THE PUBLIC UTILITIES COMMISSION OF OHIO

IN THE MATTER OF THE AUTHORIZATION OF THE COLUMBUS & OHIO RIVER RAILROAD TO INSTALL ACTIVE WARNING DEVICES IN THE CITY OF MOUNT VERNON, KNOX COUNTY.

CASE NO. 17-686-RR-FED

FINDING AND ORDER

Entered in the Journal on April 12, 2017

I. SUMMARY

{¶ 1} The Commission directs the Columbus & Ohio River Railroad to install active warning devices at Columbus Road grade crossing in the city of Mount Vernon, Knox County, by January 12, 2018, subject to the Commission's ongoing jurisdiction and oversight.

II. DISCUSSION

A. Applicable Law

- {¶ 2} R.C. 4905.04 provides that the Commission has statutory authority to regulate and promote the welfare and safety of railroad employees and the traveling public.
- {¶ 3} R.C. 4907.471 provides that the Commission shall survey all grade crossings and establish a priority list to determine which grade crossings are in need of additional protective devices.
- {¶ 4} R.C. 4907.476 provides that where the Ohio Department of Transportation (ODOT) enters into contracts and agreements for grade crossing protective device projects, the Commission shall work with and through the department. Federal funding for such projects is provided through the Ohio Rail Development Commission (ORDC),

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which is an independent agency of the state within ODOT, established in accordance with R.C. 4981.02.

{¶ 5} The Columbus & Ohio River Railroad (CUOH) is a railroad as defined in R.C. 4907.02 and subject to the jurisdiction of the Commission under R.C. 4905.04.

B. Procedural Background and Other Details

{¶ 6} On March 8, 2017, Staff filed a memorandum stating that the ORDC has authorized funding for CUOH to install lights and gates at the Columbus Road (DOT#152071R) grade crossing, located in the city of Mount Vernon, Knox County. Plans and estimates have been approved, subject to revision after bid tabulation, and will be paid fully by federal funds. The cost is as follows:

City/County/Road	Federal Funds
City of Mount Vernon, Knox	\$132,059, subject to
County, Columbus Road	revision after bid
(DOT#152071R)	tabulation

Staff notes that the crossing was surveyed on November 4, 2015, and was found to warrant the upgrade. Staff requests that the Commission issue an order directing completion within nine months.

{¶ 7} Upon review, CUOH is hereby authorized to acquire project materials and to commence construction as detailed in the letter of construction authorization. CUOH shall notify Staff at least five working days before the date work is scheduled to begin at the project so that arrangements can be made for any inspection. In addition, CUOH shall timely notify Staff of any changes in the scope of work, cost overruns, materials, etc., which are not in the approved plans in order to estimate and secure approval before the work is performed.

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[¶8] Within 30 days of the issuance of this Finding and Order, CUOH shall file notice that it has initiated discussions with American Electric Power (AEP) or any other applicable utility, to ensure that electric service will be available to enable the grade crossing improvements to be constructed and placed in service within the deadline specified by the Commission. Such filing shall identify the steps CUOH has taken with AEP, or any other electric utility, and the local highway authority to have electric service available during the construction and cut-in phases of the project. CUOH shall serve a copy of the notice upon AEP or any other applicable utility. To ensure that the deadline is met, AEP or any other applicable utility shall timely inform the Commission in writing of any issues regarding the availability of electric service.

- {¶ 9} The Commission will issue no further construction authorization. Further, the grade crossing improvement project should be completed by January 12, 2018.
- {¶ 10} It is expected that all work necessary for Federal Highway Administration acceptance of the warning devices will be completed by the in-service due date and that CUOH will be responsible for this work. This work includes, but is not limited to, any ancillary work to make the warning devices function as designed and visible to the roadway user, and Manual of Uniform Traffic Control Devices compliance, including minor roadway work if necessary.
- {¶ 11} In order to expedite the activation of the warning devices, the Commission no longer requires a delay in activation pending final inspection by Staff. At the time Staff or ORDC makes its final inspection of the devices and finds that the identified installation is complete and the warning devices have been put in service, Staff should file a memorandum in this docket indicating that the installation is complete and that the warning devices have been put in service.
- {¶ 12} With the intention of promoting increased public safety during the pendency of the project, the Commission urges the appropriate local government agency

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to make an immediate assessment of interim physical improvements, which would enhance driver awareness at the crossing. The Commission may provide assistance in funding improvements, such as rumble strips, illumination, signs or other safety enhancements for the project. Applications for such funding should be made to the Commission's Transportation Department, Rail Division, which shall review any proposal. In the event the department finds the improvements appropriate, the department director is hereby authorized to execute a contract with the local government agency, and obligate up to \$5,000 from the State Grade Crossing Safety Fund for such improvements at the project location. However, the local government agency should not initiate any improvements until a contract has been executed.

III. ORDER

- $\{\P 13\}$ It is, therefore,
- {¶ 14} ORDERED, That by May 12, 2017, CUOH file notice that it has initiated with AEP, or any applicable utility, the process to ensure that electric service will be available at the involved grade crossing as set forth in Paragraph 8. It is, further,
- {¶ 15} ORDERED, That CUOH follow the detailed instructions as outlined in the ORDC letter of construction authorization and proceed with and complete the project by January 12, 2018. It is, further,
- {¶ 16} ORDERED, That CUOH notify Staff and ORDC at least five working days before the date work is scheduled to begin at the project site so that arrangements can be made for any inspection. It is, further,
- {¶ 17} ORDERED, That CUOH timely notify Staff and ORDC of any changes in the scope of work, cost overruns, materials, etc., which are not in the approved plans and estimates, and shall secure approval before the work is performed. It is, further,

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{¶ 18} ORDERED, That, at the time Staff or ORDC makes its final inspection and finds that the installation at the grade crossing is complete and the warning devices have been put in service, Staff will file a memorandum in this docket indicating that the installation is complete and the warning devices have been put in service. It is, further,

{¶ 19} ORDERED, That a copy of this Finding and Order be served upon ORDC, CUOH, Genesee & Wyoming Railroad, Patrick Engineering, City of Mount Vernon Engineering, AEP, and any other interested person of record.

THE PUBLIC UTILITIES COMMISSION OF OHIO

Asim Z. Haque, Chairman

M. Beth Trombold

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Entered in the Journal

APR 1 2 2017

Barcy F. McNeal

Secretary