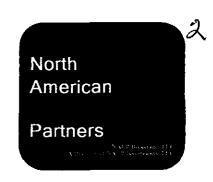


NAUP BROKERAGE, LLC: (16-1932-GA-AGG)

CERTIFICATION APPLICATION FOR COMPETITIVE RETAIL NATURAL GAS BROKERS/AGGREGATORS



Subject: NAUP Brokerage, LLC, Case Number 16-1933-EL-AGG

PUCO Docketing Division,

NAUP Brokerage, LLC has submitted an Initial Certification Application For Competitive Retail Natural Gas Brokers/Aggregators on 9/22/2016 and received notification on 10/4/2016 that our application was incomplete due to our failure to address appropriate documentation required for Exhibit: C-5 — Forecasted Financial Statements for 2017 and 2018.

Please accept our apology. We believe the information contained here-in, marked *Amended Exhibit C-5 – Forecasted Financial Statements for Natural Gas in Ohio* will meet the minimum requirement we failed to provide with our initial application.

Thank you for your understanding.

Ryan D. Samuel
VP, Operations
NAUP Brokerage, LLC
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FORECASTED FINANCIALS: OHIO	NATURAL GAS	
NAUP Brokerage, LLC 2017 & 2018 - Ohio Only	2017	2018
Financial Statements in U.S. Revenue Gross Sales from Electricity Procurment Less: Sales Returns and Allowances Net Sales	11700 0 11700	33300 0 33300
Expenses Commissions Legal and Professional Fees Allocated Office Expense Travel Total Expenses	2925 500 750 0	8325 500 1750 0
Net Operating Income	7525	22725
Other Income Gain (Loss) on Sale of Assets Interest Income Total Other Income	0 0	0 0
Net Income (Loss)	7525	22725