

FILE

The Public Utilities Commission of Ohio  
TELECOMMUNICATIONS FILING FORM  
(Effective: 9-2-2015)

This form is intended to be used with most types of required filings. It provides check boxes with rule references for the most common types of filings. It does not replace or supersede Commission rules in any way.

In the Matter of the Application of Sherwood Mutual )  
to provide notice of an increase to BLES rates. )  
)  
)

TRF Docket No. 90- 5037-TP-TRF  
Case No. - TP -  
NOTE: Unless you have reserved a Case #, leave the "Case No" fields BLANK.

Name of Registrant(s) Sherwood Mutual Telephone Association, Inc.  
DBA(s) of Registrant(s)  
Address of Registrant(s) P.O. Box 4572, Sherwood, OH. 43556  
Company Web Address www.smta.cc  
Regulatory Contact Person(s) Rick Rostorfer Phone 419-899-2121 Fax 419-899-4567  
Regulatory Contact Person's Email Address rickrostorfer@smta.cc  
Contact Person for Annual Report Rick Rostorfer Phone 419-899-2121  
Address (if different from above)  
Consumer Contact Information Rick Rostorfer Phone 419-899-2121  
Address (if different from above)

Motion for protective order included with filing?  Yes  No  
Motion for waiver(s) filed affecting this case?  Yes  No [Note: Waivers may toll any automatic timeframe.]

Notes:

Section I and II are Pursuant to Chapter 4901:1-6 OAC.  
Section III - Carrier to Carrier is Pursuant to 4901:1-7 OAC, and Wireless is Pursuant to 4901:1-6-24 OAC.  
Section IV - Attestation.

- (1) Indicate the Carrier Type and the reason for submitting this form by checking the boxes below.
- (2) For requirements for various applications, see the identified section of Ohio Administrative Code Section 4901 and/or the supplemental application form noted.
- (3) Information regarding the number of copies required by the Commission may be obtained from the Commission's web site at www.puco.ohio.gov under the docketing information system section, by calling the docketing division at 614-466-4095, or by visiting the docketing division at the offices of the Commission.
- (4) An Incumbent Local Exchange Carrier (ILEC) offering basic local exchange service (BLES) outside its traditional service area should choose CLEC designation when proposing to offer BLES outside its traditional service area or when proposing to make changes to that service.

All Filings that result in a change to one or more tariff pages require, at a minimum, the following exhibits:

Exhibit	Description:
A	The tariff pages subject to the proposed change(s) as they exist before the change(s)
B	The Tariff pages subject to the proposed change(s), reflecting the change, with the change(s) marked in the right margin.
C	A short description of the nature of the change(s), the intent of the change(s), and the customers affected.
D	A copy of the notice provided to customers, along with an affidavit that the notice was provided according to the applicable rule(s).

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.  
Technician DM Date Processed JUN 01 2016

RECEIVED-DOCKETING DIVISION  
2016 JUN -1 PM 2:22  
PUCCO

**Section I – Part I - Common Filings**

<b>Carrier Type</b> <input type="checkbox"/> Other (explain below)	<b>For Profit ILEC</b>	<b>Not For Profit ILEC</b>	<b>CLEC</b>
Change terms & conditions of existing BLES	<input type="checkbox"/> ATA 1-6-14(H) (Auto 30 days)	<input type="checkbox"/> ATA 1-6-14(H) (Auto 30 days)	<input type="checkbox"/> ATA 1-6-14(H) (Auto 30 days)
Introduce non-recurring charge, surcharge, or fee to BLES			<input type="checkbox"/> ATA 1-6-14(H) (Auto 30 days)
Introduce or Increase Late Payment	<input type="checkbox"/> ATA 1-6-14(I) (Auto 30 days)	<input type="checkbox"/> ATA 1-6-14(I) (Auto 30 days)	<input type="checkbox"/> ATA 1-6-14(I) (Auto 30 days)
Revisions to BLES Cap.	<input type="checkbox"/> ZTA 1-6-14(F) (0 day Notice)		
Introduce BLES or expand local service area (calling area)	<input type="checkbox"/> ZTA 1-6-14(H) (0 day Notice)	<input type="checkbox"/> ZTA 1-6-14(H) (0 day Notice)	<input type="checkbox"/> ZTA 1-6-14(H) (0 day Notice)
Notice of no obligation to construct facilities and provide BLES	<input type="checkbox"/> ZTA 1-6-27(C) (0 day Notice)	<input type="checkbox"/> ZTA 1-6-27(C) (0 day Notice)	
Change BLES Rates	<input type="checkbox"/> TRF 1-6-14(F) (0 day Notice)	<input checked="" type="checkbox"/> TRF 1-6-14(F)(4) (0 day Notice)	<input type="checkbox"/> TRF 1-6-14(G) (0 day Notice)
To obtain BLES pricing flexibility	<input type="checkbox"/> BLS 1-6-14(C)(1)(c) (Auto 30 days)		
Change in boundary	<input type="checkbox"/> ACB 1-6-32 (Auto 14 days)	<input type="checkbox"/> ACB 1-6-32 (Auto 14 days)	
Expand service operation area			<input type="checkbox"/> TRF 1-6-08(G)(0 day)
BLES withdrawal			<input type="checkbox"/> ZTA 1-6-25(B) (0 day Notice)
<b>Other* (explain)</b>			

**Section I – Part II – Customer Notification Offerings Pursuant to Chapter 4901:1-6-7 OAC**

<b>Type of Notice</b>	<b>Direct Mail</b>	<b>Bill Insert</b>	<b>Bill Notation</b>	<b>Electronic Mail</b>
<input type="checkbox"/> 15-day Notice	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/> 30-day Notice	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>Date Notice Sent:</b> April 28, 2016				

**Section I – Part III –IOS Offerings Pursuant to Chapter 4901:1-6-22 OAC**

<b>IOS</b>	<b>Introduce New</b>	<b>Tariff Change</b>	<b>Price Change</b>	<b>Withdraw</b>
<input type="checkbox"/> IOS	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**Section II – Part I – Carrier Certification - Pursuant to Chapter 4901:1-6-08, 09 & 10 OAC**

Certification	ILEC (Out of territory)	CLEC	Telecommunications Service Provider Not Offering Local	CESTC	CETC
* See Supplemental form	<input type="checkbox"/> ACE 1-6-08 * (Auto 30- day)	<input type="checkbox"/> ACE 1-6-08 *(Auto 30 day)	<input type="checkbox"/> ACE 1-6-08 *(Auto 30 day)	<input type="checkbox"/> ACE 1-6-10 (Auto 30 day)	<input type="checkbox"/> UNC 1-6-09 *(Non-Auto)

\*Supplemental Certification forms can be found on the Commission Web Page.

**Section II – Part II – Certificate Status & Procedural**

Certificate Status	ILEC	CLEC	Telecommunications Service Provider Not Offering Local
Abandon all Services		<input type="checkbox"/> ABN 1-6-26 (Auto 30 days)	<input type="checkbox"/> ABN 1-6-26 (Auto 30 days)
Change of Official Name *	<input type="checkbox"/> ACN 1-6-29(B) (Auto 30 days)	<input type="checkbox"/> ACN 1-6-29(B) (Auto 30 days)	<input type="checkbox"/> CIO 1-6-29(C) (0 day Notice)
Change in Ownership *	<input type="checkbox"/> ACO 1-6-29(E) (Auto 30 days)	<input type="checkbox"/> ACO 1-6-29(E) (Auto 30 days)	<input type="checkbox"/> CIO 1-6-29(C) (0 day Notice)
Merger *	<input type="checkbox"/> AMT 1-6-29(E) (Auto 30 days)	<input type="checkbox"/> AMT 1-6-29(E) (Auto 30 days)	<input type="checkbox"/> CIO 1-6-29(C) (0 day Notice)
Transfer a Certificate *	<input type="checkbox"/> ATC 1-6-29(B) (Auto 30 days)	<input type="checkbox"/> ATC 1-6-29(B) (Auto 30 days)	<input type="checkbox"/> CIO 1-6-29(C) (0 day Notice)
Transaction for transfer or lease of property, plant or business *	<input type="checkbox"/> ATR 1-6-29(B) (Auto 30 days)	<input type="checkbox"/> ATR 1-6-29(B) (Auto 30 days)	<input type="checkbox"/> CIO 1-6-29(C) (0 day

\* Other exhibits may be required under the applicable rule(s). ACN, ACO, AMT, ATC, ATR and CIO applications see the 4901:1-6-29 Filing Requirements on the Commission's Web Page for a complete list of exhibits.

**Section III – Carrier to Carrier (Pursuant to 4901:1-7), and Wireless (Pursuant to 4901:1-6-24)**

Carrier to Carrier	ILEC	CLEC
Interconnection agreement, or amendment to an approved agreement	<input type="checkbox"/> NAG 1-7-07 (Auto 90 day)	<input type="checkbox"/> NAG 1-7-07 (Auto 90 day)
Request for Arbitration	<input type="checkbox"/> ARB 1-7-09 (Non-Auto)	<input type="checkbox"/> ARB 1-7-09 (Non-Auto)
Introduce or change c-t-c service tariffs,	<input type="checkbox"/> ATA 1-7-14 (Auto 30 days)	<input type="checkbox"/> ATA 1-7-14 (Auto 30 days)
Request rural carrier exemption, rural carrier suspension or modification	<input type="checkbox"/> UNC 1-7-04 or 05 (Non-Auto)	
Changes in rates, terms & conditions to Pole Attachment, Conduit Occupancy and Rights-of-Way.	<input type="checkbox"/> ATA 1-3-04 (Auto 30 days)	
<b>Wireless Providers</b> See 4901:1-6-24	<input type="checkbox"/> RCC [Registration & Change in Operations] (0 day)	<input type="checkbox"/> NAG [Interconnection Agreement or Amendment] (Auto 90 days)

**Section IV. – Attestation**

**Registrant hereby attests to its compliance with pertinent entries and orders issued by the Commission.**

**AFFIDAVIT**  
***Compliance with Commission Rules***

I am an officer/agent of the applicant corporation, , and am authorized to make this statement on its behalf.

Rick Rostorfer

(Name)

Please Check ALL that apply:

I attest that these tariffs comply with all applicable rules for the state of Ohio. I understand that tariff notification filings do not imply Commission approval and that the Commission's rules as modified and clarified from time to time, supersede any contradictory provisions in our tariff. We will fully comply with the rules of the state of Ohio and understand that noncompliance can result in various penalties, including the suspension of our certificate to operate within the state of Ohio.

I attest that customer notices accompanying this filing form were sent to affected customers, as specified in Section II, in accordance with Rule 4901:1-6-7, Ohio Administrative Code.

I declare under penalty of perjury that the foregoing is true and correct.

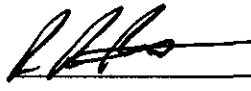
Executed on

(Date)

June 1, 2016

at (Location) Columbus, Ohio 43215

\*Signature and  
Title

 CEO/GENERAL MANAGER

Date June 1, 2016

*\*This affidavit is required for every tariff-affecting filing. It may be signed by counsel or an officer of the applicant, or an authorized agent of the applicant.*

**VERIFICATION**

I, , verify that I have utilized the Telecommunications Filing Form for most proceedings provided by the Commission and that all of the information submitted here, and all additional information submitted in connection with this case, is true and correct to the best of my knowledge.

\*Signature and  
Title

 CEO/GENERAL MANAGER

Date June 1, 2016

*\*Verification is required for every filing. It may be signed by counsel or an officer of the applicant, or an authorized agent of the applicant.*

**File document electronically as directed in case number 06-900-AU-WVR**

*or*

***Send your completed Application Form, including all required attachments as well as the required number of copies, to:***

**Public Utilities Commission of Ohio  
Attention: Docketing Division  
180 East Broad Street, Columbus, OH 43215-3793**

**EXHIBIT A**

**Current Tariff Sheets**

P.U.C.O. NO. 5

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EXCHANGE RATES

The following identified service rates entitle subscribers of telephone service to call, without additional charge, the subscribers of the Paulding Exchange of Windstream Ohio, in addition to the other subscribers of the Sherwood Exchange.

The following listed rates for local exchange telephone service shall apply throughout the exchange area as depicted on the Sherwood Exchange Map herein.

<u>CLASS OF SERVICE</u>	<u>EXCHANGE ACCESS SERVICE*</u>	<u>COIN SUPERVISION ADDITIVE</u>	
Business			
One Party	\$18.00	---	(1)
Residence			
One Party	\$17.00	---	(1)
Payphone			
Coin Operated Telephone Access Line	\$10.95	\$7.20	

\* Includes touch tone service.

MEMBERSHIP

A non-recurring initial charge of \$5.00 is made for each membership in the association; which is a requirement for connection of one main station and supplemental equipment on premises located within the exchange area of the Company, and occupied by such member. Membership is redeemable but not transferable.

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Issued: May 1, 2014

Effective: June 1, 2014

In Accordance with Case No. 90-5037-TP-TRF  
Issued by the Public Utilities Commission of Ohio  
Lynn Bergman, General Manager  
Sherwood, Ohio

**EXHIBIT B**

**Proposed Tariff Sheets**

P.U.C.O. NO. 5

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EXCHANGE RATES

The following identified service rates entitle subscribers of telephone service to call, without additional charge, the subscribers of the Paulding Exchange of Windstream, Ohio, in addition to the other subscribers of the Sherwood Exchange.

The following listed rates for local exchange telephone service shall apply throughout the exchange area as depicted on the Sherwood Exchange Map herein.

<u>CLASS OF SERVICE</u>	<u>EXCHANGE ACCESS SERVICE *</u>	<u>COIN SUPERVISION ADDITIVE</u>
Business One Party	\$19.00	--- (I)
Residence One Party	\$18.00	--- (I)
Payphone Coin Operated Telephone Access Line	\$10.95	\$7.20

\* Includes touch tone service.

MEMBERSHIP

A non-recurring initial charge of \$5.00 is made for each membership in the association; which is a requirement for connection of one main station and supplemental equipment on premises located within the exchange area of the Company, and occupied by such member. Membership is redeemable but not transferable.

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Issued: June 1, 2016

Effective: June 1, 2016

In Accordance with Case No. 90-5037-TP-TRF  
Issued by the Public Utilities Commission of Ohio  
Rick Rostofer, General Manager  
Sherwood, Ohio



**EXHIBIT C**  
**(Description of Changes)**

In this application, Sherwood Mutual telephone Association, Inc. increases its BLES rates for residential and business customers by \$1.00, effective June 1, 2016. By doing so, the Company will move toward the revised universal service “urban rate floor” set in accordance with the FCC’s Report and Order Further Notice of Rulemaking, WC Docket Nos. , et.al., FCC 11-161 (Released November 18, 2011).

**Note:**

The attached customer notice (Exhibit D) that was sent on April 28, 2016 inadvertently had the wrong business rate increase - \$17.00 to \$18.00. The correct business rate increase is \$18.00 to \$19.00. The residential rate information was correct.

A correct customer notice will be mailed to business customers on June 3, 2016, and a copy will be provided to the Commission.

## **EXHIBIT D**

### **(Customer Notice and Affidavit)**

The following customer notice appeared as a bill message that was mailed to Sherwood Mutual Exchange customers on April 28, 2016. This notice was also sent to the Commission's electronic mailbox ([Telecomm-Rule07@puc.state.oh.us](mailto:Telecomm-Rule07@puc.state.oh.us)) and to the Office of Consumers' Counsel ([occtelco@occ.state.oh.us](mailto:occtelco@occ.state.oh.us)).


Effective June 1, 2016, our local rates for residential telephone service will increase from \$17.00 to \$18.00 and from \$17.00 to \$18.00 for business service. The increase is necessary to bring our local rates more in line with the national average and to move toward the revised universal service urban rate floor set in accordance with the FCC's Report and Order and Further Notice of Rulemaking, WC Docket Nos. 10-90, et.al., FCC 11-161. We appreciate your business. If you have any questions, please call our office at (419) 899-2121.

### **AFFIDAVIT**

I, Rick Rostorfer, am an authorized agent of the applicant corporation, Sherwood Mutual Telephone Association, Inc., and am authorized to make this statement on its behalf.

I attest the customer notice accompanying this affidavit was sent to affected customers as a bill message on April 28, 2016, in accordance with Rule 4901:1-6-07, Ohio Administrative Code. I declare under penalty of perjury that the foregoing is true and correct.

Executed on (Date) April 28, 2016 at (Location) Sherwood, Ohio.

Signature:   
\_\_\_\_\_  
Rick Rostorfer  
General Manager  
Sherwood Mutual Telephone Association, Inc.