

NC
FILE



Public Utilities
Commission

16-2162-EL-AGG
PUCO USE ONLY

Date Received	Case Number	Version
16-2162	16-2162-EL-AGG	May 2016

INITIAL CERTIFICATION APPLICATION FOR ELECTRIC AGGREGATORS/ POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-12 Company History). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form.
You may also download the form, by saving it to your local disk, for later use.

A. APPLICANT INFORMATION

A-1 Applicant intends to be certified as: (check all that apply)

☒ Power Broker ☐ Aggregator

A-2 Applicant's legal name, address, telephone number and web site address

Legal Name Stillwater Creek Solutions LLC
Address PO Box 3426, Dayton, OH 45401
Telephone # 937-234-7448 Web site address (if any) www.stillwatercreeksolutions.com
(Planned)

A-3 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name Stillwater Creek Solutions LLC
Address PO Box 3426, Dayton, OH 45401
Telephone # 937-234-7448 Web site address (if any) www.stillwatercreeksolutions.com
(Planned)

A-4 List all names under which the applicant does business in North America

Stillwater Creek Solutions LLC

A-5 Contact person for regulatory or emergency matters

Name Christopher Wettle
Title Principal

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.

Technician A Date Processed 11/2/16

2016 NOV -2 PM 1:17

Business address Po Box 3426, Dayton, OH 45401
Telephone # 937-234-7448 Fax # _____
E-mail address chris@stillwatercreeksolutions.com

A-6 Contact person for Commission Staff use in investigating customer complaints

Name Christopher Wettle
Title Principal
Business address Po Box 3426, Dayton, OH 45401
Telephone # 937-234-7448 Fax # _____
E-mail address chris@stillwatercreeksolutions.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer Service address Po Box 3426, Dayton, OH 45401
Toll-free Telephone # 866-768-4381 Fax # _____
E-mail address chris@stillwatercreeksolutions.com

A-8 Applicant's federal employer identification number # 46-1049091

A-9 Applicant's form of ownership (check one)

- | | |
|---|--|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input checked="" type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

A-10 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).

- | | | | | |
|---|---|--|--|--|
| <input checked="" type="checkbox"/> First Energy | | | | |
| <input checked="" type="checkbox"/> Ohio Edison | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Toledo Edison | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Cleveland Electric Illuminating | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Duke Energy | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Monongahela Power | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> American Electric Power | | | | |
| <input checked="" type="checkbox"/> Ohio Power | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Columbus Southern Power | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Dayton Power and Light | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |

- A-11** Provide the approximate start date that the applicant proposes to begin delivering services

December 1, 2016

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-12** **Exhibit A-12 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-13** **Exhibit A-13 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-14** **Exhibit A-14 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.
- A-15** **Exhibit A-15 "Secretary of State,"** provide evidence that the applicant has registered with the Ohio Secretary of the State.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1** **Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2** **Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).

B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-5 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-6 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports)

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

C-3 Exhibit C-3 “Financial Statements,” provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

C-4 Exhibit C-4 “Financial Arrangements,” provide copies of the applicant's financial to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.,).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU’s collateral requirements.

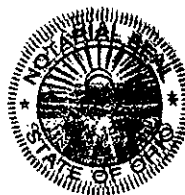
First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody’s, Standard & Poor’s or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody’s, Standard & Poor’s or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody’s, Standard & Poor’s or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company’s financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter “N/A” in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

C-5 Exhibit C-5 “Forecasted Financial Statements,” provide two years of forecasted income statements for the applicant’s **ELECTRIC related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

- C-6 Exhibit C-6 "Credit Rating."** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.
- C-7 Exhibit C-7 "Credit Report."** provide a copy of the applicant's credit report from Experion, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.
- C-8 Exhibit C-8 "Bankruptcy Information."** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information."** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure."** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.



Callie A. Stewart
Notary Public, State of Ohio
My Commission Expires 09-29-2019

Christopher Weath, Principal
Signature of Applicant & Title

Sworn and subscribed before me this 31 day of Oct, 2014
Month Year
Callie A. Stewart
Signature of official administering oath

Print Name and Title

My commission expires on Sept 29, 2019

AFFIDAVIT

State of _____ :

_____ ss.
(Town)

County of _____ :

_____, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the _____ (Office of Affiant) of _____ (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Chris Weedy Principal
Signature of Affiant & Title

Sworn and subscribed before me this 31st day of Oct, 2014
Month Year
Callie A Stewart
Signature of official administering oath

Callie A Stewart
Print Name and Title



Callie A. Stewart
Notary Public, State of Ohio My commission expires on Sept 29, 2019
My Commission Expires 09-29-2019

Exhibit A-12 "Principal Officers, Directors & Partners"

Christopher Wettle

Principal

514 South Claridge Dr.

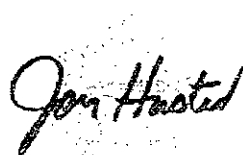
Kettering, OH 45429

937-694-6466

Exhibit A-13 "Company History"

Stillwater Creek Solutions LLC was founded in 2012 as a business consulting service with a focus on assisting Ohio commercial and industrial customers with energy issues including procurement. The company is also registered with the National Futures Association (NFA). As a registered Commodity Trading Advisor with the NFA, the company is capable of providing commodity risk management consulting services to companies with significant commodity price risk. We will not be providing retail or wholesale electric service and we will not be aggregators.

Exhibit A-14 "Articles of Incorporation and Bylaws,"



Jon Husted
Ohio Secretary of State

180 East Broad Street, 16th Floor • Columbus, Ohio 43215
Toll Free (877) SOS-FILE (767-3453) Central Ohio: (614) 466-3910
www.OhioSecretaryofState.gov • busserv@OhioSecretaryofState.gov

Please return the approval certificate to:

Name:
(Individual or Business Name)

To the attention of:
(if necessary)

Address:

City:

State: ZIP Code:

Phone Number: E-mail Address:

- ☒ Check here if you would like to receive important notices via e-mail from the Ohio Secretary of State's office regarding Business Services.
- ☒ Check here if you would like to be signed up for our Filing Notification System for the business entity being created or updated by filing this form. This is a free service provided to notify you via e-mail when any document is filed on your business record.

Type of Service Being Requested: (PLEASE CHECK ONE BOX BELOW)

☒ **Regular Service:** Only the filing fee listed on page one of the form is required and the filing will be processed in approximately 3-7 business days. The processing time may vary based on the volume of filings received by our office.

☐ **Expedite Service 1:** By including an Expedite fee of \$100.00, in addition to the regular filing fee on page one of the form, the filing will be processed within 2 business days after it is received by our office.

☐ **Expedite Service 2:** By including an Expedite fee of \$200.00, in addition to the regular filing fee on page one of the form, the filing will be processed within 1 business day after it is received by our office. This service is only available to walk-in customers who hand deliver the document to the Client Service Center.

☐ **Expedite Service 3:** By including an Expedite fee of \$300.00, in addition to the regular filing fee on page one of the form, the filing will be processed within 4 hours after it is received by our office, if received by 1:00 p.m. This service is only available to walk-in customers who hand deliver the document to the Client Service Center.

☐ **Preclearance Filing:** For the purpose of advising as to the acceptability of the proposed filing, a form that is to be submitted at a later date for processing may be submitted for examination for a fee of \$50.00. The Preclearance will be complete within 1-2 business days.

Jon Husted

Form 533A Prescribed by:
Ohio Secretary of State
JON HUSTED
Ohio Secretary of State
Central Ohio: (614) 466-3910
Toll Free: (877) SOS-FILE (757-3453)
www.OhioSecretaryofState.gov
HustedJ@OhioSecretaryofState.gov

Mail this form to one of the following:

Regular Filing (non expedite)
P.O. Box 670
Columbus, OH 43216

Expedite Filing (Two-business day processing
time requires an additional \$100.00).
P.O. Box 1350
Columbus, OH 43216

Articles of Organization for a Domestic Limited Liability Company

Filing Fee: \$125

CHECK ONLY ONE (1) BOX

(1) ☒ Articles of Organization for Domestic
For-Profit Limited Liability Company
(115-LCA)

(2) ☐ Articles of Organization for Domestic
Nonprofit Limited Liability Company
(115-LCA)

Name of Limited Liability Company		Stillwater Creek Solutions LLC
Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "ltd.," or "co."		
Effective Date (Optional)	<input type="text"/> mm/dd/yyyy	(The legal existence of the limited liability company begins upon the filing of the articles or on a later date specified that is not more than ninety days after filing)
This limited liability company shall exist for (Optional)	<input type="text"/> Period of Existence	
Purpose (Optional)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
**Note for Nonprofit LLCs The Secretary of State does not grant tax exempt status. Filing with our office is not sufficient to obtain state or federal tax exemptions. Contact the Ohio Department of Taxation and the Internal Revenue Service to ensure that the nonprofit limited liability company secures the proper state and federal tax exemptions. These agencies may require that a purpose clause be provided.		

ORIGINAL APPOINTMENT OF AGENT

The undersigned authorized member(s), manager(s) or representative(s) of

Stillwater Creek Solutions LLC

Name of Limited Liability Company

hereby appoint the following to be Statutory Agent upon whom any process, notice or demand required or permitted by statute to be served upon the limited liability company may be served. The name and address of the agent is

Christopher Patrick Wettle

Name of Agent

PO Box 3426

Mailing Address

Dayton

City

Ohio

State

45401

ZIP Code

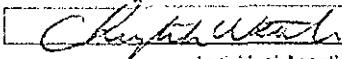
ACCEPTANCE OF APPOINTMENT

The undersigned, named herein as the statutory agent for

Stillwater Creek Solutions LLC

Name of Limited Liability Company

hereby acknowledges and accepts the appointment of agent for said limited liability company



Individual Agent's Signature / Signature on Behalf of Corporate Agent

☒ If the agent is an individual and using a P.O. Box, check this box to confirm that the agent is an Ohio resident.

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

Required

Articles and original appointment of agent must be signed by a member, manager or other representative.

If authorized representative is an individual, then they must sign in the "signature" box and print their name in the "Print Name" box.

If authorized representative is a business entity, not an individual, then please print the business name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print their name in the "Print Name" box.

Christopher Wettie
Signature

By (if applicable)

Christopher Wettie
Print Name

Signature

By (if applicable)

Print Name

Signature

By (if applicable)

Print Name

Exhibit A-15 "Secretary of State"



DATE:	DOCUMENT ID	DESCRIPTION	FLING	EXPED	PENALTY	CERT	COPY
08/25/2012	201224800834	DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG (LCP)	125.00	00	.00	.00	.00

Receipt

This is not a bill. Please do not remit payment.

CHRISTOPHER WETTLE
PO BOX 3426
DAYTON, OH 45401

STATE OF OHIO
CERTIFICATE

Ohio Secretary of State, Jon Husted

2133208

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

STILLWATER CREEK SOLUTIONS LLC

and, that said business records show the filing and recording of:

Document(s):

DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG

Document No(s):

201224800834



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio
this 30th day of August, A.D. 2012.

Jon Husted

Ohio Secretary of State

Exhibit B-1 "Jurisdictions of Operation"

Stillwater Creek Solutions LLC was legally formed in August of 2012 and is seeking authorization to provide brokerage service for electricity in Ohio.

Exhibit B-2 Experience and Plans

The principal of Stillwater Creek Solutions has over 25 years of experience in the energy industry. His experience as the Energy Risk Manager at Sara Lee Corporation and as a Senior Trader at Dayton Power and Light provide a unique perspective to allow him to understand the needs of commercial and industrial customers and the opportunities presented by Ohio's complex deregulated energy market.

Stillwater Creek Solutions has operated for four years as a consultant assisting large and small clients with energy issues and opportunities. Registering as a broker will allow us to better assist customers that prefer payment to be embedded in the supplier's rate. We plan to always be transparent with our fees. Customers will always be made aware of the rate we charge and can determine if the cost of the service exceeds the value.

Exhibit B-3 "Summary of Experience"

The principal of Stillwater Creek Solution has been involved in retail energy services since 1998. Responsibilities include risk management, natural gas procurement and customer pricing for Miami Valley Resources from 1998 to 2001. From 2001 to 2007, he was responsible for procurement decisions and risk management for Sara Lee Corporations facilities in North America. These facilities across 24 states, including Ohio, totaled 500,000 MWh/year of electricity and over \$100 million/year of energy spend.

From 2007 to 2012, he was a Senior Trader for Dayton Power and Light tasked with coal, natural gas, power and emissions trading to manage company risk and lower costs for customers.

In 2012, he formed Stillwater Creek Solutions LLC which has assisted several Ohio commercial and industrial customers with energy issues including procurement decisions totaling over 1 million MWh of electricity procurement. Consulting clients are concentrated in the Dayton Power and Light and Duke service areas with additional load in AEP and First Energy and municipal territories.

Exhibit B-4 "Disclosure of Liabilities and Investigations"

There are no existing, pending or past ruling, judgments, contingent liabilities, revocation of authority, regulatory investigations or any other matter that could adversely impact the applicants financial or operation status or ability to provide the services it is seeking to be certified to provide.

Exhibit C-1 "Annual Reports"

Stillwater Creek Solutions LLC is not a publicly traded company. It does not have shareholders and does not publish annual reports.

Exhibit C-2 "SEC Filings"

Stillwater Creek Solutions LLC is a privately held company and as such is not required to file with the SEC.

Exhibit C-3 "Financial Statements"

Attached 2014 and 2015 Schedule C

SCHEDULE C
(Form 1040)

Department of the Treasury
Internal Revenue Service (IRS)
Name of proprietor

Profit or Loss From Business
(Sole Proprietorship)

* Information about Schedule C and its separate instructions is at www.irs.gov/schedulec.
* Attach to Form 1040, 1040NR, or 1041; partnerships generally must file Form 1065.

OMB No. 1545-0047

2015

Attachment
Sequence No. **09**

Christopher P Wettle

Social security number (SSN)

A Principal business or profession, including product or service (see instructions)

B Enter code from instructions

CONSULTING

999999

C Business name. If no separate business name, leave blank.

D Employer ID number (EIN) (see instructions)

STILLWATER CREEK SOLUTIONS LLC

46-1049091

E Business address (including suite or room no.) **PO BOX 3426**

City, town, or post office, state, and ZIP code **DAYTON, OH 45401**

F Accounting method: (1) ☒ Cash (2) ☐ Accrual (3) ☐ Other (specify) _____

G Did you "materially participate" in the operation of this business during 2015? If "No," see instructions for limit on losses. ☒ Yes ☐ No

H If you started or acquired this business during 2015, check here ☐

I Did you make any payments in 2015 that would require you to file Form(s) 1099? (see instructions) ☐ Yes ☒ No

J If "Yes," did you or will you file required Form(s) 1099? ☐ Yes ☒ No

Part I Income

1 Gross receipts or sales. See instructions for line 1 and check the box if this income was reported to you on Form W-2 and the "Statutory employee" box on that form was checked. <input type="checkbox"/>	1	130,110.
2 Returns and allowances	2	
3 Subtract line 2 from line 1	3	130,110.
4 Cost of goods sold (from line 42)	4	
5 Gross profit. Subtract line 4 from line 3	5	130,110.
6 Other income, including federal and state gasoline or fuel tax credit or refund (see instructions)	6	
7 Gross income. Add lines 5 and 6	7	130,110.

Part II Expenses. Enter expenses for business use of your home only on line 30

8 Advertising	8		18 Office expense (see instructions)	18	122.
9 Car and truck expense (see instructions)	9		19 Pension and profit-sharing plans	19	
10 Commissions and fees	10		20 Rent or lease (see instructions):		
11 Contract labor (see instructions)	11		a Vehicles, machinery, and equipment	20a	
12 Depreciation	12		b Other business property	20b	
13 Depreciation and section 179 expense deduction (not included in Part III) (see instructions)	13		21 Repairs and maintenance	21	
14 Employee benefit programs (other than on line 19)	14		22 Supplies (not included in Part III)	22	
15 Insurance (other than health)	15	2,380.	23 Taxes and licenses	23	850.
16 Interest:			24 Travel, meals, and entertainment:		
a Mortgage (paid to banks, etc.)	16a		a Travel	24a	2,529.
b Other	16b		b Deductible meals and entertainment (see instructions)	24b	
17 Legal and professional services	17		25 Utilities	25	
28 Total expenses before expenses for business use of home. Add lines 8 through 27a	28		26 Wages (loss employment credits)	26	
29 Taxable profit or (loss). Subtract line 28 from line 7	29	117,232.	27a Other expenses (from line 45)	27a	6,997.
30 Expenses for business use of your home. Do not report these expenses elsewhere. Attach Form 8829 unless using the simplified method (see instructions). Simplified method filers only: enter the total square footage of: (a) your home _____ and (b) the part of your home used for business _____. Use the Simplified Method Worksheet in the instructions to figure the amount to enter on line 30.	30		b Reserved for future use	27b	
31 Net profit or (loss). Subtract line 30 from line 29. • If a profit, enter on both Form 1040, line 12 (or Form 1040NR, line 13) and on Schedule SE, line 2. • If you checked the box on line 1, see instructions). Estates and trusts, enter on Form 1041, line 3. • If a loss, you must go to line 32.	31	117,232.			
32 If you have a loss, check the box that describes your investment in this activity (see instructions). • If you checked 32a, enter the loss on both Form 1040, line 12 (or Form 1040NR, line 13) and on Schedule SE, line 2. (If you checked the box on line *, see the line 31 instructions). Estates and trusts, enter on Form 1041, line 3. • If you checked 32b, you must attach Form 8198. Your loss may be limited.			32a All investment is at risk	32a	
			32b Some investment is not at risk	32b	

For Paperwork Reduction Act Notice, see the separate instructions.
U7A

Schedule C (Form 1040) 2015

SCHEDULE C
(Form 1040)

Profit or Loss From Business
(Sole Proprietorship)

(OMB No. 1545-0047)

2014

Attachment
Sequence No. **09**

Department of the Treasury
Internal Revenue Service (IRS)

Information about Schedule C and its separate instructions is at www.irs.gov/schedulec.
Attach to Form 1040, 1040NR, or 1041; partnerships generally must file Form 1065.

Name of proprietor
Christopher P Wettle

Social security number (SSN)

A Principal business or profession, including product or service (see instructions)

B Enter code from instructions

CONSULTING

999999

C Business name. If no separate business name, leave blank.

D Employer ID number (EIN), if any

STILLWATER CREEK SOLUTIONS LLC

46-1049091

E Business address (including suite or room no.) **PO BOX 3426**

City, town or post office, state, and ZIP code **DAYTON, OH 45401**

F Accounting method. (1) ☒ Cash (2) ☐ Accrual (3) ☐ Other (specify) _____

G Did you "materially participate" in the operation of this business during 2014? If "No," see instructions for limit on losses. ☒ Yes ☐ No

H If you started or acquired this business during 2014, check here ☐

I Did you make any payments in 2014 that would require you to file Form(s) 1099? (see instructions) ☐ Yes ☒ No

J If "Yes," did you or will you file required Form(s) 1099? ☐ Yes ☒ No

Part I Income

1	Gross receipts or sales. See instructions for line 1 and check the box if this income was reported to you on Form W-2 and the "Statutory employee" box on that form was checked <input type="checkbox"/>	1	124,872.
2	Returns and allowances	2	
3	Subtract line 2 from line 1	3	124,872.
4	Cost of goods sold (from line 42)	4	
5	Gross profit. Subtract line 4 from line 3	5	124,872.
6	Other income, including federal and state gas taxes or fuel tax credit or refund (see instructions)	6	
7	Gross income. Add lines 5 and 6	7	124,872.

Part II Expenses. Enter expenses for business use of your home only on line 30.

8	Advertising	8		16	Office expense (see instructions)	16	534.
9	Car and truck expenses (see instructions)	9		19	Pension and profit-sharing plans	19	
10	Commissions and fees	10		20	Rent or lease (see instructions):		
11	Contract labor (see instructions)	11	300.	a	Vehicles, machinery, and equipment	20a	
12	Depreciation	12		b	Other business property	20b	
13	Depreciation and section 179 expense deduction (not included in Part III) (see instructions)	13		21	Repairs and maintenance	21	
14	Employee benefit programs (other than on line 19)	14		22	Supplies (not included in Part III)	22	92.
15	Insurance (other than health)	15	2,359.	23	Taxes and licenses	23	
16	Interest:			24	Travel, meals, and entertainment:		
a	Mortgage (paid to banks, etc.)	16a		a	Travel	24a	2,609.
b	Other	16b		b	Deductible meals and entertainment (see instructions)	24b	29.
17	Legal and professional services	17		25	Utilities	25	
				26	Wages (less employment credits)	26	
28	Total expenses before expenses for business use of home. Add lines 8 through 27a	28	12,192.	27a	Other expenses (from line 48)	27a	6,269.
29	Tentative profit or (loss). Subtract line 28 from line 7	29	112,680.	b	Reserved for future use	27b	
30	Expenses for business use of your home. Do not report these expenses elsewhere. Attach Form 8829 unless using the simplified method (see instructions). Simplified method filers only: enter the total square footage of: (a) your home _____ and (b) the part of your home used for business _____. Use the Simplified Method Worksheet in the instructions to figure the amount to enter on line 30.	30					
31	Net profit or (loss). Subtract line 30 from line 28. • If a profit, enter on both Form 1040, line 12 (or Form 1040NR, line 13) and on Schedule SE, line 2. (If you checked the box on line 1, see instructions). Estates and trusts, enter on Form 1041, line 3. • If a loss, you must go to line 32.	31	112,680.				
32	If you have a loss, check the box that describes your investment in this activity (see instructions). • If you checked 32a, enter the loss on both Form 1040, line 12 (or Form 1040NR, line 13) and on Schedule SE, line 2. (If you checked the box on line 1, see the line 31 instructions). Estates and trusts, enter on Form 1041, line 3. • If you checked 32b, you must attach Form 6198. Your loss may be limited.			32a	All investment is at risk		
				32b	Some investment is not at risk		

For Paperwork Reduction Act Notice, see the separate instructions.

Schedule C (Form 1040) 2014

Exhibit C-4 "Financial Arrangements"

N/A Stillwater Creek Solutions is only seeking certification as an electricity broker.

Exhibit C-5 "Forecasted Financial Statements"**Stillwater Creek Solutions LLC****Forecasted Financial Statement**

Year	2017	2018
Income	\$131,040.00	\$145,206.25
Expenses		
Car and Truck Expenses	\$0	\$0
Contract Labor	\$0	\$0
Depreciation	\$0	\$0
Equipment	\$500	\$500
Insurance	\$2,624	\$2,755
Meals and Entertainment	\$100	\$100
Office Expenses	\$250	\$250
Supply	\$100	\$100
Taxes and License	\$850	\$850
Travel	\$2,000	\$2,000
Utilities	\$0	\$0
Other Expenses	\$7,000	\$7,000
Total Expenses	\$13,424	\$13,555
Net Profit	\$117,616	\$131,651

Assumptions include the continuation of current consulting relationship and expenses in 2017. In 2018 revenue expectations increase by \$14,000 with anticipated 40,000 MWh of brokered power in addition to the continuation of current consulting relationships.

Prepared by
Christopher Wettle
Principal
514 South Claridge Dr
Kettering, OH 45429

Exhibit C-6 "Credit Rating"

Stillwater Creek Solutions LLC does not have a credit rating.

Exhibit C-7 “Credit Report”

Stillwater Creek Solutions LLC does not have a credit report.

Exhibit C-8 “Bankruptcy Information”

Neither Stillwater Creek Solutions LLC nor its Principal has had a bankruptcy.

Exhibit C-9 “Merger Information”

Stillwater Creek Solutions LLC has no plans to merge with or acquire another business.

Exhibit C-10 “Corporate Structure”

Stillwater Creek Solutions LLC is a stand-alone entity with no affiliate or subsidiary companies.