

REBEKAH J. GLOVER
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November 10, 2016

Ms. Barcy F. McNeal
Director, Office of Administration
Public Utilities Commission of Ohio
180 East Broad Street
Columbus, Ohio 43215

Re: *In re Application of Vista Energy Marketing, L.P.*, Case No. 16-2025-GA-CRS

Dear Ms. McNeal,

On October 17, 2016, Vista Energy Marketing, L.P. (Vista) filed its application in the above-captioned case. Staff has requested Vista file revisions to the following exhibits:

- Exhibit A-1
- Exhibit A-8
- Exhibit B-5
- Exhibit B-6

Revised application pages 1, 2, and 5 are attached with updated responses to these exhibits. Please file these pages in the above-captioned docket and let me know if there are any questions.

Regards,

/s/ Rebekah J. Glover
Rebekah J. Glover



PUCO USE ONLY – Version 1.08 May 2016		
Date Received	Case Number	Certification Number
	- GA-CRS	

**INITIAL CERTIFICATION APPLICATION
COMPETITIVE RETAIL NATURAL GAS SUPPLIERS**

Please **type or print** all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-15 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

A-1 Applicant intends to be certified as: (check all that apply)

☒ Retail Natural Gas Aggregator ☐ Retail Natural Gas Broker ☒ Retail Natural Gas Marketer

A-2 Applicant information:

Legal Name Vista Energy Marketing, L.P.
Address 4306 Yoakum, Suite 600, Houston, TX 77006
Telephone No. 888-508-4782 Web site Address www.vistaenergymarketing.com

A-3 Applicant information under which applicant will do business in Ohio:

Name Vista Energy Marketing, L.P.
Address 4306 Yoakum, Suite 600, Houston, TX 77006
Web site Address www.vistaenergymarketing.com Telephone No. 888-508-4782

A-4 List all names under which the applicant does business in North America:

Vista Energy Marketing, L.P.	

A-5 Contact person for regulatory or emergency matters:

Name Luke Gottschalk Title Vice President
Business Address 4306 Yoakum, Suite 600, Houston TX 77006
Telephone No. 281-915-3220 x 105 Fax No. 832-213-0301 Email Address lukeg@vistaenergymarketing.com

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name	Maria Sion	Title	Director
Business address	4306 Yoakum, Suite 600, Houston, TX 77006		
Telephone No.	713-877-9904	Fax No.	832-213-0301
Email Address	msion@vistaenergymarketing.c		

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address	4306 Yoakum, Suite 600, Houston, TX 77006		
Toll-Free Telephone No.	888-508-4782	Fax No.	832-213-0301
Email Address	customerservice@vistaenerg		

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name	InCorp Services, Inc.	Title	Registered Agent
Business address	9435 Waterstone Blvd., Suite 140, Cincinnati, Ohio 45249		
Telephone No.	800-246-2677	Fax No.	702-866-2689
Email Address			

A-9 Applicant's federal employer identification number 26-3995865

A-10 Applicant's form of ownership: (Check one)

- | | |
|--|--|
| <input type="checkbox"/> Sole Proprietorship | <input checked="" type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other |

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

status or ability to provide the services it is seeking to be certified to provide.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
(This is generally only applicable to publicly traded companies who publish annual reports)
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

11/10/2016 2:07:12 PM

in

Case No(s). 16-2025-GA-CRS

Summary: Amended Application Updating Exhibits A-1, A-8, B-5, B-6 electronically filed by Ms. Rebekah J. Glover on behalf of Vista Energy Marketing, L.P.