

FILE

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2016 JUL 15 PM 2:30

PUCO

Legend Energy Advisors

16 East 23rd Street, 5th Floor

New York, NY 10010

PUCO Docketing Division

180 East Broad Street

11th Floor

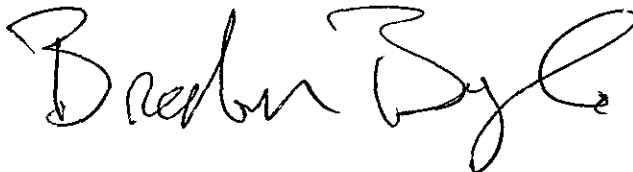
Columbus, OH 43215

To whom it may concern:

Included in this package is the response to Case Number 14-1092-EL-AGG. Legend Energy Advisors is seeking to renew our electric aggregator/broker license with the Public Utilities Commission of Ohio.

Please do not hesitate to reach out to me with any questions or concerns if you find our application lacking in any way.

Thank you in advance,



Brendan Boyle, Director of Account Management

Legend Energy Advisors

P: 646-604-2788

F: 307-316-0406

E: bboyle@legendea.com

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Technician re Date Processed JUL 15 2016

Legend Energy Advisors LLC**Balance Sheet**

Assets		
Current assets	2014	2015
Cash	200,000.00	400,000.00
Investments	-	-
Inventories	-	-
Accounts receivable	500,000.00	1,000,000.00
Pre-paid expenses	-	-
Other	-	-
Total current assets	700,000.00	1,400,000.00
Fixed assets	2014	2015
Property and equipment	50,000.00	100,000.00
Leasehold improvements	-	-
Equity and other investments	-	-
Less accumulated depreciation	-	-
Total fixed assets	50,000.00	100,000.00
Other assets	2014	2015
Goodwill	-	-
Total other assets	-	-
Total assets	750,000.00	1,500,000.00
Liabilities and owner's equity		
Current liabilities	2014	2015
Accounts payable	100,000.00	200,000.00
Accrued wages	150,000.00	300,000.00
Accrued compensation	-	-
Income taxes payable	50,000.00	100,000.00
Unearned revenue	-	-
Other	-	-
Total current liabilities	300,000.00	600,000.00
Long-term liabilities	2014	2015
Mortgage payable	-	-
Total long-term liabilities	-	-
Owner's equity	2014	2015
Investment capital	-	-
Accumulated retained earnings	-	-
Total owner's equity	-	-
Total liabilities and owner's equity	300,000.00	600,000.00
Balance	450,000.00	900,000.00

Legend Energy Advisors LLC**Balance Sheet**

Assets		
Current assets	2014	2015
Cash	150,000.00	200,000.00
Investments	-	-
Inventories	-	-
Accounts receivable	400,000.00	600,000.00
Pre-paid expenses	-	-
Other	-	-
Total current assets	\$550,000.00	\$800,000.00
Fixed assets	2014	2015
Property and equipment	150,000.00	100,000.00
Leasehold improvements	-	-
Equity and other investments	-	-
Less accumulated depreciation	-	-
Total fixed assets	\$150,000.00	\$100,000.00
Intangible assets	2014	2015
Goodwill	-	-
Total intangible assets	-	-
Total assets	700,000.00	900,000.00
Liabilities and owner's equity		
Current liabilities	2014	2015
Accounts payable	200,000.00	200,000.00
Accrued wages	250,000.00	300,000.00
Accrued compensation	-	-
Income taxes payable	100,000.00	150,000.00
Unearned revenue	150,000.00	250,000.00
Other	-	-
Total current liabilities	700,000.00	900,000.00
Long-term liabilities	2014	2015
Mortgage payable	-	-
Total long-term liabilities	-	-
Owner's equity	2014	2015
Investment capital	-	-
Accumulated retained earnings	-	-
Total owner's equity	-	-
Total liabilities and owner's equity	700,000.00	900,000.00

Pro-Forma Income Statement

Legend Energy Advisors LLC

For 2014 through 2015

	2014	2015
REVENUE		
Gross sales	\$216,456	\$413,648
Less sales returns and allowances	0	0
Net Sales	\$216,456	\$616,645
COST OF SALES		
Beginning inventory	\$0	\$0
Plus goods purchased / manufactured	0	0
Total Goods Available	0	0
Less ending inventory	0	0
Total Cost of Goods Sold		
Gross Profit (Loss)	\$216,456	\$616,645
OPERATING EXPENSES		
Selling		
Salaries and wages	\$168,745	\$464,112
Commissions	0	0
Advertising	0	0
Depreciation	0	0
Other	0	0
Total Selling Expenses	\$168,745	\$464,112
General/Administrative		
Salaries and wages	\$0	\$14
Employee benefits	10,000	20,000
Payroll taxes	8,000	16,000
Insurance	2,000	2,000
Rent	5,000	40,000
Utilities	0	0
Depreciation & amortization	0	0
Office supplies	500	1,500
Travel & entertainment	5,000	7,500
Postage	200	500
Equipment maintenance & rental	500	2,000
Interest	0	0
Furniture & equipment	750	5,000
Total General/Administrative Expenses	\$31,950	\$94,514
Total Operating Expenses	\$200,695	\$558,626
Net Income Before Taxes	\$15,761	\$58,019
Taxes on income	5,516	20,307
Net Income After Taxes	\$10,245	\$37,712
Extraordinary gain or loss	\$0	\$0
Income tax on extraordinary gain	0	0
NET INCOME (LOSS)	\$10,245	\$37,712

Exhibit C-6 Credit Rating

Legend Energy Advisors has a DS rating with Dun & Bradstreet. That report is available in Exhibit C-7. Legend Energy Advisors does not have a credit rating with any other credit reporting agency.



Legend Energy Advisors Llc

DUNS: 07-942-0695

Dashboard

Company Info

1712 Pioneer Ave Ste 321
Cheyenne, WY 82001

Phone: (203) 517-7553

Scores

PAYDEX®	Delinquency Predictor		Financial Stress		Supplier Eval. Risk Rating	Credit Limit Rec.	DandB Rating
Score	Score	Class	Score	Class	Rating	Recommendation	Rating
NA	525 ▲	3	1406 ▲	4	7 ▼	\$2.5K	DS

Recent Alerts

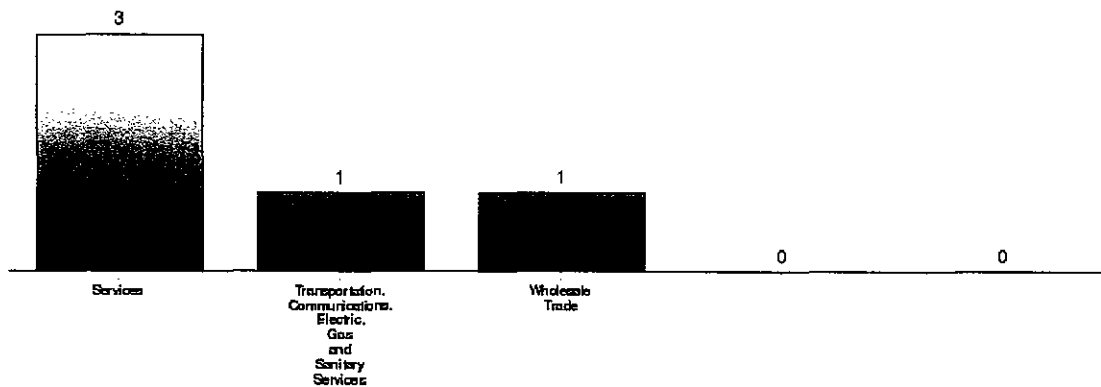
There are currently no alerts issued for this company. If you only recently began monitoring this company, you will not receive your first alerts until score changes or events occur.

Inquiries

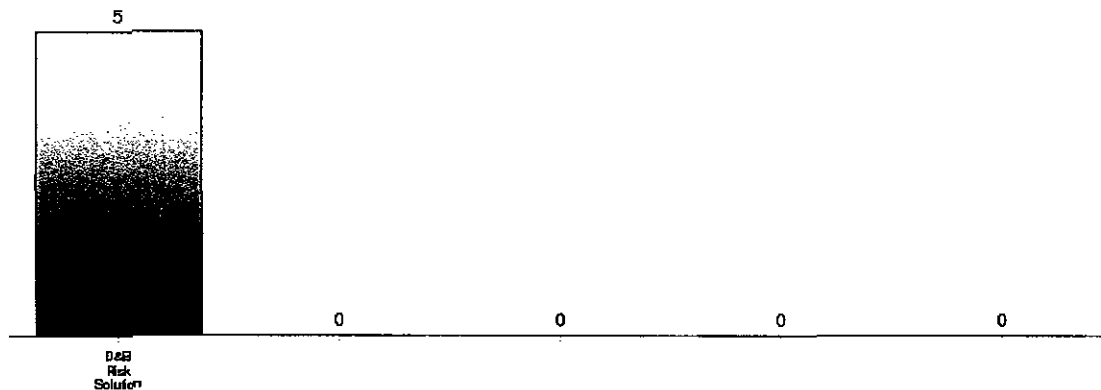
Most Recent

Date	SIC / Sector	Report type
05/08/15	Transportation, Communications, Electric, Gas and Sanitary Services	D&B Risk Solution
05/07/15	Wholesale Trade	D&B Risk Solution
05/05/15	Services	D&B Risk Solution
04/30/15	Services	D&B Risk Solution
04/28/15	Services	D&B Risk Solution

Top 5 Inquiries by SIC / Sector (12 Months)



Top 5 Inquiries by Report Type (12 Months)



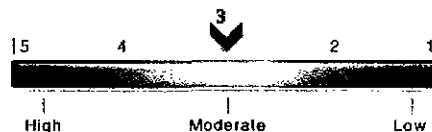
Scores

PAYDEX®

You must have three reported payment experiences, from at least two different vendors, to establish a PAYDEX® score. To ensure all of your payments are reflected in your credit file, add trade references to your report. Visit the Action Center to learn more.

Delinquency Predictor Score

Score	Class	Percentile
525 ▲	3	68%



Moderate risk of severe payment delinquency over next 12 months

Understanding My Score

The D&B Delinquency Predictor (formerly the Commercial Credit Score) predicts the likelihood that a company will pay in a severely delinquent manner (91+ days past term) over the next 12 months, seek legal relief from creditors, or cease operations without paying all creditors in full over the next 12 months based on the information in D&B's database. A severely delinquent firm is defined as a business with at least 10% of its dollars 91+ days slow.

Incidence of Delinquent Payment:

Among Companies with this Classification: **5.80%**

Factors Affecting Your Score:

No payment experiences reported

Limited time under present management control

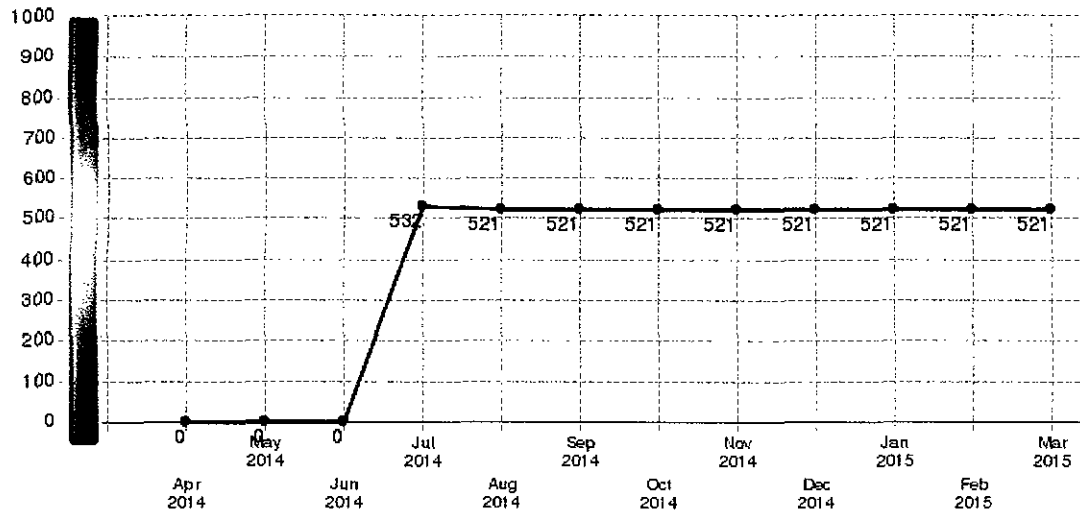
Higher risk industry based on delinquency rates for this industry

Limited business activity signals reported in the past 12 months

Key

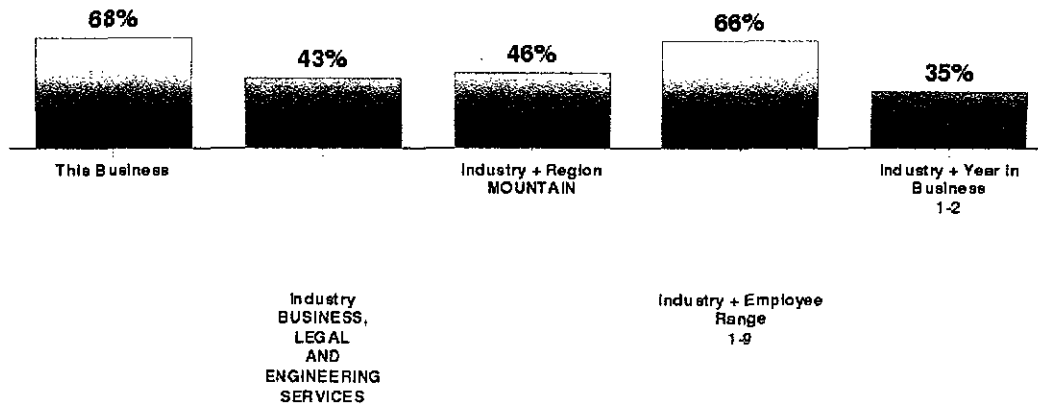
Risk Class	% of Businesses within this Class	Percentile	Score
1	10%	91-100	580-670
2	20%	71-90	530-579
3	40%	31-70	481-529
4	20%	11-30	453-480
5	10%	1-10	101-452

Trends - Scores, 12 Month



• My Company (525)

Industry Comparison



This business has a Credit Score Percentile that shows:

- Lower risk than other companies in the same region.
- Lower risk than other companies in the same industry.
- Lower risk than other companies in the same employee size range.
- Lower risk than other companies with a comparable number of years in business.

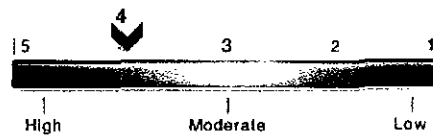
Financial Stress Score

Score

Class

Nat'l %

1406 ▼ 4 12%



Moderate to high risk of severe financial stress, such as a bankruptcy, over the next 12 months

Understanding My Score

Incidence of Financial Stress:

Among Companies with this Classification: **0.84** (84 per 10000)

Factors Affecting Your Score:

No payment experiences.

Limited time under present management control

Higher risk legal structure.

- The Financial Stress Class Summary Model predicts the likelihood of a firm ceasing business without paying all creditors in full, or reorganization or obtaining relief from creditors under state/federal law over the next 12 months. Scores were calculated using a statistically valid model derived from D&B's extensive data files.

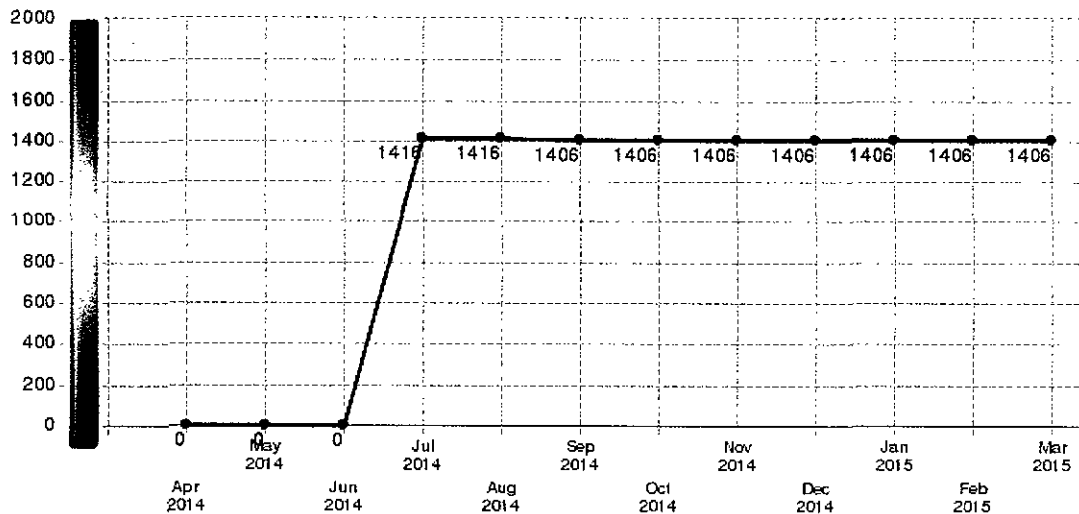
Notes:

- The Financial Stress Class indicates that this firm shares some of the same business and financial characteristics of other companies with this classification. It does not mean the firm will necessarily experience financial stress.
- The Incidence of Financial Stress shows the percentage of firms in a given Class that discontinued operations over the past year with loss to creditors. The Incidence of Financial Stress - National Average represents the national failure rate and is provided for comparative purposes.
- The Financial Stress National Percentile reflects the relative ranking of a company among all scorable companies in D&B's file.
- The Financial Stress Score offers a more precise measure of the level of risk than the Class and Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.
- All Financial Stress Class, Percentile, Score and Incidence statistics are based on sample data from

Key

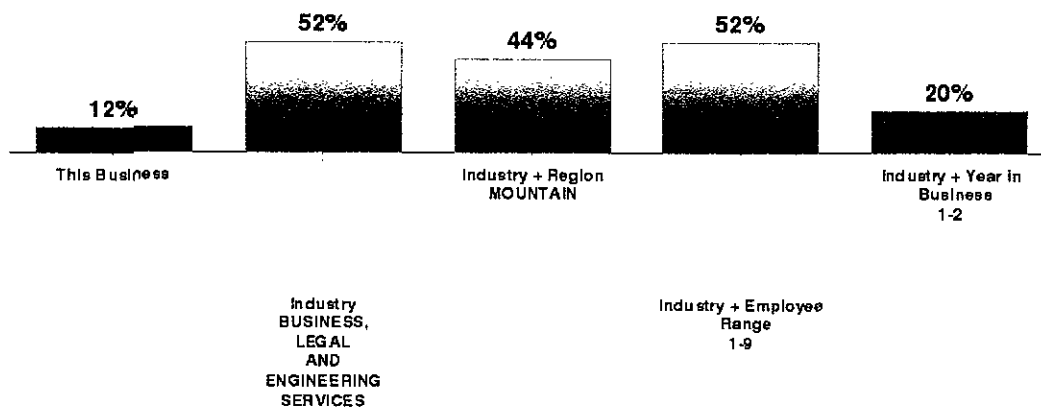
Score	Class	Percentile	Incidence of Financial Stress
1570-1875	1	95-100	6.0%
1510-1569	2	69-94	10.6%
1450-1509	3	34-68	18.4%
1340-1449	4	2-33	31.5%
1001-1339	5	1	70.0%

Trends - Scores, 12 Month



• My Company (1,406)

Industry Comparison

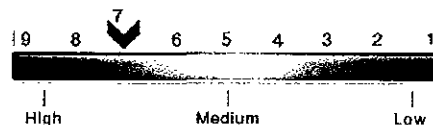


Based on payments collected over the last 4 quarters.

- Higher risk than other companies in the same region.
- Higher risk than other companies in the same industry.
- Higher risk than other companies in the same employee size range.
- Higher risk than other companies with a comparable number of years in business.

Supplier Evaluation Risk Rating

7 ▼



High risk of supplier experiencing severe financial stress over the next 12 months.

Understanding My Score

The Supplier Evaluation Risk (SER) Rating predicts the likelihood that a supplier will cease

business operations or become inactive over the next 12 month period based on the depth of predictive data attributes available on the business. The SER Rating scoring system uses statistical probabilities to classify public and private companies into a 1-9 risk rating, where 1 represents low risk and 9 represents high risk.

Factors Affecting This Company's Score:

No payment experiences reported

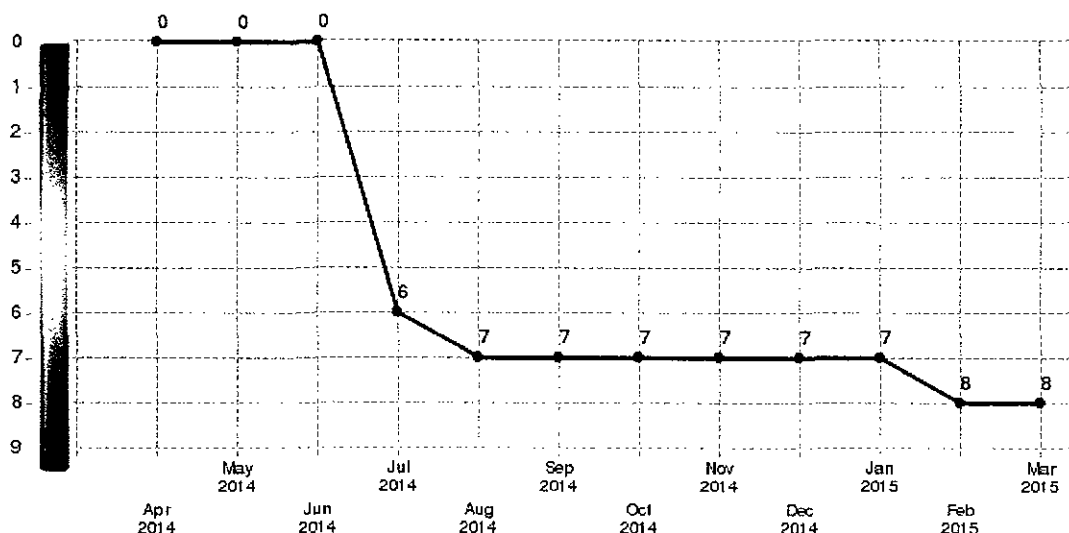
Limited time in business

Higher risk region based on inactive rate for this region

Limited business activity signals reported in the past 12 months

Financial Statements not reported

Trends



• My Company (7)

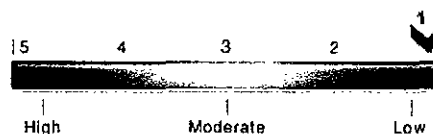
Credit Limit Recommendation

Risk Category
1

Conservative Credit Limit
\$2.5k

Aggressive Credit Limit
\$10k

Low



Understanding My Score

D&B's Credit Limit Recommendation is intended to help you more easily manage your credit decisions. It provides two recommended dollar guidelines:

A conservative limit, which suggests a dollar benchmark if your policy is to extend less credit to minimize risk.

An aggressive limit, which suggests a dollar benchmark if your policy is to extend more credit with potentially more risk.

The dollar guideline amounts are based on a historical analysis of credit demand of customers in D&B's U.S. payments database which have a similar profile to your business.

Rating
DS

Understanding My Score

Factors Affecting Your Score

of Employees Total: 1

Note: The Worth amount in this section may have been adjusted by D&B to reflect typical deductions, such as certain intangible assets.

Inquiries

12 Month Summary

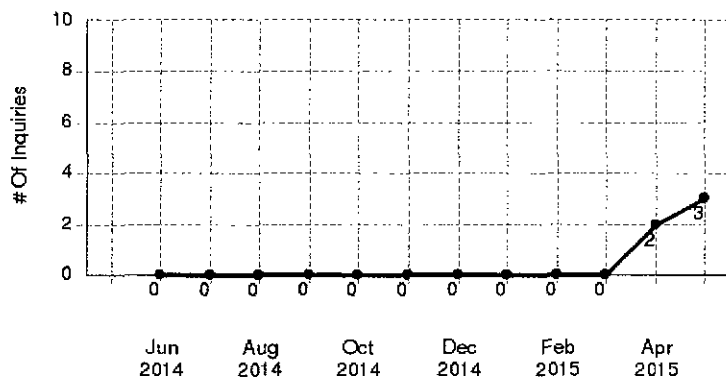
Over the past 12 months ending 5-2015, 5 individual requests for information on your company were received; this represents a 100.00% increase over the prior 12 month period. The 5 inquiries were made by 3 unique customers indicating that some companies have inquired on your business multiple times and may be monitoring you. Of the total products purchased, 3, or 60.00% came from the Services sector; 1, or 20.00% came from the Transportation, Communications, Electric, Gas and Sanitary Services sector; 1, or 20.00% came from the Wholesale Trade sector.

12 Month Total# Inquiries: 5

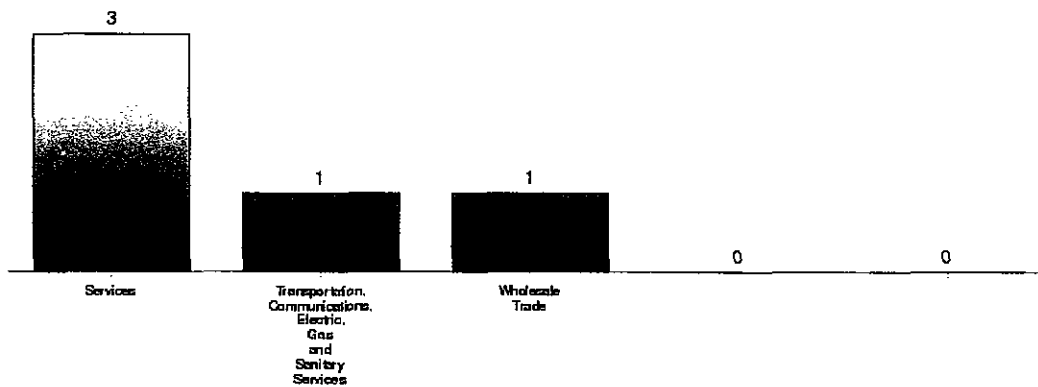
12 Month Unique Customers:3

Date ▼	Report type	SIC / Sector
05/08/15	D&B Risk Solution	Transportation, Communications, Electric, Gas and Sanitary Services
05/07/15	D&B Risk Solution	Wholesale Trade
05/05/15	D&B Risk Solution	Services
04/30/15	D&B Risk Solution	Services
04/28/15	D&B Risk Solution	Services

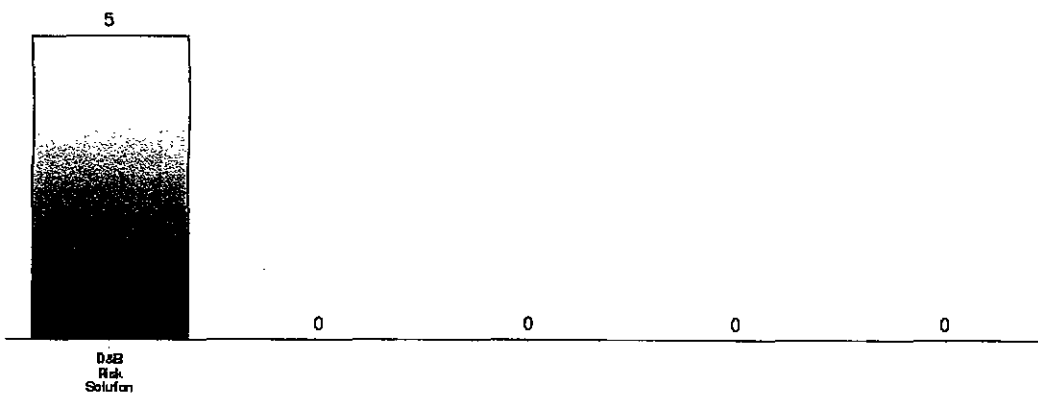
Trends - 12 Month



Top 5 Inquiries by Report
Type (12 Months)



Top 5 Report Types
Graph(12 Months)



All Inquiries by Industry and SIC / Sector

SIC/Sector	Jun 2014 to Aug 2014	Sep 2014 to Nov 2014	Dec 2014 to Feb 2015	Mar 2015 to May 2015	Total Inquiries
Services	0	0	0	3	3
Transportation, Communications, Electric, Gas and Sanitary Services	0	0	0	1	1
Wholesale Trade	0	0	0	1	1

Inquiries by Report Type					
Report Type	Jun 2014 to Aug 2014	Sep 2014 to Nov 2014	Dec 2014 to Feb 2015	Mar 2015 to May 2015	Total
D&B Risk Solution	0	0	0	5	5

Payments

Currency: Shown in USD unless otherwise indicated

Payments Summary

We currently don't have enough data to display this section.

Payments Summary by Industry

We currently don't have enough data to display this section.

Payments Beyond Terms

We currently don't have enough data to display this section.

All Payments

We currently don't have enough data to display this section.

Indications of slowness can be the result of disputes over merchandise, skipped invoices, etc. Accounts are sometimes placed in collection even though the existence or amount of debt is disputed.

The public record items contained in this report may have been paid, terminated, vacated or released prior to the date this report was printed.

History & Operations

Currency: Shown in USD unless otherwise indicated

Company Overview

Company Name:	LEGEND ENERGY ADVISORS LLC	URL:	NA
Doing Business As:	LEGEND ENERGY ADVISORS LLC	Stock Symbol:	NA
Street Address:	1712 Pioneer Ave Ste 321 Cheyenne, WY 82001	History:	NA
Phone:	(203) 517-7553	Operations:	NA
		Present Management Control:	NA
		Annual Sales:	NA

Fax:

NA

History

The following information was reported: **08/25/2014**

Officer(s):

DANIEL CROSBY, PRES

This business was registered as a Limited Liability Company in the State of Wyoming on April 23, 2014.

Business started 2014.

DANIEL CROSBY. Antecedents not available.

Business Registration

We currently don't have enough data to display this section.

Operations

08/25/2014

Description:

Provides business consulting services, specializing in energy conservation and lighting. Provides electric services, specializing in electric power broker. Provides Cogeneration of electric power.

Employees: 1 which includes partners.

Facilities: Occupies premises in building.

Location: NA

Branches: NA

Subsidiaries: NA

Subsidiaries: NA

Subsidiaries: NA

Subsidiaries: NA

Subsidiaries: NA

SIC & NAICS

SIC:

Based on information in our file, D&B has assigned this company an extended 8-digit SIC. D&B's use of 8-digit SICs enables us to be more specific to a company's operations than if we use the standard 4-digit code. The 4-digit SIC numbers link to the description on the Occupational Safety & Health Administration (OSHA) Web site. Links open in a new browser window.

8748 9904 Energy conservation consultant

8748 9907 Lighting consultant

4911 9904 Electric power broker

4931 9901 Cogeneration of electric power

4924 0100 Gas power broker

NAICS:

221118 Other Electric Power Generation

221122 Electric Power Distribution

221210 Natural Gas Distribution

541690 Other Scientific and Technical Consulting Services