



Public Utilities Commission

PUCO USE ONLY		
Date Received	Case Number	Version
	- EL-GAG	December 2014

CERTIFICATION APPLICATION FOR ELECTRIC GOVERNMENTAL AGGREGATORS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-5 Experience). All attachments should bear the legal name of the Applicant and should be included on the electronic copy provided. Applicants should file completed applications and all related correspondence with: Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, OH 43215-3793.

This PDF form is designed so that you may input information directly onto the form.

You may also download the form, by saving it to your local disk, for later use.

A. APPLICANT INFORMATION

A-1 **Applicant's name, address, telephone number, and web site address**

Note: If filing as a township or village, please include the name of the County where the township or village is located in the applicant name. For example, Miami Township, Hamilton County

Name Hanover Township, Butler County, OH

Address 2595 Old Oxford Road, Hamilton, OH 45013

Telephone Number 513-896-9059

Web site address (If any) _____ County Butler

A-2 **Exhibit A-2 "Authorizing Ordinance"** provide a copy of the ordinance or resolution authorizing the formation of a governmental aggregation program adopted pursuant to Section 4928.20(A) of the Revised Code.

A-3 **Exhibit A-3 "Operation and Governance Plan"** provide a copy of the applicant's plan for operation and governance of its aggregation program adopted pursuant to Section 4928.20(C) of the Revised Code. The Operation and Governance Plan explained in Exhibit A-3 should include:

- Terms and conditions of enrollment including:
 - ③ Rates
 - ③ Charges
 - ③ Switching fees, if any
- Policies associated with customers moving into/out of aggregation area
- Billing procedures
- Procedures for handling complaints and disputes including the toll-free telephone number and address for customer contacts

A-4 **Exhibit A-4 "Automatic Aggregation Disclosure"** provide a copy of the disclosures required by Section 4928.20(D) of the Revised Code, if its aggregation program provides for automatic aggregation in accordance with Section 4928.20(A) of the Revised Code

A-5 **Exhibit A-5 "Experience"** provide a detailed description of the applicant's experience and plan for providing aggregation services, including contracting with retail generation providers, providing billing statements, responding to customer inquiries and complaints, and complying with all applicable provisions of commission rules adopted pursuant to section 4928.10 of the Revised Code.

A-6 **Contact person for regulatory or emergency matters**

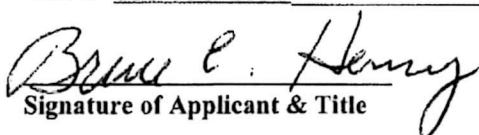
Name Mr. Mark Bishop
 Title Vice President, Energy Alliances, Inc.
 Business address 8469 Blue Ash Road, Suite 1, Cincinnati, OH 45236
 Telephone number 513-794-5555 Fax 888-735-0359
 E-mail address mbishop@energyalliances.com

A-7 **Contact person for Commission Staff use in investigating customer complaints**

Name Mr. Mark Bishop
 Title Vice President, Energy Alliances, Inc.
 Business address 8469 Blue Ash Road, Suite 1, Cincinnati, OH 45236
 Telephone number 513-794-5555 Fax 888-735-0359
 E-mail address mbishop@energyalliances.com

A-8 **Applicant's address and toll-free number for customer service and complaints**

Address 8469 Blue Ash Road, Suite 1, Cincinnati, OH 45236
 Toll-free telephone number 800-735-0359
 Fax # 888-735-0359


 Signature of Applicant & Title

Bruce E. Henry
 Township Administrator
 Hanover Township

Sworn and subscribed before me this 16 day of December 2016
 Month Year


 Signature of official administering oath

Elizabeth Ann Brosius
 Print Name and Title



ELIZABETH ANN BROSIUS
 Notary Public
 In and for the State of Ohio
 My Commission Expires
 July 29, 2020

My commission expires on July 29, 2020

AFFIDAVIT

State of OHIO :

Hanover Township
ss.
(Town)

County of Butler :

Bruce E. Henney Affiant, being duly sworn/affirmed according to law, deposes and says that:

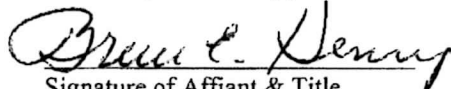
He/She is the Administrator (Office of Affiant) of Hanover Township (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.
12. The Applicant herein, attests that it will docket with the Commission's Docketing Division the final opt-out and any supplemental opt-outs (including beginning and ending dates of the 21-day opt-out period and the selected CRES supplier) at a minimum 10 days prior to sending the opt-outs to customers.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.


Signature of Affiant & Title

Bruce E. Henry
Township Administrator
Hanover Township

Sworn and subscribed before me this 15th day of December 2016
Month Year




Signature of official administering oath
ELIZABETH ANN BROSIUS
Notary Public
In and for the State of Ohio
My Commission Expires ~~July 29, 2020~~

Elizabeth Ann Brosius
Print Name and Title

My Commission Expires on July 29, 2020

HANOVER TOWNSHIP (BUTLER COUNTY), OHIO

Electric Governmental Certification Application

EXHIBIT A-2

Authorization Ordinance (2 Pages)

Certificate of Election Results (2 Pages)

RESOLUTION NO. 34-16

**RESOLUTION OF THE BOARD OF TOWNSHIP TRUSTEES OF HANOVER
TOWNSHIP, BUTLER COUNTY, OHIO, DETERMINING TO PROCEED WITH
SUBMITTING THE QUESTION OF A TAX LEVY**

WHEREAS, on July 29, 2016, the Board of Township Trustees of Hanover Township, Butler County, Ohio, passed a resolution declaring the necessity to levy an additional tax of one and seventy-five hundredths (1.75) mills to constitute a tax in excess of the ten-mill limitation; and

WHEREAS, by a Certificate of Estimated Property Tax Revenue dated August 2, 2016, the Butler County Auditor has certified to the Board the dollar amount of revenue that would be generated by the tax levy, based on the current assessed valuation of the unincorporated areas of Hanover Township, Butler County, Ohio;

THEREFORE, BE IT RESOLVED, by the Board of Township Trustees of Hanover Township, Butler County, Ohio, at least two-thirds of all members elected thereto concurring, that the Board desires to proceed with submitting to the electors the question of an additional tax in excess of the ten-mill limitation for the benefit of Hanover Township, Butler County, Ohio, for the purpose of current expenses, as authorized by Ohio Revised Code Section 5705.19(A), at a rate not exceeding one and seventy-five hundredths (1.75) mills for each one dollar of valuation, which amounts to seventeen and five-tenths cents (\$0.175) for each one hundred dollars of valuation; and

BE IT FURTHER RESOLVED, that the tax levy is for a period of five (5) years, commencing in tax year 2016, first due in calendar year 2017, if approved by a majority of the electors voting thereon; and

BE IT FURTHER RESOLVED, that the question of such tax levy shall be submitted to the electors within the unincorporated areas of Hanover Township, Butler County, Ohio, at the election to be held on November 8, 2016; and

BE IT FURTHER RESOLVED, that the form and content of the ballot upon which the question of such tax levy shall be submitted to the electors shall be substantially as shown in the attached Exhibit A; and

BE IT FURTHER RESOLVED, that the Fiscal Officer is hereby directed to certify to the Butler County Board of Elections, not less than 90 days prior the election, a copy of the resolution declaring necessity passed July 29, 2016, and a copy of this resolution, together with the certification of the Butler County Auditor; and

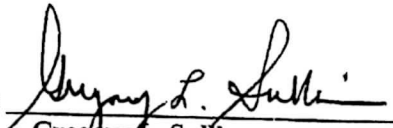
BE IT FURTHER RESOLVED, that the Board hereby requests that the Butler County Board of Elections cause notice of election on the question of levying the tax to be given as required by law.

Trustee Miller moved for the adoption of the foregoing resolution. Trustee Johnson seconded the motion, and upon call of the roll, the vote resulted as follows:

Trustee Miller	<u>Yea</u>
Trustee Stitsinger	<u>Yea</u>
Trustee Johnson	<u>Yea</u>

The foregoing resolution was adopted in an open public meeting and is a reflection of the official action taken by the Board of Trustees of Hanover Township Butler County, Ohio on the 4th day of August 2016.

Attest:


Gregory L. Sullivan
Fiscal Officer/Clerk

CERTIFICATE OF RESULT OF ELECTION
On Tax Levy in Excess of the Ten Mill Limitation
Revised Code 3501.11, 3505.33, 5705.19 - 5705.25

The State of Ohio, Butler County, ss.

To¹ Ohio Dept. of Taxation, Tax Equalization Division; the Board of Township Trustees of Hanover Township, Butler County Auditor

We, the undersigned, Butler County Board of Elections, do hereby certify
that the General Election held in the Hanover Township (unincorporated area) in the county,
(Primary, General or Special) (Name of Subdivision)
on the 8th day of November, 2016 on the question of levying a tax, in excess of the ten
mill limitation, for the benefit of the subdivision for the purpose of

Current Expenses 5705.19 (A)

(see attached)

Tax being:²

an additional tax of (1.75) mills

at the rate not exceeding 1.75 mills for each one dollar of valuation, which amounts to (\$0.175)
for each one hundred dollars of valuation, for five (5) years
(Number of years the levy is to run, "life of indebtedness", or continuing period of time")
resulted as follows:

Total number of votes cast:

Four Thousand Two Hundred Fifty-Five

(Write number of votes in words)

(4,255)

(in figures)

For the tax levy:

One Thousand Six Hundred Fifty-Seven

(Write number of votes in words)

(1,657)

(in figures)

Against the tax levy:

Two Thousand Five Hundred Ninety-Eight

(Write number of votes in words)

(2,598)

(in figures)

as the same appears by the abstract of votes duly certified and signed by us and deposited in our office.

IN WITNESS WHEREOF, we have hereunto subscribed our names officially, at Hamilton
Ohio, this 23rd day of November, 2016.

Chair

Attest:

David Warner
Director

Butler County, Ohio

¹Ohio Dept. of Taxation, Tax Equalization Division; the Board of County Commissioners of _____ County; the Council of the City or
Village of _____; the Board of Education of _____ School District; the Board of Township Trustees of _____ Township; or other taxing
authority.

²Here insert one of the following:

"...an additional tax of _____ mills;

"...a renewal of an existing tax of _____ mills"

"...a renewal of a tax of _____ mills and an increase of _____ mills to constitute a tax of _____ mills"

"...a renewal of a part of a _____ mill existing levy, being a reduction of _____ mills to constitute a tax of _____ mills"

"...a replacement of _____ mills and an increase of _____ mills to constitute a tax of _____ mills"

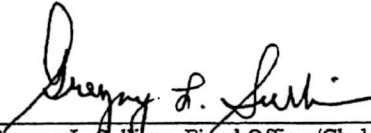
"...a replacement of part of an existing levy, being a reduction of _____ mills to constitute a tax of _____ mills"

"...a replacement of a tax of _____ mills"

CERTIFICATE

IT IS HEREBY CERTIFIED that the foregoing Resolution No. 34-16, which includes an Exhibit A, is taken and copied from the record of proceedings of Hanover Township, Butler County, Ohio, and that it has been compared by me with the resolution on the record and is a true copy.

IN WITNESS WHEREOF, I have hereunto set my hand, this 4th day of August, 2016.



Gregory L. Sullivan, Fiscal Officer/Clerk
Hanover Township
Butler County, Ohio

HANOVER TOWNSHIP (BUTLER COUNTY), OHIO

Electric Governmental Certification Application

EXHIBIT A-3

Affidavit of Publication (2 Pages)

Plan of Operation and Governance (4 Pages)

Resolution to Adopt Plan of Operation and Governance (2 Pages)

LEGAL NOTICE

Notice of Public Hearing Scheduled by
Hanover Township, Butler County, OH
2595 Old Oxford Rd.
Hamilton, OH 45013

**ELECTRIC AGGREGATION PROGRAM
PLAN OF OPERATION AND
GOVERNANCE.**

Notice: Hanover Township will hold two public hearings on the Electric Aggregation Programs' Plan of Operation and Governance. Both hearings will be held at the Township Offices located at 2595 Old Oxford Rd., Hamilton, OH 45013. Both hearings will be held on December 14, 2016. The first hearing will be held at 10:00 A.M. and the second hearing will be held at 6:00 P.M. On November 8, 2016, Hanover Township voters passed a ballot, which authorized the Township to form a Governmental Electric Aggregation program for the purchase of electricity on behalf of the residents of Hanover Township. The Board of Trustees of Hanover Township shall adopt its Plan of Operation and Governance pursuant to sections 4928.20 of the Ohio revised Code and develop an opt-out aggregation program following the procedures set forth in the Ohio Revised Code Section 4928.20. The Plan of Operation and Governance will describe services provided, professional assistance used, determination of rates, opt-out procedures, customer billing procedures, credit procedures and customer account enrollment/termination procedures. All eligible customers who receive electric supply service from Duke Energy Ohio will be included in the Hanover Township aggregation program. If an acceptable electric supply offer is received, eligible customers will receive a mailing notifying them of the program rates, terms and conditions and their right to opt-out.

Hanover Township, Butler County, OH
Bruce Henry, Township Administrator
11-26, 12-3/2016
0000067604-01



Dayton Daily News
SPRINGFIELD NEWS-SUN
JOURNAL-NEWS Today's Pulse

PROOF OF PUBLICATION STATE OF OHIO

PUBLIC NOTICE

Before the undersigned authority personally appeared Camille Lewis, who on oath says that he/she is a Legal Advertising Representative of the Journal-News, a daily newspaper of general circulation in Montgomery, Clark, Warren, Butler, Clinton, Greene, Preble, Miami, Darke, Mercer, Shelby, Fayette, Logan, Hamilton, Clermont, Auglaize, and Champaign Counties, and State of Ohio, and he/she further says that the Legal Advertisement, a copy of which is hereunto attached, has been published in the said Journal-News, 52 Lines, 2 Time(s), last day of publication being 12/03/2016, and he/she further says that the bona fide daily paid circulation of the said Journal-News was over 25,000 at the time the said advertisement was published, and that the price charged for same does not exceed the rates charged on annual contract for the like amount of space to other advertisers in the general display advertising columns.

ENERGY ALLIANCES
8469 BLUE ASH ROAD
CINCINNATI, OH 45286

Invoice/Order Number:	0000067604
Ad Cost:	\$355.68
Paid:	\$355.68
Balance Due:	\$0.00

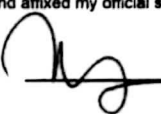
Signed


(Legal Advertising Agent)

Sworn or affirmed to, and subscribed before me, this 5th day of December, 2016 in Testimony whereof, I have hereunto set my hand and affixed my official seal, the day and year aforesaid.

Signed




NADIA WAGES, Notary Public
In and for the State of Ohio
My Commission Expires Sept. 2, 2019

Please see Ad on following page(s)

HANOVER TOWNSHIP, BUTLER COUNTY, OHIO

PLAN OF OPERATION AND GOVERNENCE

ELECTRIC GOVERNMENTAL AGGREGATION

For More Information Contact:

Hanover Township, Butler County, Ohio
2595 Old Oxford Road
Hamilton, OH 45013
513-896-9059

PLAN OF OPERATION AND GOVERNANCE

Hanover Township (Butler County) Electric Governmental Aggregation

Introduction. On November 8, 2016, a majority of the voters in Hanover Township, Ohio, in the County of Butler, approved a referendum that authorized Hanover Township (the “Township”) to pursue Governmental Aggregation. After the Township held 2 public hearings on the matter, the Township approved this Plan of Operation and Governance as prescribed by Section 4928.20(C) of the Ohio Revised Code. The Township has developed this Plan of Operation and Governance (“Plan of Operation”) in accordance with the governmental aggregation provisions in Section 4901:1-21-16 of the Ohio Administrative Code. Once certified as a Governmental Aggregator, the Township will be authorized to combine multiple retail electric customer loads within its geographic boundaries (the “Aggregation”) for the purpose of facilitating the purchase of electric supply in Ohio's competitive retail electric market.

Governmental Aggregation Services. The Township, as a Governmental Aggregator, will serve as purchasing agent for the Aggregation. As purchasing agent, the Governmental Aggregator shall (i) select a Competitive Retail Electric Service Provider (“Provider”) to supply the Aggregation, (ii) negotiate the terms of supply between the Provider and each Aggregation participant, and (iii) oversee the enrollment procedures administered by the Provider.

The Contract. The supply contract negotiated by the Governmental Aggregator for the Aggregation (the “Contract”) shall be for firm, full-requirements supply. Each Aggregation participant will be individually bound to the Provider by the terms of the Contract, and will be solely responsible for payment and performance. The electric supply charges for Aggregation are included in the Contract that will be negotiated by the Governmental Aggregator. The electric supply charges will take the form of a fixed price or variable price charge. All electric supply charges will be fully and prominently disclosed in consumer enrollment materials (such as the Opt-Out Notice), available on the Provider's website, and available by calling the Provider's toll free customer service telephone number. The surcharge authorized under Section 4928:20 will not be charged.

Eligibility, Opt-out Disclosures, and Pooling Accounts. Section 4901:1-21-06 of the Ohio Administrative Code requires Duke Energy-Ohio, the local electric distribution utility (the “Utility”), to use its best efforts to provide the Governmental Aggregator with an account list of eligible customers, including the names, account numbers, and service and mailing addresses for all eligible customers residing within the Governmental Aggregator's boundaries. The following customers are not eligible: customers already under contract with a certified electric services company; customers that have a special contract with the Utility; customers that are not located within the Governmental Aggregator's boundaries; customers on the Percentage of Income Payment Plan (PIPP); customers that have past due amounts owing to the Utility; and mercantile customers. In addition, the Township intends to include in the Aggregation only those residential and non-mercantile customers with a demand of less than 200 KW and a load profile consistent with ordinary residential and small commercial use. Using this list of eligible accounts, the Provider, with the assistance of the Governmental Aggregator, will review the list to verify that the eligible accounts are located within the geographic boundaries of the Township and that an area within the Township boundaries has not been inadvertently filtered from the list. The Provider will also remove from the eligible list those customers who appear on the “do not aggregate” list as stated under division (c) of Section 4928.21 of the Revised Code. Finally, the Provider will reduce the list by removing those accounts in rate classes that indicate (i) a higher demand than targeted for the Aggregation or (ii) a usage profile that is not consistent with ordinary residential and small commercial use.

Within thirty (30) days of receipt of the list from the Utility, the Provider, with assistance from the Governmental Aggregator, will prepare and mail an "Opt-Out Notice" to each account that remains on the eligible list after it has been reduced as noted above. The Opt-Out Notice will inform the eligible account holder that the Governmental Aggregator has formed an automatic (or "Opt-Out") aggregation, provide the price for the electric supply to the Aggregation and other terms and conditions of service, and explain how the account holder can decline participation in the Aggregation. If the Township determines the Aggregation participants should not purchase stand-by service from the Utility, that ~~fact~~ would be prominently disclosed in the Opt-Out Notice with a description of how it would impact the Aggregation participants.

As required by 4901:1-21-17 of the Ohio Administrative Code, the Opt-Out Notice will indicate that the account holder has 21 (twenty-one) days to affirmatively respond by telephoning a toll-free number or returning a postcard to the provider that is included in the Opt-Out Notice.

The Provider will receive all Opt-out requests and any Opt-out Notices that were undeliverable by mail, and will remove those accounts from the eligible account list. Upon completion of the 21-day Opt-Out period, the Provider will notify the Utility of the remaining accounts that will form the Aggregation, and through an electronic data interchange transaction, enroll the Aggregation. Upon enrollment, each participant will receive an enrollment notice from the Utility that will indicate that the enrollee may rescind its participation in the Aggregation by contacting the Utility within seven (7) calendar days.

In addition to the initial 21-day Opt-Out period, each participant will be provided an opportunity to opt-out every three years without paying an early termination fee.

Billing. Aggregation participants will receive a single monthly bill from the Utility, which will include charges from the Provider for its electric supply as well as the Utility distribution charges. Aggregation participants will be billed according to their Utility billing cycle. In order to maintain flexibility for Aggregation participants to return to Utility service on a full requirements basis without paying additional charges to the Utility or being subject to market-based rates Aggregation, participants will be billed by the Utility for stand-by service within the meaning of division (B)(2)(d) of section 4928.143 of the Revised Code.

Credit, Collections and Deposits. The Utility's credit and collection policy and policies regarding deposits will apply to the Aggregation participants and shall be administered by the Utility. Neither the Governmental Aggregator nor the Provider will implement additional policies with respect to credit, deposits and collections.

Concerns and Complaints. Aggregation participants will have multiple means of communicating concerns and reporting complaints. As a general rule, concerns regarding service reliability and billing should be directed to the Utility. The Utility will continue to read meters, handle billing, and generally have the most information about the physical service to a location or account. Questions regarding the administration of the Aggregation should be directed to the Provider. The Provider's customer service center is available by telephone Monday through Friday, 8:00 am - 7:00 pm ET. Any unresolved disputes should be directed to the Public Utilities Commission of Ohio and/or the Ohio Consumers Council. As a convenience, below is a list of helpful toll free telephone numbers.

Nature of Complaint	Contact	Phone Number
Outages/Emergencies	Utility	1-800-544-6900
Service turn on/off	Utility	1-800-544-6900
Billing Disputes	Utility	1-800-544-6900
Price/Joining/Leaving Program	Provider Customer Service	TBD
Program Regulatory Questions	Provider Customer Service	TBD
Unresolved Disputes	Public Utilities Commission	1-800-686-7826
Unresolved Disputes	Ohio Consumers Council	1-877-742-5622

The Provider will attempt to resolve all customer complaints in a timely and good faith manner. The Provider shall investigate and provide a status report to the customer when the complaint is made directly to them and/or the Township within three (3) business days following Provider's receipt of the complaint. Or in the case of a Public Utilities Commission of Ohio ("PUCO") complaint, the Provider will investigate and provide a status report to the customer and PUCO staff within three (3) business days following the Provider's receipt of the complaint. If an investigation into a complaint received from the customer or a complaint referred by the PUCO is not completed within ten (10) business days, then a status report will be given to the customer, and, if applicable, the PUCO. These status reports will be given every three (3) business days until the investigation is complete, unless the action that must be taken takes longer than three (3) business days and the customer has been notified. Final results of a PUCO-referred complaint will be provided to the PUCO either orally (phone) or in writing (e-mail, written correspondence), no later than three (3) business days after the investigation is completed. The final results will be provided in writing to the customer no later than three (3) business days after the investigation is completed. Customers retain the right to contact the PUCO regarding complaints and disputes. All customers have the right to contact the PUCO by writing to Public Utilities Commission of Ohio, Attn: IAD, 180 E. Broad St., Columbus, OH 43215-3793; by fax to (614) 752-8351; through their website at www.puc.state.oh.us or by calling toll free (800) 686-7826 (VOICE) or 711 (TTY-TDD). Records of customer complaints will be retained for two (2) years after the occurrence of the complaint. A copy of the complaint record will be provided to the PUCO within three (3) business days if requested.

Moving within the Township. An aggregation participant who moves from one location to another within the Township boundaries and retains the same account number will remain an Aggregation participant and will receive the same price it would have received if its location had not moved.

An aggregation participant who moves from one location to another within the Township boundaries and is assigned a new account number may enroll its new account in the Aggregation and receive the same price it would have received if its location had not moved, provided the new account is eligible for Aggregation. An aggregation participant who moves within the Township and receives a new account number may be dropped from the Aggregation by the Utility, but it will not be charged an early termination fee from the Provider. If a participant is dropped from the Aggregation due to a move within the Township, the participant must contact the Provider to be re-enrolled within 60 days of being dropped.

Moving outside of the Township. An aggregation participant who moves out of the Township boundaries will no longer be eligible to participate in the Aggregation, and it will not be charged an early termination fee from the Provider.

Enrolling after the Opt-Out Period. Residential and small business accounts located within the Township's boundaries that were initially eligible to join the Aggregation, but chose to Opt-Out of the

Aggregation, or otherwise were not included in the Aggregation may join the Aggregation after the expiration of the initial Opt-Out Period by contacting the Provider. The rate for those joining the Aggregation after the expiration of the Opt-Out Period may be different from the rate negotiated for the Aggregation by the Governmental Aggregator.

If the Provider is able to offer to newly eligible customers the same price that is provided to the current Aggregation participants, the Provider may refresh the Aggregation by providing those who move in to the Township the opportunity to be included automatically, rather than waiting until the next pricing term of the Contract. The process for refreshing the Aggregation with new enrollments would follow the process noted above for determining eligibility, providing Opt-Out Notices, and pooling the accounts. (Current Aggregation participants and those who previously declined participation would not receive the Opt-Out Notice intended only for newly eligible customers.).

RESOLUTION NO. 48-16

**A RESOLUTION TO ADOPT A PLAN OF OPERATION AND GOVERNANCE FOR ELECTRIC GOVERNMENTAL
AGGREGATION**

WHEREAS, the Board of Trustees of Hanover Township, Butler County, Ohio ("Board") has developed an Electric Aggregation Program Plan of Operation and Governance ("Plan of Operation") in accordance with the governmental aggregation provisions of Sections 4901 and 4928.20 of the Ohio Revised Code and the Rules for Formation and Operation of Electric Governmental Aggregations; and

WHEREAS, Hanover Township's electric aggregation program will aggregate the retail electric load of residents and businesses that are eligible to participate in the Duke Energy-Ohio Energy Choice program for the purpose of negotiating for competitive retail electric supply at favorable rates; and

WHEREAS, Hanover Township now intends to file for certification as a Governmental Aggregator, which requires that the Board first adopt its Plan of Operation and Governance; and

WHEREAS, the Board has determined this Resolution is in the best interest of the Township's residents, promotes the general welfare of said citizenry;

NOW THEREFORE, BE IT ORDAINED BY THE BOARD OF TRUSTEES OF HANOVER TOWNSHIP, BUTLER COUNTY, STATE OF OHIO:

- SECTION 1.** The Board of Trustees of Hanover Township, Butler County, Ohio adopts and approves a Plan of Operations and Governance as regards Electric Governmental Aggregation as attached hereto (see Exhibit A).
- SECTION 2.** All prior Resolutions and Ordinances and parts of Resolutions and Ordinances which conflict with the provision of this resolution are hereby repealed to the extent that they are in conflict herewith.
- SECTION 3.** This Board hereby finds and determines that all formal actions of this Board concerning and relating to the adoption of this Resolution were taken in an open meeting of this Board of Trustees and that all deliberations of this Board of Trustees and of any Committees, if any, which resulted in formal action, were taken in meetings open to the public in full compliance with the law.
- SECTION 4:** Notice of the adoption of this Resolution shall be given once by publishing the title of the Resolution in an abstract prepared by the Township Administrator or Fiscal Officer in Hanover Township, Ohio.

BE IT FURTHER RESOLVED that this Board, upon majority vote, does hereby dispense with the requirement that this Resolution be read on two separate days, and hereby authorizes the adoption of this Resolution upon its first reading.

BE IT FURTHER RESOLVED, This Board of Trustees finds and determines that all formal actions of this Board of Trustees concerning and relating to the adoption of this Resolution were taken in an open meetings of this Board of Trustees. The foregoing resolution was adopted in an open public meeting and is a reflection of the official action taken by the Board of Trustees of Hanover Township Butler County, Ohio on the 14th day of December 2016.

BE IT FURTHER RESOLVED, that this Resolution is the subject of the general authority granted to the Board of Trustees through the Ohio Revised Code and not the specific authority granted to the Board of Trustees through the status as a Limited Home Rule Township.

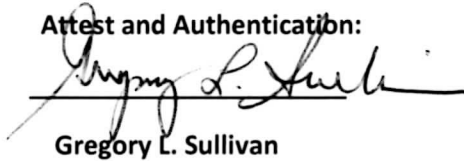
BE IT FURTHER RESOLVED, that this Resolution shall be effective on the earliest date allowed by law.

Board of Trustees

Vote

Attest and Authentication:

Larry Miller



Fred J. Stitsinger

Gregory L. Sullivan

Douglas L. Johnson

Fiscal Officer/Clerk

HANOVER TOWNSHIP (BUTLER COUNTY), OHIO

Electric Governmental Aggregation Certification

EXHIBIT A-4

Automatic Aggregation Disclosure (5 Pages)

Township Logo

CRES Logo

**ENROLLMENT NOTICE
HANOVER TOWNSHIP ELECTRIC AGGREGATION PROGRAM**

Date

Customer Name
Mailing Address 1
Mailing Address 2
City State Zip Code

Customer Account No.

Dear Resident or Small Business:

In November 2016, Hanover Township voters authorized the creation of an electric aggregation program. The Board of Trustees of Hanover Township is pleased to announce that _____ has been selected as the Township's supplier for this program. As an eligible resident or small business, you will be automatically enrolled in the program unless you opt-out by _____, 20__.

As an eligible participant in the Township's Program you have the following options:

1. You can choose to participate in the Township's Program and receive a fixed rate of \$X.XX /kWh for your electric supplies. **IF YOU CHOOSE THIS OPTION YOU DO NOT NEED TO DO ANYTHING FURTHER!** Please see the enclosed Terms and Conditions for full details regarding this rate and other terms of the program.
2. You can opt-out of the program by returning the enclosed postcard, calling (XXX) XXX-XXXX by _____, 20__, or opt-out on-line at www.<CRESSupplierwebsite.com/Hanover> by _____, 20__.

Customer service representatives are available 24 hours a day, 7 days a week to answer any questions you may have. Service under the Program is estimated to begin with your XXXX 20__ meter reading. This is only an estimate. Your actual service date will depend upon your meter read cycle and when Duke Energy - Ohio accepts your enrollment.

Billing/Service Under the Township's Program

Pursuant to Ohio Revised Code Section 4928.20, nothing regarding your electric service will change other than the supplier and the price. Duke Energy - Ohio will continue to deliver your electricity and will be responsible for maintaining the system that delivers electricity to your home. You will continue to receive only one (1) monthly bill from Duke Energy - Ohio.

How You Can Opt-Out of the Township's Program

If you DO NOT want to participate in the Township's Program, you must opt-out by _____, 20__. You can choose any of the following methods to opt-out of the Program.

- Return the enclosed postcard by the above date.
- Call <CRES Supplier> toll-free at (XXX) XXX-XXXX by the above date.
- Opt-out online at www.<CRESSupplierwebsite/Hanover> by the above date.

If you do not opt-out of the Program by the above date, you will receive confirmation from Duke Energy-Ohio of your enrollment in the Program. This notice will inform you of your ability to rescind your enrollment without penalty. Additionally, you will receive written notice of your ability to opt-out of the Hanover Program at least every three (3) years.

Again, if you have any questions about the Hanover Township Electric Aggregation Program, please feel free to contact (XXX) XXX-XXXX. Customer service representations are available 24 hours a day, 7 days a week to answer your questions.

Sincerely,

**HANOVER TOWNSHIP ELECTRIC AGGREGATION PROGRAM
TERMS AND CONDITIONS**

Pursuant to the aggregation authority conferred upon the Board of Trustees of Hanover Township (the "Board") by Ballot issue which passed by a majority of the vote on November 8, 2016, and passing a Resolution establishing the program, the Board selected <CRES Supplier> to supply the aggregation and to administer enrollments as described below.

IF YOU DO NOT WISH TO PARTICIPATE IN THE HANOVER TOWNSHIP ELECTRIC AGGREGATION PROGRAM, YOU MUST OPT-OUT BY RETURNING THE ENCLOSED POSTCARD, POSTMARKED NO LATER THAN _____, 20____, OR BY CALLING THE TOLL FREE NUMBER (XXX) XXX-XXXX BY _____, 20____, OR BY OPTING-OUT ON-LINE AT WWW.<CRESSUPPLIERWEBSITE.COM/HANOVER> BY _____, 20____.

Eligibility: To be eligible for automatic aggregation, you, the account holder (also referred to as "Buyer") for the account(s) referenced on the letter accompanying this Out-Out Notice (i) the service address must be located within the jurisdictional boundaries of Hanover Township and Duke Energy-Ohio ("the Utility"), (ii) may not be under contract with another competitive supplier, (iii) may not be a mercantile customer or a PIPP (Percentage of Income Payment Plan) customer, (iv) must be in good credit standing with the Utility, (v) must not appear on the Public Utilities Commission of Ohio's "Do Not Aggregate" List, and (vi) may not be under a special arrangement with the Utility.

Enrollment: Enrollment is automatic for those who are eligible, but participation is voluntary. This Agreement shall become binding on the Effective Date, provided however, the obligation of Seller to Sell and schedule electricity for delivery to Buyer and the obligation of Buyer to purchase, take and pay for electricity is contingent upon: (a) eligibility of Buyer and the Accounts, (b) successful enrollment by the Utility, and (c) passage of the Rescission Period without effective cancellation by Buyer. Customer's service with <CRES Supplier> ("Seller") will commence upon the successful completion of the Program's 21-day opt out period and the successful enrollment with Duke Energy-Ohio, which is expected to commence within one or two billing cycles following completion of the opt-out period.

Purchase of Electricity: <CRES Supplier> agrees to sell, and Buyer agrees to buy, all retail electricity at the price and on the terms and conditions specified in this Agreement. Buyer's electric utility remains responsible for the delivery of electricity to the Buyer. In addition to delivering electricity, the Utility will continue to read Buyer's meter, bill Buyer and respond to any outages. If Buyer switches back to the Utility for any reason, Buyer may or may not be served under the same rate, terms, and conditions that apply to other customers served by the electric Utility. The electric utility may charge switching fees to the Buyer. <CRES Supplier> will not charge you separately for any switching fees.

Residential or Small Commercial Service: Buyer acknowledges this Agreement is for electricity for residential or small commercial use only.

Delivery Period: Service shall begin as soon as the Utility accepts your enrollment and shall continue through the _____ 20____ meter read date ("Initial Delivery Period"). Prior to the end of the Initial Delivery Period, Seller shall provide Buyer notice of any changes to the terms and conditions of this Agreement that apply to service during the next Delivery Period. If renewal is indicated in the expiration notice, service will renew at the terms stated in the renewal notice unless Buyer affirmatively

terminates the Agreement upon the expiration as provided in the notice. Buyer shall have the opportunity to opt-out of the Aggregation Program at least every three (3) years without penalty.

Rate: The Program rate will be a fixed price of \$____/kWh.

Billing and Payment: The charges for electricity provided by <CRES Supplier> will appear on Buyer's monthly bill from Buyer's Utility, and is due and payable to Customer's Utility on the same day the Utility's bill is due. Buyer will incur additional service and delivery charges from Buyer's Utility. Buyer should continue to follow any bill payment procedures between Buyer and Buyer's Utility. Buyer agrees to accept the measurements as determined by Buyer's Utility for purposes of accounting for the amount of electricity provided by <CRES Supplier> under this Agreement. Though <CRES Supplier> does not offer budget billing for the electricity provided, Buyer may contact the Buyer's Utility to enroll in the utility budget billing program if applicable. The failure to pay Utility charges may result in Buyer being disconnected from service in accordance with the Buyer's Utility tariff.

Taxes: Buyer is responsible for all state and local sales, use, revenue, gross receipts, commercial activity, excise and/or ad valorem tax (collectively, "Taxes") and shall reimburse Seller if Seller is required to remit such Taxes in connection with this Agreement. Tax exempt customer wishing to participate in the Program must send their most recent tax exempt certificate on or before _____, 20__, to: <CRES SUPPLIER> at <Supplier Address>. Be sure to note Hanover Township Electric Aggregation Program and your utility account number on the certificate. Sales tax will not be charged starting with the date the certificate is received.

Credit/Deposit Requirements and Customer Information: Seller will not request a deposit or investigate your credit history to establish service. Buyer's social security number, account number(s), or any customer information will not be released by Seller without Buyer's express written consent except in accordance with rules 4901:1-28-04 and 4901:1-29-09 of the Ohio Administrative Code. Upon request by Buyer, Seller will provide up to 24 months of Buyer's payment history without charge.

Rescission and Cancellation of Contract: The Utility will send Buyer a letter confirming Buyer's enrollment with <CRES Supplier>. Buyer has seven (7) days from the postmark date of that letter ("rescission period") to cancel their enrollment, without penalty, by calling the Utility on the toll-free number provided in the letter or by providing written notice to the Utility.

Termination: This agreement will automatically terminate or Buyer may terminate the Agreement without penalty if (a) the requested service location is not served by the Utility, or (b) Buyer, or the applicable account being served moves outside the Utility service area or to an area not served by Seller. This Agreement will terminate upon written notice, but without penalty to Buyer if (i) competitive retail Electric service is no longer available due to a change in regulation, tariff, or law, or (ii) Seller defaults, which includes an unexcused failure to deliver Electric under the terms of this Agreement. An "Early Termination" shall occur if this Agreement is terminated (i) by Buyer to select a different price or rate plan after the Rescission Period but prior to the end of the Delivery Period, or for any other reason other than those listed in (a)-(b) above or (ii) by Seller due to Buyer's default, which includes a failure to pay or an unexcused failure to receive Electric under the terms of this Agreement. In the event of an Early Termination, Seller will not charge a termination fee. If Buyer returns to the Utility after the Rescission Period Buyer may not be served with the same rates, terms and conditions that apply to the Utility's Standard Service Offer.

Environmental Disclosure: This Agreement incorporates the information provided to Buyer or made available to Buyer at <CRES Supplier>'s website regarding the approximate generation resource mix and environmental characteristics of electricity supply.

Assignment: <CRES Supplier> may assign, subcontract or delegate all or any part of their rights and/or obligations under this Agreement without consent from Buyer. Buyer shall not assign its rights and/or obligations under this Agreement without the prior written consent of <CRES Supplier>.

Questions, Complaints and Concerns: Buyer may contact Seller (i) by calling 24 hours per day, 7 days per week at XXX-XXXX, by visiting www.<CRESSupplierWebsite.com/Hanover; or (ii) by writing us at <CRES Supplier Address>. Seller will attempt to resolve all customer complaints in a timely manner and will respond to all complaints within three (3) business days of receipt. If Buyer's complaint is not resolved after Buyer has called Seller, or for general utility information, residential and business customers may contact the Public Utilities Commission of Ohio (PUCO) for assistance at (800) 686-7826 (toll-free) from 8:00 a.m. to 5:00 p.m. weekdays, or at <http://www.puco.ohio.gov>. Hearing or speech impaired customers may contact the PUCO via 7-1-1 (Ohio relay service). The Ohio Consumers' Counsel (OCC) represents residential utility customers in matters before the PUCO. The OCC can be contacted at (877) 742-5622 (toll free) from 8:00 a.m. to 5:00 p.m. weekdays, or at <http://www.occ.ohio.gov>.

HANOVER TOWNSHIP (BUTLER COUNTY), OHIO

Electric Governmental Aggregation Certification

EXHIBIT A-5

Experience (1 Page)

EXHIBIT A-5 "EXPERIENCE"

Due to the complexity of Governmental Aggregation, the Governmental Aggregator applicant has entered into a program agreement with Energy Alliances, Inc. to assist them in implementing and maintaining their Electric Governmental Aggregation Program.

Founded in 1985, Energy Alliances, Inc. is an independent energy broker and consulting company that provides comprehensive energy procurement for residential, commercial, and industrial customers as well as governmental aggregation customers throughout the mid-West.

As a PUCO certified Retail Natural Gas Broker/Aggregator (Certificate Number 14-350G(1)) and a Competitive Retail Electric Service Provider (Certificate Number 14-805E(1)) Energy Alliances has over 11 years of experience in leading communities through the entire governmental aggregation process. They currently manage more than forty-three (43) electric and gas governmental aggregation and/or endorsement programs in the State of Ohio and are currently leading eight (8) additional programs through the certification process.

A detailed summary of the contract includes, but is not limited to providing the following services:

- Assist with the preparation of resolutions, public notices, and customer communications
- Assist with the preparation of the Plan of Operation and Governance
- Assist with the preparation of the required legal notices and public meetings to review and approve the Plan of Operation and Governance
- Assist with the preparation and submission of the Certification Application for Governmental Aggregators and Re-certification Applications, as well as the annual reporting requirements
- Evaluate existing energy costs and rates, provide market expertise, and assist the communities with the selection of their electric/gas supply pricing strategy
- Assist with the preparation of all notifications required to be sent to program participants
- Assist the communities with the day-to-day operation of their programs (i.e. problem resolution, PUCO compliance, supplier liaison, etc.)
- Provide other services necessary for the communities to comply with provisions of Sections 4928 and 4929 and Chapter 4901 of the Ohio Revised Code

This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

12/19/2016 3:33:18 PM

in

Case No(s). 16-2415-EL-GAG

Summary: Application Hanover Township, Butler County electronically filed by Ms. Brenda G Coffey on behalf of Hanover Township, Butler Co.