



Public Utilities Commission

Original CRS Case Number	Version
12 - 2682 -EL- CRS	May 2016

RENEWAL APPLICATION FOR RETAIL GENERATION PROVIDERS AND POWER MARKETERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit C-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form.
You may also download the form, by saving it to your local disk, for later use.

A. RENEWAL INFORMATION

A-1 Applicant intends to be renewed as: (check all that apply)

- ☒ Retail Generation Provider ☐ Power Broker
☐ Power Marketer ☐ Aggregator

A-2 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name CenStar Energy Corp.
Address 1 Radisson Plaza, Ste 704 New Rochelle, NY 10801
PUCO Certificate # and Date Certified 12-585E(2) December 8, 2014
Telephone # (914) 365-1682 Web site address (if any) www.censtarenergy.com

A-3 List name, address, telephone number and web site address under which Applicant does business in Ohio

Legal Name CenStar Energy Corp.
Address 1 Radisson Plaza, Ste 704 New Rochelle, NY 10801
Telephone # (914) 365-1682 Web site address (if any) www.censtarenergy.com

A-4 List all names under which the applicant does business in North America
CenStar Energy Corp. _____

A-5 Contact person for regulatory or emergency matters

Name Edwin Dearman
Title Sr. Regulatory Manager
Business address 12140 Wickchester Ln., Ste. 100 Houston, TX 77079
Telephone # (832) 320-2928 Fax # (832) 485-6932
E-mail address edearman@censtarenergy.com

A-6 Contact person for Commission Staff use in investigating customer complaints

Name Martha Lopez
Title Regulatory Specialist
Business address 12140 Wickchester Ln., Ste. 100 Houston, TX 77079
Telephone # (832) 333-7053 Fax # (832) 320-2944
E-mail address mlopez@censtarenergy.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer Service address 12140 Wickchester Ln., Ste. 100 Houston, TX 77079
Toll-free Telephone # (877) 529-6701 Fax # (914) 235-6706
E-mail address regulatory@sparkenergy.com

A-8 Applicant's federal employer identification number # 26-3127097

A-9 Applicant's form of ownership (check one)

- | | |
|--|--|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input checked="" type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

A-10 Exhibit A-10 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

B. MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1** Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services.
- B-2** Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.
- B-3** Exhibit B-3 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.
- B-4** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.
☐ No ☒ Yes

If yes, provide a separate attachment labeled as Exhibit B-4 "Disclosure of Consumer Protection Violations" detailing such violation(s) and providing all relevant documents.

- B-5** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service denied, curtailed, suspended, revoked, or cancelled within the past two years.
☒ No ☐ Yes

If yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation" detailing such action(s) and providing all relevant documents.

C. FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- C-1** Exhibit C-1 "Annual Reports," provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)

- C-2** **Exhibit C-2 “SEC Filings,”** provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.
- C-3** **Exhibit C-3 “Financial Statements,”** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).
- C-4** **Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

- C-5** **Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted income statements for the applicant's **ELECTRIC** related business activities in the **state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- C-6** **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.
- C-7** **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.
- C-8** **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9** **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10** **Exhibit C-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

D. TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- D-1 Exhibit D-1 "Operations" provide a written description of the operational nature of the applicant's business. Please include whether the applicant's operations include the generation of power for retail sales, the scheduling of retail power for transmission and delivery, the provision of retail ancillary services as well as other services used to arrange for the purchase and delivery of electricity to retail customers.
- D-2 Exhibit D-2 "Operations Expertise," given the operational nature of the applicant's business, provide evidence of the applicant's experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel," provide the names, titles, e-mail addresses, telephone numbers, and the background of key personnel involved in the operational aspects of the applicant's business.
- D-4 Exhibit D-4 "FERC Power Marketer License Number," provide a statement disclosing the applicant's FERC Power Marketer License number. (Power Marketers only)

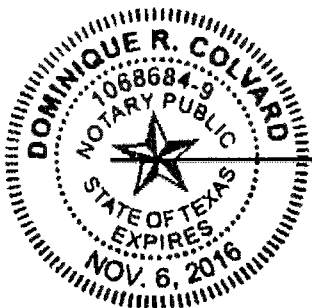

Signature of Applicant and Title

Sworn and subscribed before me this 5th day of October, 2016
Month Year


Signature of official administering oath

Dominique R. Colvard, Notary
Print Name and Title

My commission expires on 11/6/16



AFFIDAVIT

State of Texas :

Houstonss.
(Town)

County of Harris :

Gil Melman, Affiant, being duly sworn/affirmed according to law, deposes and says that:

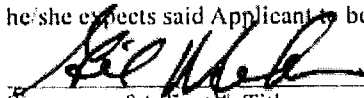
He/She is the VP & General Counsel (Office of Affiant) of CenStar Energy Corp. (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
7. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

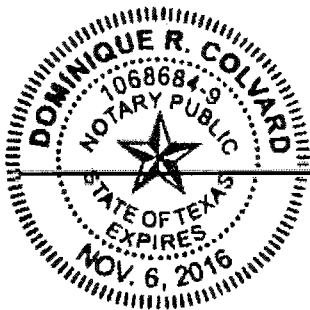
That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.


Signature of Affiant & Title

Sworn and subscribed before me this 5th day of October, 2016
Month Year


Signature of official administering oath

Dominique R. Colvard, Notary
Print Name and Title



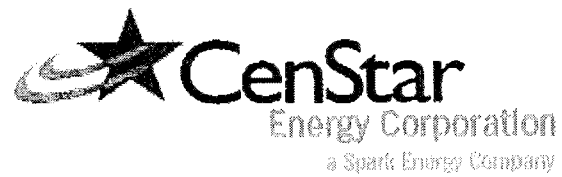
My commission expires on 11/6/16



Exhibit A-10: "Principal Officers, Directors, & Partners"

Name	Title	Address	Telephone
Nathan G. Kroeker	President, Chief Executive Officer	12140 Wickchester Ln., Ste. 100 Houston, TX 77079	281-833-4153
Gil Melman	Vice President, General Counsel	12140 Wickchester Ln., Ste. 100 Houston, TX 77079	281-833-4154
Jason Garrett	Executive Vice President	12140 Wickchester Ln., Ste. 100 Houston, TX 77079	832-200-3735
Robert Lane	Chief Financial Officer	12140 Wickchester Ln., Ste. 100 Houston, TX 77079	832-217-1860
Yonatan Garber	Vice President, CenStar Retail	1 Radisson Plaza, Ste 704 New Rochelle, NY 10801	914-365-1682

Exhibit B-1 "Jurisdiction of Operation"



CenStar Markets	Commodity
NJ	
PSEG	Dual
NY	
Con Edison	Dual
National Grid	Gas
Orange & Rockland	Dual
NYSEG	Dual
Rochester Gas & Electric	Dual
OH	
Dominion East Ohio	Gas
Duke Energy	Dual
First Energy	Electric
Cleveland Illuminating	Electric
Toledo Edison	Electric
Ohio Edison	Electric
AEP Power	Electric
AEP Columbus Southern Power	Electric

Exhibit B-2 "Experience & Plans"

Experience

CenStar Energy Corp ("CenStar") utilizes three main ways to contract with customers, phone enrollment, door to door enrollments and online enrollments. For all methods, customers are mailed our Terms of Service and are given a right of rescission, where applicable, if they do not wish to abide by the terms.

Contracting with Customers/Providing Contracted Services

CenStar provides competitively-priced electric products, while complying with all applicable laws and rules set forth by the Public Utilities Commission of Ohio, to customers in Ohio as it does in other states. All terms and conditions of the contract (pricing, terms, rights to terminate, etc.) are clearly disclosed to the customer.

Providing Billing Statements

Consolidated billing statement are provided to the customer through their utility company. CenStar establishes how much the customer owes for that month based on their rate and usage. They then send that amount to the utility company who includes CenStar's charges on their consolidated bill which is then provided to the customer.

Response to Customer Inquiries and Complaints

CenStar commits to maintaining a fully trained staff of representatives to fulfill the needs of our customers. CenStar utilizes a toll-free number specifically for Ohio customers and that number is provided on CenStar's website as well as the contract. CenStar is prepared to comply with all sections of Chapter 4901:1-29 of the Ohio Administrative Code. CenStar is highly proficient in accepting customer inquiries and responding to complaints and does so meet similar requirements in other markets.

Exhibit B-3: "Disclosure of Liabilities and Investigations"

There is no activity to report



Exhibit B-4: "Disclosure of Consumer Protection Violations"

In January of 2016, Spark Energy, LLC entered into a settlement agreement with the Public Utility Commission of Texas ("PUCT") to pay an administrative penalty of \$160,000 of Spark's violations of Three Admin Codes related to the rate reduction program, bill payment and adjustments and disconnection of service.

Exhibit B-5 – “Disclosure of Certification Denial, Curtailment, Suspension, or Revocation.”

There is no activity to report.

Exhibit C-1: "Annual Reports"

- 2015 Annual Report: <http://ir.sparkenergy.com/annuals-proxies.cfm>
- 2014 Annual Report: <http://ir.sparkenergy.com/annuals-proxies.cfm>

Exhibit C-2: "SEC Filings"

- 8-K: <https://www.sec.gov/Archives/edgar/data/1606268/000160626816000320/a8-kearningsreleaseq22016.htm>
- 10-K: <https://www.sec.gov/Archives/edgar/data/1606268/000160626816000225/spke1231201510k.htm>

Exhibit C-3: "Financial Statements"

- 2015 -10-K:
<https://www.sec.gov/Archives/edgar/data/1606268/000160626816000225/spke1231201510k.htm>
- 2014 -10-K:
<https://www.sec.gov/Archives/edgar/data/1606268/000160626815000035/spke1231201410k.htm>

Exhibit C-4: "Financial Arrangements"

This information has been filed under separate cover to protect confidentiality.



Exhibit C-5: "Forecasted Financial Statements"

This information has been filed under separate cover to protect confidentiality.

Exhibit C-6: "Credit Rating"

Premier Profile - CENSTAR ENERGY CORP

Subcode: 384420

Ordered: 10/14/2015 11:59:10 CST



Transaction Number: C046244491

Search Inquiry: Censtar Energy Corp/56 HARRISON ST STE 306/NEW ROCHELLE/NY/10801/US/914-235-6418/969490059

Model Description: Intelliscore Plus V2

Business Name: CENSTAR ENERGY CORP			Business Identification Number: 969490059
Primary Address: 56 HARRISON ST STE 306 NEW ROCHELLE, NY 10801-6555		Phone: (914) 235-6418	
Ultimate Parent: SPARK ENERGY, INC		This business is a member of a corporate family. See the corporate hierarchy by clicking here	

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Risk Dashboard				
Risk Scores and Credit Limit Recommendation		Days Beyond Terms	Derogatory Legal	Fraud Alerts
Intelliscore Plus 11 MEDIUM TO HIGH RISK Score range: 1 - 100 percentile Credit Limit Recommendation: \$1,900	Financial Stability Risk 20 MEDIUM RISK	Company DBT 0	Original Filings 1	High Risk Alerts 0

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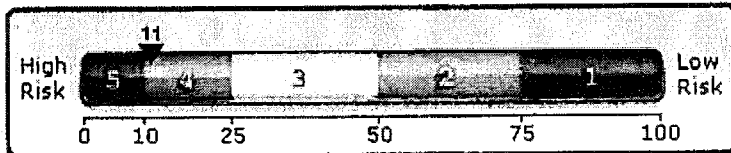
Business Facts	
Years on File:	7 (FILE ESTABLISHED 09 /2008)
State of Incorporation:	NY
Date of Incorporation:	09/11/2012
Business Type:	Profit
Contacts:	PENNY GARBER - CHAIRMAN

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Commercial Fraud Shield	
Evaluation for: CENSTAR ENERGY CORP, 56 HARRISON ST STE 306, NEW ROCHELLE, NY10801-6555	
Business Alerts	Verification Triggers
Active Business Indicator: Experian shows this business as active	The primary Business Name, Address, and Phone Number on Experian File were reviewed for High Risk indicators, no High Risk indicators were found.
Possible OFAC Match: No OFAC match found	
Business Victim Statement: No victim statement on file	

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Credit Risk Score and Credit Limit Recommendation	
Credit Risk Score: Intelliscore Plus Current Intelliscore Plus Score: 11	
Risk Class: 4 	



The risk class groups scores by risk into ranges of similar performance. Range 5 is the highest risk, range 1 is the lowest risk.

This score predicts the likelihood of serious credit delinquencies for this business within the next 12 months. Payment history and public record along with other variables are used to predict future risk. Higher scores indicate lower risk.

Factors lowering the score

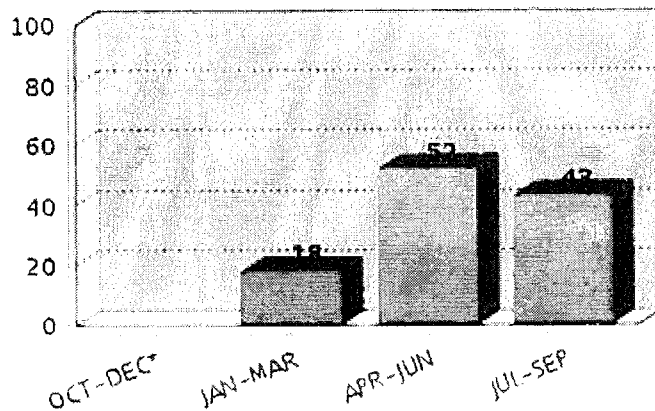
- ▶ NUMBER OF COMMERCIAL ACCOUNTS WITH NET 1-30 DAYS TERM
- ▶ NUMBER OF RECENTLY ACTIVE COMMERCIAL ACCOUNTS
- ▶ MAX DELINQUENCY RPTD IN LAST 4 MOS FOR ADDITIONAL COMM ACCTS
- ▶ BALANCE OF COMMERCIAL ACCOUNTS AT WORST DELINQUENCY

Industry Risk Comparison

10% of businesses indicate a higher likelihood of severe delinquency.

Quarterly Score Trends

Quarterly Score Trends

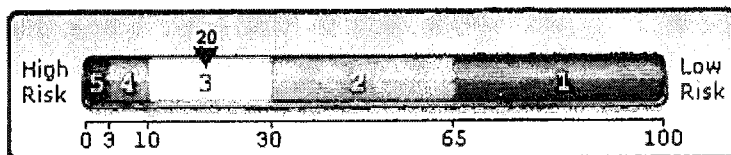


The Quarterly Score Trends provide a view of the likelihood of delinquency over the past 12 months for this business. The trends will indicate if the score improved, remained stable, fluctuated or declined over the last 12 months.

* No score average available for this quarter

Credit Risk Score: Financial Stability Risk

Current Financial Stability Risk Score: 20



Risk Class: 3

MEDIUM RISK

The risk class groups scores by risk into ranges of similar performance. Range 5 is the highest risk, range 1 is the lowest risk.

This score predicts the likelihood of financial stability risk within the next 12 months. The score uses tradeline and collections information, public filings as well as other variables to predict future risk. Higher scores indicate lower risk.

Factors lowering the score

- ▶ NUMBER OF ACTIVE COMMERCIAL ACCOUNTS
- ▶ PERCENT OF COMMERCIAL ACCOUNTS DELINQUENT
- ▶ RISK ASSOCIATED WITH THE COMPANY'S INDUSTRY SECTOR
- ▶ PAST COMMERCIAL DEROGATORY BALANCE

Industry Risk Comparison

19% of businesses indicate a higher likelihood of financial stability risk.

Credit Limit Recommendation

Credit Limit Recommendation

\$1,900

This recommendation compares this business against similar businesses in the Experian business credit database. It is based on trade information, industry, age of business and the Intelliscore Plus. The recommendation is a guide. The final decision must be made based on your company's business policies.

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Payment Performance		Trade and Collection Balance		Legal Filings	
Current DBT:	0	Total trade and collection (2):	\$1,500	Bankruptcy:	No
Predicted DBT :	N/A	All trades (2):	\$1,500	Tax Lien filings:	0
Monthly Average DBT:	1	All collections (0):	\$0	Judgment filings:	1
Highest DBT Previous 6 Months:	9	Continuous trade (1):	\$100	Sum of legal filings:	\$52,835
Highest DBT Previous 5 Quarters:	0	6 month average:	N/A	UCC filings:	5
Payment Trend Indication:		Highest credit amount extended:	\$200	Cautionary UCC filings:	Yes
Payment trend indicator not available		Most frequent industry purchasing terms:			
		Industry purchasing terms not available			

Industry Comparison

Industry DBT Range Comparison

The current DBT of this business is 0. 80% of businesses have a DBT range of 0-5.

DBT for this business: 0

▼

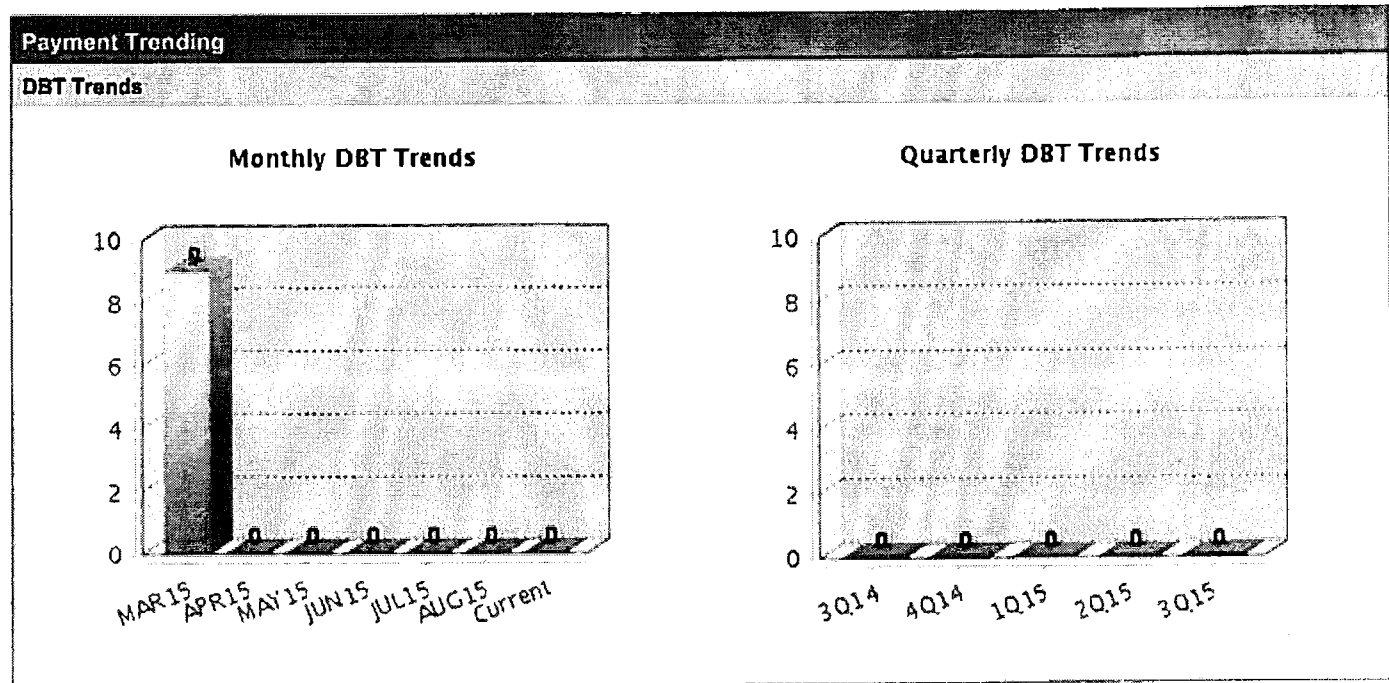
% of businesses

80%11%9%

DBT Range

0-56-1516+

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Trade Payment Summary									
Trade Line Type	Lines Reported	DBT	Recent High Credit	Balance	Current	01-30	31-60	61-90	91+
Continuous	1		\$200	<\$100	100%				
New	0			\$0					
Combined Trade	1		\$200	\$100	100%				
Additional	1		\$4,100	\$1,400			100%		
Total Trade	2		\$4,300	\$1,500	7%		93%		

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Trade Payment - New and Continuously Reported Trade Details

Payment Experiences (Trade Lines with an (*) after the date are newly reported)					Account Status Days Beyond Terms						
Business Category	Date Reported	Last Sale	Payment Terms	Recent High Credit	Balance	Cur	1-30	31-60	61-90	91+	Comments
BUS SERVCS	08/2015		VARIED	\$200	<\$100	100%					

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Trade Payment - Additional Trade Details												
Payment Experiences (Trade Lines with an (*) after the date are newly reported)							Account Status Days Beyond Terms					
Business Category	Date Reported	Last Sale	Payment Terms	Recent High Credit	Balance	Cur	1-30	31-60	61-90	91+	Comments	
LEASING	10/2015		CONTRCT	\$4,100	\$1,400				100%			

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Legal Filings						
Judgments						
File Date	Plaintiff	Status	Amount	Filing Number	Jurisdiction	
12/03/2012	QUANTUM GAS AND POWER LTD	Filed	\$52,835	5963411	WESTCHESTER COUNTY SUPREME COURT	

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Uniform Commercial Code (UCC) Filings						
UCC Filing Summary						
Date Range	Year	Cautionary UCCs **	Total Filed	Released / Termination	Continuous	Amended / Assigned
JUL - PRESENT	2015	1	1			
JAN - JUN	2015					
JUL - DEC	2014	1	1	1		
JAN - JUN	2014					
JUL - DEC	2013					
PRIOR TO JUL	2013	3	3	1	2	1
Total		5	5	2	2	1

** Cautionary UCC Filings include one or more of the following collateral: Accounts, Accounts Receivables, Contract Rights, Hereafter Acquired Property, Inventory, Leases, Notes Receivable or Proceeds.

UCC Details

UCC TERMINATED Date: 07/09/2015
Filing Number: 201507090346196
Original Filing Date: 11/14/2014
Original Filing Number: 2014111406
Original Filing State: NY
Jurisdiction: SEC OF STATE NY
Secured Party: INVESTORS BANK

UCC FILED Date: 07/08/2015
Filing Number: 201507085754031
Jurisdiction: SEC OF STATE NY
Secured Party: SOCIETE GENERALE, AS AGENT NY NEW YORK
 10167 245 PARK AVENUE
Collateral: UNDEFINED, HEREAFTER AQUIRED PROP

UCC AMENDED Date: 05/01/2015
Filing Number: 201505010215911
Original Filing Date: 06/15/2010
Original Filing Number: 2010061581
Original Filing State: NY
Jurisdiction: SEC OF STATE NY
Secured Party:

UCC CONTINUED Date: 05/01/2015
Filing Number: 201505010215911
Original Filing Date: 06/15/2010
Original Filing Number: 2010061581
Original Filing State: NY
Jurisdiction: SEC OF STATE NY
Secured Party: D/B/A NATIONAL GRID

UCC CONTINUED Date: 04/07/2015
Filing Number: 201504075357562
Original Filing Date: 05/06/2010
Original Filing Number: 2010050654
Original Filing State: NY
Jurisdiction: SEC OF STATE NY
Secured Party: ORANGE AND ROCKLAND UTILITIES, INC

UCC FILED Date: 11/14/2014
Filing Number: 201411140640213
Jurisdiction: SEC OF STATE NY
Secured Party: INVESTORS BANK NY BROOKLYN 11201 81
 COURT STREET, 4TH FLOOR
Collateral: UNDEFINED, EQUIP, FURN & FIX, INVENTORY

UCC TERMINATED Date: 11/14/2014
Filing Number: 201411140640225
Original Filing Date: 01/02/2013

UCC FILED Date: 01/02/2013
Filing Number: 201301025004008
Jurisdiction: SEC OF STATE NY

Original Filing Number: 2013010250
Original Filing State: NY
Jurisdiction: SEC OF STATE NY
Secured Party: STERLING NATIONAL BANK

Secured Party: STERLING NATIONAL BANK NY NEW YORK
10018 500 SEVENTH AVE., 3
Collateral: UNDEFINED, EQUIP. FURN & FIX, INVENTORY,
HEREAFTER ACQUIRED PROP

UCC FILED Date: 06/15/2010
Filing Number: 201006158196792
Jurisdiction: SEC OF STATE NY
Secured Party: D/B/A NATIONAL GRID NY HICKSVILLE 11801 175
EAST OLD COUNTRY
Collateral: UNDEFINED, HEREAFTER ACQUIRED PROP

UCC FILED Date: 05/06/2010
Filing Number: 201005065424212
Jurisdiction: SEC OF STATE NY
Secured Party: ORANGE AND ROCKLAND UTILITIES, INC. NY
SPRING VALLEY 10977 3
Collateral: UNDEFINED, HEREAFTER ACQUIRED PROP

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Additional Business Facts

Corporate Registration

THE FOLLOWING INFORMATION WAS PROVIDED BY THE STATE OF OHIO. THE DATA IS CURRENT AS OF 10/14/2015.

State of Origin: NY
Date of Incorporation: 09/11/2012
Current Status: Active
Business Type: Profit
Charter Number: 2135870
Agent: INCORP SERVICES INC
Agent Address: 9435 WATERSTONE BLVD CINCINNATI, OH

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Corporate Linkage

Business Name	Location	BIN
Ultimate Parent of the inquired upon business and the top entity within the corporate family:		
SPARK ENERGY, INC	2105 CITYWEST BLVD STE 100 - HOUSTON, TX	866052780
Immediate Parent of the Inquired upon business:		
SPARK ENERGY, INC	2105 CITYWEST BLVD STE 100 - HOUSTON, TX	866052780
Branches of the Inquired upon business:		
CENSTAR ENERGY CORP	25 COLIGNI AVE - NEW ROCHELLE, NY	892797449

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End of report

1 of 1 report

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Exhibit C-7: "Credit Report"

See Exhibit C-6: Credit Rating

Exhibit C-8: "Bankruptcy Information"

Neither CenStar Energy Corp, its parent, or any affiliates, have filed for reorganization protection from creditors, or any other form of bankruptcy during the current year or within the two most recent years preceding the date of this application.



Exhibit C-9: "Merger Information"

Acquisition of Entity

On July 8, 2015, Spark Holdco, LLC, parent company of Spark Energy, LLC and Spark Energy Gas, LLC, acquired the capital stock of CenStar from Perry Garber, CenStar Chief Executive Officer, thereby, implementing a change of control.

Exhibit C-10: "Corporate Structure"

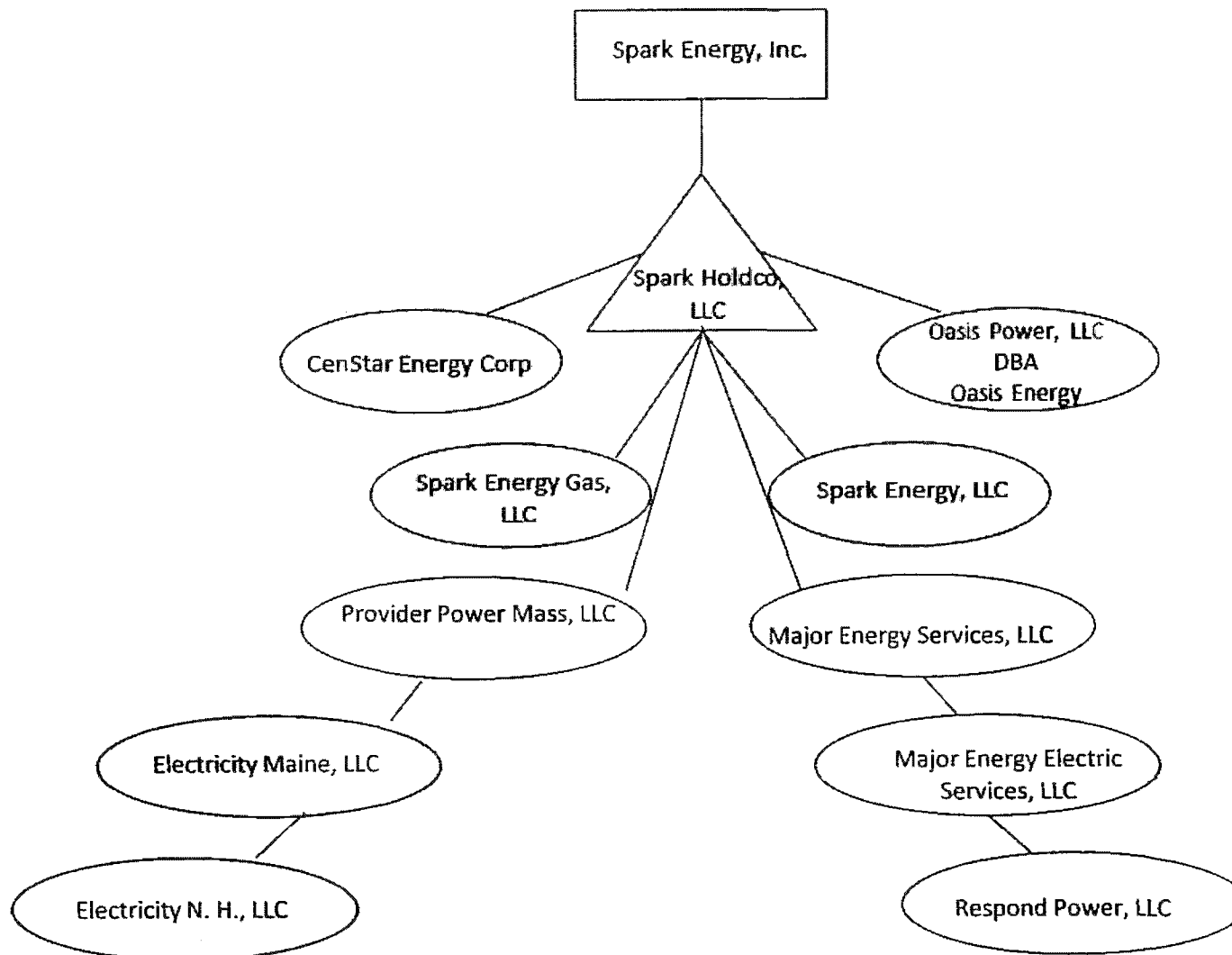


Exhibit D-1: "Operations"

CenStar Energy Corp. markets electric by entering into contracts for retail sales, and provides electric supplies by contracting with and purchasing electric from wholesale suppliers. CenStar also manages the nomination and scheduling process in house for all of its customers. Further details of the level of expertise of CenStar Energy Corps. employees and managers can be found in Exhibit D-2 "Operations Expertise" and Exhibit D-3 "Key Technical Personnel".

Exhibit D-2: "Operations Expertise"

NATHAN KROEKER – President – Chief Executive Officer
12140 Wickchester Ln., Suite 100
Houston, TX 77079
Phone: (281) 833-4153

Nathan Kroeker, appointed President of Spark Energy in April 2012, is responsible for overseeing the day-to-day operations and helping shape the overall strategy of the company. Nathan is a 17-year industry veteran with diverse experience in public accounting, M&A, and both retail and wholesale energy.

Nathan first joined the company in July 2010 as Executive Vice President and Chief Financial Officer of Spark Energy Ventures. Prior to Spark, Nathan held senior finance and leadership roles with Macquarie and Direct Energy. He began his career in public accounting, including both audit and M&A advisory functions. Before joining Spark Energy in July 2010, Mr. Kroeker was the Finance SVP for Macquarie Bank's global wholesale energy business. Prior to that, he held several senior Finance & Portfolio Management roles at Direct Energy. Mr. Kroeker began his career in public accounting including both audit and M&A advisory.

Mr. Kroeker graduated with honors with a Bachelors of Commerce degree from the University of Manitoba. He has both a CPA in Texas as well as a CA in Canada.

GIL MELMAN - Vice President and General Counsel
12140 Wickchester Ln., Suite 100
Houston, TX 77079
Phone: (281) 833-4154

Mr. Melman, Vice President, General Counsel, & Corporate Secretary of Spark Energy, is responsible for Spark Energy's legal, risk management, and compliance matters. Prior to joining Spark Energy, Gil served as the General Counsel to Madagascar Oil Limited, an oil and gas exploration and production company. Previously, he has acted as general counsel and in-house counsel to several energy companies as well as a regional private equity fund.



Gil began his career practicing corporate law with the law firm of Vinson & Elkins LLP, where his focus was primarily in corporate securities and mergers and acquisitions primarily in the energy industry.

Gil holds a Bachelor of Business Administration degree in Accounting from the University of Texas at Austin, and a Doctor of Jurisprudence from the University of Texas at Austin School of Law.

JASON GARRETT –Executive Vice President

12140 Wickchester Ln., Suite 100

Houston, TX 77079

Phone: (832) 200-3735

Jason Garrett served as Executive Vice President of Continuum Energy prior to joining Spark Energy Gas, LLC in 2015. Before joining Continuum Energy he served as Senior Vice President and General Manager with Just Energy.

He received his undergraduate degree from the University of New Orleans and an MBA from the University of New Orleans. Mr. Garrett is a 20-year veteran of the finance and energy industry and is a certified six sigma black belt.

Robert Lane –Chief Financial Officer

12140 Wickchester Ln., Suite 100

Houston, TX 77079

Phone: (832) 200-3735

Robert Lane has over two decades of experience in investment banking and corporate finance, including two stints as CFO, most recently for Emerge Energy Services LP. He led Emerge through an IPO, several expansions of its credit facility, and built a finance and accounting team from scratch to help grow company value from \$500 million of enterprise value to over \$3.5 billion of enterprise value at its peak. He brings public company experience, together with a long list of M&A and capital markets transactions, to our team. Mr. Lane is a Houston native, a graduate of Princeton University and earned his MBA at the University of Pennsylvania's Wharton School; he is also both a CPA and a CFA.

Exhibit D-3: "Key Technical Personnel"

NATHAN KROEKER – President – Chief Executive Officer
12140 Wickchester Ln., Suite 100
Houston, TX 77079
Phone: (281) 833-4153

Nathan Kroeker, appointed President of Spark Energy in April 2012, is responsible for overseeing the day-to-day operations and helping shape the overall strategy of the company. Nathan is a 17-year industry veteran with diverse experience in public accounting, M&A, and both retail and wholesale energy.

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Houston, TX 77079

Phone: (832) 200-3735

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Robert Lane –Chief Financial Officer

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Robert Lane has over two decades of experience in investment banking and corporate finance, including two stints as CFO, most recently for Emerge Energy Services LP. He led Emerge through an IPO, several expansions of its credit facility, and built a finance and accounting team from scratch to help grow company value from \$500 million of enterprise value to over \$3.5 billion of enterprise value at its peak. He brings public company experience, together with a long list of M&A and capital markets transactions, to our team. Mr. Lane is a Houston native, a graduate of Princeton University and earned his MBA at the University of Pennsylvania's Wharton School; he is also both a CPA and a CFA.



**Exhibit D-4:
“FERC Power Marketer License Number”**

CenStar Energy Corp. has an identifier #C002279 and a docket number for its FERC Power Marketer License of ER15-2529-000.

This foregoing document was electronically filed with the Public Utilities

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11/4/2016 3:25:48 PM

in

Case No(s). 12-2682-EL-CRS

Summary: Application Renewal Application for Retail Generation Providers and Power Marketers electronically filed by Mr. Stephen M Howard on behalf of CenStar Energy Corp.