



Public Utilities Commission

| PUCO USE ONLY - Version 1.08 May 2016 | | |
|---------------------------------------|------------------------------|--------------------------|
| Date Received | Renewal Certification Number | ORIGINAL CRS Case Number |
| | | 08 -0638 - GA-CRS |

RENEWAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS SUPPLIERS

Please type or print all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-15 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

A-1 Applicant intends to renew its certificate as: (check all that apply)

☐ Retail Natural Gas Aggregator ☐ Retail Natural Gas Broker ☒ Retail Natural Gas Marketer

A-2 Applicant information:

Legal Name Spark Energy Gas, LLC
Address 12140 Wickchester Ln., Suite 100 Houston, TX 77079
Telephone No. 713-977-5634 Web site Address www.sparkenergy.com
Current PUCO Certificate No. 08-136G(3) Effective Dates

A-3 Applicant information under which applicant will do business in Ohio:

Name Spark Energy Gas, LLC
Address 12140 Wickchester Ln., Suite 100 Houston, TX 77079
Web site Address www.sparkenergy.com Telephone No. 832-320-2928

A-4 List all names under which the applicant does business in North America:

Spark Energy Gas, LLC

A-5 Contact person for regulatory or emergency matters:

Name Edwin Dearman Title Regulatory Manager
Business Address 12140 Wickchester Ln., Suite 100 Houston, TX 77079
Telephone No. 832-320-2928 Fax No. 832-485-6392 Email Address edearman@sparkenergy.com

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name Martha Lopez Title Regulatory Specialist
Business address 12140 Wickchester Ln., Suite 100 Houston, TX 77079
Telephone No. 832-333-7053 Fax No. 832-320-2944 Email Address mlopez@sparkenergy.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address 12140 Wickchester Ln., Suite 100 Houston, TX 77079
Toll-Free Telephone No. 877-547-7275 Fax No. 877-374-8007 Email Address customercare@sparkenergy.com

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name James Stapleton Title General Manager
Business address 8044 Montgomery Road, Suite 700 Cincinnati, 45236, Ohio
Telephone No. 513-792-2200 Fax No. 513-792-2222 Email Address customercare@sparkenergy.com

A-9 Applicant's federal employer identification number 76-0668204

A-10 Applicant's form of ownership: (Check one)

- | | |
|--|---|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other |

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

| | | | |
|--|---|--|---|
| <input checked="" type="checkbox"/> Columbia Gas of Ohio | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Small Commercial | <input checked="" type="checkbox"/> Large Commercial / Industrial |
| <input checked="" type="checkbox"/> Dominion East Ohio | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Small Commercial | <input checked="" type="checkbox"/> Large Commercial / Industrial |
| <input type="checkbox"/> Duke Energy Ohio | <input type="checkbox"/> Residential | <input type="checkbox"/> Small Commercial | <input type="checkbox"/> Large Commercial / Industrial |
| <input type="checkbox"/> Vectren Energy Delivery of Ohio | <input type="checkbox"/> Residential | <input type="checkbox"/> Small Commercial | <input type="checkbox"/> Large Commercial / Industrial |

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

☒ Columbia Gas of Ohio

| | | | |
|--|---------------------------|------|----------|
| <input checked="" type="checkbox"/> Residential | Beginning Date of Service | 2011 | End Date |
| <input checked="" type="checkbox"/> Small Commercial | Beginning Date of Service | 2009 | End Date |
| <input checked="" type="checkbox"/> Large Commercial | Beginning Date of Service | 2009 | End Date |
| <input checked="" type="checkbox"/> Industrial | Beginning Date of Service | 2009 | End Date |

☒ Dominion East Ohio

| | | | |
|--|---------------------------|------|----------|
| <input checked="" type="checkbox"/> Residential | Beginning Date of Service | 2011 | End Date |
| <input checked="" type="checkbox"/> Small Commercial | Beginning Date of Service | 2009 | End Date |
| <input checked="" type="checkbox"/> Large Commercial | Beginning Date of Service | 2009 | End Date |
| <input checked="" type="checkbox"/> Industrial | Beginning Date of Service | 2009 | End Date |

☐ Duke Energy Ohio

| | | |
|---|---------------------------|----------|
| <input type="checkbox"/> Residential | Beginning Date of Service | End Date |
| <input type="checkbox"/> Small Commercial | Beginning Date of Service | End Date |
| <input type="checkbox"/> Large Commercial | Beginning Date of Service | End Date |
| <input type="checkbox"/> Industrial | Beginning Date of Service | End Date |

☐ Vectren Energy Delivery of Ohio

| | | |
|---|---------------------------|----------|
| <input type="checkbox"/> Residential | Beginning Date of Service | End Date |
| <input type="checkbox"/> Small Commercial | Beginning Date of Service | End Date |
| <input type="checkbox"/> Large Commercial | Beginning Date of Service | End Date |
| <input type="checkbox"/> Industrial | Beginning Date of Service | End Date |

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

| | | |
|--------------------------|---------------------------------|---------------------|
| <input type="checkbox"/> | Columbia Gas of Ohio | Intended Start Date |
| <input type="checkbox"/> | Dominion East Ohio | Intended Start Date |
| <input type="checkbox"/> | Duke Energy Ohio | Intended Start Date |
| <input type="checkbox"/> | Vectren Energy Delivery of Ohio | Intended Start Date |

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 **Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 **Exhibit A-15 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-16 **Exhibit A-16 "Articles of Incorporation and Bylaws,"** provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, *only if the contents of the originally filed documents changed since the initial application.*
- A-17 **Exhibit A-17 "Secretary of State,"** provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 **Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 **Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.

☐ No ☒ Yes

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
(This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).
- C-4 Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's current financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

C-5 Exhibit C-5 "Forecasted Financial Statements," provide two years of forecasted income statements for the applicant's **NATURAL GAS related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

C-6 Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.

- C-7 Exhibit C-7 "Credit Report," provide a copy of the applicant's current credit report from Experian, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.
- C-8 Exhibit C-8 "Bankruptcy Information," provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information," provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure," provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate within the two most recent years preceding the application.

SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 Exhibit D-1 "Operations," provide a current written description of the operational nature of the applicant's business. Please include whether the applicant's operations will include the contracting of natural gas purchases for retail sales, the nomination and scheduling of retail natural gas for delivery, and the provision of retail ancillary services, as well as other services used to supply natural gas to the natural gas company city gate for retail customers.
- D-2 Exhibit D-2 "Operations Expertise," given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel," provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

[Handwritten Signature]

CHIEF FINANCIAL OFFICER

Sworn and subscribed before me this

13

day of July

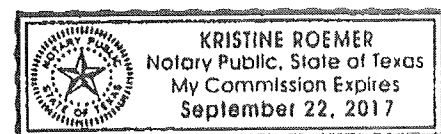
Month 2016

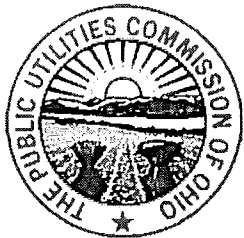
Year

[Handwritten Signature]
Signature of official administering oath

KRISTINE ROEMER, EXECUTIVE ASSISTANT
Print Name and Title

My commission expires on 09/22/2017





The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service
Affidavit Form
(Version 1.07)

In the Matter of the Application of)

Spark Energy Gas, LLC)

for a Certificate or Renewal Certificate to Provide)
Competitive Retail Natural Gas Service in Ohio.)

Case No.

08

0638

-GA-CRS

County of Harris
State of TX

Robert Lane

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

[Signature]

CAMP FINANCIAL OFFICER

Sworn and subscribed before me this

13

day of

July

Month

2016

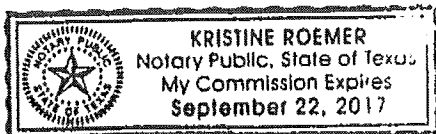
Year

[Signature]

Signature of Official Administering Oath

KRISTINE ROEMER Exec Asst.

Print Name and Title



My commission expires on

09/22/2017

(CRNGS Supplier Renewal) - Version 1.08

Page 8 of 8



Exhibit A-14: "Principal Officers, Directors, & Partners"

| Name | Title | Address | Telephone |
|-------------------|------------------------------------|--|------------------|
| Nathan G. Kroeker | President, Chief Executive Officer | 12140 Wickchester Ln., Ste. 100 Houston, TX 77079 | 281-833-4153 |
| Gil Melman | Vice President, General Counsel | 12140 Wickchester Ln., Ste. 100 Houston, TX 77079 | 281-833-4154 |
| Jason Garrett | Executive Vice President | 12140 Wickchester Ln., Ste. 100 Houston, TX 77079 | 832-200-3735 |
| Robert Lane | Chief Financial Officer | 12140 Wickchester Ln., Ste. 100 Houston, TX 77079 | 832-217-1860 |



Exhibit A-15 "Company History"

Spark Energy Gas, LLC markets natural gas to residential, commercial, and industrial customers in 14 different states across 34 utilities. Spark Energy, LLC, an affiliate of Spark Energy Gas, LLC, supplies electricity to the same segments of customers in eight different states across 15 utilities.

Spark Energy Gas, LLC is headquartered in Houston, Texas and has approximately 200 employees. Since 2002, Spark Energy Gas, LLC has been a provider of retail natural gas; in 2003, Spark Energy, LLC began offering retail electricity products



**Exhibit A-16 "Articles of Incorporation and
ByLaws"**

Corporations Section
P.O.Box 13697
Austin, Texas 78711-3697



Nandita Berry
Secretary of State

Office of the Secretary of State

CERTIFICATE OF CONVERSION

The undersigned, as Secretary of State of Texas, hereby certifies that a filing instrument for

Spark Energy Gas, LP
File Number: 14567110

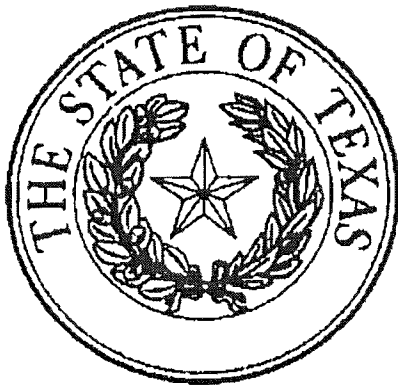
Converting it to

SPARK ENERGY GAS, LLC
File Number: 801995548

has been received in this office and has been found to conform to law. ACCORDINGLY, the undersigned, as Secretary of State, and by virtue of the authority vested in the secretary by law, hereby issues this certificate evidencing the acceptance and filing of the conversion on the date shown below.

Dated: 05/21/2014

Effective: 05/21/2014



NANDITA BERRY

Nandita Berry
Secretary of State

Form 642
(Revised 05/11)

Return in duplicate to:
Secretary of State
P.O. Box 13697
Austin, TX 78711-3697
512 463-5555
FAX: 512 463-5709

Filing Fee: See instructions



This space reserved for office
use.

**Certificate of Conversion
of a
Limited Partnership Converting
to a
Limited Liability Company**

Converting Entity Information

The name of the converting limited partnership is:
Spark Energy Gas, LP

The jurisdiction of formation of the limited partnership is: Texas

The date of formation of the limited partnership is: 1/17/2001

The file number, if any, issued to the limited partnership by the secretary of state is: 14567110

Converted Entity Information

The limited partnership named above is converting to a limited liability company. The name of the limited liability company is:
Spark Energy Gas, LLC

The limited liability company will be formed under the laws of: Texas

Plan of Conversion

☒ The plan of conversion is attached.

If the plan of conversion is not attached, the following section must be completed.

Alternative Statements

In lieu of providing the plan of conversion, the converting limited partnership certifies that:

1. A signed plan of conversion is on file at the principal place of business of the limited partnership, the converting entity. The address of the principal place of business of the limited partnership is:

Street or Mailing Address City State Country Zip Code

2. A signed plan of conversion will be on file after the conversion at the principal place of business of the limited liability company, the converted entity. The address of the principal place of business of the limited liability company is:

Street or Mailing Address City State Country Zip Code

3. A copy of the plan of conversion will be furnished on written request without cost by the converting entity before the conversion or by the converted entity after the conversion to any owner or member of the converting or converted entity.

Certificate of Formation for the Converted Entity

If the converted entity is a Texas limited liability company, the certificate of formation of the Texas limited liability company must be attached to this certificate either as an attachment or exhibit to the plan of conversion, or as an attachment or exhibit to this certificate of conversion if the plan has not been attached to the certificate of conversion.

Approval of the Plan of Conversion

The plan of conversion has been approved as required by the laws of the jurisdiction of formation and the governing documents of the converting entity.

Effectiveness of Filing (Select either A, B, or C.)

A. ☒ This document becomes effective when the document is accepted and filed by the secretary of state.

B. ☐ This document becomes effective at a later date, which is not more than ninety (90) days from the date of signing. The delayed effective date is: _____

C. ☐ This document takes effect upon the occurrence of the future event or fact, other than the passage of time. The 90th day after the date of signing is: _____

The following event or fact will cause the document to take effect in the manner described below:

Tax Certificate

☒ Attached hereto is a certificate from the comptroller of public accounts that certifies that the converting entity is in good standing for purposes of conversion.

☐ In lieu of providing the tax certificate, the limited liability company as the converted entity is liable for the payment of any franchise taxes.

Execution

The undersigned signs this document subject to the penalties imposed by law for the submission of a materially false or fraudulent instrument. The undersigned certifies that the statements contained herein are true and correct, and that the person signing is authorized under the provisions of the Business Organizations Code to execute the filing instrument.

Date: May 20, 2014

Gil Melman on behalf of Spark Energy Gas, LP



Signature of authorized person (see instructions)

Gil Melman, Vice President/General Counsel

Printed or typed name of authorized person

**ACTION BY WRITTEN CONSENT OF PARTNERS
OF SPARK ENERGY GAS, LP**

Pursuant to the authority contained in the Texas Business Organizations Code, the undersigned, being all of the partners of Spark Energy Gas, LP, (the "Partnership"), a Texas limited partnership, hereby take and adopt the following actions in writing on behalf of the Partnership:

Approval of Conversion

WHEREAS, the partners of the Partnership have determined it is in the best interests of the Partnership to convert the Partnership into a Texas limited liability company.

NOW, THEREFORE, BE IT RESOLVED, that the Plan of Conversion of this Partnership from a Texas limited partnership to a Texas limited liability company, substantially in the form attached hereto as Exhibit A and incorporated herein for all purposes, be and it hereby is adopted and approved.

FURTHER RESOLVED, that the General Partner of this Partnership be, and it hereby is, authorized and directed to take such action as is necessary or desirable to implement the Plan of Conversion, including the preparation, execution and filing of a Certificate of Conversion with the Secretary of State of the State of Texas.

FURTHER RESOLVED, that the General Partner be, and it hereby is, authorized and directed on behalf of this Partnership to retain appropriate attorneys and others and to pay such fees and expenses and to make, execute and deliver, or cause to be made, executed and delivered, all such agreements, documents, instruments and other papers and to do or cause to be done all such acts and things, in the name and on behalf of this Partnership and under its seal or otherwise, as may be deemed necessary, appropriate or desirable to effectuate or carry out the purposes and intent of the foregoing resolutions.

The undersigned direct that this consent be filed with the records of the Partnership.

Date: May 10, 2014.

GENERAL PARTNER:

SPARK ENERGY HOLDINGS, LLC.
a Texas limited liability company

By: 

Name: Gil Nelman

Title: Vice President + General Counsel

LIMITED PARTNER:

SPARK ENERGY VENTURES, LLC,
a Texas limited liability company

By: 

Name: Gil Nelman

Title: Vice President + General Counsel

EXHIBIT A

Plan of Conversion

**PLAN OF CONVERSION
OF
SPARK ENERGY GAS, LP**

1. Adoption of Plan. SPARK ENERGY GAS, LP hereby adopts this plan of conversion in order to convert to a limited liability company named "SPARK ENERGY GAS, LLC."

2. Domestic Entities. The following are the domestic converting and converted entities that are a party to the conversion:

A. SPARK ENERGY GAS, LP, a Texas limited partnership (the "Converting Entity"); and

B. SPARK ENERGY GAS, LLC, a Texas limited liability company (the "Converted Entity").

3. Continuation of Existence. Upon the conversion, the Converting Entity shall continue in its existence in the organizational form of the Converted Entity, which shall be a Texas limited liability company organized under the Texas Business Organizations Code and all of the rights, privileges and powers of the Converting Entity, and all property, real, personal and mixed, and all debts due to the Converting Entity, as well as all other things and causes of action belonging to the Converting Entity, shall remain vested in the Converted Entity and shall be the property of the Converted Entity, and the title to any real property vested by deed or otherwise in the Converting Entity shall not revert or be in any way impaired by reason of this conversion; but all rights of creditors and all liens upon any property of the Converting Entity shall be preserved unimpaired, and all debts, liabilities and duties of the Converting Entity shall remain attached to the Converted Entity, and may be enforced against it to the same extent as if said debts, liabilities and duties had originally been incurred or contracted by it in its capacity as a Converted Entity. The conversion of the Converting Entity into the Converted Entity shall not be deemed to affect any obligations or liabilities of the Converting Entity incurred prior to its conversion to the Converted Entity, or the personal liability of any person incurred prior to such conversion.

4. Conversion of Ownership Interests. Upon the conversion, the limited partnership interest and the general partnership interest of the Converting Entity shall be exchanged and converted into the limited liability company membership interests in the Converted Entity in the same ratio between the limited and general partners of the Converting Entity and with the same beneficial ownership rights as in the Converting Entity as more fully reflected in the Agreement of Limited Partnership of the Converted Entity.

5. Certificate of Formation. Attached hereto as Exhibit A is the Certificate of Formation of the Converted Entity.

6. Effective Date. The conversion will become effective upon the filing of the Certificate of Conversion with the Secretary of State of the State of Texas.

7. Tax Status. The Converted Entity shall be taxed as a partnership as provided under the U.S. Treasury Regulations, §301.7701-1 and §301.7701-2.

Dated: May 20, 2014.

SPARK ENERGY GAS, LP,
a Texas limited partnership

By: 

Name: Gil Melman

Title: Vice President & General Counsel

EXHIBIT A

Certificate of Formation of Converted Entity

Form 205
(Revised 05/11)

Submit in duplicate to:
Secretary of State
P.O. Box 13697
Austin, TX 78711-3697
512 463-5555
FAX: 512 463-5709
Filing Fee: \$300



This space reserved for office use.

Certificate of Formation
Limited Liability Company

Article 1 – Entity Name and Type

The filing entity being formed is a limited liability company. The name of the entity is:

SPARK ENERGY GAS, LLC

The name must contain the words "limited liability company," "limited company," or an abbreviation of one of these phrases.

Article 2 – Registered Agent and Registered Office

(See instructions. Select and complete either A or B and complete C.)

☒ A. The initial registered agent is an organization (cannot be entity named above) by the name of:

Corporation Services Company ("CSC")

OR

☐ B. The initial registered agent is an individual resident of the state whose name is set forth below:

| First Name | M.I. | Last Name | Suffix |
|------------|------|-----------|--------|
|------------|------|-----------|--------|

C. The business address of the registered agent and the registered office address is:

| | | | |
|--------------------------------|--------|-------|----------|
| 211 East 7th Street, Suite 620 | Austin | TX | 78701 |
| Street Address | City | State | Zip Code |

Article 3—Governing Authority

(Select and complete either A or B and provide the name and address of each governing person.)

☐ A. The limited liability company will have managers. The name and address of each initial manager are set forth below.

☒ B. The limited liability company will not have managers. The company will be governed by its members, and the name and address of each initial member are set forth below.

| GOVERNING PERSON 1 | | | | |
|---|---------|-----------|---------|----------|
| NAME (Enter the name of either an individual or an organization, but not both.) | | | | |
| IF INDIVIDUAL | | | | |
| First Name | M.I. | Last Name | Suffix | |
| OR | | | | |
| IF ORGANIZATION | | | | |
| SPARK ENERGY HOLDINGS, LLC | | | | |
| Organization Name | | | | |
| ADDRESS | | | | |
| 2105 CityWest Blvd, Suite 100 | Houston | TX | USA | 77042 |
| Street or Mailing Address | City | State | Country | Zip Code |

| | | | | |
|---|------|-----------|--------|------------------|
| GOVERNING PERSON 2 | | | | |
| NAME (Enter the name of either an individual or an organization, but not both) | | | | |
| IF INDIVIDUAL | | | | |
| First Name | M.I. | Last Name | Suffix | |
| OR | | | | |
| IF ORGANIZATION | | | | |
| Organization Name | | | | |
| ADDRESS | | | | |
| Street or Mailing Address | | City | State | Country Zip Code |

| | | | | |
|---|------|-----------|--------|------------------|
| GOVERNING PERSON 3 | | | | |
| NAME (Enter the name of either an individual or an organization, but not both) | | | | |
| IF INDIVIDUAL | | | | |
| First Name | M.I. | Last Name | Suffix | |
| OR | | | | |
| IF ORGANIZATION | | | | |
| Organization Name | | | | |
| ADDRESS | | | | |
| Street or Mailing Address | | City | State | Country Zip Code |

Article 4 – Purpose

The purpose for which the company is formed is for the transaction of any and all lawful purposes for which a limited liability company may be organized under the Texas Business Organizations Code.

Supplemental Provisions/Information

Text Area: [The attached addendum, if any, is incorporated herein by reference.]

This entity is being formed pursuant to a Plan of Conversion, wherein Spark Energy Gas, LP, a Texas limited partnership, filed with the Texas Secretary of State on January 17, 2001, whose principal place of business is 2105 CityWest Boulevard, Suite 100, Houston, Texas 77042, is requesting it be converted to Spark Energy Gas, LLC, a Texas limited liability company whose principal place of business will remain the same.

Organizer

The name and address of the organizer:

Gil Melman, Vice President & General Counsel

Name

2105 CityWest Blvd., Suite 100

Houston

TX 77042

Street or Mailing Address

City

State

Zip Code

Effectiveness of Filing (Select either A, B, or C.)

- A. ☒ This document becomes effective when the document is filed by the secretary of state.
- B. ☐ This document becomes effective at a later date, which is not more than ninety (90) days from the date of signing. The delayed effective date is: _____
- C. ☐ This document takes effect upon the occurrence of the future event or fact, other than the passage of time. The 90th day after the date of signing is: _____

The following event or fact will cause the document to take effect in the manner described below:

Execution

The undersigned affirms that the person designated as registered agent has consented to the appointment. The undersigned signs this document subject to the penalties imposed by law for the submission of a materially false or fraudulent instrument and certifies under penalty of perjury that the undersigned is authorized to execute the filing instrument.

Date:

May 20, 2014



Signature of organizer

Gil Melman, Vice President & General Counsel

Printed or typed name of organizer



Exhibit A-17 "Secretary of State"

Jon Husted
Ohio Secretary

#MqKxvha# #khRjU# #HdFvay# #rva1# #ZdpadHqCdaCh# #OhjvayC# #Edar#Uvvh# #Exvnhvvh# #HfKvay# #haHPhay#
#ExedFdvay#

Business Filing Portal

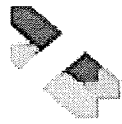
your BUS
INESS begins here

[Print this report](#)

Corporation Details

| Corporation Details | | |
|--|-----------------------------------|-----------------------|
| Entity Number | 1489505 | |
| Business Name | SPARK ENERGY GAS, LLC | |
| Filing Type | FOREIGN LIMITED LIABILITY COMPANY | |
| Status | Active | |
| Original Filing Date | 09/15/2004 | |
| Expiry Date | | |
| Location: | County: | State: TEXAS |
| Agent / Registrant Information | | |
| CSC-LAWYERS INCORPORATING SERVICE (CORPORATION SERVICE COMPANY) 50 W. BROAD ST SUITE 1800 COLUMBUS, OH 43215 Effective Date: 07/24/2014 Contact Status: Active | | |
| Filings | | |
| Filing Type | Date of Filing | Document Number/Image |
| REGISTRATION OF FOREIGN LIMITED PARTNERSHIP | 09/15/2004 | 200426502908 |
| CORRECTION/FOREIGN LIMITED PARTNERSHIP | 05/10/2007 | 200713100230 |
| FOREIGN/DESIGNATED APPOINTMENT OF AGENT | 01/19/2012 | 201202501288 |
| CONVERSION WITHIN SOS RECORDS | 07/24/2014 | 201420801589 |
| Old Names | | |
| Effective Date | Old Name | |
| 07/24/2014 | SPARK ENERGY GAS, LP | |

Exhibit B-1 "Jurisdiction of Operation"



sparkenergyTM

empower what matters

| State | Utility | Customers Served | | | | | Active Since |
|---------------|---|------------------|------|-----|-----|-----|--------------|
| | | Gas | Elec | Res | Com | C&I | |
| Arizona | Southwest Gas Corporation (SWG) | X | | | | X | 2008 |
| | UniSource Energy Services | X | | | | X | 2008 |
| California | Pacific Gas & Electric (PG&E) | X | | X | X | X | 2006 |
| | San Diego Gas & Electric (SDG&E) | X | | | | X | 2006 |
| | Southern California Gas Company (SoCal) | X | | | | X | 2006 |
| | Southwest Gas Corporation (SWG) | X | | | | X | 2008 |
| | Public Service Company of Colorado (PSCO) | X | | | | X | 2002 |
| Colorado | Connecticut Natural Gas | X | | | | X | 2006 |
| | Southern Connecticut Gas | X | | | | X | 2006 |
| | Yankee Gas | X | | | | X | 2006 |
| | Connecticut Lighting & Power | | X | X | X | X | 2010 |
| | United Illuminating | | X | X | X | X | 2010 |
| Florida | Florida City Gas | X | | | | X | 2007 |
| | Florida Public Utilities | X | | | | X | 2007 |
| | Peoples Gas/TECO | X | | | | X | 2007 |
| Illinois | Nicor Gas Company | X | | X | X | X | 2004 |
| | North Shore Gas | X | | X | X | X | 2008 |
| | Peoples Gas | X | | X | X | X | 2008 |
| | ComEd | | X | X | X | X | 2011 |
| Indiana | Citizens Gas | X | | | X | X | 2007 |
| | NIPSCO | X | | X | X | X | 2003 |
| Maryland | Baltimore Gas & Electric (BG&E) | X | X | X | X | X | 2008 |
| Massachusetts | Bay State Gas | X | | | | X | 2004 |
| | National Grid - KeySpan Colonial | X | | | | X | 2004 |
| | National Grid - KeySpan Boston | X | | | | X | 2004 |
| | National Grid - KeySpan Essex | X | | | | X | 2004 |
| | NStar | X | X | X | X | X | 2006 |
| Michigan | Consumers Energy Gas (CMS) | X | | X | X | X | 2009 |
| Nevada | Southwest Gas Corporation (SWG) | X | | | | X | 2008 |
| New Jersey | Public Service Electric & Gas | X | X | X | X | X | 2011 |
| New York | Consolidated Edison (ConEd) | X | X | X | X | X | 2005 |
| | National Grid - KeySpan Energy Delivery New York | X | | X | X | X | 2005 |
| | National Grid - KeySpan Energy Delivery Long Island | X | | X | X | X | 2005 |
| | National Grid - Niagara Mohawk | X | X | X | X | X | 2007 |
| Ohio | Columbia Gas of Ohio (COH) | X | | | | X | 2009 |
| | Dominion East Ohio (DEO) | X | | | | X | 2009 |
| Pennsylvania | PPL Electric Utilities | | X | X | X | X | 2010 |
| | PECO | | X | X | X | X | 2010 |
| Texas | AEP - Texas Central | | X | X | X | X | 2003 |
| | AEP - Texas North | | X | X | X | X | 2003 |
| | Centerpoint Energy | | X | X | X | X | 2003 |
| | Oncor | | X | X | X | X | 2003 |
| | Texas New Mexico Power Company (TNMP) | | X | X | X | X | 2003 |



Exhibit B-2 "Experience & Plans"

Experience

Spark Energy Gas, LLC has been actively marketing gas since 2002. Currently, Spark has over 200 employees and is an active gas marketer that serves 14 states across 34 utilities. Spark Energy, LLC an affiliate company, operates with the same personnel as Spark Energy Gas, LLC. Spark Energy, LLC successfully operates in eight states across 15 utilities. Our service areas and the number of years active in each state can be found in Exhibit B-1 "Jurisdictions of Operation".

Contracting with Customers/Providing Contracted Services

Spark Energy Gas, LLC provides competitively-priced gas products, while complying with all applicable laws and rules set forth by the Public Utilities Commission of Ohio, to customers in Ohio as it does in other states. All terms and conditions of the contract (pricing, terms, rights to terminate, etc.) are clearly disclosed to the customer.

Providing Billing Statements

Spark Energy Gas, LLC's billing services are provided through a third party, which manages the billing process for Spark's customers. Spark Energy Gas, LLC uses consolidated billing whenever possible unless the customer/product dictates that Spark Energy Gas, LLC bill for the energy charges separately. Any billing performed by Spark Energy Gas, LLC through a third party complies with the policies set forth by the PUCO.

Response to Customer Inquiries and Complaints

Spark Energy Gas, LLC commits to maintaining a fully trained staff of representatives to fulfill the needs of our customers. Spark utilizes a toll-free number specifically for Ohio customers and that number is provided on Spark's website as well as the contract. Spark Energy Gas, LLC is prepared to comply with all sections of Chapter 4901:1-21-08 concerning customer complaint handling. Spark Energy Gas, LLC is highly proficient in accepting customer inquiries and responding to complaints and does so meet similar requirements in other markets.



Exhibit B-3 "Summary of Experience"

Experience

Spark Energy Gas, LLC has been actively marketing gas since 2002. Currently, Spark has over 200 employees and is an active gas marketer that serves 14 states across 34 utilities. Spark Energy, LLC an affiliate company, operates with the same personnel as Spark Energy Gas, LLC. Spark Energy, LLC successfully operates in eight states across 15 utilities. Our service areas and the number of years active in each state can be found in Exhibit B-1 "Jurisdictions of Operation".



Exhibit B-4: "Disclosure of Liabilities and Investigations"

There is not activity to report

Exhibit B-5: "Disclosure of Consumer Protection Violations"

In January of 2016, Spark entered into a settlement agreement with the Public Utility Commission of Texas ("PUCT") to pay an administrative penalty of \$160,000 of Spark's violations of Three Codes related to the rate reduction program, bill payment and adjustments, and disconnection of service from 2014.



Exhibit C-1: "Annual Reports"

- 2015 Annual Report: [http://files.shareholder.com/downloads/AMDA-2UZ8VS/2419363128x0x885724/EBF0ABE1-71EF-409D-8E2B-275E523223AE/2015 Annual Report final.pdf](http://files.shareholder.com/downloads/AMDA-2UZ8VS/2419363128x0x885724/EBF0ABE1-71EF-409D-8E2B-275E523223AE/2015%20Annual%20Report%20final.pdf)
- 2014 Annual Report: [http://files.shareholder.com/downloads/AMDA-2UZ8VS/2419363128x0x821705/052E60F9-F7FC-469C-9639-EA9E611CC5DA/SPKE 2014 Full Annual Report.pdf](http://files.shareholder.com/downloads/AMDA-2UZ8VS/2419363128x0x821705/052E60F9-F7FC-469C-9639-EA9E611CC5DA/SPKE%202014%20Full%20Annual%20Report.pdf)



Exhibit C-2: "SEC Filings"

- 8-K:
<https://www.sec.gov/Archives/edgar/data/1606268/000160626816000285/000160626816000285-index.htm>
- 10-K:
<https://www.sec.gov/Archives/edgar/data/1606268/000160626816000225/spke1231201510k.htm>



Exhibit C-3: "Financial Statements"

- 2014 -10-K:
<https://www.sec.gov/Archives/edgar/data/1606268/000160626815000035/spke1231201410k.htm>
- 2015 -10-K:
<https://www.sec.gov/Archives/edgar/data/1606268/000160626816000225/spke1231201510k.htm>

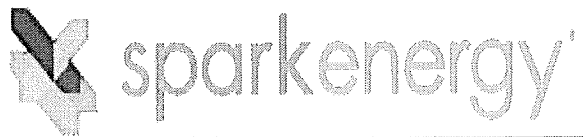


Exhibit C-4: "Financial Arrangements"

This information has been filed under separate cover to protect confidentiality.



Exhibit C-5: "Forecasted Financial Statements"

This information has been filed under separate cover to protect confidentiality.



Exhibit C-6: "Credit Rating"

Live Report : SPARK ENERGY GAS, LLC

D-U-N-S® Number: 01-620-0607

Trade Names: (SUBSIDIARY OF SPARK ENERGY, INC., HOUSTON, TX) - SPARK ENERGY

Endorsement/Billing Reference: droe@associatedenergyservices.com

D&B Address

Address 12140 Wckchester Ln Ste
100
Houston, TX, US - 77079

Phone 877 547-7275

Fax 713-977-7850

Location Type Single (Subsidiary)

Web www.urslp.com






Added to Portfolio: 01/28/2016

Endorsement : droe@associatedenergy
services.com

Company Summary

Currency: Shown in USD unless otherwise indicated

Score Bar

| | | | |
|--|---|------|---|
| Financial Stress Score |  | 1364 | Moderate to High Risk of severe financial stress. |
| Commercial Credit Score |  | 503 | Moderate Risk of severe payment delinquency. |
| PAYDEX® |  | 50 | Paying 30 days past due |
| Commercial Credit Score Percentile |  | 54 | Moderate Risk of severe payment delinquency. |
| Financial Stress Score National Percentile |  | 3 | Moderate to High Risk of severe financial stress. |
| D&B Viability Rating | <div><div>3</div><div>4</div><div>B</div><div>Z</div></div> | | View More Details |
| Bankruptcy Found | | No | |
| D&B Rating | | — | Unavailable. |

Detailed Trade Risk Insight™

Days Beyond Terms Past 3 Months

123

Days

Dollar-weighted average of 3 payment
experiences reported from 3 Companies

Recent Derogatory Events

| | Mar-16 | Apr-16 | May-16 |
|-----------------------|--------|--------|--------|
| Placed for Collection | - | - | - |
| Bad Debt Written Off | - | - | - |

Detailed Trade Risk Insight™

Days Beyond Terms Past 3 Months

123
Days

Dollar-weighted average of 3 payment
experiences reported from 3 Companies

Recent Derogatory Events

| | Mar-16 | Apr-16 | May-16 |
|-----------------------|--------|--------|--------|
| Placed for Collection | - | - | - |
| Bad Debt Written Off | - | - | - |

D&B Viability Rating

3

Viability Score: 3

4

Portfolio Comparison: 4

B

Data Depth Indicator: B

Z

Company Profile: Z
Subsidiary

D&B Company Overview

This is a single (subsidiary) location

| | |
|------------------|-----------------------------------|
| Chief Executive | WILLIAM KEITH MAXWELL III, CEO |
| Year Started | 2001 |
| Employees | 100 |
| Financing | SECURED |
| SIC | 4922 |
| Line of business | Natural gas transmission |
| NAICS | 486210 |
| History Status | CLEAR |

FirstRain Company News



This Company is not currently tracked for Company
News

Powered by FirstRain

Public Filings

The following data includes both open and closed filings
found in D&B's database on this company.

| Record Type | Number of Records | Most Recent Filing Date |
|--------------|----------------------|----------------------------|
| Bankruptcies | 0 | - |
| Judgments | 0 | - |
| Liens | 0 | - |
| Suits | 0 | - |
| UCCs | 14 | 09/28/15 |

The public record items contained herein may have been

paid, terminated, vacated or released prior to today's date.

Corporate Linkage

This is a Single (Subsidiary) location

SPARK ENERGY GAS, LLC
Houston, TX
D-U-N-S® Number 01-620-0607

The Parent Company is
SPARK ENERGY, INC.
Texas
D-U-N-S® Number 07-941-7907

Corporate Linkage


Parent

| Company | City, State | D-U-N-S® NUMBER |
|--------------------|----------------|-----------------|
| SPARK ENERGY, INC. | HOUSTON, Texas | 07-941-7907 |

Affiliates (Domestic)

| Company | City, State | D-U-N-S® NUMBER |
|---------------------|------------------------|-----------------|
| SPARK ENERGY, LLC | HOUSTON, Texas | 03-759-7437 |
| SPARK HOLDCO, LLC | HOUSTON, Texas | 07-982-9778 |
| OASIS POWER, LLC | HOUSTON, Texas | 83-076-7682 |
| CENSTAR ENERGY CORP | NEW ROCHELLE, New York | 83-035-7377 |

Predictive Scores

Currency: Shown in USD unless otherwise indicated 

D&B Viability Rating Summary

The D&B Viability Rating uses D&B's proprietary analytics to compare the most predictive business risk indicators and deliver a highly reliable assessment of the probability that a company will go out of business, become dormant/inactive, or file for bankruptcy/insolvency within the next 12 months. The D&B Viability Rating is made up of 4 components:



Viability Score

Lowest Risk:1

Highest Risk:9

Compared to All US Businesses within the D&B Database:

- Level of Risk: Low Risk
- Businesses ranked 3 have a probability of becoming no longer viable: 3 %
- Percentage of businesses ranked 3: 15 %
- Across all US businesses, the average probability of becoming no longer viable: 14 %

4**Portfolio Comparison**

Lowest Risk:1

Highest Risk:9

Compared to All US Businesses within the same MODEL SEGMENT:

- Model Segment : **Established Trade Payments**
- Level of Risk: **Low Risk**
- Businesses ranked 4 within this model segment have a probability of becoming no longer viable: **4 %**
- Percentage of businesses ranked 4 with this model segment: **11 %**
- Within this model segment, the average probability of becoming no longer viable: **5 %**

B**Data Depth Indicator**

Predictive Data:A

Descriptive Data:G

Data Depth Indicator:

- ✓ Rich Firmographics
- ✓ Extensive Commercial Trading Activity
- ✓ Basic Financial Attributes

Greater data depth can increase the precision of the D&B Viability Rating assessment.

Z**Company Profile****Subsidiary****Credit Capacity Summary**

This credit rating was assigned because of D&B's assessment of the company's creditworthiness. For more information, see the

D&B Rating Key

D&B Rating : --

The Rating was changed on October 1, 2015 because of D & B's overall assessment of the company's financial, payment and history information.

The blank rating symbol should not be interpreted as indicating that credit should be denied. It simply means that the information available to D&B does not permit us to classify the company within our rating key and that further enquiry should be made before reaching a decision. Some reasons for using a "-" symbol include: deficit net worth, bankruptcy proceedings, insufficient payment information, or incomplete history information.

Below is an overview of the company's rating history since 06-16-2003

Number of Employees Total:

100

| D&B Rating | Date Applied |
|------------|--------------|
| -- | 10-01-2015 |
| 1R3 | 10-03-2013 |
| 1R2 | 11-05-2012 |
| 4A2 | 01-19-2011 |
| 1R3 | 12-15-2009 |
| 3A4 | 09-11-2008 |
| 4A2 | 11-28-2007 |
| 1R2 | 02-15-2005 |
| -- | 01-29-2005 |
| 1R2 | 06-16-2003 |

Payment Activity:

(based on 13 experiences)

Average High Credit:

173,675

Highest Credit:

1,000,000

Total Highest Credit:

1,043,900

D&B Credit Limit Recommendation

Due to adverse or incomplete information, we are unable to provide a Credit Limit Recommendation for this business. Please contact your sales representative or D&B's Customer Resource Center at 800-234-3867 for assistance.

The Credit Limit Recommendation (CLR) is intended to serve as a directional benchmark for all businesses within the same line of business or industry, and is not calculated based on any individual business. Thus, the CLR is intended to help guide the credit limit decision, and must be balanced in combination with other elements which reflect the individual company's size, financial strength, payment history, and credit worthiness, all of which can be derived from D&B reports.

Risk is assessed using D&B's scoring methodology and is one factor used to create the recommended limits. See Help for details.

Financial Stress Class Summary

The Financial Stress Score predicts the likelihood of a firm ceasing business without paying all creditors in full, or reorganization or obtaining relief from creditors under state/federal law over the next 12 months. Scores were calculated using a statistically valid model derived from D&B's extensive data files.

The Financial Stress Class of 4 for this company shows that firms with this class had a failure rate of 0.84% (84 per 10,000), which is 1.75 times higher than the average of businesses in D & B's database.

Financial Stress Class : 4 (Lowest Risk 1; Highest Risk 5)

Moderately higher than average risk of severe financial stress, such as a bankruptcy or going out of business with unpaid debt, over the next 12 months.

Probability of Failure:

Risk of Severe Financial Stress for Businesses with this Class: 0.84 % (84 per 10,000)
Financial Stress National Percentile : 3 (Highest Risk: 1; Lowest Risk: 100)
Financial Stress Score : 1364 (Highest Risk: 1,001, Lowest Risk: 1,875)
Average Risk of Severe Financial Stress for Businesses in D&B database: 0.48 % (48 per 10,000)

The Financial Stress Class of this business is based on the following factors:

High proportion of slow payment experiences to total number of payment experiences.
Low proportion of satisfactory payment experiences to total payment experiences.
UCC Filings reported.
High number of inquiries to D & B over last 12 months.

Notes:

The Financial Stress Class indicates that this firm shares some of the same business and financial characteristics of other companies with this classification. It does not mean the firm will necessarily experience financial stress.
The Probability of Failure shows the percentage of firms in a given Class that discontinued operations over the past year with loss to creditors. The Probability of Failure - National Average represents the national failure rate and is provided for comparative purposes.
The Financial Stress National Percentile reflects the relative ranking of a company among all scorable companies in D&B's file.
The Financial Stress Score offers a more precise measure of the level of risk than the Class and Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.

| Norms | National % |
|----------------------------|------------|
| This Business | 3 |
| Region: WEST SOUTH CENTRAL | 44 |
| Industry: INFRASTRUCTURE | 43 |
| Employee range: 100-499 | 75 |
| Years in Business: 11-25 | 68 |

This Business has a Financial Stress Percentile that shows:

Higher risk than other companies in the same region.

Higher risk than other companies in the same industry.

Higher risk than other companies in the same employee size range.

Higher risk than other companies with a comparable number of years in business.

Credit Score Summary

The Commercial Credit Score (CCS) predicts the likelihood of a business paying its bills in a severely delinquent manner (91 days or more past terms).

The Credit Score class of 3 for this company shows that 5.8% of firms with this class paid one or more bills severely delinquent, which is lower than the average of businesses in D & B's database.

Credit Score Class : 3  Lowest Risk:1; Highest Risk :5

Incidence of Delinquent Payment

Among Companies with this Classification: 5.80 %
Average compared to businesses in D&B's database: 10.20 %
Credit Score Percentile : 54 (Highest Risk: 1; Lowest Risk: 100)
Credit Score : 503 (Highest Risk: 101; Lowest Risk:670)

The Credit Score Class of this business is based on the following factors:

Evidence of negative trade
Proportion of satisfactory balances to total payment balances reported
Payment information indicates negative payment comments
Proportion of slow payment experiences to total number of payment experiences reported

Notes:

The Commercial Credit Score Risk Class indicates that this firm shares some of the same business and financial characteristics of other companies with this classification. It does not mean the firm will necessarily experience severe delinquency.
The Incidence of Delinquent Payment is the percentage of companies with this classification that were reported 91 days past due or more by creditors. The calculation of this value is based on D&B's trade payment database.
The Commercial Credit Score percentile reflects the relative ranking of a firm among all scorable companies in D&B's file.
The Commercial Credit Score offers a more precise measure of the level of risk than the Risk Class and Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.

| Norms | National % |
|----------------------------|------------|
| This Business | 54 |
| Region: WEST SOUTH CENTRAL | 52 |

| | |
|--------------------------|----|
| Industry: INFRASTRUCTURE | 32 |
| Employee range: 100-499 | 89 |
| Years in Business: 11-25 | 66 |

This business has a Credit Score Percentile that shows:


Lower risk than other companies in the same region.

Lower risk than other companies in the same industry.

Higher risk than other companies in the same employee size range.

Higher risk than other companies with a comparable number of years in business.

Trade Payments

Currency: Shown in USD unless otherwise indicated 

D&B PAYDEX®

The D&B PAYDEX is a unique, weighted indicator of payment performance based on payment experiences as reported to D&B by trade references. Learn more about the D&B PAYDEX.

Timeliness of historical payments for this company.

| | | |
|----------------------------|----|---|
| Current PAYDEX is | 50 | Equal to 30 days beyond terms (Pays more slowly than the average for its industry of 8 days beyond terms) |
| Industry Median is | 75 | Equal to 8 days beyond terms |
| Payment Trend currently is | ↔ | Unchanged, compared to payments three months ago |

Indications of slowness can be the result of dispute over merchandise, skipped invoices etc. Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

| | |
|---|-----------|
| Total payment Experiences in D&Bs File (HQ) | 13 |
| Payments Within Terms (not weighted) | 65 % |
| Trade Experiences with Slow or Negative Payments(%) | 23.08% |
| Total Placed For Collection | 0 |
| High Credit Average | 173,675 |
| Largest High Credit | 1,000,000 |
| Highest Now Owling | 1,000,000 |
| Highest Past Due | 1,000,000 |

D&B PAYDEX® : 50  (Lowest Risk:100; Highest Risk:1)

When weighted by amount, payments to suppliers average 30 days beyond terms

D&B PAYDEX® Comparison

Current Year

PAYDEX® of this Business compared to the Primary Industry from each of the last four quarters. The Primary Industry is Natural gas transmission, based on SIC code 4922.

Shows the trend in D&B PAYDEX scoring over the past 12 months.

| | | | | | | | | | | | |
|------|------|------|------|-------|-------|-------|------|------|------|------|------|
| 6/15 | 7/15 | 8/15 | 9/15 | 10/15 | 11/15 | 12/15 | 1/16 | 2/16 | 3/16 | 4/16 | 5/16 |
|------|------|------|------|-------|-------|-------|------|------|------|------|------|

| | | | | | | | | | | | | |
|---------------------------|----|----|----|----|----|----|----|----|----|----|----|----|
| This Business | 56 | 56 | 50 | 50 | 50 | 50 | 50 | 50 | 50 | 50 | 50 | 50 |
| Industry Quartiles | | | | | | | | | | | | |
| Upper | 78 | | | 78 | | | 78 | | | 78 | | |
| Median | 75 | | | 75 | | | 75 | | | 75 | | |
| Lower | 68 | | | 69 | | | 69 | | | 69 | | |

Current PAYDEX for this Business is 50 , or equal to 30 days beyond terms
The 12-month high is 56 , or equal to 26 DAYS BEYOND terms
The 12-month low is 50 , or equal to 30 DAYS BEYOND terms

Previous Year

Shows PAYDEX of this Business compared to the Primary Industry from each of the last four quarters. The Primary Industry is Natural gas transmission , based on SIC code 4922 .

| Previous Year | 06/14 Q2'14 | 09/14 Q3'14 | 12/14 Q4'14 | 03/15 Q1'15 |
|---------------------------|------------------------|------------------------|------------------------|------------------------|
| This Business | 54 | 79 | 56 | 56 |
| Industry Quartiles | | | | |
| Upper | 78 | 78 | 78 | 78 |
| Median | 74 | 75 | 74 | 75 |
| Lower | 69 | 69 | 68 | 69 |

Based on payments collected over the last 4 quarters.

Current PAYDEX for this Business is 50 , or equal to 30 days beyond terms
The present industry median Score is 75 , or equal to 8 days beyond terms
Industry upper quartile represents the performance of the payers in the 75th percentile
Industry lower quartile represents the performance of the payers in the 25th percentile

Payment Habits

For all payment experiences within a given amount of credit extended, shows the percent that this Business paid within terms. Provides number of experiences to calculate the percentage, and the total credit value of the credit extended.

| \$ Credit Extended | # Payment Experiences | Total Amount | % of Payments Within Terms |
|---------------------------|------------------------------|---------------------|-----------------------------------|
| Over 100,000 | 1 | 1,000,000 | 0% |
| 50,000-100,000 | 0 | 0 | 0% |
| 15,000-49,999 | 2 | 40,000 | 75% |
| 5,000-14,999 | 0 | 0 | 0% |
| 1,000-4,999 | 2 | 2,000 | 100% |
| Under 1,000 | 1 | 50 | 100% |

Based on payments collected over last 24 months.

All Payment experiences reflect how bills are paid in relation to the terms granted. In some instances, payment beyond terms can be the result of disputes over merchandise, skipped invoices etc

Payment Summary

There are 13 payment experience(s) in D&Bs file for the most recent 24 months, with 2 experience(s) reported during the last three month period.

The highest Now Owes on file is 1,000,000 . The highest Past Due on file is 1,000,000

Below is an overview of the company's currency-weighted payments, segmented by its suppliers primary industries:


| | Total Rev'd (#) | Total Amts | Largest High Credit Within Terms (%) | Days Slow <31 31-60 61-90 90> (%) (%) (%) (%) | | | | |
|--------------------------|-----------------------|---------------|--|---|-----|---|---|----|
| Top Industries | | | | | | | | |
| Electric services | 1 | 1,000,000 | 1,000,000 | 0 | 100 | 0 | 0 | 0 |
| Railroad | 1 | 20,000 | 20,000 | 100 | 0 | 0 | 0 | 0 |
| Whol petroleum prdts | 1 | 20,000 | 20,000 | 50 | 0 | 0 | 0 | 50 |
| Arrange cargo transpt | 1 | 1,000 | 1,000 | 100 | 0 | 0 | 0 | 0 |
| Public finance | 1 | 1,000 | 1,000 | 100 | 0 | 0 | 0 | 0 |
| Misc business service | 1 | 50 | 50 | 100 | 0 | 0 | 0 | 0 |
| Other payment categories | | | | | | | | |
| Cash experiences | 5 | 850 | 500 | | | | | |
| Payment record unknown | 0 | 0 | 0 | | | | | |
| Unfavorable comments | 1 | 1,000 | 1,000 | | | | | |
| Placed for collections | 0 | N/A | 0 | | | | | |
| Total in D&B's file | 13 | 1,043,900 | 1,000,000 | | | | | |

Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

Indications of slowness can be result of dispute over merchandise, skipped invoices etc.

Detailed payment history for this company

| Date Reported (mm/yy) | Paying Record | High Credit | Now Owes | Past Due | Selling Terms | Last Sale Within (month) |
|-----------------------------|-----------------------|-------------|-----------|-----------|------------------|--------------------------------|
| 04/16 | Ppt | 1,000 | 0 | 0 | | 2-3 mos |
| | Ppt | 50 | 50 | 0 | | 1 mo |
| 09/15 | (003) Cash own option | 500 | | | Cash account | 1 mo |
| 08/15 | Ppt-Slow 150 | 20,000 | 10,000 | 10,000 | N30 | 2-3 mos |
| 07/15 | Ppt | 20,000 | 0 | 0 | | 6-12 mos |
| | (006) | 100 | | | Cash account | 1 mo |
| | (007) | 100 | | | Cash account | 4-5 mos |
| | (008) | 50 | | | Cash account | 2-3 mos |
| 04/15 | (009) | 50 | | | Cash account | 1 mo |
| | (010) | 50 | | | Cash account | 1 mo |
| 09/14 | Slow 30 | 1,000,000 | 1,000,000 | 1,000,000 | | |
| 08/14 | (012) Unsatisfactory | 1,000 | 50 | 50 | | 1 mo |
| 07/14 | Ppt | 1,000 | | | | 6-12 mos |

Payments Detail Key:  30 or more days beyond terms

Payment experiences reflect how bills are paid in relation to the terms granted. In some instances payment beyond terms can be the result of disputes over merchandise, skipped invoices, etc. Each experience shown is from a separate supplier. Updated trade experiences replace those previously reported.

Public Filings

Summary

The following data includes both open and closed filings found in D&B's database on this company.

| Record Type | # of Records | Most Recent Filing Date |
|------------------------|--------------|-------------------------|
| Bankruptcy Proceedings | 0 | - |
| Judgments | 0 | - |
| Liens | 0 | - |
| Suits | 0 | - |
| UCCs | 14 | 09/28/15 |

The following Public Filing data is for information purposes only and is not the official record. Certified copies can only be obtained from the official source.

UCC Filings

Collateral All Assets
 Type Original
 Sec. Party SOCIETE GENERALE, AS AGENT, NEW YORK, NY
 Debtor SPARK ENERGY GAS, LLC
 Filing No. 140024625044
 Filed With SECRETARY OF STATE/UCC DIVISION, AUSTIN, TX

Date Filed 2014-08-01
 Latest Info Received 08/05/14

Type Amendment
 Sec. Party SOCIETE GENERALE, AS AGENT, NEW YORK, NY
 Debtor SPARK ENERGY GAS, LLC
 Filing No. 1500311598
 Filed With SECRETARY OF STATE/UCC DIVISION, AUSTIN, TX

Date Filed 2015-09-28
 Latest Info Received 10/06/15
 Original UCC Filed Date 2014-08-01
 Original Filing No. 140024625044

Collateral Negotiable Instruments and proceeds - Accounts receivable and proceeds - Account(s) and proceeds - General intangibles(s) and proceeds - Contract rights and proceeds

Type Original
 Sec. Party KEYSPAN GAS EAST CORPORATION D/B/A NATIONAL GRID, HICKSVILLE, NY
 Debtor SPARK ENERGY GAS, LLC
 Filing No. 150016021612
 Filed With SECRETARY OF STATE/UCC DIVISION, AUSTIN, TX

Date Filed 2015-05-20
 Latest Info Received 06/02/15

Collateral Negotiable Instruments and proceeds - Accounts receivable and proceeds - Account(s) and proceeds -

General intangibles(s) and proceeds - Contract rights and proceeds
Type Original
Sec. Party THE BROOKLYN UNION GAS COMPANY D/B/A NATIONAL GRID NY, BROOKLYN, NY
Debtor SPARK ENERGY GAS, LLC
Filing No. 150016021359
Filed With SECRETARY OF STATE/UCC DIVISION, AUSTIN, TX

Date Filed 2015-05-20
Latest Info Received 06/02/15

Collateral Accounts receivable
Type Amendment
Sec. Party FORTIS CAPITAL CORP., ADDISON, TX
Debtor SPARK ENERGY, L.P. and OTHERS
Filing No. 0700172640
Filed With SECRETARY OF STATE/UCC DIVISION, AUSTIN, TX

Date Filed 2007-05-22
Latest Info Received 06/04/07
Original UCC Filed Date 2003-08-18
Original Filing No. 030038237753

Collateral Account(s) and proceeds - General intangibles(s) and proceeds - Contract rights and proceeds
Type Original
Sec. Party KEYSpan GAS EAST CORPORATION D/B/A NATIONAL GRID, HICKSVILLE, NY
Debtor SPARK ENERGY GAS, L.P.
Filing No. 090023891032
Filed With SECRETARY OF STATE/UCC DIVISION, AUSTIN, TX

Date Filed 2009-08-26
Latest Info Received 09/03/09

Collateral Account(s) and proceeds - General intangibles(s) and proceeds - Contract rights and proceeds
Type Original
Sec. Party THE BROOKLYN UNION GAS COMPANY D/B/A NATIONAL GRID NY, BROOKLYN, NY
Debtor SPARK ENERGY GAS, L.P.
Filing No. 090023883407
Filed With SECRETARY OF STATE/UCC DIVISION, AUSTIN, TX

Date Filed 2009-08-26
Latest Info Received 09/03/09

Collateral RIGHT, TITLE AND INTEREST and proceeds
Type Original
Sec. Party COLUMBIA GAS OF OHIO, INC., COLUMBUS, OH
Debtor SPARK ENERGY GAS, LP
Filing No. 120031424522
Filed With SECRETARY OF STATE/UCC DIVISION, AUSTIN, TX

Date Filed 2012-10-03
Latest Info Received 10/09/12

Collateral RIGHT, TITLE AND INTEREST and proceeds
Type Original
Sec. Party NORTHERN INDIANA PUBLIC SERVICE COMPANY, MERRILLVILLE, IN
Debtor SPARK ENERGY GAS, L.P.
Filing No. 100016943044
Filed With SECRETARY OF STATE/UCC DIVISION, AUSTIN, TX

Date Filed 2010-08-11
Latest Info Received 06/22/10

Type Continuation
Sec. Party NORTHERN INDIANA PUBLIC SERVICE COMPANY, MERRILLVILLE, IN
Debtor SPARK ENERGY GAS, L.P.
Filing No. 1500157838
Filed With SECRETARY OF STATE/UCC DIVISION, AUSTIN, TX

Date Filed 2015-05-20
Latest Info Received 05/21/15
Original UCC Filed Date 2010-06-11
Original Filing No. 100016943044


Type Amendment
Sec. Party BNP PARIBAS, AS AGENT, NEW YORK, NY FORTIS CAPITAL CORP., ADDISON, TX FORTIS CAPITAL CORP., DALLAS, TX FORTIS CAPITAL CORP., ADDISON, TX
Debtor SPARK ENERGY GAS, LP and OTHERS
Filing No. 1100069317
Filed With SECRETARY OF STATE/UCC DIVISION, AUSTIN, TX

Date Filed 2011-03-08
Latest Info Received 03/15/11
Original UCC Filed Date 2001-12-10
Original Filing No. 020012099033

Type Original
Sec. Party NOBLE SYSTEMS CORPORATION, ATLANTA, GA
Debtor SPARK ENERGY GAS
Filing No. 080040389426
Filed With SECRETARY OF STATE/UCC DIVISION, AUSTIN, TX

Date Filed 2008-12-22
Latest Info Received 04/09/09

History & Operations

Currency: Shown in USD unless otherwise indicated 

Company Overview

| | |
|----------------------------|---|
| Company Name: | SPARK ENERGY GAS, LLC |
| Doing Business As : | (SUBSIDIARY OF SPARK ENERGY, INC., HOUSTON, TX) , SPARK ENERGY |
| Street Address: | 12140 Wickchester Ln Ste 100 Houston , TX 77079 |
| Phone: | 877 547-7275 |
| Fax: | 713-977-7850 |
| URL: | http://www.urslp.com |
| History | Is clear |
| Present management control | 15 years |

History

The following information was reported: 10/01/2015

| | |
|-------------|--|
| Officer(s): | WILLIAM KEITH MAXWELL III, CEO NATHAN KROEKER, PRES TERRY D JONES, EXEC V PRES-GEN COUNSEL ALLISON WALL, COO GEORGANNE HODGES, CFO MARTY BREDEHOFT, TREAS |
|-------------|--|

DIRECTOR(S) : THE OFFICER(S)

The Texas Secretary of State's business registrations file showed that Spark Energy Gas, LLC was registered as a Limited Liability Company on May 21, 2014 under the file registration number 0801995548.

Business started 2001 by William Keith Maxwell III. 100% of capital stock is owned by the parent company.

While this company is a Limited Liability Company, the members have elected to use officer titles to denote the area of responsibility.

WILLIAM KEITH MAXWELL III. 2001-present active here.

NATHAN KROEKER born 1974. 2010-present active here.

TERRY D JONES. Antecedents not available.

ALLISON WALL. 2013-present active here.

GEORGANNE HODGES. 2013-present active here.

MARTY BREDEHOFT. 2011-present active here.

Business address has changed from 2105 Citywest Blvd Ste 100, Houston, TX, 77042 to 12140 Wickchester Ln Ste 100, Houston, TX, 77079.

AFFILIATES :

The following are related through common principals, management and/or ownership. Spark Energy, LLC, Houston, TX. DUNS 37597437.
Spark Energy Ventures, LLC. Houston, TX. DUNS 827735304.

Spark Energy Holdings, LLC. Houston , TX. DUNS 69198468.

Business Registration

CORPORATE AND BUSINESS REGISTRATIONS REPORTED BY THE SECRETARY OF STATE OR OTHER OFFICIAL SOURCE AS OF
May 18 2016

Registered Name: SPARK ENERGY GAS, LLC

Business type: DOMESTIC LIMITED LIABILITY CO
State of incorporation: TEXAS
Filing date: May 21 2014
Registration ID: 0801995548
Duration: PERPETUAL
Status: IN EXISTENCE
Where filed: SECRETARY OF STATE , AUSTIN , TX
Registered agent: CORPORATION SERVICE COMPANY DBA CSC - LAWYERS
INCORPORATING SERVICE COMPANY , 211 E. 7TH STREET, SUITE 620 ,
AUSTIN , TX , 787010000
Principals: GIL MELMAN VICE PRESIDENT 2105 CITYWEST BLVD., SUITE 100
HOUSTON TX 770420000
GIL MELMAN GENERAL COUNSEL 2105 CITYWEST BLVD., SUITE 100
HOUSTON TX 770420000

Operations

10/01/2015

Subsidiary of Spark Energy, Inc., Houston, TX.

As noted, this company is a subsidiary of Spark Energy, Inc. Duns# 07-941-7907 , and reference is made to that report for background information on the parent and its management.

Description: Provides natural gas transmission (100%).
ADDITIONAL TELEPHONE NUMBER(S) Toll-Free 877 374-8007.
Has 100 account(s). Terms are Net 30 days. Sells to general public and commercial concerns. Territory : United States.
Nonseasonal.
Employees: 100.
Facilities: Rents premises on 1st & 2nd floor of a nine story steel building.
Location: Central business section on main street.

SIC & NAICS

SIC:

Based on information in our file, D&B has assigned this company an extended 8-digit SIC. D&B's use of 8-digit SICs enables us to be more specific about a company's operations than if we use the standard 4-digit code.

The 4-digit SIC numbers link to the description on the Occupational Safety & Health Administration (OSHA) Web site. Links open in a new browser window.

4922 0000 Natural gas transmission

NAICS:

486210 Pipeline Transportation of Natural Gas

Financials

Company Financials: D&B

Additional Financial Data

As of October 1, 2015, attempts to contact the management of this business have been unsuccessful. Outside sources confirmed operation and location.

Request Financial Statements

Requested financials are provided by SPARK ENERGY GAS, LLC and are not DUNSRight certified.

Key Business Ratios

D & B has been unable to obtain sufficient financial information from this company to calculate business ratios. Our check of additional outside sources also found no information available on its financial performance.

To help you in this instance, ratios for other firms in the same industry are provided below to support your analysis of this business.

Based on this Number of Establishments

16

| | Industry Norms Based On 16 Establishments | | |
|-----------------------------------|---|-----------------|-------------------|
| | This Business | Industry Median | Industry Quartile |
| Profitability | | | |
| Return on Sales % | UN | 4.4 | UN |
| Return on Net Worth % | UN | 4.3 | UN |
| Short-Term Solvency | | | |
| Current Ratio | UN | 1.0 | UN |
| Quick Ratio | UN | 0.4 | UN |
| Efficiency | | | |
| Assets to Sales % | UN | 228.1 | UN |
| Sales / Net Working Capital | UN | 59.4 | UN |
| Utilization | | | |
| Total Liabilities / Net Worth (%) | UN | 137.6 | UN |

UN = Unavailable

Detailed Trade Risk Insight™

Detailed Trade Risk Insight provides detailed updates on over 1.5 billion commercial trade experiences collected from more than 260 million unique supplier/purchaser relationships.

Days Beyond Terms - Past 3 & 12 Months

3 months from Aug 15 to Oct 15

123
Days

Dollar-weighted average of 3 payment experiences reported from 3 companies

12 months from Jun 15 to May 16

27
Days

Dollar-weighted average of 3 payment experiences reported from 3 companies

Derogatory Events Last 13 Months from May 15 to May 16

No Derogatory trade Event has been reported on this company for the past 13 Months

Total Amount Current and Past Due - 13 month trend from May 15 to May 16

Status May-15 Jun-15 Jul-15 Aug-15 Sep-15 Oct-15 Nov-15 Dec-15 Jan-16 Feb-16 Mar-16 Apr-16 May-16

| | | | | | | | | | | | | | |
|------------------------|--------|--------|--------|--------|--------|--------|--------|-------|-------|-----|-----|-----|-----|
| Total | 10,662 | 10,708 | 11,476 | 11,522 | 11,518 | 11,517 | 11,759 | 1,153 | 1,155 | 932 | 932 | 932 | 887 |
| Current | 1,631 | 56 | 921 | 921 | 917 | 916 | 1,158 | 1,153 | 1,155 | 932 | 932 | 932 | 887 |
| 1-30 Days Past Due | 25 | 1,621 | 42 | 46 | 46 | 46 | 46 | - | - | - | - | - | - |
| 31-60 Days Past Due | 8,997 | 164 | 1,621 | 42 | 42 | 42 | 42 | - | - | - | - | - | - |
| 61-90 Days Past Due | 9 | 8,858 | 25 | 1,621 | 1,621 | 1,621 | 1,621 | - | - | - | - | - | - |
| 90+ Days Past Due | - | 9 | 8,867 | 8,892 | 8,892 | 8,892 | 8,892 | - | - | - | - | - | - |

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Exhibit C-7: "Credit Report"

See Exhibit C-6: Credit Rating



Exhibit C-8: "Bankruptcy Information"

Neither Spark Energy Gas, LLC, its parent, or any affiliates, have filed for reorganization protection from creditors, or any other form of bankruptcy during the current year or within the two most recent years preceding the date of this application.



Exhibit C-9: "Merger Information"

Acquisition of Entity

On July 8, 2015, Spark Holdco, LLC, parent company of Spark Energy, LLC and Spark Energy Gas, LLC, acquired the capital stock of CenStar from Perry Garber, CenStar Chief Executive Officer, thereby, implementing a change of control.

Oasis Power, LLC and its parent Oasis Power Holdings, LLC (together "Oasis") underwent a change of control in which Spark Energy, LLC and its subsidiaries (collectively, "Spark Energy") and its affiliates acquired all of the equity of Oasis on July 31, 2015.

Exhibit C-10 "Corporate Structure"

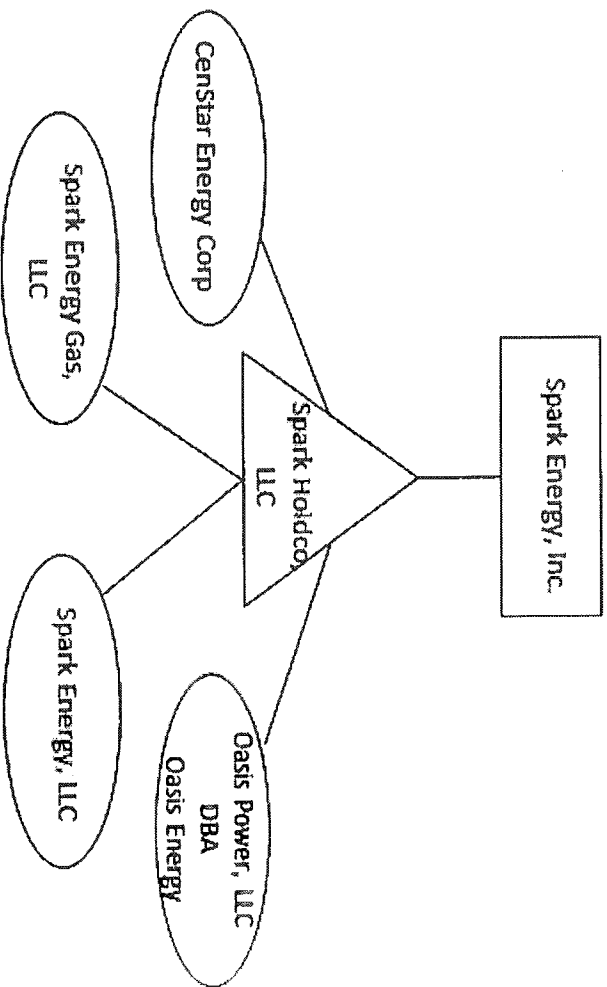




Exhibit D-1: "Operations"

Spark Energy Gas, LLC markets natural gas by entering into contracts for retail sales, and provides gas supplies by contracting with and purchasing gas from wholesale suppliers. Spark also manages the nomination and scheduling process in house for all of its customers. Further details of the level of expertise of Spark Energy Gas, LLC employees and managers can be found in Exhibit D-2 "Operations Expertise" and Exhibit D-3 "Key Technical Personnel".



Exhibit D-2: "Operations Expertise"

NATHAN KROEKER – President – Chief Executive Officer
12140 Wickchester Ln., Suite 100
Houston, TX 77079
Phone: (281) 833-4153

Nathan Kroeker, appointed President of Spark Energy in April 2012, is responsible for overseeing the day-to-day operations and helping shape the overall strategy of the company. Nathan is a 17-year industry veteran with diverse experience in public accounting, M&A, and both retail and wholesale energy.

Nathan first joined the company in July 2010 as Executive Vice President and Chief Financial Officer of Spark Energy Ventures. Prior to Spark, Nathan held senior finance and leadership roles with Macquarie and Direct Energy. He began his career in public accounting, including both audit and M&A advisory functions. Before joining Spark Energy in July 2010, Mr. Kroeker was the Finance SVP for Macquarie Bank's global wholesale energy business. Prior to that, he held several senior Finance & Portfolio Management roles at Direct Energy. Mr. Kroeker began his career in public accounting including both audit and M&A advisory.

Mr. Kroeker graduated with honors with a Bachelors of Commerce degree from the University of Manitoba. He has both a CPA in Texas as well as a CA in Canada.

GIL MELMAN - Vice President and General Counsel
12140 Wickchester Ln., Suite 100
Houston, TX 77079
Phone: (281) 833-4154

Mr. Melman, Vice President, General Counsel, & Corporate Secretary of Spark Energy, is responsible for Spark Energy's legal, risk management, and compliance matters. Prior to joining Spark Energy, Gil served as the General Counsel to Madagascar Oil Limited, an oil and gas exploration and production company. Previously, he has acted as general counsel and in-house counsel to several energy companies as well as a regional private equity fund.

Gil began his career practicing corporate law with the law firm of Vinson & Elkins LLP, where his focus was primarily in corporate securities and mergers and acquisitions primarily in the energy industry.

Gil holds a Bachelor of Business Administration degree in Accounting from the University of Texas at Austin, and a Doctor of Jurisprudence from the University of Texas at Austin School of Law.

JASON GARRETT –Executive Vice President

12140 Wickchester Ln., Suite 100

Houston, TX 77079

Phone: (832) 200-3735

Jason Garrett served as Executive Vice President of Continuum Energy prior to joining Spark Energy Gas, LLC in 2015. Before joining Continuum Energy he served as Senior Vice President and General Manager with Just Energy.

He received his undergraduate degree from the University of New Orleans and an MBA from the University of New Orleans. Mr. Garrett is a 20-year veteran of the finance and energy industry and is a certified six sigma black belt.

Robert Lane –Chief Financial Officer

12140 Wickchester Ln., Suite 100

Houston, TX 77079

Phone: (832) 200-3735

Robert Lane has over two decades of experience in investment banking and corporate finance, including two stints as CFO, most recently for Emerge Energy Services LP. He led Emerge through an IPO, several expansions of its credit facility, and built a finance and accounting team from scratch to help grow company value from \$500 million of enterprise value to over \$3.5 billion of enterprise value at its peak. He brings public company experience, together with a long list of M&A and capital markets transactions, to our team. Mr. Lane is a Houston native, a graduate of Princeton University and earned his MBA at the University of Pennsylvania's Wharton School; he is also both a CPA and a CFA.



Exhibit D-3: "Key Technical Personnel"

NATHAN KROEKER – President – Chief Executive Officer

12140 Wickchester Ln., Suite 100

Houston, TX 77079

Phone: (281) 833-4153

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JASON GARRETT –Executive Vice President

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Houston, TX 77079

Phone: (832) 200-3735

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This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

8/8/2016 1:41:54 PM

in

Case No(s). 08-0638-GA-CRS

Summary: Application Renewal Certification Application Competitive Retail Natural Gas
Suppliers electronically filed by Mr. Stephen M Howard on behalf of Spark Energy Gas, LLC