



# Public Utilities Commission

Asim Z. Haque, Chairman

Commissioners 2

Lynn Slaby  
M. Beth Trombold  
Thomas W. Johnson  
Vacant

FILE

February 7, 2017

In the Matter of the Application of )  
Duke Energy Ohio, Inc. for an ) Case No. 17-32-EL-AIR  
Increase in Electric Distribution Rates )

Ms. Amy Spiller  
Duke Energy Business Services LLC  
139 E. Fourth St., 1303-Main  
P. O. Box 961  
Cincinnati, Ohio 45201-0960

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To the Counsel of Record:

On January 31, 2017 Duke Energy Ohio, Inc. (Duke Energy Ohio) submitted its pre-filing notice of its intent to file an application to increase its rates and charges for its waterworks services in Case No. 17-32-EL-AIR. As part of an application to increase rates, the Ohio Administrative Code (Ohio Adm. Code) requires that the applicant company include an executive summary of its management policies, practices and organization employed to meet the corporate goals determined by the board of directors and corporate officers. See Ohio Adm. Code 4901-7, App. A, Ch. II (B)(9). Within ten days of the pre-filing notice. Staff of the Public Utilities Commission of Ohio must file a letter setting forth up to three functional areas of management policies or practices that the utility must address in more detail.

Staff hereby selects the first functional area that Duke Energy Ohio, Inc. must include in the application to be filed in the above referenced case. Duke Energy Ohio, Inc. shall provide the Standard Filing Requirements (SFR) information relating to Finance and accounting as provided in Ohio Adm. Code 4901-7, App. A, Ch. II (B)(9)(b)(i), (ii), (vi), and (vii) for Duke's purchase of receivables, uncollectible rider, and debt collection process including charts, diagrams, flow charts, performance indicators, standards of performance, and internal controls.

Staff hereby selects the second functional area that Duke Energy Ohio, Inc. must include in the application to be filed in the above referenced case. Duke Energy Ohio shall provide the Standard Filing Requirements (SFR) information relating to information technology, as provided in Section 4901-7, App. A, Ch. II (B)(9)(f)(II), with a specific emphasis on the process for identifying end use functional requirements necessary for any billing and customer information system upgrades in retail choice jurisdictions, i.e. Duke Energy Ohio. Information included should contain a detailed narrative of the methodology used to solicit user requirements along with any forms (templates) used in this process.

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This documentation may include, but not be limited to: process flow diagrams, end-user requirements analysis, evaluation criteria, cost-benefit analysis of requested requirements, design specifications, testing procedures, and the method for validating end user acceptance testing.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Doris E. McCarter", with a long horizontal flourish extending to the right.

Doris McCarter

Division Chief, Capital Recovery and Financial Analysis Division