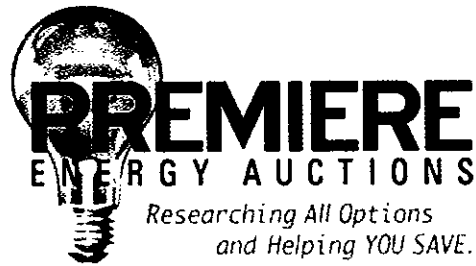


FILE

31



June 14, 2016

Public Utilities Commission of Ohio  
Docketing Division  
180 East Broad Street  
Columbus, OH 43215-3793

RE: CRES Certification Renewal  
Premiere Marketing, LLC  
14-1194-EL-AGG

RECEIVED TO REGISTRY  
2016 JUN 16 AM 11:24  
PUCO

Dear Sir or Madam:

Enclosed, please find one original notarized CRES certificate renewal application and three copies thereof, submitted on behalf of Premiere Marketing, LLC. Please don't hesitate to contact me regarding any aspect of this submission. Thank you for your time and attention to this application.

Best Regards,

Nathan Burroughs  
Director of Compliance  
[nateb@myenergyauction.com](mailto:nateb@myenergyauction.com)  
Phone: 862-210-8770  
Fax: 877-335-7306

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.  
Technician Am Date Processed 6/16/16



Original AGG Case Number	Version
14-1194-EL-AGG	May 2016

## RENEWAL APPLICATION FOR ELECTRIC AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit C-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form.  
You may also download the form, by saving it to your local disk, for later use.

### A. RENEWAL INFORMATION

#### A-1 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name Premiere Marketing, LLC  
 Address 75 Lane Road, Suite 203, Fairfield, NJ 07004  
 PUCO Certificate # and Date Certified 14-858E(1) / August 13, 2014  
 Telephone # (862) 210-8770 Web site address (if any) www.myenregyauction.com

#### A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name Premiere Marketing, LLC  
 Address 75 Lane Road, Suite 203, Fairfield, NJ 07004  
 Telephone # (862) 210-8770 Web site address (if any) www.myenergyauction.com

#### A-3 List all names under which the applicant does business in North America

Premiere Marketing, LLC  
Premiere Energy Auctions

#### A-4 Contact person for regulatory or emergency matters

Name Nathan Burroughs  
 Title Director of Compliance  
 Business address 75 Lane Rd, Suite 203, Fairfield, NJ 07004  
 Telephone # (862) 210-8770 Fax # (877) 335-7306  
 E-mail address nateb@myenergyauction.com

**A-5 Contact person for Commission Staff use in investigating customer complaints**

Name Paul Shagawat  
 Title Managing Partner  
 Business address 75 Lane Rd, Suite 203, Fairfield, NJ 07004  
 Telephone # (862) 210-8770 Fax # (877) 335-7306  
 E-mail address pauls@myenergyauction.com

**A-6 Applicant's address and toll-free number for customer service and complaints**

Customer Service address 75 Lane Road, Suite 203, Fairfield, NJ 07004  
 Toll-free Telephone # (877) 724-3432 Fax # (877) 335-7306  
 E-mail address jamila@myenergyauction.com

**A-7 Applicant's federal employer identification number # 270710325**

**A-8 Applicant's form of ownership (check one)**

- |  |   |
|--|---|
| <input type="checkbox"/> Sole Proprietorship                 | <input type="checkbox"/> Partnership                                |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation                         | <input type="checkbox"/> Other _____                                |

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**A-9 Exhibit A-9 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.**

**B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**B-1 Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.**

**B-2 Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.**

**B-3** **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

**B-4** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

**B-5** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

## **C. FINANCIAL CAPABILITY AND EXPERIENCE**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

**C-1** **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)

**C-2** **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

**C-3 Exhibit C-3 “Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

**C-4 Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant’s financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU’s collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody’s, Standard & Poor’s or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody’s, Standard & Poor’s or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody’s, Standard & Poor’s or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company’s financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

- C-5 Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted income statements for the applicant's **ELECTRIC related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- C-6 Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.
- C-7 Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experion, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.
- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C - 10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

 - Managing Partner  
Signature of Applicant & Title

Sworn and subscribed before me this 14th day of June, 2016  
Year

**MOHAMMILUDDIN AHMED**  
NOTARY PUBLIC OF NEW JERSEY  
ID # 50035094

My Commission Expires 3/24/2021  
Signature of official administering oath

Paul Shagawat, Managing Partner  
Print Name and Title

My commission expires on \_\_\_\_\_

# **AFFIDAVIT**

State of New Jersey :

Fairfield ss.  
(Town)

County of Essex :

Paul Shagawat, Affiant, being duly sworn/affirmed according to law, deposes and says that:

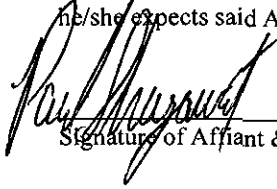
He/She is the managing partner (Office of Affiant) of Premiere Marketing, LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.



- Managing Partner

Signature of Affiant & Title

Sworn and subscribed before me this 14th day of June, 2016  
Month Year

**JAMILUDDIN AHMED**  
**NOTARY PUBLIC OF NEW JERSEY**

**ID # 50035094**  
Signature of official administering oath  
My Commission Expires 3/24/2021

Paul Shagawat - Managing Partner  
Print Name and Title

My commission expires on \_\_\_\_\_



PREMIERE MARKETING, LLC

**Exhibit A-9 "Principal Officers, Directors & Partners"**

provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

Paul Shagawat  
Managing Partner  
336 Big Piece Rd.  
Fairfield, NJ 07004

Dustin Scarpa  
Managing Partner  
440 North Wabash Ave, Apt 706  
Chicago, IL 60611

Nicholas Gerome  
Chief Operating Officer  
15555 N. Park  
Cleveland Heights, OH 44118

Nathan Burroughs  
Director of Compliance  
76 Lane Rd.  
Madison, NJ 07940

Jamil Ahmed  
Manager, Sourcing & Operations  
185 W. 3<sup>rd</sup> St  
Clifton, NJ 07011

PREMIERE MARKETING, LLC

**Exhibit B-1 “Jurisdictions of Operation,”**

provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.

Ohio  
Pennsylvania  
New Jersey  
Maryland  
Washington, DC  
Massachusetts  
Illinois  
Maine

New York  
Connecticut  
Texas

## PREMIERE MARKETING, LLC

### **Exhibit B-2 "Experience & Plans,"**

provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

Since 2009, Premiere Marketing LLC has been working with clients across multiple energy deregulated markets, assisting to determine the best procurement solutions based on each entity's portfolio and organizational goals. Services include ongoing tracking of contract performance, continual market monitoring for procurement opportunities, addressing contractual issues that arise, and supplier dispute resolution.

While PEA provides email and toll-free phone hotlines to receive and respond to customer inquiries and complaints and to provide general customer support, most interaction between PEA customers and staff occurs on direct lines and emails and is often initiated by PEA to ensure that customers are notified in a timely fashion of market trends, hedging opportunities, and important supplier communications.

Our proactive and transparent approach to consultation and brokering services includes provision of analogous language and terms across the supply contracts customers review and ultimately select; full interpretation of any components about which clients are unsure – in addition to full explanation of supply costs, these include all terms and conditions such as term, relevant penalties, supplier service requirements, and proper contacts in the event that clients want to reach the supplier, utility, or consultant.

PREMIERE MARKETING, LLC

**Exhibit B-3 "Disclosure of Liabilities and Investigations,"**

provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

There are no existing or previous judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact PEA's financial or operational status or ability to provide the services that we are certified to provide.

PREMIERE MARKETING, LLC

**Exhibit C-1 “Annual Reports,”**

provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)

PEA is not a publicly traded company and therefore does not produce annual reports to shareholders.

PREMIERE MARKETING, LLC

**Exhibit C-2 “SEC Filings,”**

provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

Applicant is not required to file with the SEC as it is not a public company.

PREMIERE MARKETING, LLC

**Exhibit C-3 “Financial Statements,”**

provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

Please see attached

**FINANCIAL STATEMENTS**  
**OF**  
**PREMIERE MARKETING, LLC**  
**DECEMBER 31, 2014**



**PREMIERE MARKETING, LLC**

**TABLE OF CONTENTS**

**DECEMBER 31, 2014**

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<b><u>FINANCIAL STATEMENTS:</u></b>	
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Statement of Operations	3
Statement of Changes in Shareholder's Equity	4



299 CHERRY HILL RD. • SUITE 203 • PARSIPPANY, NJ 07054  
TEL: (973) 712-5000 • FAX: (973) 712-5011  
WWW.RMGNJ.COM

To the Members  
Premiere Marketing, LLC  
Fairfield, New Jersey

#### INDEPENDENT ACCOUNTANTS' COMPILATION REPORT

We have compiled the accompanying balance sheet of Premiere Marketing, LLC, as of December 31, 2014 and the related statements of operations and changes in members' equity for the year then ended. We have not audited or reviewed the accompanying financial statements and, accordingly, do not express an opinion or provide any assurance about whether the financial statements are in accordance with accounting principles generally accepted in the United States of America.

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America and for designing, implementing, and maintaining internal control relevant to the preparation and fair presentation of the financial statements.

Our responsibility is to conduct the compilation in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. The objective of a compilation is to assist management in presenting financial information in the form of financial statements without undertaking to obtain or provide any assurance that there are no material modifications that should be made to the financial statements. During our compilation, we did become aware of a departure from accounting principles generally accepted in the United States of America that is described in the following paragraph.

A statement of cash flows for the year ended December 31, 2014 has not been presented. Accounting principles generally accepted in the United States of America require that such a statement be presented when financial statements purport to present financial position and results of operations.

Management has elected to omit substantially all of the disclosures required by accounting principles generally accepted in the United States of America. If the omitted disclosures were included in the financial statements, they might influence the user's conclusions about the company's financial position and results of operations. Accordingly, the financial statements are not designed for those who are not informed about such matters.

Respectfully submitted,

*RMG CPA*

RMG CPA, LLC

Parsippany, New Jersey  
May 5, 2016

**PREMIERE MARKETING, LLC****BALANCE SHEET****DECEMBER 31, 2014****ASSETS****CURRENT ASSETS**

Cash and Cash Equivalents	\$	335,261	
Accounts Receivable		372,112	
<b><u>TOTAL CURRENT ASSETS</u></b>			\$ 707,373

**PROPERTY AND EQUIPMENT**

Property and Equipment, Net of Accumulated Depreciation of \$54,636 in 2014			-
--	--	--	---

**OTHER ASSETS**

Security Deposits			5,000
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<b><u>TOTAL ASSETS</u></b>			<b><u>\$ 712,373</u></b>
----------------------------	--	--	--------------------------

**LIABILITIES AND MEMBERS' EQUITY****CURRENT LIABILITIES**

Accounts Payable	\$	4,803	
Deferred Revenue		213,591	
<b><u>TOTAL CURRENT LIABILITIES</u></b>			\$ 218,394

**MEMBERS' EQUITY**

			493,979
--	--	--	---------

<b><u>TOTAL LIABILITIES AND MEMBERS' EQUITY</u></b>			<b><u>\$ 712,373</u></b>
---	--	--	--------------------------

**PREMIERE MARKETING, LLC****STATEMENT OF OPERATIONS****FOR THE YEAR ENDED DECEMBER 31, 2014****REVENUES**

\$ 1,330,879

**DIRECT COSTS**

Outside Sales

378,422**GROSS PROFIT**

952,457

**GENERAL AND ADMINISTRATIVE EXPENSES**

Guaranteed Payments

\$ 250,000

Office Salaries

255,512

Advertising

38,580

Auto and Truck

18,452

Bank Charges

220

Computer and Internet

12,673

Contributions

11,235

Data Processing Fees

1,324

Insurance

3,695

Licenses and Permits

3,232

Meals and Entertainment

19,037

Office

26,374

Payroll Taxes

22,563

Professional Fees

7,150

Rent

71,928

Taxes - Other

100

Telephone

1,335

Travel

13,721**TOTAL GENERAL AND ADMINISTRATIVE EXPENSES**757,131**NET INCOME**\$ 195,326

**PREMIERE MARKETING, LLC**

**STATEMENT OF CHANGES IN MEMBERS' EQUITY**  
**FOR THE YEAR ENDED DECEMBER 31, 2014**

	<u>Members' Equity</u>
<b><u>Balance - January 1, 2014</u></b>	\$ 298,653
<b><u>Net Income For the Year Ended</u></b> <b><u>December 31, 2014</u></b>	<u>195,326</u>
<b><u>Ending Balance - December 31, 2014</u></b>	<u>\$ 493,979</u>

**PREMIERE MARKETING LLC T/A PREMIERE ENERGY AUCTIONS**  
**Balance Sheet**  
As of December 31, 2015

	Dec 31, 15
<b>ASSETS</b>	
<b>Current Assets</b>	
<b>Checking/Savings</b>	
1001 · Cash - PNC Bank #9588	915,590.07
1002 · Cash - PNC Bank #5205	1,670.00
<b>Total Checking/Savings</b>	917,260.07
<b>Accounts Receivable</b>	
1100 · Accounts Receivable	(569,958.55)
<b>Total Accounts Receivable</b>	(569,958.55)
<b>Other Current Assets</b>	
1205 · A/R adjustment	869,604.55
1300 · Advanced Commission	(706,795.39)
<b>Total Other Current Assets</b>	162,809.16
<b>Total Current Assets</b>	510,110.68
<b>Fixed Assets</b>	
1520 · Office Equipment	3,455.43
1530 · Accum Depreciation - Ofc Equip	(3,455.43)
1540 · Computer Equipment	58,180.48
1541 · Accum Depreciation - Cpr Equip	(51,180.48)
<b>Total Fixed Assets</b>	7,000.00
<b>Other Assets</b>	
1600 · Computer Software	110,000.00
1800 · Security Deposits	5,000.00
<b>Total Other Assets</b>	115,000.00
<b>TOTAL ASSETS</b>	<b>632,110.68</b>
<b>LIABILITIES &amp; EQUITY</b>	
<b>Liabilities</b>	
<b>Current Liabilities</b>	
<b>Accounts Payable</b>	
2100 · Accounts Payable	2.00
<b>Total Accounts Payable</b>	2.00
<b>Credit Cards</b>	
2000 · Chase Credit Card	8,645.92
<b>Total Credit Cards</b>	8,645.92
<b>Other Current Liabilities</b>	
2200 · Accrued Expenses	170,000.00
<b>Total Other Current Liabilities</b>	170,000.00
<b>Total Current Liabilities</b>	178,647.92
<b>Total Liabilities</b>	178,647.92
<b>Equity</b>	
3000 · Members' Capital	
3100 · Capital - D Scarpa	
3101 · Capital - D Scarpa	56,325.00
3150 · Drawings - D Scarpa	(65,739.00)
<b>Total 3100 · Capital - D Scarpa</b>	(9,414.00)

**PREMIERE MARKETING LLC T/A PREMIERE ENERGY AUCTIONS**  
**Balance Sheet**  
As of December 31, 2015

---

	Dec 31, 15
3200 · Capital - Paul Shagawat	
3201 · Capital - P Shagawat	65,542.00
3250 · Drawings - P Shagawat	(71,034.00)
	<hr/>
Total 3200 · Capital - Paul Shagawat	(5,492.00)
	<hr/>
Total 3000 · Members' Capital	(14,906.00)
	<hr/>
3900 · Retained Earnings	372,110.22
Net Income	96,258.54
	<hr/>
Total Equity	453,462.76
	<hr/>
<b>TOTAL LIABILITIES &amp; EQUITY</b>	<b>632,110.68</b>
	<hr/>

PREMIERE MARKETING, LLC

**Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

Please see attached bank letter.



PREMIERE MARKETING, LLC



Credit Investigations Department  
8800 Tinicum Boulevard  
Philadelphia, Pa 19153  
(Telephone) 215-749-6199  
(Fax) 215-749-6193  
(Email) PNC Credit Investigations@pnc.com

April 26, 2016

New Jersey Board of Public Utilities  
Attn: Licensing and Registration Unit  
Division of Audits  
44 S Clinton Ave, 9<sup>th</sup> Floor  
PO Box 350  
Trenton, NJ 08625

RE: Premier Energy Auctions  
75 Lane Road  
Fairfield, NJ 07004-1044

Dear Sir/Madam:

The above mentioned customer has asked PNC to provide you with information regarding their relationship with PNC.

The subject has maintained checking accounts with us since July 21, 2010. Twelve month aggregate average balances are \$686,339.00 and the accounts are handled in a satisfactory manner.

We hope the foregoing information has been of some assistance.

Sincerely,

A handwritten signature in cursive script that reads "Lesley Holmes".

Lesley Holmes  
Operations Analyst  
PNC Bank

The information herein is given as a business courtesy in response to your request with the understanding that it will be received in strict confidence and without any responsibility upon this bank, its officers or agents. Such information is provided as of the date set forth above and this bank has no obligation or responsibility to provide updated information. Although obtained from sources believed to be reliable, the accuracy of the information provided herein is not guaranteed.

## PREMIERE MARKETING, LLC

**Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted income statements for the applicant’s ELECTRIC related business activities in the state of Ohio Only, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

**Forecasted Financial Statements  
FY 2016-2017**



Entity	2016 Revenue	2017 Revenue
Premiere Energy Auctions	\$48,306.36	\$27,708.28

## PREMIERE MARKETING, LLC

**Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody’s Investors Service, Standard & Poor’s, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter “N/A” in Exhibit C-6.

N/A

## PREMIERE MARKETING, LLC

**Exhibit C-7 “Credit Report,”** provide a copy of the applicant’s credit report from Experian, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter “N/A” for Exhibit C-7.

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Supplier Evaluation Rating	Fair	04/28/2016
D&B Rating	Fair	06/14/2016
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PREMIERE MARKETING, LLC

**Exhibit C-8 “Bankruptcy Information,”** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

NA

PREMIERE MARKETING, LLC

**Exhibit C-9 “Merger Information,”** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application

NA

PREMIERE MARKETING, LLC

**Exhibit C - 10 “Corporate Structure,”**

provide a description of the applicant’s corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

PEA is a stand-alone entity with no affiliate or subsidiary companies.