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September 29, 2016

Public Utilities Commission of Ohio  
Docketing Division  
180 East Broad Street  
Columbus, OH 43215-3793

Telephone: (614) 466-3016

**RE: I. C. Thomasson Associates' Renewal Certification License Application for  
Aggregators/Power Brokers  
Certificate Number 14-896E(1)  
Case Number 14-1826-EL-AGG**

To Whom it May Concern:

Enclosed are one original and three copies of I. C. Thomasson Associates' (ICT) Renewal Certification License Application for Aggregators/Power Brokers and related attachments.

Please give me a call if you have any questions.

Sincerely,

I. C. Thomasson Associates, Inc.

Samuel E Bratton, P.E., CxA, LEED AP  
Director – Energy Services Division

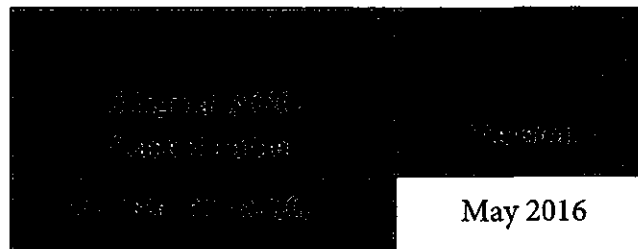
RECEIVED-DOCKETING DIV  
2016 OCT -4 PM 12: 39  
PUCO

Enclosures: One (1) original application with attachments  
Three (3) copies of the original application with attachments

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.  
Technician DM Date Processed OCT 04 2016



# Public Utilities Commission



## RENEWAL APPLICATION FOR ELECTRIC AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit C-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

### A. RENEWAL INFORMATION

A-1 Applicant intends to be certified as: (check all that apply)

- Power Broker
- Aggregator

A-2 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name I. C. Thomasson Associates, Inc.  
 Address 2950 Kraft Drive, Suite 500, Nashville, TN 37204  
 PUCO Certificate # and Date Certified 14-896E(1) November 16, 2014  
 Telephone # (615) 346-3400 Web site address (if any) www.ichomasson.com

A-3 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name I. C. Thomasson Associates, Inc.  
 Address 2950 Kraft Drive, Suite 500, Nashville, TN 37204  
 Telephone # (615) 346-3400 Web site address (if any) www.ichomasson.com

A-4 List all names under which the applicant does business in North America

I. C. Thomasson Associates, Inc.  
 \_\_\_\_\_  
 \_\_\_\_\_

A-5 Contact person for regulatory or emergency matters

Name Samuel E. Bratton, P.E., CxA, LEED, AP  
 Title Director - Energy Services Division  
 Business address 2950 Kraft Drive, Suite 500, Nashville, TN 37204  
 Telephone # (615) 346-3400 Fax # (615) 346-3550  
 E-mail address ebratton@ichomasson.com

**A-6 Contact person for Commission Staff use in investigating customer complaints**

Name Samuel E. Bratton, P.E., CxA, LEED, AP  
Title Director - Energy Services Division  
Business address 2950 Kraft Drive, Suite 500, Nashville, TN 37204  
Telephone # (615) 346-3400 Fax # (615) 346-3550  
E-mail address ebratton@icthomasson.com

**A-7 Applicant's address and toll-free number for customer service and complaints**

Customer Service address 2950 Kraft Drive, Suite 500, Nashville, TN 37204  
Toll-free Telephone # (800) 467-6821 Fax # (615) 346-3550  
E-mail address tbartlett@icthomasson.com

**A-8 Applicant's federal employer identification number # 62-0721262**

**A-9 Applicant's form of ownership (check one)**

- |  |  |
|--|--|
| <input type="checkbox"/> Sole Proprietorship                 | <input type="checkbox"/> Partnership                     |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input checked="" type="checkbox"/> Corporation              | <input type="checkbox"/> Other _____                     |

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**A-10 Exhibit A -10 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.**

**B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**B-1 Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.**

**B-2 Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.**

**B-3** Exhibit B-3 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

**B-4** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.  
 No       Yes

If yes, provide a separate attachment labeled as Exhibit B-4 "Disclosure of Consumer Protection Violations" detailing such violation(s) and providing all relevant documents.

**B-5** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.  
 No       Yes

If yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation" detailing such action(s) and providing all relevant documents.

## **C. FINANCIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**C-1** Exhibit C-1 "Annual Reports," provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)

**C-2** Exhibit C-2 "SEC Filings," provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

- C-3** **Exhibit C-3 “Financial Statements.”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).
- C-4** **Exhibit C-4 “Financial Arrangements.”** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU’s collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody’s, Standard & Poor’s or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody’s, Standard & Poor’s or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody’s, Standard & Poor’s or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company’s financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

- C-5 **Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted income statements for the applicant’s **ELECTRIC related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- C-6 **Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody’s Investors Service, Standard & Poor’s, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter “N/A” in Exhibit C-6.
- C-7 **Exhibit C-7 “Credit Report,”** provide a copy of the applicant’s credit report from Experion, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter “N/A” for Exhibit C-7.
- C-8 **Exhibit C-8 “Bankruptcy Information,”** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 **Exhibit C-9 “Merger Information,”** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 **Exhibit C - 10 “Corporate Structure,”** provide a description of the applicant’s corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

  
 Signature of Applicant & Title

Sworn and subscribed before me this 30<sup>th</sup> day of September, 2016  
 Month Year



  
 Signature of official administering oath

Sandra Holloway, Asst Assis tnt  
 Print Name and Title

My commission expires on July 8, 2019

# **AFFIDAVIT**

State of Tennessee :

Nashville ss.  
(Town)

County of Davidson :

Joseph J. Wimberly, IV, Affiant, being duly sworn/affirmed according to law, deposes and says that:

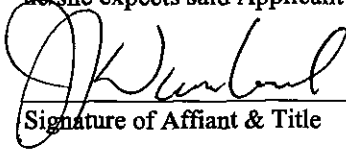
He/She is the President (Office of Affiant) of I.C. Thomasson Associates, Inc. (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

  
Signature of Affiant & Title



Sworn and subscribed before me this 30<sup>th</sup> day of September, 2016 Year  
Month

  
Signature of official administering oath

Sandra Holloway, Accounting Assistant  
Print Name and Title

My commission expires on July 8, 2019

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**Section A**  
**“Applicant Information and Services”**

**Exhibit A-10**  
**“Principal Officers, Directors &  
Partners”**

**PUBLIC UTILITIES COMMISSION OF OHIO**

**Renewal Certification License Application for Aggregators/Power Brokers**

**I. C. Thomasson Associates (“ICT”)**

**OFFICERS AND BOARD OF DIRECTORS**

**Individuals that directly own ten percent (10%) or more of the ownership interests in ICT**

1.    **Name:**                 George R. Bratton, Jr.  
      **Title:**                 Chairman of the Board, Board of Directors (since 1996)  
      **Address:**            2950 Kraft Drive, Suite 500  
                                  Nashville, TN 37204  
                                  (615) 346-3400
  
2.    **Name:**                 Joseph J. Wimberly IV  
      **Title:**                 President, Board of Directors (since 1996)  
      **Address:**            2950 Kraft Drive, Suite 500  
                                  Nashville, TN 37204  
                                  (615) 346-3400
  
3.    **Name:**                 J. Cliff Harville  
      **Title:**                 Vice President, Board of Directors (since 1996)  
      **Address:**            2950 Kraft Drive, Suite 500  
                                  Nashville, TN 37204  
                                  (615) 346-3400
  
4.    **Name:**                 William T. Tinnell  
      **Title:**                 Treasurer, Board of Directors (since 1996)  
      **Address:**            2950 Kraft Drive, Suite 500  
                                  Nashville, TN 37204  
                                  (615) 346-3400
  
5.    **Name:**                 Barry N. Beck  
      **Title:**                 Secretary, Board of Directors (since 2014)  
      **Address:**            2950 Kraft Drive, Suite 500  
                                  Nashville, TN 37204  
                                  (615) 346-3400

**Section B**  
**“Applicant Managerial Capability  
and Experience”**

**Exhibit B-1**  
**“Jurisdictions of Operation”**

## PUBLIC UTILITIES COMMISSION OF OHIO

### Renewal Certification License Application for Aggregators/Power Brokers

#### I. C. Thomasson Associates ("ICT")

ICT is licensed and has provided or is actively providing natural gas aggregator, broker and/or consulting services in the following States:

- |   |   |
|---|---|
| 1. District of Columbia<br>(License No. GA-2014-17-5) | 5. New Jersey<br>(Energy Agent No. EA-0047)<br>(Private Aggregator No. PA-0047) |
| 2. Maine<br>(Docket No. 99-334)                       | 6. Ohio<br>(License # 14-397G(1))   |
| 3. Maryland<br>(License No. IR-1446)                  | 7. Pennsylvania<br>(Docket No. A-2009-2126847)                                  |
| 4. Massachusetts<br>(License No. RA-031)              | 8. Virginia<br>(License No. G-28)<br>(Aggregator No. A-31)                      |

ICT is licensed and has provided or is actively providing electricity aggregator, broker and/or consulting services in the following States:

- |  |   |
|--|---|
| 1. Connecticut<br>(Docket # 10-07-01)                  | 7. Massachusetts<br>(License No. EB-156)  |
| 2. District of Columbia<br>(License No. EA-2014-29-5)  | 8. New Jersey<br>(Energy Agent No. EA-0047)<br>(Private Aggregator No. PA-0047) |
| 3. Delaware<br>(Order No. 7675)<br>(Docket No. 09-419) | 9. Ohio<br>(License # 14-896E(1))   |
| 4. Illinois<br>(Order No. 10-0268)                     | 10. Pennsylvania<br>(Docket No. A-2009-2130214)                                 |
| 5. Maine<br>(Docket No. 2008-126)                      | 11. Texas<br>(Registration # 80354)   |
| 6. Maryland<br>(License No. IR-1445)                   | 12. Virginia<br>(License No. E-24)<br>(Aggregator No. A-31)                     |

In addition to the licenses stated, ICT retains Professional Engineering licenses in 50 states, the District of Columbia, and 2 Canadian Provinces.

**Exhibit B-2**  
**“Experience & Plans”**

## PUBLIC UTILITIES COMMISSION OF OHIO

### Renewal Certification License Application for Aggregators/Power Brokers

#### I. C. Thomasson Associates (“ICT”)

ICT has provided energy and engineering consulting services for over 75 years. ICT Energy Program, Marketing Methods, Contracting Methods, and manner of addressing inquiries and complaints are described below.

##### A. Program Description

ICT utilize a methodical Request-for-Proposal (“RFP”) process, conducted in a collaborative manner with our customers, to obtain competitive bids from licensed energy suppliers. The process is conducted in two phases:

- Phase 1 – Assess the current energy supply contract obligations, future energy supply requirements, and current market opportunities
- Phase 2 – Competitively source future energy supply requirements

On Bid Day, ICT prepares and provides our customer with a Bid Evaluation Report (“BER”) listing all bids as received from energy suppliers. ICT then reviews the BER with our customer and the customer selects the preferred supplier and bid. Following the customer’s decision, ICT manages the execution of the energy supply contract between the client and the supplier.

##### B. Marketing Methods

ICT markets our services at educational seminars and trade association meetings. ICT also secures business as a sub-consultant through engineering consulting firms and management consulting firms.

##### C. Contracting Methods

Customers compensate ICT for our services through a Fixed Fee, usually paid on a progressive billing basis, or through an Administration Fee, which is paid by the supplier over the term of the contract. ICT Administration Fee will be transparent to the Customer.

All energy supply contracts will be directly between the customer and supplier. Therefore, the supplier’s monthly invoices will be sent directly to the customer and the customer will pay the supplier directly. ICT will never be a “middleman” between the two parties and, as a result, ICT will never take title to the energy.



**D. Customer Inquiries and Complaints**

ICT's Account Manger's and Corporate office phone numbers and email addresses are provided to all customers. Customers can communicate inquires or complaints through their Account Manager and/or directly with the ICT Corporate office. Inquires or complaints may be communicated by phone, letter, or email. An ICT Associate will acknowledge receipt of an inquiry or complaint within 48 hours. The length of time required to fully address any inquiry or complaint will be dependent on the complexity of the inquiry or complaint. However, the desired completion timeline will be discussed and approved by the Customer early in the process.

**Exhibit B-3**  
**“Disclosure of Liabilities and  
Investigations”**

**PUBLIC UTILITIES COMMISSION OF OHIO**

**Renewal Certification License Application for Aggregators/Power  
Brokers**

**I. C. Thomasson Associates ("ICT")**

ICT does not have any existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations or any other matter that could adversely impact ICT's financial or operational status or ability to provide the services it is seeking to be certified to provide.

**Section C**  
**“Applicant Financial Capability  
and Experience”**

**Exhibit C-1**  
**“Annual Reports”**

**PUBLIC UTILITIES COMMISSION OF OHIO**

**Renewal Certification License Application for Aggregators/Power  
Brokers**

**I. C. Thomasson Associates ("ICT")**

Exhibit C-1 is not applicable to ICT, since we do not prepare annual reports for Shareholders.

**Exhibit C-2**  
**“SEC Filings”**

**PUBLIC UTILITIES COMMISSION OF OHIO**

**Renewal Certification License Application for Aggregators/Power  
Brokers**

**I. C. Thomasson Associates (“ICT”)**

ICT is a private, employee-owned consulting firm and, therefore, is not required to provide a 10-K/8-K report with the SEC. Thus, Exhibit C-2 is not applicable to ICT.



**Exhibit C-4**  
**“Financial Arrangements”**

## PUBLIC UTILITIES COMMISSION OF OHIO

### Renewal Certification License Application for Aggregators/Power Brokers

#### I. C. Thomasson Associates ("ICT")

Exhibit C-4 is not applicable to ICT. ICT provides energy supply consulting services to clients but never takes title to or receives payment directly from customers for energy consumed. Therefore, ICT is not required to develop financial arrangements to conduct competitive retail electric services as a business activity.

**Exhibit C-5**  
**“Forecasted Financial Statements”**

## PUBLIC UTILITIES COMMISSION OF OHIO

### Renewal Certification License Application for Aggregators/Power Brokers

#### I. C. Thomasson Associates (“ICT”)

ICT audited tax forms for the last 2 years (i.e. 2014 & 2015) are provide in response to Question C-3. The Certified Public Accounting Firm’s contact information is provided in these reports.

As a private, employee-owned firm, ICT does not prepare Forecasted Financial Statements. However, the previous years’ CFS provided in response to Question C-3 is indicative for future years’ financial projections.

For further clarification, ICT is an energy and engineering consulting firm that never takes title to the energy and, therefore, never receives energy suppliers’ payments from customers for energy consumed. This minimizes the customers’ liability as it relates to the energy supply consulting services provided by ICT.

**Exhibit C-6**  
**“Credit Rating”**

**PUBLIC UTILITIES COMMISSION OF OHIO**

**Renewal Certification License Application for Aggregators/Power  
Brokers**

**I. C. Thomasson Associates (“ICT”)**

ICT credit rating from Dun & Bradstreet is a 3A2, included in Exhibit C-7 in the Dun & Bradstreet Credibility Corp report, page 8. ICT only has one credit rating.

**Exhibit C-7**  
**“Credit Report”**



**I. C. Thomasson Associates, Inc.** DUNS: 04-811-6891

Dashboard

**Company Info**

2950 Kraft Dr Ste 500 Nashville, TN 37204  Phone: (615) 346-3400	URL: www.ichtomasson.com
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**Scores**

PAYDEX®	Delinquency Predictor		Financial Stress		Supplier Eval. Risk Rating	Credit Limit Rec.	DandB Rating
Score	Score	Class	Score	Class	Rating	Recommendation	Rating
80 ▲	425 ▲	5	1584 ▲	1	2 ▲	\$2.5K	3A2

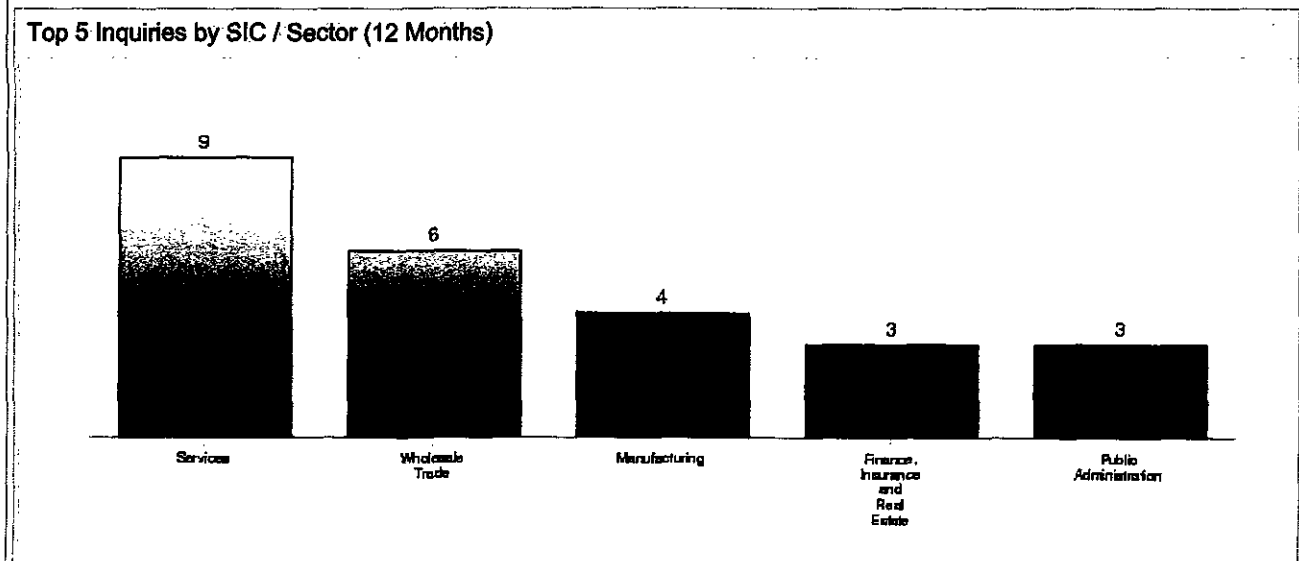
**Recent Alerts**

INQUIRY 08/13/14 1 New Inquiry	INQUIRY 07/26/14 1 New Inquiry
--------------------------------	--------------------------------

**Inquiries**

**Most Recent**

Date	SIC / Sector	Report type
08/11/14	Public Administration	Comprehensive Report
07/24/14	Services	Comprehensive Report
07/15/14	Manufacturing	Comprehensive Report
07/15/14	Services	Comprehensive Report
07/15/14	Manufacturing	Comprehensive Report





Top 5 Inquiries by Report Type (12 Months)



Scores

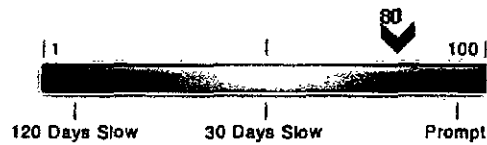
PAYDEX®

80 ▲

ON TERMS

3 Month PAYDEX®

80



Understanding My Score

The D&B PAYDEX® is a unique, dollar weighted indicator of payment performance based on payment experiences as reported to D&B by trade references.

Recent Payments

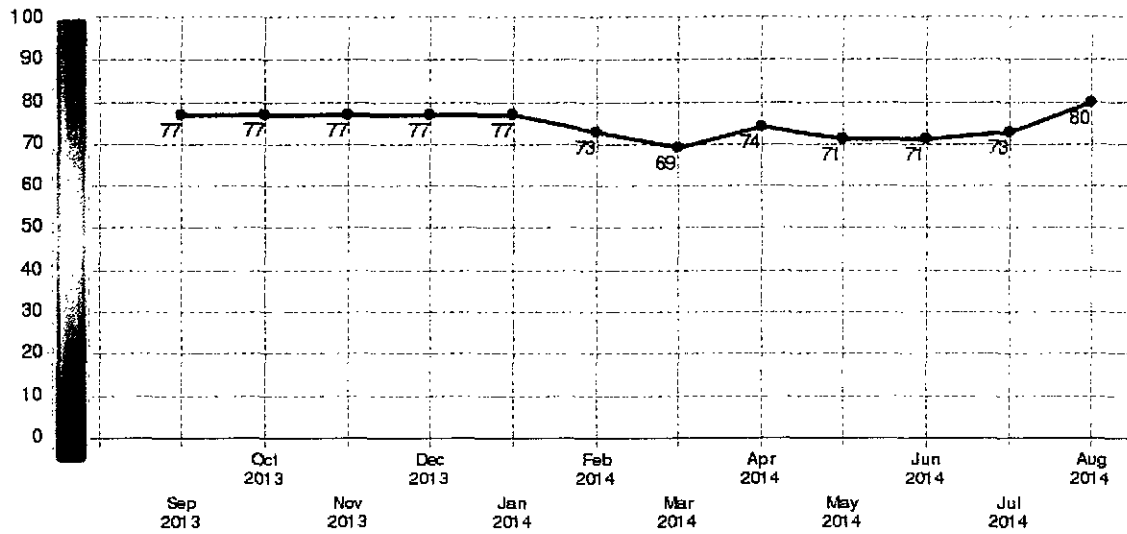
Total (Last 12 Months): 65

Date	Paying Record	High Credit	Now Owes	Past Due	Selling Terms	Last sale w/f (Mo. )
08/2014	Ppt	\$65,000	\$0	\$0	N45	1 mo
08/2014	Ppt	\$50,000	\$50,000	\$0	N30	1 mo
08/2014	Ppt	\$45,000	\$15,000	\$0	N90	1 mo
08/2014	Ppt	\$45,000	\$15,000	\$0	N90	1 mo
08/2014	Ppt	\$15,000	\$0	\$0	N30	2-3 mos

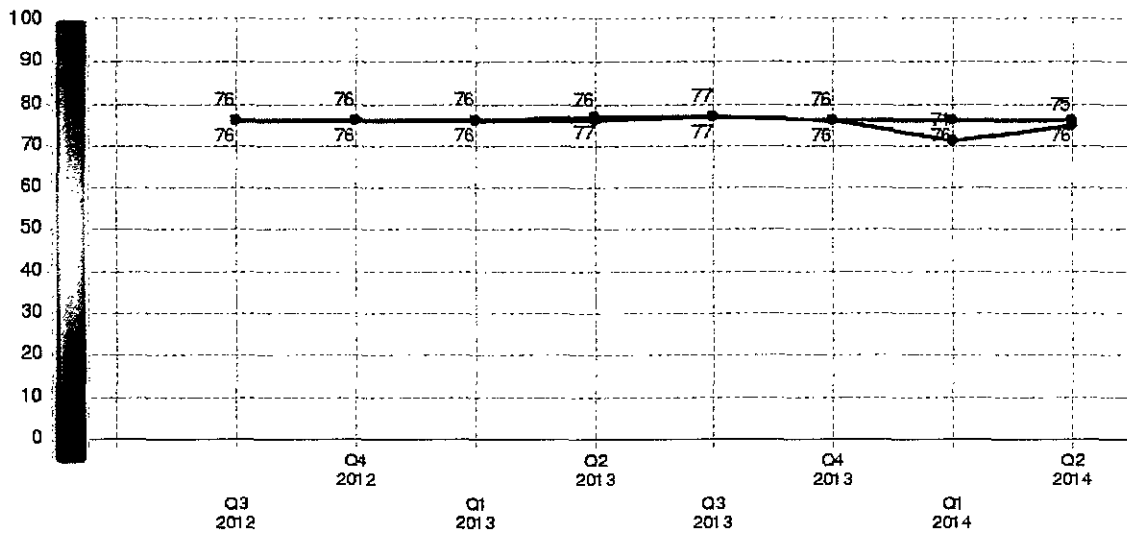
Key

PAYDEX®	Payment Practices	PAYDEX®	Payment Practices
100	Anticipate	40	60 Days Beyond Terms
90	Discount	30	90 Days Beyond Terms
80	Prompt	20	120 Days Beyond Terms
70	15 Days Beyond	1-19	Over 120 Days Beyond Terms
60	22 Days Beyond Terms	UN	Unavailable
50	30 Days Beyond Terms		

Trends



Industry Comparison



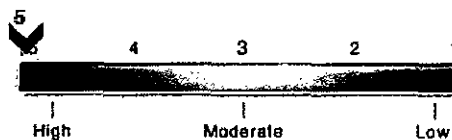
• My Company (80)    • Industry Median: (76)

Based on payments collected over the last 4 quarters.

- Current PAYDEX® for this business is 80, or equal to ON TERMS
- The present industry median score is 76, or equal to 6 days beyond terms.

Delinquency Predictor Score

Score **425** ▲    Class **5**    Percentile **8%**



High risk of severe payment delinquency over next 12 months

## Understanding My Score

The D&B Delinquency Predictor (formerly the Commercial Credit Score) predicts the likelihood that a company will pay in a severely delinquent manner (91+ days past term) over the next 12 months, seek legal relief from creditors, or cease operations without paying all creditors in full over the next 12 months based on the information in D&B's database. A severely delinquent firm is defined as a business with at least 10% of its dollars 91+ days slow.

### Incidence of Delinquent Payment:

Among Companies with this Classification: **53.10%**

### Factors Affecting Your Score:

Proportion of past due balances to total amount owing

Increase in proportion of delinquent payments in recent payment experiences

Proportion of slow payments in recent months

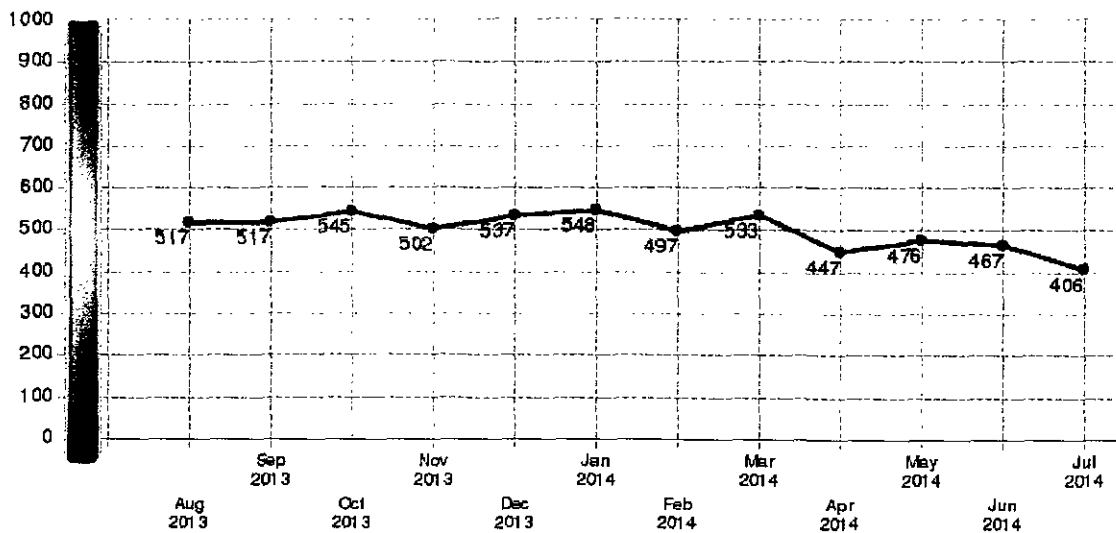
Evidence of open suits

Higher risk industry based on delinquency rates for this industry

#### Key

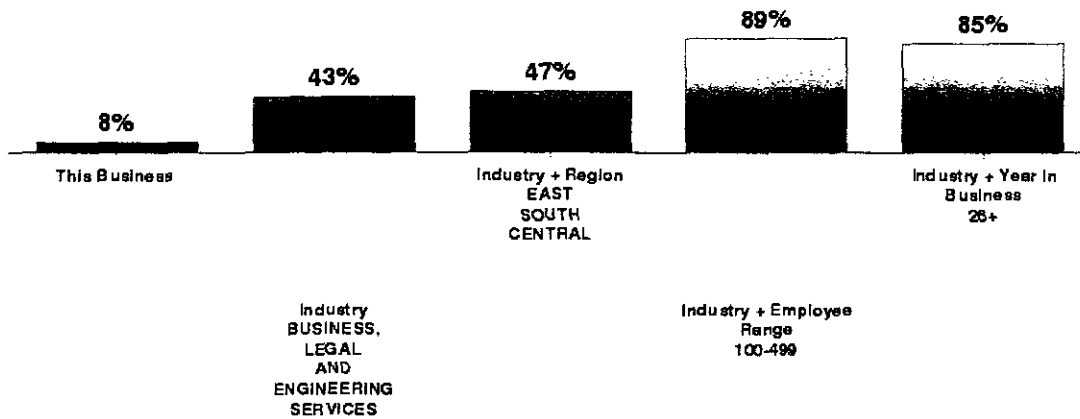
Risk Class	% of Businesses within this Class	Percentile	Score
1	10%	91-100	580-670
2	20%	71-90	530-579
3	40%	31-70	481-529
4	20%	11-30	453-480
5	10%	1-10	101-452

#### Trends - Scores, 12 Month



• My Company (425)

#### Industry Comparison

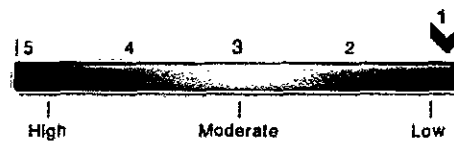


This business has a Credit Score Percentile that shows:

- Higher risk than other companies in the same region.
- Higher risk than other companies in the same industry.
- Higher risk than other companies in the same employee size range.
- Higher risk than other companies with a comparable number of years in business.

## Financial Stress Score

Score	Class	Nat'l %
<b>1584 ▲</b>	<b>1</b>	<b>97%</b>



Low risk of severe financial stress,  
such as bankruptcy, over the next 12  
months

## Understanding My Score

### Incidence of Financial Stress:

Among Companies with this Classification: **0.03** (84 per 10000)

### Factors Affecting Your Score:

UCC Filings reported.

Low proportion of satisfactory payment experiences to total payment experiences.

Unstable Paydex over last 12 months.

- The Financial Stress Class Summary Model predicts the likelihood of a firm ceasing business without paying all creditors in full, or reorganization or obtaining relief from creditors under state/federal law over the next 12 months. Scores were calculated using a statistically valid model derived from D&B's extensive data files.

### Notes:

- The Financial Stress Class indicates that this firm shares some of the same business and financial characteristics of other companies with this classification. It does not mean the firm will necessarily experience financial stress.
- The Incidence of Financial Stress shows the percentage of firms in a given Class that discontinued operations over the past year with loss to creditors. The Incidence of Financial Stress - National Average represents the national failure rate and is provided for comparative purposes.
- The Financial Stress National Percentile reflects the relative ranking of a company among all scorable companies in D&B's file

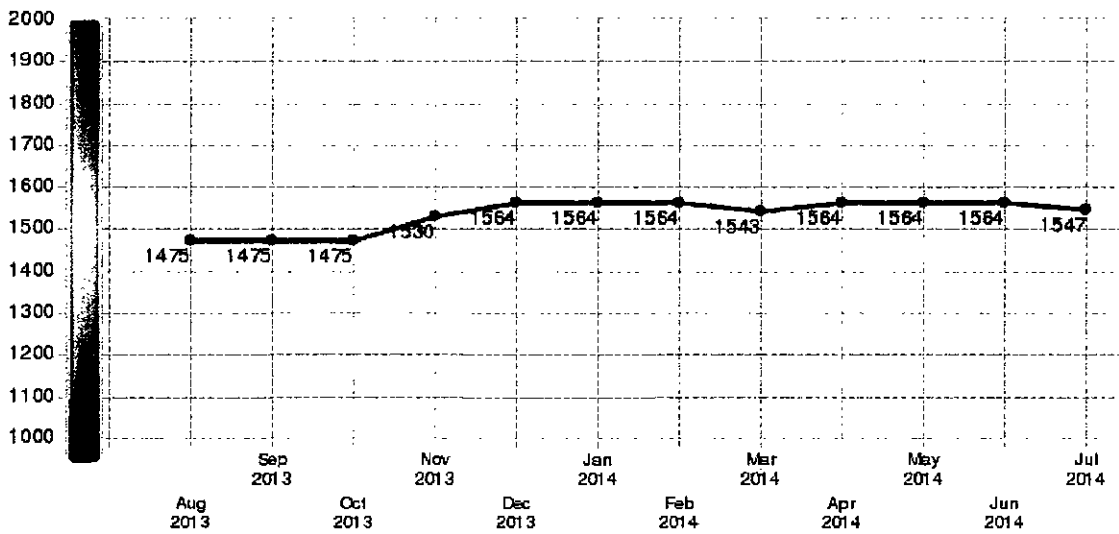
Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.

- All Financial Stress Class, Percentile, Score and Incidence statistics are based on sample data from

**Key**

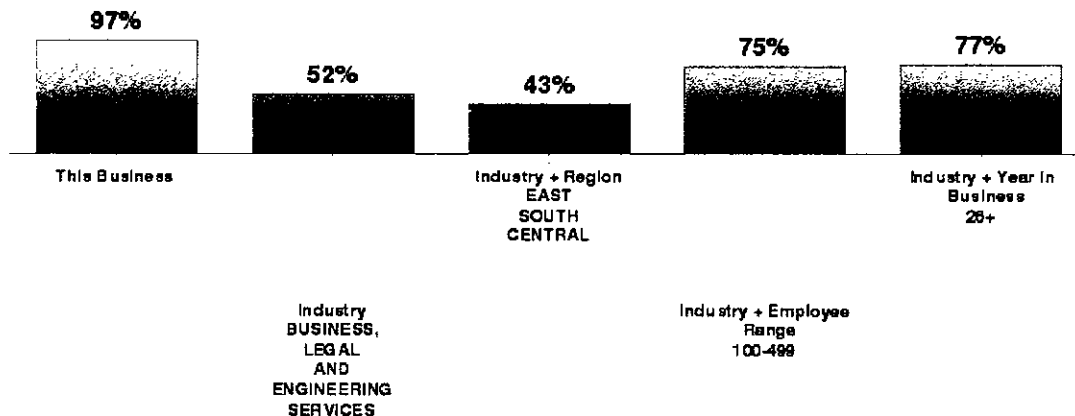
Score	Class	Percentile	Incidence of Financial Stress
1570-1875	1	95-100	6.0%
1510-1569	2	69-94	10.6%
1450-1509	3	34-68	18.4%
1340-1449	4	2-33	31.5%
1001-1339	5	1	70.0%

**Trends - Scores, 12 Month**



- **My Company (1,584)**

**Industry Comparison**



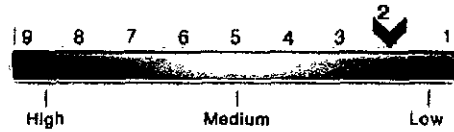
Based on payments collected over the last 4 quarters.

- Lower risk than other companies in the same region.

- Lower risk than other companies in the same employee size range.
- Lower risk than other companies with a comparable number of years in business.

## Supplier Evaluation Risk Rating

**2** ▲



Moderate risk of supplier experiencing severe financial stress over the next 12 months.

### Understanding My Score

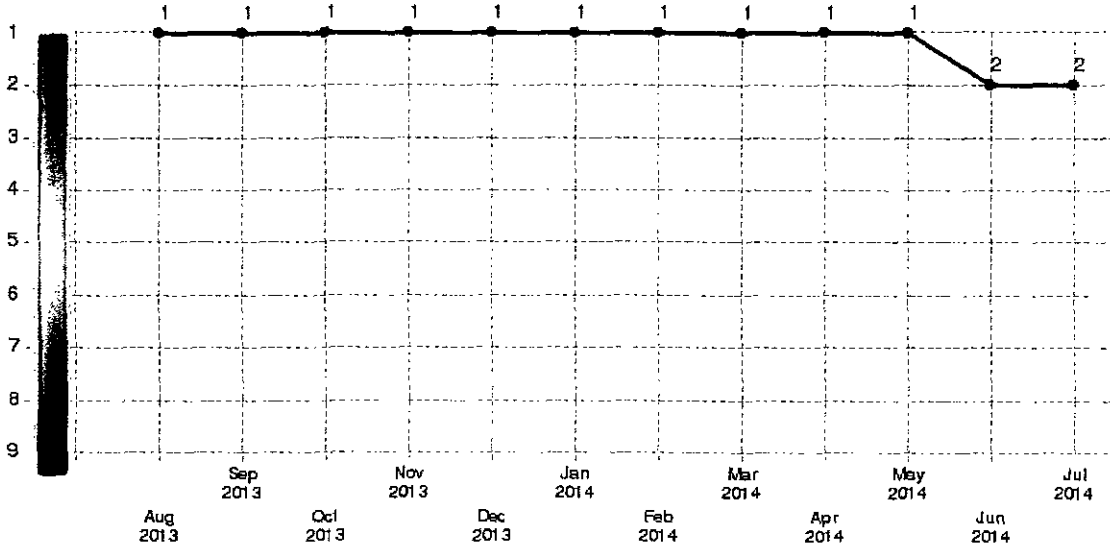
The Supplier Evaluation Risk (SER) Rating predicts the likelihood that a supplier will cease business operations or become inactive over the next 12 month period based on the depth of predictive data attributes available on the business. The SER Rating scoring system uses statistical probabilities to classify public and private companies into a 1-9 risk rating, where 1 represents low risk and 9 represents high risk.

### Factors Affecting This Company's Score:

Proportion of past due balances to total amount owing

Higher risk industry based on inactive rate for this industry

### Trends



- My Company (2)

## Credit Limit Recommendation

Risk Category

**4**

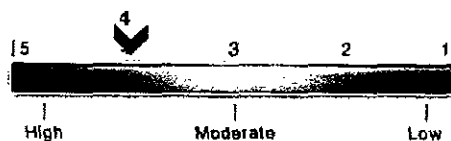
Conservative Credit Limit

**\$2.5k**

Aggressive Credit Limit

**\$10k**

High-Mod



## Understanding My Score

D&B's Credit Limit Recommendation is intended to help you more easily manage your credit decisions. It provides two recommended dollar guidelines:

A conservative limit, which suggests a dollar benchmark if your policy is to extend less credit to minimize risk.

An aggressive limit, which suggests a dollar benchmark if your policy is to extend more credit with potentially more risk.

The dollar guideline amounts are based on a historical analysis of credit demand of customers in D&B's U.S. payments database which have a similar profile to your business.

## D&B Rating®

Rating  
**3A2**

Financial Strength: **3A** indicates \$1 to \$10,000,000  
Composite Credit Appraisal: **2** is good

D&B Rating	Date Applied
3A2	2014-05-12
BB2	2013-10-03
1R3	2012-10-15
4A1	2011-04-11
1R2	2003-10-09
3A1	2002-10-26
1R2	2002-10-16
3A2	2001-04-21
3A1	1998-03-19
CB1	1997-04-24

## Understanding My Score

### Factors Affecting Your Score

# of Employees Total: **160 (120 here)**

Worth: **\$2,370,054**

Working Capital: **\$1,854,227**

Payment Activity (based on 65 experiences):

Average High Credit: **\$10,854**

Highest Credit: **\$65,000**

Total Highest Credit: **\$252,750**

Note: The Worth amount in this section may have been adjusted by D&B to reflect typical deductions, such as certain intangible assets.

## Inquiries

Over the past 12 months ending 8-2014, 26 individual requests for information on your company were received; this represents a 100.00% decrease over the prior 12 month period. The 26 inquiries were made by 16 unique companies indicating that some companies have inquired on your business multiple times and may be monitoring you. Of the total products purchased, 9, or 34.62% came from the Services sector; 6, or 23.08% came from the Wholesale Trade sector; 4, or 15.38% came from the Manufacturing sector.

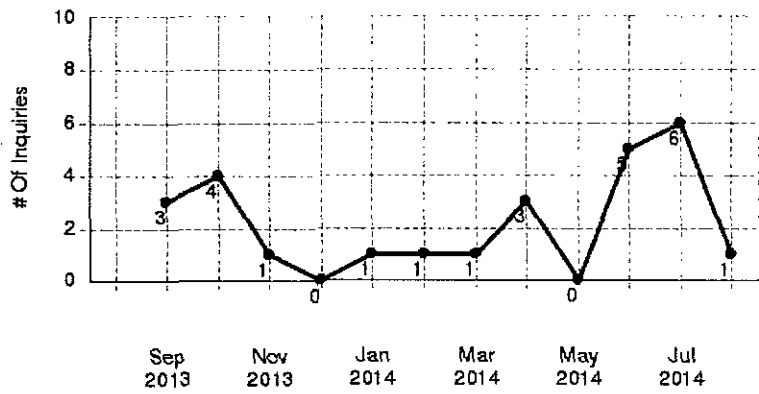
**12 Mo. Total:** 26

**12 Mo. Unique Companies:** 16

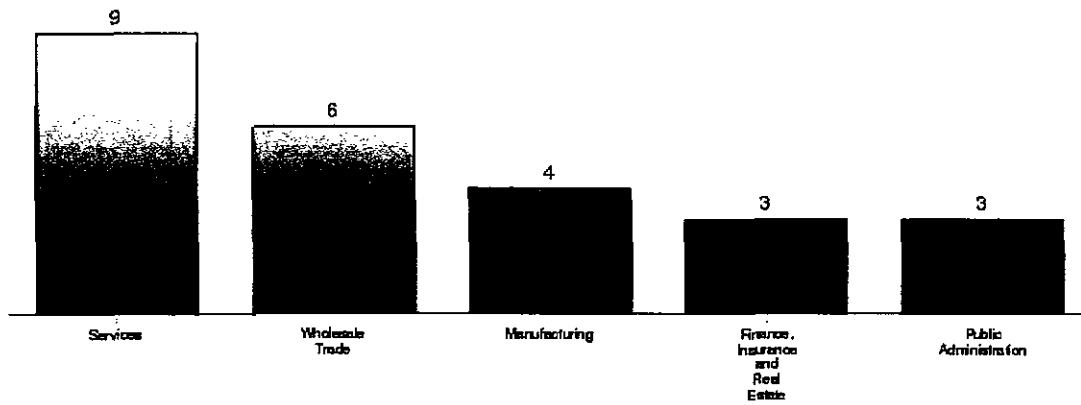
Date ▼	Report type	SIC / Sector
08/11/14	Comprehensive Report	Public Administration
07/24/14	Comprehensive Report	Services
07/15/14	Comprehensive Report	Manufacturing
07/15/14	Comprehensive Report	Services
07/15/14	Comprehensive Report	Manufacturing
07/15/14	Comprehensive Report	Manufacturing
07/10/14	Comprehensive Report	Manufacturing
06/26/14	Comprehensive Report	Public Administration
06/06/14	Comprehensive Report	Services
06/06/14	Others	Services
06/06/14	Delinquency Predictor Scoring Report	Services
06/04/14	Comprehensive Report	Wholesale Trade
04/10/14	Comprehensive Report	Services
04/10/14	Comprehensive Report	Services
04/10/14	Comprehensive Report	Services
03/17/14	Comprehensive Report	Services
02/14/14	Comprehensive Report	Construction
01/03/14	Comprehensive Report	Wholesale Trade
11/05/13	Comprehensive Report	Finance, Insurance and Real Estate
10/31/13	Comprehensive Report	Finance, Insurance and Real Estate
10/29/13	Delinquency Predictor Scoring Report	Finance, Insurance and Real Estate
10/28/13	Delinquency Predictor Scoring Report	Wholesale Trade
10/28/13	Delinquency Predictor Scoring Report	Wholesale Trade
09/17/13	Delinquency Predictor Scoring Report	Public Administration
09/10/13	Delinquency Predictor Scoring Report	Wholesale Trade
09/10/13	Delinquency Predictor Scoring Report	Wholesale Trade

**Trends - 12 Month**

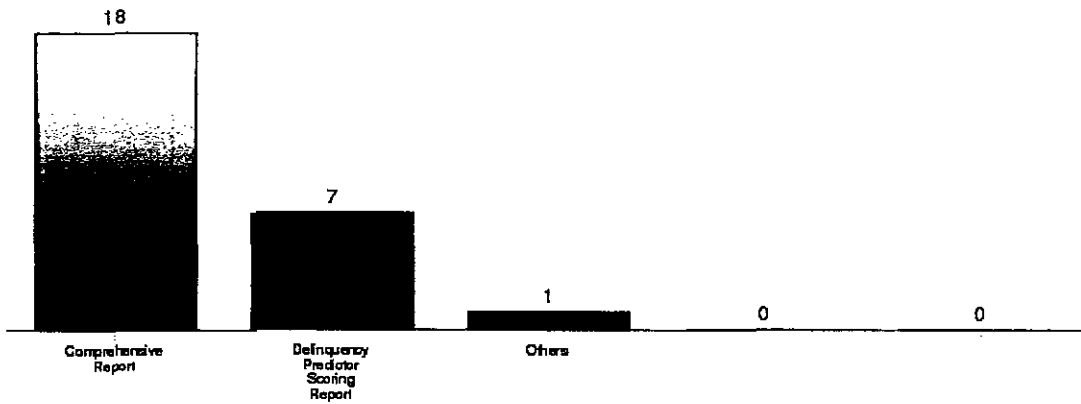




**Top 5 Inquiries by Report Type (12 Months)**



**Top 5 Report Types Graph(12 Months)**



**All Inquiries by Industry and SIC / Sector**

SIC/Sector	Sep 2013 to	Dec 2013 to	Mar 2014 to	Jun 2014 to	Total
	Nov 2013	Feb 2014	May 2014	Aug 2014	
Construction	0	1	0	0	1
Finance, Insurance and Real Estate	3	0	0	0	3
Manufacturing	0	0	0	4	4
Public Administration	1	0	0	2	3
Services	0	0	4	5	9
Transportation, Communications, Electric, Gas and Sanitary Services	0	0	0	0	0
Wholesale Trade	4	1	0	1	6

### Inquiries by Report Type

Report Type	Sep 2013 to	Dec 2013 to	Mar 2014 to	Jun 2014 to	Total
	Nov 2013	Feb 2014	May 2014	Aug 2014	
Comprehensive Report	2	2	4	10	18
Delinquency Predictor Scoring Report	6	0	0	1	7
Others	0	0	0	1	1
Payment Analysis Report	0	0	0	0	0

## Payments

Currency: Shown in USD unless otherwise indicated

### Payments Summary

**Current** 80 Equal to ON TERMS  
**PAYDEX®:**  
**Industry Median:** 76 Equal to 6 DAYS BEYOND terms  
**Payment Trend:** ▲ Up, compared to payments three months ago

**Total payment Experiences in D&Bs File (HQ):** 65  
**Payments Within Terms (not dollar weighted):** 100  
**Total Placed For Collection:** NA  
**Average Highest Credit:** 10,854  
**Largest High Credit:** 65,000  
**Highest Now Owing:** 50,000  
**Highest Past Due:** NA

### Payments Summary by Industry

**Total (Last 12 Months):** 65

	Total	Total Dollar	Largest High Credit	Within	Days Slow			
	Received	Amount	Payment summary	Terms	31	30-80	81-90	90
<b>Top Industries</b>								
Engineering services	2	\$90,000	\$45,000	100%	0	0	0	0
Mfg photograph equip	2	\$3,500	\$2,500	100%	0	0	0	0
Telephone communictns	2	\$1,250	\$1,000	100%	0	0	0	0
Admin social programs	2	\$500	\$250	100%	0	0	0	0
Public finance	2	\$100	\$50	100%	0	0	0	0
Architectural service	1	\$65,000	\$65,000	100%	0	0	0	0
Ret furniture	1	\$50,000	\$50,000	100%	0	0	0	0
Lithographic printing	1	\$15,000	\$15,000	100%	0	0	0	0
Short-trm busn credit	1	\$10,000	\$10,000	100%	0	0	0	0
Whol office equipment	1	\$5,000	\$5,000	100%	0	0	0	0
Radiotelephone commun	1	\$5,000	\$5,000	100%	0	0	0	0
Data processing svcs	1	\$1,000	\$1,000	100%	0	0	0	0
Misc business service	1	\$1,000	\$1,000	100%	0	0	0	0
Reg misc coml sector	1	\$750	\$750	100%	0	0	0	0
Drywall/insulate work	1	\$750	\$750	100%	0	0	0	0
Mfg signs/ad spectlys	1	\$500	\$500	100%	0	0	0	0
Management services	1	\$250	\$250	100%	0	0	0	0
Whol electrical equip	1	\$50	\$50	100%	0	0	0	0
Newspaper-print/publ	1	\$0	\$0	0%	0	0	0	0
Misc business credit	1	\$0	\$0	0%	0	0	0	0
<b>Other Categories</b>								
Cash experiences	37	\$2,900	\$750	-	-	-	-	-
Unknown	3	\$200	\$100	-	-	-	-	-
Unfavorable comments	0	\$0	\$0	-	-	-	-	-
Placed for collections with D&B:	0	\$0	\$0	-	-	-	-	-
Other	0	N/A	\$0	-	-	-	-	-
Total in D&B's file	65	\$252,750	\$65,000	-	-	-	-	-

## Payments Beyond Terms

We currently don't have enough data to display this section.

## All Payments

Total (Last 12 Months): 65

Date ▼	Paying Record	High Credit	Now Owes	Past Due	Selling Terms	Last sale w/f (Mo. )
08/2014	Ppt	\$65,000	\$0	\$0	N45	1 mo
08/2014	Ppt	\$50,000	\$50,000	\$0	N30	1 mo

Date ▼	Paying Record	High Credit	Now Owes	Past Due	Selling Terms	Last sale w/f (Mo. )
08/2014	Ppt	\$45,000	\$15,000	\$0	N90	1 mo
08/2014	Ppt	\$45,000	\$15,000	\$0	N90	1 mo
08/2014	Ppt	\$15,000	\$0	\$0	N30	2-3 mos
08/2014	Ppt	\$5,000	\$1,000	\$0	N30	1 mo
07/2014	Ppt	--	\$0	\$0	--	6-12 mos
07/2014	Ppt	\$5,000	\$5,000	\$0	--	1 mo
07/2014	Ppt	\$1,000	\$1,000	\$0	--	1 mo
07/2014	Ppt	\$1,000	\$100	\$0	--	6-12 mos
07/2014	Ppt	\$250	\$0	\$0	--	6-12 mos
07/2014	Ppt	\$50	\$0	\$0	N30	4-5 mos
07/2014	(013)	\$100	--	--	Cash account	1 mo
07/2014	(014)	\$50	\$0	\$0	--	4-5 mos
06/2014	Ppt	\$1,000	\$1,000	\$0	--	1 mo
06/2014	Ppt	\$750	\$0	\$0	--	6-12 mos
06/2014	(017)	\$50	--	--	Cash account	1 mo
06/2014	(018)	\$50	--	--	Cash account	1 mo
06/2014	(019)	\$50	--	--	Cash account	1 mo
06/2014	(020)	\$50	--	--	Cash account	1 mo
06/2014	(021)	\$50	--	--	Cash account	1 mo
05/2014	Ppt	\$50	--	--	--	1 mo
05/2014	Ppt	\$50	--	--	--	1 mo
05/2014	(024)	\$50	--	--	Cash account	1 mo
04/2014	(025)	\$100	--	--	Cash account	1 mo
04/2014	(026)	\$50	--	--	Cash account	1 mo
04/2014	(027)	\$50	--	--	Cash account	6-12 mos
03/2014	(028)	\$50	--	--	Cash account	1 mo
02/2014	(029)	\$750	--	--	Cash account	1 mo
01/2014	Ppt	\$10,000	\$500	\$0	--	1 mo
12/2013	Ppt	\$250	--	--	--	1 mo
12/2013	Ppt	\$250	--	--	--	1 mo
11/2013	Ppt	\$250	\$250	\$0	--	1 mo
10/2013	(034)	\$50	--	--	Cash account	1 mo
10/2013	(035)	\$50	--	--	Cash account	1 mo
10/2013	(036)	\$50	--	--	Cash account	1 mo
09/2013	(037)	\$50	--	--	Cash account	1 mo
07/2013	(038)	\$100	--	--	Cash account	1 mo
07/2013	(039)	\$100	--	--	Cash account	1 mo
07/2013	(040)	\$100	--	--	Cash account	1 mo
07/2013	(041)	\$100	--	--	Cash account	1 mo

Date ▼	Paying Record	High Credit	Now Owes	Past Due	Selling Terms	Last sale w/f (Mo. )
07/2013	(042)	\$50	--	--	Cash account	1 mo
07/2013	(043)	--	\$0	\$0	--	6-12 mos
06/2013	(044)	\$50	--	--	Cash account	1 mo
06/2013	(045)	\$50	--	--	Cash account	1 mo
05/2013	Ppt	\$1,000	\$1,000	--	--	--
05/2013	(047)	\$750	--	--	--	1 mo
05/2013	(048)	\$50	--	--	Cash account	1 mo
05/2013	(049)	\$50	--	--	Cash account	1 mo
05/2013	(050)	\$50	--	--	Cash account	1 mo
05/2013	(051)	\$50	--	--	--	1 mo
05/2013	(052)	\$50	--	--	Cash account	1 mo
05/2013	(053)	\$50	--	--	Cash account	1 mo
05/2013	(054)	\$50	--	--	Cash account	2-3 mos
05/2013	(055)	\$50	--	--	Cash account	1 mo
04/2013	(056)	\$100	--	--	Cash account	1 mo
03/2013	(057)	\$50	--	--	Cash account	6-12 mos
02/2013	(058)	\$50	--	--	Cash account	6-12 mos
01/2013	(059)	\$50	--	--	Cash account	6-12 mos
01/2013	(060)	\$50	--	--	Cash account	6-12 mos
12/2012	Ppt	\$2,500	\$1,000	\$0	N30	1 mo
12/2012	(062)	\$100	\$0	\$0	N30	6-12 mos
11/2012	(063)	\$50	--	--	Cash account	6-12 mos
10/2012	(064)	\$50	--	--	Cash account	1 mo
08/2012	Ppt	\$500	\$0	\$0	--	6-12 mos

Indications of slowness can be the result of disputes over merchandise, skipped invoices, etc. Accounts are sometimes placed in collection even though the existence or amount of debt is disputed.

The public record items contained in this report may have been paid, terminated, vacated or released prior to the date this report was printed.

## History & Operations

Currency: Shown in USD unless otherwise indicated

### Company Overview

<b>Company Name:</b>	I. C. THOMASSON ASSOCIATES, INC.	<b>URL:</b>	www.icthomasson.com
<b>Doing Business As:</b>	I. C. THOMASSON ASSOCIATES, INC.	<b>Stock Symbol:</b>	NA
<b>Street Address:</b>	2950 Kraft Dr Ste 500 Nashville, TN 37204	<b>History:</b>	NA
<b>Phone:</b>	(615) 346-3400	<b>Operations:</b>	profitable
<b>Fax:</b>	(615) 346-3550	<b>Present Management Control:</b>	NA
		<b>Annual Sales:</b>	NA

## History

The following information was reported: **07/09/2014**

**Officer(s):**

GEORGE R BRATTON, CHB  
JOSEPH J WIMBERLY IV, PRES  
CLIFF HARVILLE, SR V PRES  
WILLIAM TINNELL, TREAS  
ALBERT GREEN, SEC

**DIRECTOR(S):**

THE OFFICER(S)

The Tennessee Secretary of State's business registrations file showed that I. C. Thomasson Associates, Inc. was registered as a Corporation on December 30, 1964.

Business started 1942 by Irving C Thomasson. 90% of capital stock is owned by Officers. 10% of capital stock is owned by 21 stockholders.

GEORGE R BRATTON born 1950. 1972-present active here. 1968-1970 attended Tennessee Technological University, Cookeville, TN.

1970-1975 attended and graduated from the YMCA Night Law School, Nashville, TN.

JOSEPH J WIMBERLY IV born 1959. 1981-present active here. 1977-1981 attended and graduated from the University of Tennessee, Knoxville, TN.

CLIFF HARVILLE. Antecedents are undetermined.

WILLIAM TINNELL. Antecedents are undetermined.

ALBERT GREEN. Antecedents are undetermined.

Business address has changed from 2120 8th Ave S, Nashville, TN, 37204 to 2950 Kraft Dr Ste 500, Nashville, TN, 37204

The majority of the stock is owned by Wimberly, Chambliss, Bratton and three other employees: Tinnell, Harville and Green. The remainder of the stock is owned by employees with no one person owning over 10% of the stock.

## Business Registration

CORPORATE AND BUSINESS REGISTRATIONS REPORTED BY THE SECRETARY OF STATE OR OTHER OFFICIAL SOURCE AS OF  
**AUGUST 17 2014.**

<b>Registered Name:</b>	I. C. THOMASSON ASSOCIATES, INC.	NA
<b>Business Type:</b>	CORPORATION	
<b>Corporation Type:</b>	PROFIT	
<b>Date Incorporated:</b>	Dec 30 1964	
<b>State of Incorporation:</b>	TENNESSEE	
<b>Filing Date:</b>	Dec 30 1964	
<b>FilingFedID:</b>	NA	
<b>Registration ID:</b>	000031297	
<b>Duration:</b>	PERPETUAL	
<b>Duration Date:</b>	NA	
<b>Status:</b>	ACTIVE	
<b>Status Attained Date:</b>	NA	
<b>Where Filed:</b>	SECRETARY OF STATE/CORPORATIONS DIVISION, NASHVILLE, TN	
<b>Registered Agent:</b>	GEORGE R BRATTON JR, 2950 KRAFT DR; STE 500, NASHVILLE, TN, 372043683	
<b>Agent Appointed:</b>	NA	
<b>AgentStatus:</b>	NA	
<b>Principals:</b>		

## Operations

07/09/2014

**Description:**

Operates as consulting mechanical, electrical, industrial and environmental engineers (100%).

**ADDITIONAL TELEPHONE NUMBER(S):** Facsimile (Fax) 615 346-3550.

Has 70 account(s). Terms are progress payments. Sells to architects and governmental concerns. Territory : United States.

Nonseasonal.

**Employees:** 160 which includes officer(s). 120 employed here.

**Facilities:** Leases 30,000 sq. ft. in a one story brick building.

**Location:** Suburban business section on well traveled street.

**Branches:** Maintains a branch location at Brookhaven, MS, Tampa, FL and Knoxville, TN.

**Subsidiaries:** NA

**Subsidiaries:** NA

**Subsidiaries:** NA

**Subsidiaries:** NA

**Subsidiaries:** NA

## SIC & NAICS

**SIC:**

Based on information in our file, D&B has assigned this company an extended 8-digit SIC. D&B's use of 8-digit SICs enables us to be more specific to a company's operations than if we use the standard 4-digit code. The 4-digit SIC numbers link to the description on the Occupational Safety & Health Administration (OSHA) Web site. Links open in a new browser window.

8711 9903 Consulting engineer

**NAICS:**

541330 Engineering Services

## Public Filings

Currency: Shown in USD unless otherwise indicated

## Summary

The following data includes both open and closed filings found in D&B's database on this company.

Record Type	# of Records	Most Recent Filing Date
Bankruptcy Proceedings	-	-
Judgments	0	-
Liens	0	-
Suits	1	02/23/12
UCCs	4	11/26/13

The following Public Filing data is for information purposes only and is not the official record. Certified copies can only be obtained from the official source.

## Judgments

We currently don't have enough data to display this section.

## Liens

We currently don't have enough data to display this section.

## Suits

**Amount:** NA  
**Status:** Pending  
**Docket No.:** 12C797  
**Plaintiff:** METROPOLITAN GOVERNMENT OF NASHVILLE AND DAVIDSON COUNTY ACTING BY AND THROUGH THE ELECTRIC POWER BO  
**Defendant:** I.C. THOMASSON ASSOCIATES INC, AND OTHERS  
**Cause:** CIVIL COMPLAINT  
**Where Filed:** DAVIDSON COUNTY CIRCUIT COURT, NASHVILLE, TN  
**Date Status Attained:** 02/23/12

If it is indicated that there are defendants other than the report subjects, the lawsuit may be an action to clear title to property and does not necessarily imply a claim for money against the subject.

## Government Activity

We currently don't have enough data to display this section.

## Special Events

We currently don't have enough data to display this section.

## Corporate Linkage

### Parent

Company Name	DUNS #	City, State
I. C. THOMASSON ASSOCIATES, INC.	04-811-6891	NASHVILLE, TENNESSEE

### Headquarters (US)

Company Name	DUNS #	City, State
I. C. THOMASSON ASSOCIATES, INC.	04-811-6891	NASHVILLE, TENNESSEE

### US Linkages

We currently don't have enough data to display this section.

### International Linkages

We currently don't have enough data to display this section.





**Exhibit C-8**  
**“Bankruptcy Information”**

**PUBLIC UTILITIES COMMISSION OF OHIO**

**Renewal Certification License Application for Aggregators/Power  
Brokers**

**I. C. Thomasson Associates (“ICT”)**

ICT has not had and there are no expectations to have any reorganizations, protection for creditors, or any other form of bankruptcy filings.

**Exhibit C-9**  
**“Merger Information”**

**PUBLIC UTILITIES COMMISSION OF OHIO**

**Renewal Certification License Application for Aggregators/Power  
Brokers**

**I. C. Thomasson Associates ("ICT")**

ICT has not had any dissolution, merger, or acquisition within the two most recent years preceding this application. In addition, ICT does not plan to have any dissolution, merger, or acquisition in the near future.

**Exhibit C-10**  
**“Corporate Structure”**

**PUBLIC UTILITIES COMMISSION OF OHIO**

**Renewal Certification License Application for Aggregators/Power  
Brokers**

**I. C. Thomasson Associates (“ICT”)**

Exhibit C-10 is not applicable to ICT, since ICT is a stand-alone entity with no affiliate or subsidiary companies.