES         22,667.72         0.7	Advertising and Promotion		45,223.54	1.5
TOTAL OPERATING EXPENSES       2,480,621.91       79.7         OPERATING INCOME       632,664.55       20.3         OTHER EXPENSES       5,735.00       0.2         Contributions       5,735.00       0.2         Depreciation Expense       16,932.72       0.5         TOTAL OTHER EXPENSES       22,667.72       0.7	Deer Lease Expenses		33,319.30	1.1
OPERATING INCOME         632,664.55         20.3           OTHER EXPENSES         5,735.00         0.2           Contributions         5,735.00         0.2           Depreciation Expense         16,932.72         0.5           TOTAL OTHER EXPENSES         22,667.72         0.7	Other Operating Expenses	_	3,293.57	1.0
OTHER EXPENSES         5,735.00         0.2           Contributions         5,735.00         0.2           Depreciation Expense         16,932.72         0.5           TOTAL OTHER EXPENSES         22,667.72         0.7	TOTAL OPERATING EXPENSES		2,480,621.91	79.7
OTHER EXPENSES         5,735.00         0.2           Contributions         5,735.00         0.2           Depreciation Expense         16,932.72         0.5           TOTAL OTHER EXPENSES         22,667.72         0.7	OPERATING INCOME	_	632,664.55	20.3
Contributions         5,735.00         0.2           Depreciation Expense         16,932.72         0.5           TOTAL OTHER EXPENSES         22,667.72         0.7	OTHER EXPENSES			
Depreciation Expense         16,932.72         0.5           TOTAL OTHER EXPENSES         22,667.72         0.7			5,735,00	0.2
TOTAL OTHER EXPENSES 22,667.72 0.7				
	·	-		
	NET INCOME	\$		

See accountant's compilation report.

# Exhibit C-4 Financial Arrangements

T.E.S. ENERGY SERVICES, L.P.

Exhibit C-4 is not applicable. Applicant is applying for gas broker license, only.

# Exhibit C-5: Forecasted Financial Statements T.E.S. ENERGY SERVICES, L.P. Projection 2017 - Income Statement FOR THE STATE OF OHIO

R	F١	/F	M	П	ES
	ᆸ	<i>,</i> –	ıv	u	

**NET INCOME** 

KEVENUES			
	Consulting Income	\$45,405.02	
TOTAL REVE	NUES	\$45,405.02	\$45,405.02
ODEDATING	FYDENICEC		
OPERATING			
	Salaries	\$0.00	
	Payroll Taxes	\$0.00	
	Taxes - Other	\$0.00	
	Commissions Expense	\$3,500.00	
	Outside Services	\$1,200.00	
	HR Aministrative Fees	\$0.00	
	Insurance	\$200.00	
	Postage and Delivery	\$100.00	
	Telephone	\$250.00	
	Professional Fees	\$450.00	
	Travel Expenses	\$0.00	
	Entertainment	\$0.00	
	Licenses and Permits	\$200.00	
	Advertising and Promotion	\$150.00	
	Other Operating Expenses	\$300.00	
TOTAL OPER	RATING EXPENSES	\$6,350.00	\$6,350.00

\$39,055.02

# Exhibit C-5: Forecasted Financial Statements T.E.S. ENERGY SERVICES, L.P. Projection 2018 - Income Statement FOR THE STATE OF OHIO

# **REVENUES**

**NET INCOME** 

KEAFMOF2			
	Consulting Income	\$50,500.00	
TOTAL REVE	NUES	\$50,500.00	\$50,500.00
OPERATING	EXPENSES		
	Salaries	\$0.00	
	Payroll Taxes	\$0.00	
	Taxes - Other	\$0.00	
	Commissions Expense	\$4,000.00	
	Outside Services	\$1,200.00	
	HR Aministrative Fees	\$0.00	
	Insurance	\$200.00	
	Postage and Delivery	\$100.00	
	Telephone	\$250.00	
	Professional Fees	\$450.00	
	Travel Expenses	\$0.00	
	Entertainment	\$0.00	
	Licenses and Permits	\$200.00	
	Advertising and Promotion	\$150.00	
	Other Operating Expenses	\$300.00	
TOTAL OPER	RATING EXPENSES	\$6,850.00	\$6,850.00

\$43,650.00

Location Type Single Location Web

# DNBi Risk Management

Live Report: TES ENERGY SERVICES, LP

D-U-N-\$® Number: 36-112-1366

Endorsement/Billing Reference: gimborr@dnb.com

**D&B Address** 

Address 17480 Dalias Pkwy Ste

Dallas, TX, US - 75287

Phone 972 447-0447

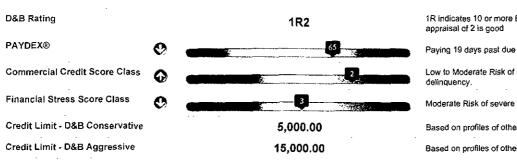
Fax

Endorsement: gimborr@dnb.com

# **Company Summary**

Currency: Shown in USD unless otherwise indicated

### Score Bar



1R indicates 10 or more Employees, Credit appraisal of 2 is good

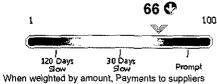
Low to Moderate Risk of severe payment

Moderate Risk of severe financial stress.

Based on profiles of other similar companies.

Based on profiles of other similar companies.

# D&B 3-month PAYDEX®



average 19 Days Beyond Terms

# D&B PAYDEX®



When weighted by amount, Payments to suppliers average 19 days beyond terms

### D&B Company Overview

### This is a single location

_	
Manager	BRIAN MARKHAM, MEMBER
Year Started	2003
Employees	10
SIC	7539 , 8711
Line of business	Electrical consulting services
NAICS	811118

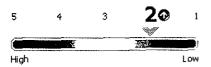
### **Public Filings**

The following data includes both open and closed filings found in D&B's database on this company.

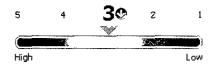
Record Type	Number of Records	Most Recent Filing Date
Bankruptcies	0	-
Judgments	0	-
Liens	0	-
Suits	0	
UCCs	1	06/12/09

The public record items contained herein may have been paid, terminated, vacated or released prior to todays date.

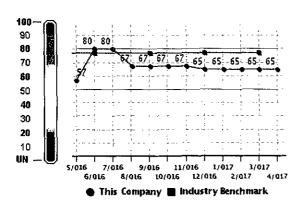
## **Commercial Credit Score Class**



# Financial Stress Score Class



## **PAYDEX® Trend Chart**



### **Predictive Scores**

Currency: Shown in USD unless otherwise indicated

# Credit Capacity Summary

This credit rating was assigned because of D&B's assessment of the company's creditworthiness. For more information, see the

D&B Rating Key

1R2 D&B Rating:

Number of employees: 1R indicates 10 or more employees

Composite credit appraisal: 2 is good

The 1R and 2R ratings categories reflect company size based on the total number of employees for the business. They are assigned to business files that do not contain a current financial statement. In 1R and 2R Ratings, the 2, 3, or 4 creditworthiness indicator is based on analysis by D&B of public fillings, trade payments, business age and other important factors. 2 is the highest Composite Credit Appraisal a company not supplying D&B with current financial information can receive.

Below is an overview of the companys
rating history since 05-20-2005

Number of Employees Total:

10

D&B Rating	Date Applied
1R2	02-08-2013
1 <del>R</del> 3	09-24-2009
	05-20-2005

**Payment Activity:** 

(based on 6 experiences)

Average High Credit: 2,933 Highest Credit: 15,000 **Total Highest Credit:** 17,600

# D&B Credit Limit Recommendation

Conservative credit Limit

5,000

Aggressive credit Limit:

15,000



#### Risk category for this business:

LOW

The Credit Limit Recommendation (CLR) is intended to serve as a directional benchmark for all businesses within the same line of business or industry, and is not calculated based on any individual business. Thus, the CLR is intended to help guide the credit limit decision, and must be balanced in combination with other elements which reflect the individual company's size, financial strength, payment history, and credit worthiness, all of which can be derived from D&B reports.

Risk is assessed using D&Bs scoring methodology and is one factor used to create the recommended limits. See Help for details.

#### Financial Stress Class Summary

The Financial Stress Score predicts the likelihood of a firm ceasing business without paying all creditors in full, or reorganization or obtaining relief from creditors under state/federal law over the next 12 months. Scores were calculated using a statistically valid model derived from D&Bs extensive data files.

The Financial Stress Class of 3 for this company shows that firms with this class had a failure rate of 0.24% (24 per 10,000), which is lower than the average of businesses in D & B's database

#### Financial Stress Class:



Moderately lower than average risk of severe financial stress, such as a bankruptcy or going out of business with unpaid debt, over the next 12 months.

#### Probability of Failure:

Risk of Severe Financial Stress for Businesses with this Class: 0.24 % (24 per 10,000) Financial Stress National Percentile: 49 (Highest Risk: 1; Lowest Risk: 100)

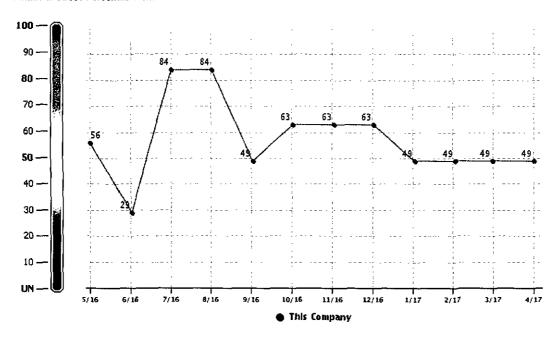
Financial Stress Score: 1474 (Highest Risk: 1,001; Lowest Risk: 1,875)
Average Risk of Severe Financial Stress for Businesses in D&B database: 0.48 % (48 per 10,000)

### The Financial Stress Class of this business is based on the following factors:

Low Paydex Score.

Low proportion of satisfactory payment experiences to total payment experiences. Unstable Paydex over last 12 months.

Financial Stress Percentile Trend:



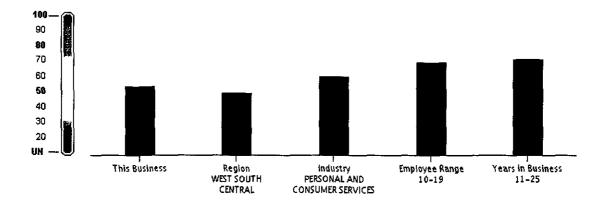
#### Notes:

The Financial Stress Class indicates that this firm shares some of the same business and financial characteristics of other companies with this classification. It does not mean the firm will necessarily experience financial stress.

The Probability of Failure shows the percentage of firms in a given Class that discontinued operations over the past year with loss to creditors. The Probability of Failure - National Average represents the national failure rate and is provided for comparative purposes.

The Financial Stress National Percentile reflects the relative ranking of a company among all scorable companies in D&Bs file.

The Financial Stress Score offers a more precise measure of the level of risk than the Class and Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.



Norms	National %
This Business	49
Region: WEST SOUTH CENTRAL	44
Industry: PERSONAL AND CONSUMER SERVICES	56
Employee range: 10-19	66
Years in Business: 11-25	68

This Business has a Financial Stress Percentile that shows:

Lower risk than other companies in the same region.

Higher risk than other companies in the same industry.

Higher risk than other companies in the same employee size range.

..........

#### Credit Score Summary

The Commercial Credit Score (CCS) predicts the likelihood of a business paying its bills in a severely delinquent manner (91 days or more past terms).

The Credit Score class of 2 for this company shows that 2.5% of firms with this class paid one or more bills severely delinquent, which is lower than the average of businesses in D & B's database.

#### Credit Score Class:



Incidence of Delinquent Payment

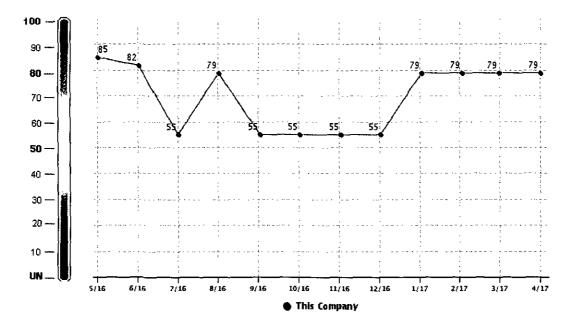
Among Companies with this Classification: 2.50 %

Average compared to businesses in D&Bs database: 10.20 % Credit Score Percentile: 79 (Highest Risk: 1; Lowest Risk: 100) Credit Score: 548 (Highest Risk: 101; Lowest Risk:670)

#### The Credit Score Class of this business is based on the following factors:

Higher risk industry based on delinquency rates for this industry Recent high balance past due Unstable Paydex over last 12 months

#### Credit Score Class Percentile Trend:



#### Notes:

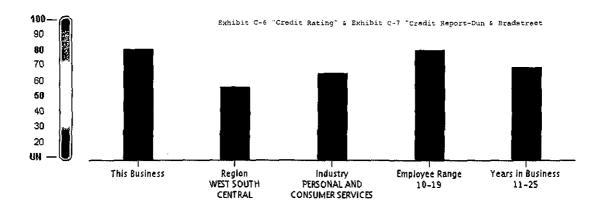
The Commercial Credit Score Risk Class indicates that this firm shares some of the same business and financial characteristics of other companies

with this classification. It does not mean the firm will necessarily experience severe delinquency.

The Incidence of Delinquent Payment is the percentage of companies with this classification that were reported 91 days past due or more by creditors. The calculation of this value is based on D&B's trade payment database.

The Commercial Credit Score percentile reflects the relative ranking of a firm among all scorable companies in D&B's file.

The Commercial Credit Score offers a more precise measure of the level of risk than the Risk Class and Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.



Norms	National %
This Business	79
Region: WEST SOUTH CENTRAL	52
Industry: PERSONAL AND CONSUMER SERVICES	62
Employee range: 10-19	78
Years in Business: 11-25	66

This business has a Credit Score Percentile that shows:

Lower risk than other companies in the same region.

Lower risk than other companies in the same industry.

Lower risk than other companies in the same employee size range.

Lower risk than other companies with a comparable number of years in business.

### **Trade Payments**

Currency: Shown in USD unless otherwise indicated

### **D&B PAYDEX®**

The D&B PAYDEX is a unique, weighted indicator of payment performance based on payment experiences as reported to D&B by trade references. Learn more about the D&B PAYDEX

Timeliness of historical payments for this company.

**Current PAYDEX is** 

65 Equal to 19 days beyond terms ( Pays more slowly than the average for its industry of 5 days beyond terms )

.....

Industry Median is

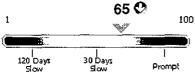
77 Equal to 5 days beyond terms

Payment Trend currently is 💮 Unchanged, compared to payments three months ago

Indications of slowness can be the result of dispute over merchandise, skipped invoices etc. Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

Total payment Experiences in D&Bs File (HQ)	6
Payments Within Terms (not weighted)	88 %
Trade Experiences with Slow or Negative Payments(%)	33.33%
Total Placed For Collection	0
High Credit Average	2,933
Largest High Credit	15,000
Highest Now Owing	15,000

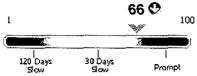
#### **D&B PAYDEX**



- High risk of late payment (Average 30 to 120 days beyond terms)
- Medium risk of late payment (Average 30 days or less beyond terms)
- Low risk of late payment (Average prompt to 30+ days sooner)

When weighted by amount, payments to suppliers average 19 days beyond terms

#### 3-Month D&B PAYDEX



- High risk of late payment (Average 30 to 120 days beyond terms)
- Medium risk of late payment (Average 30 days or less beyond terms)
- Low risk of late payment (Average prompt to 30+ days sconer) Based on payments collected over last 3 months.

When weighted by amount, payments to suppliers average 19 days beyond terms

#### **Payment Habits**

For all payment experiences within a given amount of credit extended, shows the percent that this Business paid within terms. Provides number of experiences to calculate the percentage, and the total credit value of the credit extended.

\$ Credit Extended	# Payment Experiences	Total Amount	% of Payments Within Terms
Over 100,000	0	0	0%
50,000-100,000	0	0	0%
15,000-49,999	1	15,000	50%
5,000-14,999	0	0	0%
1,000-4,999	1	1,000	0% ;
Under 1,000	4	1,600	100%
			0% 50% 100°

Based on payments collected over last 24 months.

All Payment experiences reflect how bills are paid in relation to the terms granted. In some instances, payment beyond terms can be the result of disputes over merchandise, skipped invoices etc.

# Payment Summary

There are 6 payment experience(s) in D&Bs file for the most recent 24 months, with 4 experience(s) reported during the last three month period.

The highest Now Owes on file is 15,000. The highest Past Due on file is 0

Below is an overview of the companys currency-weighted payments, segmented by its suppliers primary industries:

Total Revd (#)

Total **Amts**  Largest High Credit

Within **Days Slow** <31 31-60 61-90 90> Terms (%) (%) (%)

Top Industries	Exhibit C-6	"Credit Rating" &	Exhibit C-7	"Credit	Raport	-Dun	& Br	adstreet
Public finance	2	1,750	1,000	43	57	0	0	0
Short-trm bush credit	1	15,000	15,000	50	50	0	0	0
Security broker/deal	1	500	500	100	0	0	0	0
Whot office supplies	1	250	250	100	0	0	0	0
Ret stationery	. 1	100	100	100	0	0	0	0
Other payment categories								
Cash experiences	0	0	0					
Payment record unknown	0	0	0					
Unfavorable comments	0	0	0					
Placed for collections	0	N/A	0					
Total in D&B's file	6	17,600	15,000					

Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

Indications of slowness can be result of dispute over merchandise, skipped invoices etc.

# Detailed payment history for this company

Date Reported (mm/yy)	Paying Record	High Credit	Now Owes	Past Due	Selling Terms	Last Sale Within (month)
03/17	Ppt	500	500	0 N	130	1 mo
	Ppt	250	100	0 N	130	1 mo
	Ppt	100	0	4.0	130	2-3 mos
	Ppt-Slow 30	15,000	15,000	0		1 mo
10/16	Slow	1,000				1 mo
01/16	Ppt	750				1 mo

Payments Detail Key: 30 or more days beyond terms

Payment experiences reflect how bills are paid in relation to the terms granted. In some instances payment beyond terms can be the result of disputes over merchandise, skipped invoices, etc. Each experience shown is from a separate supplier. Updated trade experiences replace those previously reported.

# **Public Filings**

Currency: Shown in USD unless otherwise indicated

Summary

The following data includes both open and closed fillings found in D&B's database on this company.

Record Type	# of Records	Most Recent Filing Date	
Bankruptcy Proceedings	0	-	
Judgments	0	-	
Liens	0	-	
Suits	0	-	
UCCs	1	06/12/09	

The following Public Filing data is for information purposes only and is not the official record. Certified copies can only be obtained from the official source.

**UCC Filings** 

#### Exhibit C-6 "Credit Rating" & Exhibit C-7 "Credit Report-Dun & Bradstreet

Leased Equipment

Collateral

Business started 2003.

JIM BONO PARTNER. Antecedents are undetermined.

Type Original Sec. Party CHASE BANK, OKLAHOMA CITY, OK Debtor TES ENERGY SERVICES Filing No. 090016709769 Filed With SECRETARY OF STATE/UCC DIVISION, AUSTIN, TX Date Filed 2009-06-12 Latest Info Received 06/22/09 Government Activity **Activity summary** Borrower (Dir/Guar) NQ Administrative Debt NO Contractor NO Grantee NO NO Party excluded from federal program(s) Possible candidate for socio-economic program consideration Labour Surplus Area N/A Small Business YES (2017) 8(A) firm N/A The details provided in the Government Activity section are as reported to Dun & Bradstreet by the federal government and other sources. **History & Operations** Currency: Shown in USD unless otherwise indicated Company Overview Company Name: TES ENERGY SERVICES, LP Street Address: 17480 Dallas Pkwy Ste 200 Dallas, TX 75287 Phone: 972 447-0447 History Is clear Present management control 14 years History The following information was reported: 04/08/2017 JIM BONO, MEMBER JERRY GATTI, MEMBER MIKE TEAGUE, MEMBER Officer(s): PAT TEAGUE, MEMBER

9

JERRY GATTI PARTNER, Antecedents are undetermined.

MIKE TEAGUE, PARTNER. Antecedents are undetermined.

PAT TEAGUE, PARTNER. Antecedents are undetermined.

#### **Business Registration**

CORPORATE AND BUSINESS REGISTRATIONS REPORTED BY THE SECRETARY OF STATE OR OTHER OFFICIAL SOURCE AS OF Apr 23 2017

Registered Name:

T. E. S. ENERGY SERVICES, L.P.

Business type:

DOMESTIC LIMITED PARTNERSHIP

State of incorporation: TEXAS

Filing date:

Oct 02 2003

Registration ID:

0800253124

**Duration:** Status:

PERPETUAL IN EXISTENCE

Where filed:

SECRETARY OF STATE, AUSTIN, TX

Registered agent:

C T CORPORATION SYSTEM, 1999 BRYAN ST. SUITE 900, DALLAS, TX,

752010000

Principals:

ILLUMINATED PARTNERS, INC., GENERAL PARTNER, 17480 DALLAS

PARKWAY;#200, DALLAS, TX, 752870000

#### Operations

#### 04/08/2017

Provides business consulting services (100%),

Description:

Nonseasonal.



Employees:

10 which includes partners. Rents 2,500 sq. ft. in building.

Facilities: Location:

Suburban business section on main street.

#### SIC & NAICS

#### SIC:

Based on information in our file, D&B has assigned this company an extended 8-digit SIC. D&B's use of 8-digit SICs enables us to be more specific about a company's operations than if we use the standard 4-digit code.

The 4-digit SIC numbers link to the description on the Occupational Safety & Health Administration (OSHA) Web site. Links open in a new browser window.

7539 0300 Electrical services

8711 9905 Electrical or electronic engineering

#### NAICS:

811118 Other Automotive Mechanical and Electrical Repair and Maintenance

541330 Engineering Services

# Detailed Trade Risk Insight™

Detailed Trade Risk Insight provides detailed updates on over 1.5 billion commercial trade experiences collected from more than 260 million unique supplier/purchaser relationships.

Days Beyond Terms - Past 3 & 12 Months

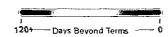
3 months from Feb 17 to Apr 17





Dollar-weighted average of 3 payment experiences reported from 3 companies

6 Days

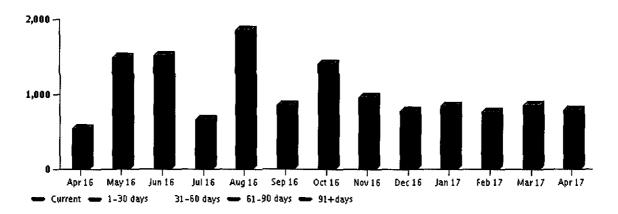


Dollar-weighted average of 5 payment experiences reported from 5 companies

#### Derogatory Events Last 13 Months from Apr 16 to Apr 17

No Derogatory trade Event has been reported on this company for the past 13 Months

#### Total Amount Current and Past Due - 13 month trend from Apr 16 to Apr 17



Status	Apr-16	May-16	Jun-16	Jul-16	Aug-16	Sep-16	Oct-16	Nov-16	Dec-16	Jan-17	Feb-17	Mar-17	Apr-17
Total	536	1,490	1,513	658	1,861	856	1,407	965	769	837	761	851	781
Current	513	1,490	1,513	380	1,861	533	1,407	965	769	837	761	851	781
1-30 Days Past Due	23	-	-	278		323	-	-	-	-	-	-	-
31-60 Days Past Due		-	-	-	_	-	-	-	-	_		-	_
61-90 Days Past Due	-	-	-	. <b>-</b>	-		-	-	-	-	-	-	-
90+ Days Past Due		-	-	-		-	-	-	-	-	-	· -	

This information may not be reproduced in whole or in part by any means of reproduction.

© 2005-2017 Dun & Bradstreet, Inc.

Exhibit C-6 "Credit Rating" & Exhibit C-7 "Credit Report - Experian"



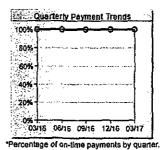
Report My reports My account Products Help Business services Logout For help reading this report, please review our sample report. Search Inquiry: Tes Energy Services, Lp / Daltas / TX / 75287 / (My company) ProfilePlus<sup>SM</sup> Report as of: 04/27/17 09:53 ET T.E.S. Energy Services, L.P 17480 Dallas Pkwy Ste 200 Address: Managing Partner: Terrel Mike Key Personnel: Dalles, TX 75287-7304 Partner: Mike Teague United States President: Brian Markham Phone: 972-447-0447 7539-Automotive Repair Shops, Nec SIC Code: 1711-Plumbing, Heating & A/C Contractors Website: tesenergyservices.com 8742-Management Consulting Services 851811870 Experian BIN: 811198-All Other Automotive Repair And NAICS Code: Maintenance Agent: C T Corporation System 238220-Plumbing, Heating, And Agent Address: 1999 Bryan ST Suite 900 Air-Conditioning Contractors
541610-Management Consulting Services Dallas, TX Business Type: Partnership Also is (or has been) operating as: October 2003 Experian File Established: Tes Energy Services LP 14 Years Experian Years on File: Years in Business: 19 Years Family Linkage: 125 Total Employees: Ultimate Parent T.E.S. Energy Services, L.P 17480 Dallas Pkwy Ste 200 \$20,000,000 Sales: Dallas, TX Filing Data Provided by: Texas T.E.S. Energy Services, L.P. Branches / Alternative 10/02/2003 Date of Incorporation: Locations 17480 Dallas Pkwy Ste 200 Dallas, TX United States Payment Tradelines (see charts, detail): UCC Filings: 0 This location does not yet have an estimated Days Beyond Terms (DBT), or a Payment Trend Indicator. This is often the ✗ Businesses Scoring Worse: 38% result of too few Payment Tradelines. ✓ Bankruptcies: D √ Liens; Lowest 6 Month Balance: \$5,320 ✓ Judgments Filed: Highest 6 Month Balance: \$13,915 ✓ Collections: Current Total Account Balance: \$0 Highest Credit Amount Extended: \$0 **Credit Summary** Back to top Experian Business Credit Score: 39 The objective of the Experian Business Credit Score is to predict payment behavior. High Risk means that there is a significant probability of delinquent payment. Low Risk means that there is a good probability of 2 on-time payment. Key Score Factors: · Number of active commercial accounts. Balance of all commercial accounts. · Number of commercial accounts with high utilization. · Ratio of balance to high credit for commercial accounts. Recommended Action: Medium Risk

Exhibit C-6 "Credit Rating" & Exhibit C-7 "Credit Report - Experian"



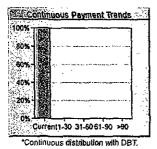
Back to top

Insufficient information to produce Monthly Payment Trends chart.

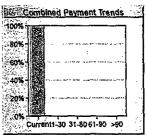


insufficient information to produce Monthly Payment Trends table.

CONTROLS L'ASUBUL 118008 » Cacaut Activity									
Date	Current	Up to 30 DBT	31-60 DBT	61-90 DBT	>90 DBT				
03/16	100%	0%	0%	0%	0%				
06/16	100%	0%	0%	0%	0%				
09/16	100%	0%	0%	0%	0%				
12/16	100%	0%	0%	0%	0%				
03/17	100%	0%	0%	0%	0%				



Insufficient Information to produce Newly Reported Payment Trends chart.



\*Combined distribution with DBT.

**Trade Payment Information** 

Back to top

Par	rment Ex	periences	(Financial	Tradast

i	Supplier Category   I				Balance Current Up to 30 DBT 31-60 DBT 61-90 DBT >90 DBT Comments	!
ì	Cred Card	3/28/17	Rev		3 \$13,314	ì
i	Fincl Svcs	4/01/17	61	\$9,880	0 \$5,320	i

\* The information herein is furnished in confidence for your exclusive use for legitimate business purposes and shall not be reproduced. Neither Experian nor its sources or distributors warrant such information nor shall they be liable for your use or reliance upon it.

® 2017 Experian Information Solutions Inc.

Back to top

Contact us Score Planner Legal terms Privacy

© 2017 Experian Information Solutions, Inc. All rights reserved.

Experian and the Experian marks herein are service marks or registered trademarks of Experian.

# Exhibit C-8 "Bankruptcy Information"

T.E.S. ENERGY SERVICES, L.P.

Exhibit C-8 is not applicable. No bankruptcy.

# Exhibit C-9 "Merger Information"

T.E.S. ENERGY SERVICES, L.P.

Exhibit C-9 is not applicable. No mergers.

# **Exhibit D-1 Operations**

# T.E.S. ENERGY SERVICES, L.P.

Other:

# Products and services include:

<u>Current Services:</u> Applicant is an energy consulting company of retail electric supply to end user commercial, industrial and residential customers. We work with businesses in the commercial, industrial and government entities to help them make informed decisions on their energy procurement throughout the deregulated territories.

#### **Power Factor Correction**

- Service Entrance Capacitor Banks eliminate low power factor utility penalties
- Motor Capacitors also eliminate distribution losses and save kilowatt hours (energy)

# Energy / Operational Savings

- Lighting retrofits, on/off controls, daylight harvesting, skylights
- Identify incorrectly sized motors, overloaded equipment, loads that can be turned off
- Electrical capacity increases
- > Adjustable speed drives
- ➤ High efficiency motors
- Identify compressed air leaks
- > Air conditioning system desiccants
- > Infrared inspection / scanning
- Predominant use studies to obtain sales tax exemption on gas and electric utility bills

# **Power Quality**

- Measurements/studies to identify the root cause(s) of problems
- Provide power conditioning and various equipment solutions
- > UPS
- Voltage regulation
- > Surge protection
- > Harmonic filters and line reactors
- Dip Proofing inverters
- Programming AC/DC adjustable speed drives to eliminate nuisance tripping
- Single phasing protection
- Flicker, motor soft starters
- Protective device coordination
- "Ride thru" techniques to eliminate nuisance equipment/process shutdowns

# Engineering / Projects

- Studies
- Specialized design such as power measurement systems
- > Specification preparation
- Turn key projects

# MIKE TEAGUE

17180 Dallas Placy (200) • Dulin, Tv 75287 • Phone: 9720.103-0877 • Pac: 979-117-0100 • E-Mail: auke Nesenergystrices.com

#### Objective

To provide quality consulting services through education and practical experiences.

### Experience

Over-head and Underground Electrical Construction 1978-2004

- . Co-owner and President of Teague Industries Junc.! dbn Utility Construction Co. , Inc.
- Teague Industries, Inc. was a major contractor for Texas Utilities, Inc. for 54 years. We excelled in
  pioneering the development of underground electrical distribution systems throughout the State of Texas. As a
  complement to the business we installed thousands of miles of fiber-optic cable throughout the region as well
  as Overhead distribution power lines.

Power and Demand Side Consulting 2002-present

- I was co-founder of TBS Energy Service, LP i.e., Teague Energy Services. TES Energy Services was founded to bring my energy background to the market place assisting customers with pricing options as well as demand side management of their facilities. My knowledge of the grid systems as well the production of electricity is of benefit to the customer who does not have the background to make difficult decisions on their electricity needs.
- Family
- . I have been married for 36 years and have 3 children and three grandchildren.

#### Education

Graduated from Sherman High School 1968

Associate Degree-Business Grayson County College 1968-1970

Studied Business and Accounting University of Texas at Austin 1968-1973

#### Community Involvement

Chairman of the local American Dinbetes Association 1975

Plano Symphony Orchestra Board 1983-1985

Plano Parks and Recreation Board 1985-1986

Plano City Council Member 1989-1991

Collin County Sheriff Reserve (Certified Texas Peace Officer) 1991-2004

Frisco, Texas [Postal Code]

Phone: 972-447-0447 ext. 107 Fax: 972-447-0400 E-Mail: linda@tesenergyservices.com

# Pat Teague

# Experience

Teague Industries, Inc.

1975-2002

- 1975-77 Worked on Biectrical Service Crew installing electrical services to residential
- 1977-79 Services Crew Foreman
- 1979-85 Superintendent of Services-in charge of as many as 30 services crews-90 people
- 1985 Vice-President of Construction for Electrical Underground and Overhead Distribution
- -2002 Executive Vice-Fresident for Teague Industries head of Construction in charge of up to 350 employees

**TES Energy Services** 

2002-2010

■ Co-Founder and Co-CEO of TES Energy Services

# Education

Sherman High School

1970

University of Texas @ Austin

1970-74

Degree-Bachelor of Science Business Administration

# Jim H. Bono

17480 Dailas Parkway, Suite #200 Dallas, TX 75287

Phone 972-447-0447 Fax 972-447-0400 email: jlm@tesenergyservices.com

# Objective

To assist customers with all of their energy needs. These needs would include electricity procurement, natural gas procurement, energy efficiency improvement projects, contract review, and billing audits. Building an overall relationship with customers where trust and honesty are vitality important and partnering with customers in make them as profitable as possible.

#### Experience

#### VP of Sales - TES Energy Services

3/2003 - Present

- Provide energy procurement and consultant services to customers throughout Texas.
- Assist clients with energy efficiency improvement projects
- Assist customers with billing issues as well as all service related problems.

#### Account Manager - TXU Energy

1/2000 - 3/2003

- Provide electricity and natural gas procurement services to commercial and industrial customers in Texas
- Build relationahips with all customers to maintain and increase revenue.
- Assist all customers with service related problems

#### Education

Texas A&M University May 1986

Bachelor of Science Degree in Electrical Engineering

Tyler ir. College May 1983

Associate of Art Degree

#### Skills

24 years of experience in the electric utility industry.

Motivated and enthusiastic about deleveloping relationships with all clients

Successfully built and renewed energy agreements with over 100 commercial and industrial customers

Organized effective marketing tools to communicate with accounts.



# Brian Markham, President

Brian Markham is the President of TES Energy Services, LP. Drawing a career that has cut across multiple industries inkling energy, distribution logistics, manufacturing representation and electrical supply distribution. Brian was also the past President of the Texas Electricity Professionals Association.

Prior to joining TES Energy Services, LP, Brian served as Vice President and General Manager of PhD. Southwest, Inc. PhD Southwest, Inc. is a leader in the distribution and logistics business throughout the United States.

Prior to joining PhD. Southwest, Inc., Brian worked within the house ware industry as the President and owner of D.U. Parker and Associates. D.U. Parker and Associates was a manufacturer representative in leading house ware manufactures.

Before joining D.U. Parker and Associates, Brian was the Director of Consumer Goods for Graybar Electric Co., located at the New York headquarters. Also, he served as the National Account Manager, Regional Manager and Branch Manager.

Brian earned his Bachelor of Business Administration at SMU and studied management courses at Harvard University. Brian served in the USMC.



# Jerry Gatti

17480 Dallas Parkway, Suite 200 Dallas, TX. 75001 Phone: 972-447-0447 Fax: 972-447-0400 E-Mail: jerry@tesenergyservices.com

# Objective

To offer complete energy consulting services nationwide.

#### Experience

#### TES Energy Services, LP

Oct. 2001 to Present

- Started energy services company in late 2001 as a business development idea out of Teague Industries, a utility construction firm founded in Texas in the late 1940's. Became "Registered aggregator" with Public Utility Commission of Texas in January 2002, the beginning of Texas deregulation of Electricity.
- As partner in firm, have built electric and gas clientele in Texas, California, Ohio, Maryland, California, Illinois, Washington DC. Need license in Pennsylvania to complete our services to nationwide clients.

#### **ROK** and Associates

September 1989 to October 2000.

- Sales Manager of Manufactures Rep firm covering Texas, Oklahoma, Arkansas, and Louisiana. Responsible for 17 salesmen and key accounts within territory doing \$95 million in sales.
- Responsible for transitioning firm from predominantly traditional auto parts to more lucrative retail product lines. Business more than tripled during tenure.

#### Education

Texas Christian University

Bachelor of Arts Degree

1967 to 1972

#### Skills

Business Development, Account management, and business computer skills.

# **Community Involvement**

Boys and Girls Clubs, Prestonwood Country Club, Prestonwood Business Networking Group, Texas Electric Professionals Association, numerous Chambers of Commerce in the Dallas area.

# MIKE TEAGUE

(7180 Dallas Placy #200 \* Dallas, To 73287 \* Phone: 9790408-0877 \* Pac: 972-147-0400 \* E-Mail: mike@terenergyservice.com

#### Objective

To provide quality consulting services through education and practical experiences.

#### Experience

Over-head and Underground Electrical Construction 1973-2004

- . Co-owner and President of Teague Industries ,Inc./ dba Utility Construction Co. , Inc.
- Teague Industries, Inc. was a major contractor for Texas Utilities, Inc. for \$4 years. We excelled in pioneering the development of underground electrical distribution systems throughout the State of Texas. As a complement to the business we insuffed thousands of miles of fibur-optic cable throughout the region as well as Overhead distribution power times.

Power and Demand Side Consulting 2002-present

- I was co-founder of TES Energy Service, LP i.e., Teague Energy Services. TES Energy Services was founded to bring my energy background to the market place assisting customers with pricing options as well as demand side management of their facilities. My knowledge of the grid systems as well the production of electricity is of benefit to the customer who does not have the background to make difficult decisions on their electricity needs.
- Family
- I have been married for 36 years and have 3 children and three grandchildren.

#### Education

Graduated from Sherman High School 1968

Associate Degree-Business Grayson County College 1968-1970 Studied Business and Accounting University of Texas at Austin 1968-1978

#### Community Involvement

Chairman of the local American Diabeter Association 1975
Plano Symphony Orchestra Board 1983-1985
Plano Parks and Recreation Board 1985-1986
Plano City Council Member 1985-1991

Collin County Sheriff Reserve (Certified Texas Peace Offices) 1991-2004

Frisco, Texas [Postal Code]

Phone: 972-447-0447 ext. 107 Fax: 972-447-0400 E-Mail: linda@tesenergyservices.com

# Pat Teague

# Experience

Teague Industries, Inc.

1975-2002

- 1975-77 Worked on Electrical Service Crew installing electrical services to residential
- 1977-79 Services Crew Foreman
- 1979-85 Superintendent of Services-in charge of as many as 30 services crews-90 people
- 1985 Vice-President of Construction for Electrical Underground and Overhead Distribution
- -2002 Executive Vice-President for Teague Industries head of Construction in charge of up to 350 employees

**TES Energy Services** 

2002-2010

■ Co-Founder and Co-CEO of TES Energy Services

#### Education

Sherman High School

1970

University of Texas @ Austin

1970-74

Degree-Bachelor of Science Business Administration

#### Jim H. Bono

17480 Dailas Parkway, Suite #200 Dallas, TX 75287

Phone 972-447-0447 Fax 972-447-0400 email: jim@tesenergyservices.com

# **Objective**

To assist customers with all of their energy needs. These needs would include electricity procurement, natural gas procurement, energy efficiency improvement projects, contract review, and billing audits. Building an overall relationship with customers where trust and honesty are vitally important and partnering with customers in make them as profitable as possible.

### Experience

#### VP of Sales - TES Energy Services

3/2003 - Present

- Provide energy procurement and consultant services to customers throughout Texas.
- Assist clients with energy efficiency improvement projects
- Assist customers with billing issues as well as all service related problems.

#### Account Manager - TXU Energy

1/2000 - 3/2003

- Provide electricity and natural gas procurement services to commercial and industrial customers in Texas
- Build relationahips with all customers to maintain and increase revenue.
- Assist all customers with service related problems

### Education

Texas A&M University May 1986

Bachelor of Science Degree in Electrical Engineering

Tyler jr, College May 1983

Associate of Art Degree

#### Skills

24 years of experience in the electric utility industry.

Motivated and enthusiastic about deleveloping relationships with all clients

Successfully built and renewed energy agreements with over 100 commercial and industrial customers

Organized effective marketing tools to communicate with accounts.



Brian Markham, President

Brian Markham is the President of TES Energy Services, LP. Drawing a career that has cut across multiple industries inkling energy, distribution logistics, manufacturing representation and electrical supply distribution. Brian was also the past President of the Texas Electricity Professionals Association.

Prior to joining TES Energy Services, LP, Brian served as Vice President and General Manager of PhD. Southwest, Inc. PhD Southwest, Inc. is a leader in the distribution and logistics business throughout the United States.

Prior to joining PhD. Southwest, Inc., Brian worked within the house ware industry as the President and owner of D.U. Parker and Associates. D.U. Parker and Associates was a manufacturer representative in leading house ware manufactures.

Before joining D.U. Parker and Associates, Brian was the Director of Consumer Goods for Graybar Electric Co., located at the New York headquarters. Also, he served as the National Account Manager, Regional Manager and Branch Manager.

Brian earned his Bachelor of Business Administration at SMU and studied management courses at Harvard University. Brian served in the USMC.



# Jerry Gatti

17480 Dalias Parkway, Suite 200 Dallas, TX. 75001 Phone: 972-447-0447 Fax: 972-447-0400 E-Mail: jerry@tesenergyservices.com

### Objective

To offer complete energy consulting services nationwide.

### Experience

#### TES Energy Services, LP

Oct. 2001 to Present

- Started energy services company in late 2001 as a business development idea out of Teague Industries, a utility construction firm founded in Texas in the late 1940's. Became "Registered aggregator" with Public Utility Commission of Texas in January 2002, the beginning of Texas deregulation of Electricity.
- As partner in firm, have built electric and gas clientele in Texas, California, Ohio, Maryland, California, Illinois, Washington DC. Need license in Pennsylvania to complete our services to nationwide clients.

#### **ROK** and Associates

September 1989 to October 2000.

- Sales Manager of Manufactures Rep firm covering Texas, Oklahoma, Arkansas, and Louisiana. Responsible for 17 salesmen and key accounts within territory doing \$95 million in sales.
- Responsible for transitioning firm from predominantly traditional auto parts to more lucrative retail product lines. Business more than tripled during tenure.

#### Education

Texas Christian University

**Bachelor of Arts Degree** 

1967 to 1972

#### Skills

Business Development, Account management, and business computer skills.

#### Community Involvement

Boys and Girls Clubs, Prestonwood Country Club, Prestonwood Business Networking Group, Texas Electric Professionals Association, numerous Chambers of Commerce in the Dallas area.