



Public Utilities Commission

Original AGG Case Number	Version
12 - 1939 - EL - AGG	May 2016

RENEWAL APPLICATION FOR ELECTRIC AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit C-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

A. RENEWAL INFORMATION

A-1 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name BKE Mechanical, Inc.
 Address 11524 W. 183rd Street, Suite 200, Orland Park, IL 60467
 PUCO Certificate # and Date Certified 12-546E(1) July 28th, 2012
 Telephone # (312) 590-1350 Web site address (if any) WWW. Bkeenergy.com

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name BKE Energy, Inc.
 Address 11524 W. 183rd Street, Suite 200, Orland Park, IL 60467
 Telephone # (312) 590-1350 Web site address (if any) WWW. Bkeenergy.com

A-3 List all names under which the applicant does business in North America

BKE Mechanical, Inc. BKE Energy, Inc.

A-4 Contact person for regulatory or emergency matters

Name John R. Lyons
 Title President
 Business address 11524 W. 183rd Street, Suite 200, Orland Park, IL 60467
 Telephone # (708) 923-9950 Fax # (708) 923-6851
 E-mail address john@bkeenergy.com

PUCO
 2016 JUN -3 AM 11:41
 11:41 AM JUN 3 2016

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
 Technician DMF Date Processed JUN 03 2016

A-5 Contact person for Commission Staff use in investigating customer complaints

Name John R. Lyons
Title President
Business address 11524 W. 183rd Street, Suite 200, Orland Park, IL 60467
Telephone # (708) 923-9950 Fax # (708) 923-6851
E-mail address john@bkeenergy.com

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address 11524 W. 183rd Street, Suite 200, Orland Park, IL 60467
Toll-free Telephone # _____ Fax # (708) 923-6851
E-mail address john@bkeenergy.com

A-7 Applicant's federal employer identification number # 87-0726130

A-8 Applicant's form of ownership (check one)

- | | |
|--|--|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input checked="" type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

A-9 Exhibit A-9 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

B-1 Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.

B-2 Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-4 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

No Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-5 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

No Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

C-3 **Exhibit C-3 “Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

C-4 **Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU’s collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody’s, Standard & Poor’s or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody’s, Standard & Poor’s or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody’s, Standard & Poor’s or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company’s financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

- C-5 **Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted income statements for the applicant’s **ELECTRIC related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- C-6 **Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody’s Investors Service, Standard & Poor’s, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter “N/A” in Exhibit C-6.
- C-7 **Exhibit C-7 “Credit Report,”** provide a copy of the applicant’s credit report from Experian, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter “N/A” for Exhibit C-7.
- C-8 **Exhibit C-8 “Bankruptcy Information,”** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 **Exhibit C-9 “Merger Information,”** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 **Exhibit C - 10 “Corporate Structure,”** provide a description of the applicant’s corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

John R. Ryan PRESIDENT
 Signature of Applicant & Title

Sworn and subscribed before me this 1st day of JUNE, 2016
 Month Year

Laura J. Lyons
 Signature of official administering oath

LAURA J. LYONS
 Print Name and Title

My commission expires on 4-4-2020



AFFIDAVIT

State of IL _____ :

ORLANDO BARK ss.
(Town)

County of COOK _____ :

JOHN R. MOOS, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the PRESIDENT (Office of Affiant) of BKE ENERGY, LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

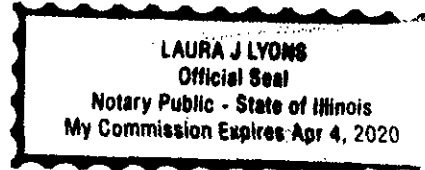
Laura J. Lyons
Signature of Affiant & Title

Sworn and subscribed before me this 1ST day of JUNE, 2016 Year
Month

Laura J. Lyons
Signature of official administering oath

LAURA J. LYONS
Print Name and Title

My commission expires on 4-4-2020



B-1 Jurisdictions of Operation

- Illinois
- Ohio
- Pennsylvania
- Maryland
- New Jersey
- New York

Exhibit B-2 "Experience & Plans"

JOHN R. LYONS

13420 ARLINGTON LANE

ORLAND PARK, IL 60462

HOME OFFICE (708) 923 - 9950

HOME (708) 923 - 6850

CELL (312) 590 - 1350

WORK EXPERIENCE

7/2007 - PRESENT

BKE CONTRACTING, INC.

General Contractor - Founded and operate my own General Contracting business. We handle all types of General Contracting work ranging from commercial office space, industrial facilities, and retail centers. We bring a vast expertise to projects which incorporate extensive MEP usage. Examples of these types of projects include trading floors, data centers, communication and technology facilities. Often these types of projects require back-up electrical generation & fuel storage, large chiller plants and large utility electrical transformation.

6/2004 - 5/2007

BKE MECHANICAL, INC.

Mechanical Consultant - Founded and operate my own consulting business specializing in electrical and mechanical consulting. Largest and most recent project was the redesign and fabrication of a natural gas waste gasification unit and converted it into a totally electric unit. Designed a high temperature air handling system to handle the 1000 degree F. synthesis gases. Selected and sized the 2000 deg F. silicon carbide heating elements, taking into effect, the power factor changes as the heating elements aged, to flare off the synthesis gases for spotless emissions.

7/2000 - 6/2004

AMERIMAR CHICAGO MANAGEMENT, INC.

Operations Manager/ Owner's Representative at 600 West Chicago Ave. - Responsible for the administration, operation and the \$120 million dollars base-building redevelopment. The project goal was to convert a 1.8 million square foot warehouse to a mixed use development of telco, commercial office and retail operations. I was responsible for General Contracting over \$32 million of that budget, saving ownership over \$3 million dollars. Responsibilities included acting as the liaison with multiple City, State and Federal government departments including DCAP, COOT, Landmarks, IDNR, EPA, Army Corp of Engineers, Coast Guard, etc.

1/2000 - 7/2000

AMERIMAR CHICAGO MANAGEMENT, INC.

Chief Engineer at 600 West Chicago Ave. - Responsible for the administration and operation of the High Pressure boiler central plant and office operations including: contract negotiation and administration, energy management, preventative and predictive maintenance, supervision of licensed stationary engineers, continuing education, maintained a close working relationship with all Architects, Engineers and Contractors during tenant construction and completion of punch lists.

1999 - 1991

FIFIELD ASSET MANAGEMENT

Director of Engineering - Responsibilities included performing property evaluations of the mechanical systems and operations of 12 commercial office properties. Organized and implemented computerized maintenance programs. Facilitated numerous training and educational programs. Represented FIFIELD during presentations for third party asset management opportunities.

1986 - 2000

FIFIELD ASSET MANAGEMENT, FCBS, KOLL & C B RICHARD ELLIS

Chief Engineer at 225 W. Washington - Responsible for the administration and operation of the base-building central plant and office operations including: contract negotiation and administration, energy management, preventative and predictive maintenance, supervision of three licensed stationary engineers, continuing education, maintained a close working relationship with all Architects, Engineers and Contractors during tenant construction and completion of punchlists. I was Involved extensively with all contractors prior to the building completion in January 1987.

1989 - 1995

LYONS CONSULTING, INC.

Electrical Utility Auditing Consultant - Founded and operated my own consulting business specializing in electrical utility auditing. Responsible for performing electrical utility audits of commercial buildings including analysis of utility bills and site surveys of electrical service and metering. These audits have also incorporated energy saving operating techniques taught to the maintenance personnel. Obtained over \$4 million dollars in credits for my clients.

1976 - 1986

AMERICAN MEDICAL ASSOCIATION

Chief Engineer - Held the position of Stationary Engineer for the first two years of employment before being promoted to Assistant Chief. The last 5 1/2 years were in the capacity of Chief Engineer. Was responsible for: the 24 hour, 7 day-a-week operation of the high-pressure boiler plant and was Project manager of all construction, operation of steam absorption refrigeration plant, etc.

1973 - 1976

CNA INSURANCE

Boiler Fireman - Responsible for operating and maintaining high pressure boilers and associated equipment. This position was a training program for a stationary engineer. Performed basic maintenance on pumps, chillers, piping, etc.

EDUCATION

- Licensed Stationary Engineer in Chicago
- Licensed Supervising Electrician in Chicago
- Registered Electrical Contractor in Chicago
- Registered General Contractor in Chicago
- Attained numerous certificates : Honeywell Building Automation, electrical systems, air conditioning, pneumatics, Lotus I-2-3 Certified Welder

EXPERTISE

- Energy management
- Contract negotiations & administration
- Construction supervision
- Administrative reporting
- Computerized building automation
- Design and building of residential homes
- Systems troubleshooting
- Computerized fire/life/safety
- HVAC pneumatic and hydronic balancing
- VAV and vane axial fan systems
- State of the Art building systems
- Supervisory skills

HOBBIES AND INTERESTS

- Avid Golfer
- Private Pilot
- Certified Scuba Diver
- Volunteer in church ministries
- Avid equity trader

John R. Lyons is looking to be an agent, broker, and consultant in all deregulated states for natural gas and electricity, with his core business being in Illinois. He is currently working on national accounts across the country in retail and manufacturing. He has worked in sales with Energy Choices, Inc., an extremely large broker and consulting company, for natural gas and electric under the supervision of Craig Schuttenberg for one year. Energy Choices, Inc. has another company that they run their smaller businesses to meet the states guidelines, Economic Energy, Inc.

Exhibit B.3 "Disclosure of Liabilities and Investigations"

None.

Exhibit C-1 "Annual Reports"

Not Applicable. BKE Energy is a privately held corporation, not a publicly held company.

Exhibit C-2 "SEC Filings"

Not applicable. BKE Energy, Inc. is not a publicly traded company, but is privately held.

Exhibit C-3 "Financial Statements"

BKE Energy is a privately held corporation, not a publicly held company. Below is the Profit and Loss Detail for the period the company had opened in 2012 to date. It is verified and signed by John R. Lyons, President of BKE Energy, Inc.

9:17 AM
 05/31/16
 Cash Basis

BKE Energy, Inc.
Profit & Loss
 May 31, 2014 through May 31, 2016

May 31, '14 - May 31, 16

Ordinary Income/Expense	
Income	
Consulting Income	
AEP	23,162.89
Centerpoint	11,904.91
Constellation - Gas	11,860.41
Constellation - electric	40,177.44
Energy.me	327,857.42
Integrus Energy	3,300.00
Mc Squared	6,266.36
Nordic	1,827.96
US&E	9,692.68
Consulting Income - Other	72,000.00
Total Consulting Income	<u>508,050.07</u>
Total Income	508,050.07
Expense	
66000 - Payroll expenses	
66001-employer matching SS/Medi	7,164.54
66002 - commission expenses	89,724.55
66000 - Payroll expenses - Other	141.42
Total 66000 - Payroll expenses	<u>97,030.51</u>
66700 - Professional fees	
67001-accounting fees	1,000.00
67002 - Legal fees	3,698.00
67003 - Payroll service fees	300.00
66700 - Professional fees - Other	98,270.62
Total 66700 - Professional fees	<u>103,268.62</u>
Advertising and Promotion	36,294.89
Automobile Expense	2,715.80
Bank Service Charges	116.34
Charitable Contributions	
Donation to Glen Mary Sisters	1,000.00
Total Charitable Contributions	<u>1,000.00</u>
comm. to Northern Gas & Electri	2,750.00
commission's to Bob Brown	45,986.03
Commissions to Dynamic Energy	18,815.00
Commissions to Moshe Epstein	23,480.77
Commissions to YCD Group	31,140.42
Computer and Internet Expenses	6,037.00
Continuing Education	40,200.00
corp taxes to New York State	409.98
corporate tax for New Jersey	50.00
corporate tax to Maryland	300.00
Insurance Expense	
General Liability Insurance	200.00
Insurance Expense - Other	10,300.00
Total Insurance Expense	<u>10,500.00</u>

*Verified
 6-1-2016
 [Signature]
 President*

9:17 AM
05/31/16
Cash Basis

BKE Energy, Inc.
Profit & Loss
May 31, 2014 through May 31, 2016

*Verified
6-1-2016
Chuck Jones
President*

	May 31, '14 - May 31, 16
license fees	4,500.00
Loan to Bob Brown	21,204.63
Office Supplies	26,879.07
Professional Fees	1,014.96
Reconciliation Discrepancies	-90.75
Rent Expense	3,605.01
Sales commissions to Wade	3,741.00
Secretary of State of RI	50.00
Secretary of State of NH	50.00
Telephone Expense	2,235.08
Travel Expense	7,260.57
Utilities	1,474.08
Total Expense	492,019.01
Net Ordinary Income	16,031.06
Other Income/Expense	
Other Expense	
Ask My Accountant	862.13
Total Other Expense	862.13
Net Other Income	-862.13
Net Income	15,168.93

9:21 AM
05/31/16
Cash Basis

BKE Energy, Inc.
Balance Sheet
As of May 31, 2016

*Verified
6-1-2016
Paul R. [unclear]
President*

	<u>May 31, 16</u>
ASSETS	
Current Assets	
Checking/Savings	
BKE Energy Checking acct	7,358.06
Total Checking/Savings	<u>7,358.06</u>
Other Current Assets	
loan to bke contracting	58,000.00
loan to BKE Energy	-10,000.00
Loan to Joanne and Bill	5,200.00
Total Other Current Assets	<u>53,200.00</u>
Total Current Assets	<u>60,558.06</u>
TOTAL ASSETS	<u><u>60,558.06</u></u>
LIABILITIES & EQUITY	
Liabilities	
Current Liabilities	
Accounts Payable	
Accounts Payable	-5.00
Total Accounts Payable	<u>-5.00</u>
Other Current Liabilities	
Loan from BKE Contracting, Inc.	3,000.00
Personal Loans to business	15,000.00
Total Other Current Liabilities	<u>18,000.00</u>
Total Current Liabilities	<u>17,995.00</u>
Total Liabilities	17,995.00
Equity	
Opening Balance Equity	1,000.00
Retained Earnings	167,342.58
Shareholder Distributions	-86,263.91
Net Income	-39,515.61
Total Equity	<u>42,563.06</u>
TOTAL LIABILITIES & EQUITY	<u><u>60,558.06</u></u>

Exhibit C-4 Financial arrangements

N/A, I do not take title to the power.

Mr. Lyons has over \$200,000 in personal cash and bank receipts to secure financial security for the operating expenses of the business. BKE Energy, Inc. currently does not have and guarantees or bank commitments.

Exhibit C-5

cash flow projection for the state of Ohio only!

		accounts	accounts	Bank		projected
		receivable	payable	Accounts	net inflows	balances
6/1/2016	6/1/2017	\$ 26,000.00	\$ 8,000.00			\$ 18,000.00
6/1/2017	6/1/2018	\$ 30,000.00	\$ 6,000.00			\$ <u>24,000.00</u>
						\$ 42,000.00

Created by John R. Lyons
President /BKE Energy, Inc.

Exhibit C-6 Credit Rating

N/A, I do not have a credit rating

Exhibit C-7 Credit Report

N/A, I do not have a credit report

Exhibit C-8 "Bankruptcy Information"

We have not had any reorganizations or bankruptcies.

Exhibit C-9 "Merger Information"

We have not had any dissolution, merger, or acquisition within the five most recent years.

Exhibit C-10 Corporate Structure

