

FILE

Ohio

Public Utilities
Commission

Original

PUCO USE ONLY - Version 1.08		
Date Received	Renewal Certification Number	ORIGINAL AGG Case Number
		15 - 0644 - GA-AGG

RENEWAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS/AGGREGATORS

Please **type or print** all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-15 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

A-1 Applicant intends to renew its certificate as: (check all that apply)

☒ Retail Natural Gas Aggregator ☒ Retail Natural Gas Broker

A-2 Applicant information:

Legal Name Triple "S" Energy Management LLC
 Address 2038 Oldtown Valley Road SE, New Philadelphia, Ohio 44663
 Telephone No. 330-339-6001 Web site Address www.triplesenergy.com
 Current PUCO Certificate No. 15-432G (1) Effective Dates May 2, 2015 - May 2, 2017

A-3 Applicant information under which applicant will do business in Ohio:

Name Triple "S" Energy Management LLC
 Address 2038 Oldtown Valley Road SE, New Philadelphia, Ohio 44663
 Web site Address www.triplesenergy.com Telephone No. 330-339-6001

A-4 List all names under which the applicant does business in North America:

Triple "S" Energy Management LLC

A-5 Contact person for regulatory or emergency matters:

Name Sandy Carpenter Title Owner/President
 Business Address 2038 Oldtown Valley Road SE, New Philadelphia, Ohio 44663
 Telephone No. 330-339-6001 Fax No. 330-576-4141 Email Address scarpenter@triplesenergy.com

This is to certify that the images appearing are an accurate and complete reproduction of a true file document delivered in the regular course of business.

Technician Sum Date Processed MAR 21 2017 (GRN) Broker/Aggregator Renewal-Revised May 2016)

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PUCO
2017 MAR 20 PM 4:27

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name Sandy Carpenter

Title Owner/President

Business address 2038 Oldtown Valley Road SE, New Philadelphia, Ohio 44663

Telephone No. 330-339-6001

Fax No. 330-576-4141

Email Address scarpenter@triplesenergy.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address 2038 Oldtown Valley Road SE, New Philadelphia, Ohio 44663

Toll-Free Telephone No. 330-339-6001

Fax No. 330-576-4141

Email Address scarpenter@triplesenergy.com

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name Sandy Carpenter

Title Owner/President

Business address 2038 Oldtown Valley Road SE, New Philadelphia, Ohio 44663

Telephone No. 330-339-6001

Fax No. 330-576-4141

Email Address scarpenter@triplesenergy.com

A-9 Applicant's federal employer identification number 26-4585783

A-10 Applicant's form of ownership: (Check one)

☐ Sole Proprietorship

☐ Partnership

☐ Limited Liability Partnership (LLP)

☒ Limited Liability Company (LLC)

☐ Corporation

☐ Other

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<input checked="" type="checkbox"/> Columbia Gas of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Dominion East Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Duke Energy Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Vectren Energy Delivery of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

☒ Columbia Gas of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service June, 2013	End Date
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service June, 2013	End Date
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service June, 2013	End Date

☒ Dominion East Ohio

<input checked="" type="checkbox"/> Residential	Beginning Date of Service July, 2009	End Date
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service April, 2010	End Date
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service April, 2010	End Date
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service April, 2010	End Date

☐ Duke Energy Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Vectren Energy Delivery of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

<input type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date	
<input type="checkbox"/>	Dominion East Ohio	Intended Start Date	
<input checked="" type="checkbox"/>	Duke Energy Ohio	Intended Start Date	May, 2017
<input checked="" type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date	May, 2017

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 Exhibit A-15 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-16 Exhibit A-16 "Articles of Incorporation and Bylaws,"** provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, *only if the contents of the originally filed documents changed since the initial application.*
- A-17 Exhibit A-17 "Secretary of State,"** provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations,"** detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
(This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

C-4 Exhibit C-4 “Financial Arrangements,” provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter “N/A” in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

C-5 Exhibit C-5 “Forecasted Financial Statements,” provide two years of forecasted income statements for the applicant's **NATURAL GAS related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

C-6 Exhibit C-6 “Credit Rating,” provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, *it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.* If an applicant or its parent does not have such a credit rating, enter “N/A” in Exhibit C-6.

C-7 Exhibit C-7 “Credit Report,” provide a copy of the applicant's current credit report from Experian, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter “N/A” for Exhibit C-7.

- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business functions.
- D-2 Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

 / owner

Sworn and subscribed before me this 16 day of MARCH Month 2017 Year


Signature of official administering oath


Print Name and Title

My commission expires on 6-29-21



The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service
Affidavit Form
(Version 1.07)

In the Matter of the Application of)

Teple "S" Energy Management LLC)
for a Certificate or Renewal Certificate to Provide)
Competitive Retail Natural Gas Service in Ohio.)

Case No. 15 - 0644 -GA-AGG

County of Tuscarawas
State of Ohio

Teple "S" Energy Management LLC

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

[Signature] | owner

Sworn and subscribed before me this 16 day of MARCH Month 2017 Year

[Signature]
Signature of Official Administering Oath

Kellie J. Huey - Notary
Print Name and Title

My commission expires on

6-29-21

Exhibit A-14 "Principal Officers, Directors & Partners"

Company: Triple "S" Energy Management LLC

**Principal Officer:
Sandy Carpenter**

**Title:
Owner/President**

**Address:
2038 Oldtown Valley Road SE
New Philadelphia, Ohio 44663**

**Telephone Number:
330-339-6001**

Exhibit A-15 "Company History"

Company: Triple "S" Energy Management LLC

Triple "S" Energy Management LLC was created on April 1, 2009 to provide reliable energy consulting services on many utility systems. With individualized service to create value and stability in a volatile energy market, Triple "S" Energy's goal is to locate energy savings in many aspects of businesses. Triple S" Energy offers Natural Gas Procurement, Electricity Procurement, Energy Efficiency, Energy Intelligence Software, Demand Response, and Renewable Energy.

Exhibit A-16 "Articles of Incorporation and Bylaws"

Company: Triple "S" Energy Management LLC

No Change from previously submitted documents

Exhibit A-17 "Secretary of State"

Company: Triple "S" Energy Management LLC

**Articles of Organization for a Domestic Limited Liability
Company (attached)**



**Form 533A Prescribed by the:
Ohio Secretary of State**

Central Ohio: (614) 466-3910
Toll Free: (877) SOS-FILE (767-3453)

www.sos.state.oh.us
Busserv@sos.state.oh.us

Expedite this form: (select one)

Mail form to one of the following:

☐ Expedite PO Box 1390
Columbus, OH 43216

*** Requires an additional fee of \$100 ***

☒ Non Expedite PO Box 670
Columbus, OH 43216

**ARTICLES OF ORGANIZATION FOR A DOMESTIC
LIMITED LIABILITY COMPANY**

Filing Fee: \$125.00

(CHECK ONLY ONE (1) BOX)

(1) <input checked="" type="checkbox"/> Articles of Organization for Domestic For-Profit Limited Liability Company (115-LCA) ORC 1705	(2) <input type="checkbox"/> Articles of Organization for Domestic Nonprofit Limited Liability Company (115-LCA) ORC 1705
--	--

Name of limited liability company

TRIPLE "S" ENERGY MANAGEMENT, LLC.

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "Ltd.," or "Ltd"

Effective Date _____ (The legal existence of the limited liability company begins upon the filing
(Optional) mm/dd/yyyy of the articles or on a later date specified that is not more than ninety days
after filing)

This limited liability company shall exist for 30 years from date of formation
(Optional) Period of Existence

Purpose Engage in energy business and carry on any activity for which persons may
(Optional) lawfully associate themselves.

☐ Check here if additional provisions are attached

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ORIGINAL APPOINTMENT OF AGENT

The undersigned authorized member(s), manager(s) or representative(s) of

TRIPLE "S" ENERGY MANAGEMENT, LLC.

Name of Limited Liability Company

hereby appoint the following to be Statutory Agent upon whom any process, notice or demand required or permitted by statute to be served upon the limited liability company may be served. The name and address of the agent is

Sandra K. Carpenter

Name of Agent

2038 Oldtown Valley Road S.E.

Mailing Address

New Philadelphia

City

Ohio

State

44663

Zip Code

☐ If the agent is an individual and using a P.O. Box, check this box to certify the agent is an Ohio resident.

ACCEPTANCE OF APPOINTMENT

The undersigned, named herein as the statutory agent for

TRIPLE "S" ENERGY MANAGEMENT, LLC.

Name of Limited Liability Company

hereby acknowledges and accepts the appointment of agent for said limited liability company

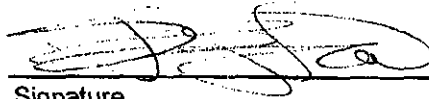


Agent's Signature

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document on behalf of the limited liability company identified above.

REQUIRED

Articles and original
appointment of agent must
be authenticated (signed)
by a member, manager or
other representative.



Signature

3-16-09
Date

Sandra K. Carpenter

Print Name

Signature

Date

Print Name

Signature

Date

Print Name

(See Instructions Below)

Exhibit B-1 "Jurisdictions of Operation"

Company: Triple "S" Energy Management LLC

Ohio

Exhibit B-2 "Experience & Plans"

Company: Triple "S" Energy Management LLC

Triple "S" Energy was started by Sandy Carpenter with 33 years of natural gas experience. I have worked with local production, interstate gas, managed online natural gas bidding platforms and Direct Sales to small, medium and large commercial and industrial customers.

My plans for contracting with customers is to offer a full requirement price for natural gas through Suppliers. As a Broker, Suppliers of these commodities would be willing to contract with Triple "S" Energy as a Broker. Supplier will pay Triple "S" Energy compensation monthly based on cents per Dth for Natural Gas used by the customer.

Triple "S" Energy takes an individualized personal approach with its clients and provides a monthly savings report identifying the savings incurred within each month. Any inquiries or problems are addressed immediately with professionalism, knowledge and expertise to solve such inquiry.

Exhibit B-3 "Summary of Experience"

Company: Triple "S" Energy Management LLC

Since 1984, I have moved over 2 BCF of natural gas to small and large commercial accounts, small and large industrial accounts from coast to coast thru a number of supplies throughout my career in the Natural Gas Market. My territory is Ohio at the present time.

Utility Systems:	Dominion East Ohio Gas Columbia Gas of Ohio
Number of Clients:	30
Volume:	375,000 Dth Annually

I plan to increase this number to 500,000 Dth within the next several years. My goal in natural gas is to consult 1 BCF of natural gas within the next 10 years and move into other utility systems.

Exhibit B-4 “Disclosure of Liabilities and Investigations”

Company: Triple “S” Energy Management LLC

None

Exhibit B-5 “Disclosure of Consumer Protection Violations”

Company: Triple “S” Energy Management LLC

None

**Exhibit B-6 “Disclosure of Certification Denial, Curtailment,
Suspension or Revocation”**

Company: Triple “S” Energy Management LLC

None

Exhibit C-1 "Annual Report"

Company: Triple "S" Energy Management LLC

Triple "S" Energy is a small entity with no employees and one owner strong and growing. I do not have Annual Reports nor do I have Shareholders

Exhibit C-2 “SEC Filings”

Company: Triple “S” Energy Management LLC

**Triple “S” Energy is not required to submit SEC filings
because Triple “S” Energy is not a publically traded company**

Exhibit C-3 “Financial Statements”

**Financial Statement prepared by Sandy Carpenter, Owner of
Triple “S” Energy Management LLC
Unaudited**

Year 2015

Year 2016

Attached as part of Exhibit C-3

Exhibit C-3
"Financial Statements"

Triple "S" Energy Management LLC

Triple "S" Energy Management LLC
Profit & Loss
January through December 2015

	<u>Jan - Dec 15</u>
Ordinary Income/Expense	
Income	
Consulting Income	151,764.30
Sales Income	0.00
	<hr/>
Total Income	151,764.30
	<hr/>
Gross Profit	151,764.30
Expense	
Advertising and Promotion	2,467.61
Bank Service Charges	18.15
Charitable Contributions	1,633.98
Computer and Internet Expen...	1,029.80
Continuing Education	400.00
Dues and Subscriptions	4,438.70
Federal Taxes	35,338.00
Insurance Expense	
General Liability Insurance	269.00
Worker's Compensation	140.00
Insurance Expense - Other	1,305.40
	<hr/>
Total Insurance Expense	1,714.40
Meals and Entertainment	983.68
Miscellaneous Expense	4,579.84
Office Supplies	5,201.82
Payroll Expenses	12,472.55
Pop Money Payroll Fee	18.50
Postage and Delivery	331.40
Professional Fees	11,019.00
Promotional Expense	2,815.42
REFERRAL	350.00
State Taxes	1,675.00
Telephone Expense	3,662.46
Travel Expense	241.69
	<hr/>
Total Expense	90,392.00
	<hr/>
Net Ordinary Income	61,372.30
	<hr/>
Net Income	<u>61,372.30</u>

Exhibit C-3
"Financial Statements"

Triple "S" Energy Management LLC

Triple "S" Energy Management LLC
Summary Balance Sheet
As of December 31, 2015

	<u>Dec 31, 15</u>
ASSETS	
Current Assets	
Checking/Savings	21,682.07
Accounts Receivable	-1,540.00
Other Current Assets	1,080.00
Total Current Assets	<u>21,222.07</u>
TOTAL ASSETS	<u><u>21,222.07</u></u>
LIABILITIES & EQUITY	
Liabilities	
Current Liabilities	
Accounts Payable	-200.00
Total Current Liabilities	<u>-200.00</u>
Total Liabilities	-200.00
Equity	21,422.07
TOTAL LIABILITIES & EQU...	<u><u>21,222.07</u></u>

Exhibit C-3
"Financial Statements"

Triple "S" Energy Management LLC
Profit & Loss

January through December 2016

Triple "S" Energy Management LLC

	<u>Jan - Dec 16</u>
Ordinary Income/Expense	
Income	
Consulting Income	153,967.65
Total Income	<u>153,967.65</u>
Gross Profit	153,967.65
Expense	
Advertising and Promotion	1,594.79
Assessments	473.76
Bank Service Charges	15.30
Charitable Contributions	4,850.73
Computer and Internet Expen...	1,470.42
Continuing Education	95.00
Contractor - Angela Howard	598.60
Contractor - Jenna Kirkwood	15,543.75
Contractor - Laura Sherman	496.76
Contractor Bank Fee	17.00
Dues and Subscriptions	5,901.38
Federal Taxes	24,500.00
Insurance Expense	
General Liability Insurance	1,553.40
Worker's Compensation	120.00
Total Insurance Expense	<u>1,673.40</u>
Interest Expense	947.92
Meals and Entertainment	2,083.98
Miscellaneous Expense	50.50
Office Supplies	3,772.62
Postage and Delivery	491.95
Professional Fees	3,189.50
Promotional Expense	1,363.05
Sponsorship	800.00
State Taxes	475.00
Telephone Expense	3,658.49
Total Expense	<u>74,063.90</u>
Net Ordinary Income	<u>79,903.75</u>
Net Income	<u><u>79,903.75</u></u>

Exhibit C-3
"Financial Statements"

Triple "S" Energy Management LLC

Triple "S" Energy Management LLC
Summary Balance Sheet
As of December 31, 2016

	<u>Dec 31, 16</u>
ASSETS	
Current Assets	
Checking/Savings	48,385.82
Accounts Receivable	-1,540.00
Other Current Assets	<u>1,080.00</u>
Total Current Assets	<u>47,925.82</u>
TOTAL ASSETS	<u>47,925.82</u>
LIABILITIES & EQUITY	
Liabilities	
Current Liabilities	
Accounts Payable	-200.00
Total Current Liabilities	<u>-200.00</u>
Total Liabilities	-200.00
Equity	<u>48,125.82</u>
TOTAL LIABILITIES & EQU...	<u>47,925.82</u>

Exhibit C-4 “Financial Arrangement”

Company: Triple “S” Energy Management LLC

**Triple “S” Energy does not take title to the natural gas and
does not require Collateral or Financial Arrangements**

N/A

Exhibit C-6 "Credit Rating"

Company: Triple "S" Energy Management LLC

N/A

Exhibit C-7 “Credit Report”

Company: Triple “S” Energy Management LLC

Attached as Exhibit C-7 “Credit Report”

Exhibit C-7
"Credit Report"



Triple "S" Energy Management LLC
SmartBusinessreports.com

Welcome Sandy
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Search inquiry: Triple S Energy Management Llc / New Philadelphia / OH / (My company)

CreditScoreSM Report

as of: 03/15/17 15:52 ET

Triple S Energy Management

Address: 2038 Oldtown Valley Rd SE
 New Phila, OH 44663-7833
 United States

Phone: 330-339-6001

Website: www.triplesenergy.com

Experian BIN: 907942602

Also is (or has been) operating as:

Triple S Energy Management LLC

Key Personnel:

SIC Code:

Owner: Sandra K Carpenter
 8748-Business Consulting
 Services, Nec
 1711-Plumbing, Heating & A/C
 Contractors
 8741-Management Services
 541618-Other Management
 Consulting Services
 238220-Plumbing, Heating,
 And Air-Conditioning
 Contractors
 236110-Residential Building
 Construction

NAICS Code:

Experian File Established: April 2009

Experian Years on File: 8 Years

Years in Business: 8 Years

Total Employees: 1

Sales: \$103,000

Payment Tradelines (see [charts](#)): 2

UCC Filings: 0

This location does not yet
 have an estimated Days
 Beyond Terms (DBT), or a
 Payment Trend Indicator. This
 is often the result of too few
 Payment Tradelines.

Lowest 6 Month Balance: \$66
Highest 6 Month Balance: \$1,118
**Current Total Account
 Balance:** \$0

Businesses Scoring Worse: **68%**

✓ **Bankruptcies:** 0

✓ **Liens:** 0

✓ **Judgments Filed:** 0

✓ **Collections:** 0

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**Highest Credit Amount
Extended:** \$0


**Exhibit C-7
"Credit Report"**

Triple "S" Energy Management LLC

Credit Summary

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Credit Ranking Score: 69

High Risk  Low Risk

The objective of the Credit Ranking Score is to predict payment behavior. High Risk means that there is a significant probability of delinquent payment. Low Risk means that there is a good probability of on-time payment.

Key Score Factors:

- Low number of recently reported commercial accounts.
- High maximum commercial account bal in the last 12 mos.
- Length of time on experian's file.
- Ratio of total bal to total high bal across all comm accts.

Recommended Action: Low To Medium Risk

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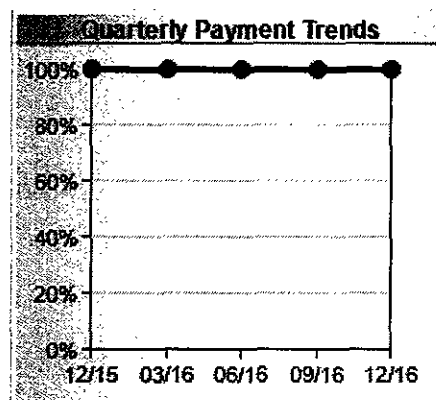
Exhibit C-7 "Credit Report"

Payment Summary

Triple "S" Energy Management LLC

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Insufficient information to produce
Monthly Payment Trends
chart.

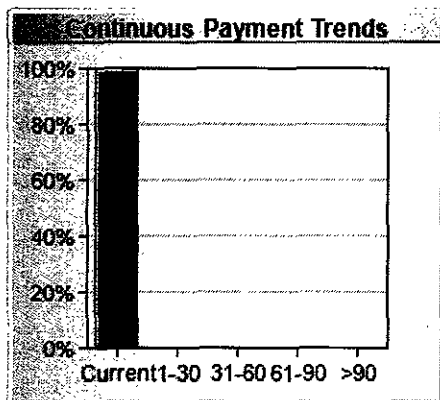


*Percentage of on-time payments by quarter.

Insufficient information to produce
Monthly Payment Trends
table.

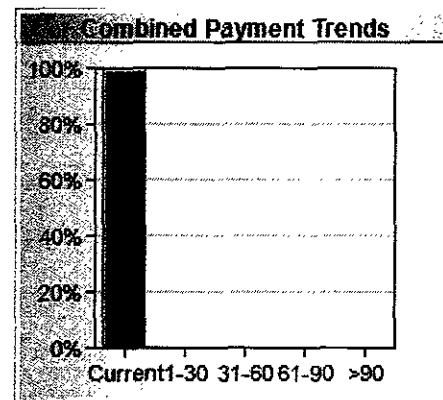
Quarterly Payment Trends - Recent Activity

Date	Current	Up to 30 DBT	31-60 DBT	61-90 DBT	>90 DBT
12/15	0%	0%	0%	0%	0%
03/16	0%	0%	0%	0%	0%
06/16	100%	0%	0%	0%	0%
09/16	100%	0%	0%	0%	0%
12/16	0%	0%	0%	0%	0%



*Continuous distribution with DBT.

Insufficient information to produce
Newly Reported Payment Trends
chart.



*Combined distribution with DBT.

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Exhibit C-8 "Bankruptcy Information"

Company: Triple "S" Energy Management LLC

N/A

Exhibit C-9 "Merger Information"

Company: Triple "S" Energy Management LLC

N/A

Exhibit C-10 “Corporate Structure”

Company: Triple “S” Energy Management LLC

**Triple “S” Energy Management LLC is a stand-alone entity
with no affiliate or subsidiary companies**

Exhibit D-1 "Operations"

Company: Triple "S" Energy Management LLC

Triple "S" Energy operates from a home office. A lot of my clients come from referrals but I also conduct cold calling to potential clients and ask them numerous questions relating to Energy. My goal is to reduce their overall cost of doing business by lowering their electricity and natural gas bills with 3rd party suppliers, offer free solar evaluations, talk to them about how they use their electricity by offering Intelligence Software, generate a revenue by offering a Demand Response Program and offer free Energy Efficiency Audits by using a Certified Engineer I have contracted with.

Once a client is interested in any options I offer, I will continually monitor the savings for that client on a month to month basis.

Exhibit D-2 “Operations Expertise”

Company: Triple “S” Energy Management LLC

Customer Case Studies Attached

Exhibit D-2 "Operations Expertise"

§ Triple "S" Energy § Management, LLC

Case Studies

TRIWAY LOCAL Local School System benefits from Deregulation on AEPOhio (Updated September 7, 2016) Triway Local School System, located in Wooster, Ohio (Wayne County) has worked with Triple "S" Energy Management since 2011 after pursuing them about reducing their overall electric cost on AEPOhio. Triway has multiple meters that continue to enjoy significant benefits from deregulation on AEPOhio by working with Triple "S" Energy to accomplish and capture the best savings to assist with the reduction of their total cost of electricity. Triple "S" Energy has been able to save Triway Local School System a total of \$222,541.05 from Sept 2011 - July, 2016 against the default rate on AEPOhio, the local utility company.

PRO FOOTBALL HALL OF FAME SAVES ON ELECTRIC COST (Updated September 7, 2016) The Pro Football Hall of Fame in Canton, Ohio, has been a national historical institution since 1963. The Pro Football Hall of Fame has partnered with Triple "S" Energy for many years to ensure they receive the lowest cost as it relates to purchasing natural gas and electricity. Since electric deregulation began Triple "S" Energy has worked extremely hard to secure significant cost savings. In soliciting proposals, and in turn signing for electricity with another provider, Triple "S" Energy has saved the Pro Football Hall of Fame \$94,705 in electric costs from September, 2013 - July, 2016.

Non-Profit Organization Benefits from Energy Management (Updated September 7, 2016) The Cultural Center located in Canton, Ohio has partnered with Triple "S" Energy for many years to manage their energy needs including the success of the installation of a 50.76KW Solar System. This system was installed on the roof of the Cultural Center Parking Deck and will supply a small percentage of power to the 330,000 sq. ft. building. Since the system was installed, it has produced 197,904.21 Kwh and has avoided 138,532.95 kg CO2 emissions. The system has provided about 6% of the facilities usage. To see more information on the solar system, please visit www.artsinstark.com and click Cultural Center. Triple "S" Energy has saved the Cultural Center a total of \$61,688.26 from July, 2012 to July, 2016 by reducing their electricity rate on AEP.

Wooster, Ohio Company saves on Electric Deregulation (Updated September 7, 2016) Through the efforts of Triple "S" Energy Management, a Wooster Company has saved \$1,227,589.54 from October, 2011 - July, 2016 by enrolling with a 3rd party supplier for generation/transmission cost on AEP Ohio. Triple "S" Energy Management also offered a Program called Demand Response which is the reduction of electric usage in response to electric grid emergencies. The Company has agreed to participate in this program on a year to year basis. By agreeing to reduce their usage during a specified critical day, the business is then compensated with an incentive payment. For the period of 2016-2017, this company has received a compensation of \$70,000.00 paid out in 4 quarterly payments.

G&S Titanium in Wooster, Ohio saves on Electric Deregulation and Renewable Energy (Updated September 7, 2016) G&S Titanium are Metal Wire Drawing Specialists who manufacture most grades of titanium in the form of round and hex bars, fastener wire, spring wire and precision ground medical bars. Through the efforts of Triple "S" Energy Management, G&S Titanium has enrolled the manufacturing facility with a 3rd party supplier for generation and transmission on AEP Ohio. G&S Titanium has saved \$266,201.50 from October 2011 - May, 2016. Triple "S" Energy introduced Carbon Vision to G&S Titanium regarding a Solar System. G&S Titanium successfully installed a 64.86 Ground Solar Array to generate power for his office. Since the system was installed, it has produced 407,984.10 Kwh as of September 7, 2016. To view more information on G&S Titanium and their Solar array, visit www.gs-titanium.com.

Exhibit D-3 "Key Technical Personnel"

Company: Triple "S" Energy Management LLC

Name: Sandy Carpenter

Title: Owner/President

Email: scarpenter@triplesenergy.com

Phone: 330-339-6001

Background: 33 years of Natural Gas Experience