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14-963-EL-AGG 21

**Ohio****Public Utilities  
Commission**

Original AGG Case Number	Version
EL-AGG	December 2014

**RENEWAL APPLICATION FOR ELECTRIC AGGREGATORS/POWER BROKERS**

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form.  
You may also download the form, by saving it to your local disk, for later use.

**A. RENEWAL INFORMATION****A-1 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address**

Legal Name Retail Energy Partners LLC  
 Address 171 Franklin Street, Dublin, OH 43017  
 PUCO Certificate # and Date Certified 14-830E and 06/30/2014  
 Telephone # (614) 441-9096 Web site address (if any) www.retailenergypartners.com

**A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio**

Legal Name Retail Energy Partners LLC  
 Address 171 Franklin Street, Dublin, OH 43017  
 Telephone # (614) 441-9096 Web site address (if any) www.retailenergypartners.com

**A-3 List all names under which the applicant does business in North America**

Retail Energy Partners LLC  
 \_\_\_\_\_  
 \_\_\_\_\_

**A-4 Contact person for regulatory or emergency matters**

Name Christian V. Bailey  
 Title Owner  
 Business address 171 Franklin Street, Dublin, OH 43017  
 Telephone # (614) 441-9096 Fax # \_\_\_\_\_  
 E-mail address chris@retailenergypartners.com

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.  
 Technician SM Date Processed MAY 19 2016

RECEIVED DOCKETING DIV.  
 2016 MAY 19 PM 1:07  
 PUCO

**A-5 Contact person for Commission Staff use in investigating customer complaints**

Name Christian V. Bailey  
Title Owner  
Business address 171 Franklin Street, Dublin, OH 43017  
Telephone # (614) 441-9096 Fax # \_\_\_\_\_  
E-mail address chris@retailenergypartners.com

**A-6 Applicant's address and toll-free number for customer service and complaints**

Customer Service address 171 Franklin Street, Dublin, OH 43017  
Toll-free Telephone # (888) 298-9856 Fax # \_\_\_\_\_  
E-mail address chris@retailenergypartners.com

**A-7 Applicant's federal employer identification number # 46-5691513**

**A-8 Applicant's form of ownership (check one)**

- |  |   |
|--|---|
| <input type="checkbox"/> Sole Proprietorship                 | <input type="checkbox"/> Partnership                                |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation                         | <input type="checkbox"/> Other _____                                |

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**A-9 Exhibit A-9 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.**

**A-10 Exhibit A-10 "Corporate Structure," provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.**

**B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**B-1 Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.**

**B-2 Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.**

**B-3** **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

**B-4** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.  
☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

**B-5** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.  
☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

## **C. FINANCIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**C-1** **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

**C-2** **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

**C-3** **Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

- C-4 **Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).
- C-5 **Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

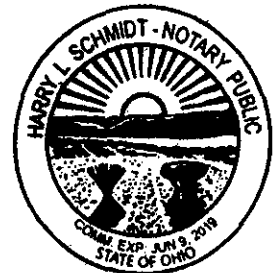
Ch. V. Bales OWNER  
Signature of Applicant & Title

Sworn and subscribed before me this 18 day of May, 2016  
Month Year

[Signature]  
Signature of official administering oath

Harry Schmidt Banker  
Print Name and Title

My commission expires on 6/9/19



# **AFFIDAVIT**

State of Ohio :

Dublin ss.  
(Town)

County of Franklin :

CHRISTIAN V. BAILEY, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the OWNER (Office of Affiant) of Retail Energy Partners LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Chit V. Baling

Signature of Affiant & Title

Sworn and subscribed before me this 18 day of May, 2016  
Month Year

[Signature]  
Signature of official administering oath

Harry Schmidt Banker  
Print Name and Title

My commission expires on 6/9/19



**Exhibit A-9: Principal Officers, Directors & Partners**

**Principal's officers, directors, partners or other similar officials:** Christian Bailey

**Title:** Owner

**Address:** 171 Franklin Street, Dublin, OH 43017

**Telephone number:** 614-441-9096

**Exhibit A-10: Corporate Structure**

**Corporate Structure Description:** Retail Energy Partners LLC, an Ohio Limited Liability Company, with principal place of business at 171 Franklin Street, Dublin OH 43017

**Corporate Structure Graphical Depiction:**

Retail Energy Partners LLC

***\*\*\*No other affiliates, subsidiary companies available\*\*\****



### **Exhibit B-1: Jurisdictions of Operation**

Retail Energy Partners LLC does not have any previous authorizations to provide retail or wholesale electric services including aggregation services.

## **Exhibit B-2: Experience & Plans**

Retail Energy Partners LLC is owned by Christian Bailey. Mr. Bailey has worked for several retail providers over the past twenty years, including DTE Energy Supply, AEP Energy, Accent Energy (Now IGS Energy) and Enron Energy Services. As part of his responsibilities with overseeing broker relationships over the years, Mr. Bailey has developed a deep understanding of the specific rules governing competitive retail energy supply in the state of Ohio. Retail Energy Partners LLC plans on working with registered CRES companies in a broker relationship and will adhere to all codes of conduct and all other rules and regulations overseeing competitive retail supply in the state of Ohio.

### **Exhibit B-3: Disclosure of Liabilities and Investigations**

There have not been any judgments against Retail Energy Partners LLC in the past or that are currently pending.

### **Exhibit C-1: Annual Reports**

Retail Energy Partners LLC does not have Annual Reports because it is a new company with one shareholder/owner.

**Exhibit C-2: SEC Filings**

Retail Energy Partners LLC does not have SEC Filings because it is a new- company with one shareholder/owner.

### Exhibit C-3: Financial Statements

Retail Energy Partners LLC does not have financial statements. Please accept proof of banking relationship and copy of payment receipt from the Secretary of State of Ohio to meet your financial statement requirements.



#### BUSINESS DEPOSITORY CERTIFICATE (Limited Liability Company)



☒ NEW ☐ CHANGE

ACCOUNT NO.

ACCOUNT TITLE

RETAIL ENERGY PARTNERS LLC

BANK NAME/NUMBER

JPMorgan Chase Bank, N.A. (001)

BRANCH NAME AND NO.

Dublin - 51

DATE

05/27/2014

PREPARED BY

CHARLES M. CELEBREZZE

PHONE NO.

(614) 248-2600

BUSINESS ADDRESS

171 FRANKLIN ST

DUBLIN, OH 43017-1106

TAXPAYER ID NO.

46-5691513

PRODUCT TYPE

Chase Business Savings

Legal Name of Organization: RETAIL ENERGY PARTNERS LLC

(the "Organization")

State of Organization: OH

Type of Organization (check one)

☒ Limited liability company managed by its members

☐ Limited liability company managed by one or more managers

The individuals signing this Certificate certify to JPMorgan Chase Bank, N.A. (the "Bank") as follows:

- the Organization is a limited liability company, duly organized under the laws of the state of organization listed above;
- the individuals signing this Certificate are, or are authorized representatives of, all of the members (if managed by its members) ("Members") or managers (if managed by managers) ("Managers") of the Organization; and
- the Organization has authorized all actions and agreements described in this Certificate in accordance with all requirements of law and of Organization's organizational documents and bylaws, if any, and the authorizations are now in full force and effect.

#### Account Opening and Contractual Authorization

Any of the people listed below ("Authorized Persons"), acting alone, may:

- Open or close one or more accounts with the Bank at any time, subject to the Bank's deposit account agreement;
- Act on behalf of the Organization in any matter involving any of the Organization's depository accounts at the Bank;
- Sign all agreements or other documents relating to any depository accounts or other business of the Organization. These agreements & other documents include but are not limited to funds transfer agreements, agreements for automated clearinghouse services, agreements for online services, and safe deposit agreements.

#### Deposit and Withdrawal Authorization

Each Authorized Person may deposit or withdraw the Organization's funds. Each Authorized Person may sign any and all checks, drafts, and orders drawn against any account of the Organization at the Bank, and may give instructions for account transactions without a signature, such as those initiated via electronic debit, payment, wire transfer, or other withdrawal of funds by computer, electronic or other means. The Bank is authorized to pay any checks or other transactions authorized by the Organization, even if doing so causes or increases an overdraft. Each Authorized Person may endorse for cash, collection, deposit, or negotiation any checks, drafts, notes, bills of exchange, or certificates of deposit, and order the payment or transfer of money between accounts at the Bank and other banks. Endorsements "for deposit" may be written or stamped. The Bank may accept any instrument for deposit to any depository account of the Organization without endorsement or may supply the endorsement of the Organization. The Bank is authorized to pay all checks, drafts, and orders when signed, endorsed, or authorized by any Authorized Person without inquiry as to the circumstances of issue or disposition of the proceeds and regardless of to whom such instruments are payable or endorsed, including those payable to or endorsed to the Authorized Person.

Print Name

CHRISTIAN V. BAILEY

Title

Member

Facsimile Signatures

#### SIGNER(S) TO BE ADDED LATER

#### Facsimile Signature Authorization

The Bank is authorized and directed to pay checks bearing any form of facsimile or computer-generated signature. If the Organization either uses or provides a signature card authorizing any facsimile or computer-generated signature, the Organization will be solely responsible for any check bearing a similar signature.

#### Further Authorizations

Each Member or Manager, as applicable, acting alone, is authorized to certify to the Bank the name, title, specimen signature and facsimile signature of any additional Authorized Person, or to instruct the Bank to remove any Authorized Person. The Bank may rely on this Certificate until it receives express written notice of a change or revocation.

FOR THE PRECEDING PURPOSES, each of the undersigned has signed his/her name(s) on the date indicated above.

Exemption from FATCA reporting code (if any) \_\_\_\_\_ (According to the IRS Form W-9 instructions, if you are only submitting this form for an account you hold in the United States, you may leave this field blank.)

#### CERTIFICATION

The undersigned certifies under penalties of perjury that (1) the Organization's Taxpayer Identification Number shown above is correct, and (2) the Organization is not subject to backup withholding because: (a) the Organization is exempt from backup withholding, or (b) the Organization has not been notified by the Internal Revenue Service (IRS) that it is subject to backup withholding as a result of failure to report all interest or dividends, or (c) the IRS has notified the Organization that it is no longer subject to backup withholding, and (3) the Organization is a U.S. citizen or other U.S. person (as defined in the Form W-9 instructions), and (4) the FATCA code(s) entered on this form (if any) indicating that the Organization is exempt from FATCA reporting is correct.

If the IRS has notified the Organization that it is subject to backup withholding due to underreporting interest or dividends on its tax return, cross out item 2 above.

M1297-04-CS (5/14)

JPMorgan Chase Bank, N.A. Member FDIC


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RETAIL ENERGY PARTNERS LLC 05-14  
 171 FRANKLIN ST  
 DUBLIN, OH 43017-1106

PAY TO THE ORDER OF \_\_\_\_\_ \$ \_\_\_\_\_

CHASE   
 JPMorgan Chase Bank, N.A.  
 www.Chase.com

MEMO \_\_\_\_\_

\_\_\_\_\_ 0097

Corporation Details		
Entity Number	2290530	
Business Name	RETAIL ENERGY PARTNERS LLC	
Filing Type	DOMESTIC LIMITED LIABILITY COMPANY	
Status	Active	
Original Filing Date	04/26/2014	
Expiry Date		
Location:	County: State:	
Agent / Registrant Information		
UNITED STATES CORPORATION AGENTS, INC. 3250 WEST MARKET STREET, SUITE 205 FAIRLAWN, OH 44333 Effective Date: 04/26/2014 Contact Status: Active		
Incorporator Information		
LEGALZOOM.COM, INC.		
Filings		
Filing Type	Date of Filing	Document Number/Image
ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO.	04/26/2014	201411600023

#### **Exhibit C-4: Financial Arrangements**

Retail Energy Partners LLC does not have SEC Filings because it is a new company with one shareholder/owner.



**Exhibit C-5: Forecasted Financial Statements**

**Annual income statement**

FY	2016	2017
Revenue	\$12,000	\$12,000
Cost of goods sold	<u>\$0</u>	<u>\$0</u>
Gross profit	12,000	12,000
	100.0%	100.0%
Salaries & Benefits	0	0
Customer acquisition costs	0	0
Other operating costs	0	0
Bad debt	<u>\$0</u>	<u>\$0</u>
EBITDA	\$12,000	\$12,000
Depreciation	0	0
Amortization	<u>0</u>	<u>0</u>
EBIT	12,000	12,000

**Exhibit C-6: Credit Rating**

Retail Energy Partners LLC does not have a Credit Rating because it is a new company.

**Exhibit C-7: Credit Report**

Retail Energy Partners LLC does not have a Credit Report because it is a new company.

**Exhibit C-8: Bankruptcy Information**

Retail Energy Partners LLC does not have Bankruptcy Filings because it is a new company with one shareholder/owner.

**Exhibit C-9: Merger Information**

Retail Energy Partners LLC has not had any or does not plan to have any dissolutions, mergers or acquisitions.