



April 27, 2016

Ms. Barcy F. McNeal, Secretary
Public Utility Commission of Ohio
180 E. Broad Street, 11th Floor
Columbus, OH 43215-3793

Re: Case No. 12-2460-GA-CRS: Iron Energy, LLC dba Kona Energy Officer Change

Dear Sir/Madam

Iron Energy, LLC dba Kona Energy, LLC has recently made changes to the officer on record.

The following changes have been made.

- John Cooper will be the President and sole officer. John Cooper will also be the responsible regulatory contact and individual responsible for managerial and technical fitness. John Cooper will be replacing John Edgar former (Interim) President. Please see attached for Mr. Cooper's brief biography.
- Steve Malkeiwicz of ESCO Advisors will remain responsible for technical fitness.
- Trevor Herbest will also offer technical and managerial expertise. Mr. Herbest will be replacing Amber Kelly. Please Mr. Herbest's biography attached.

Iron Energy has also submitted the first two pages of the PUCO natural gas application which include updated addresses and contact information.

REFRESHINGLY SIMPLE ELECTRICITY & NATURAL GAS

8350 N. Central Expwy. Suite 1250 Dallas, TX 75206 Phone: 855.Kona.Now www.KonaEnergy.com

In the future, please direct all regulatory correspondence to:

John Cooper, President
8350 N. Central Expwy. Suite
1250
Dallas, TX 75206
Phone: (212) 229-4866
regulatory@konaenergy.com
jcooper@konaenergy.com

Should you have any questions or need any further information, please contact:

John Cooper, President
8350 N. Central Expwy. Suite
1250
Dallas, TX 75206
Phone: (212) 229-4866
regulatory@konaenergy.com
jcooper@konaenergy.com

Sincerely,



John Cooper
President

8350 N. Central Expwy. Suite 1250 Dallas, TX 75206 Phone: 855.Kona.Now www.KonaEnergy.com

KEY TECHNICAL PERSONNEL

Name	Address	Phone No.	Email Address
John Cooper	8350 N. Central Expwy. Suite 1250 Dallas, TX 75206	212.229.4866	jcooper@konaenergy.com
Trevor Herbest	8350 N. Central Expwy. Suite 1250 Dallas, TX 75206	212-229-4849	therbest@vantagecommodities.com
Steve Malkiewicz	5103 Rolling Fairway Dr., Valrico, FL 33596	813.657.9340	steve@escoadvisors.com

Financial, Managerial and Operations

John Cooper, President, Iron Energy LLC

John Cooper was the Co-Founder and CEO of City Power and Gas LLC – an Energy Service Company (ESCO) reselling natural gas and electricity since 2010 predominantly in the highly competitive downstate New York market. John was the responsible person for ALL public service commission and regulatory licensing, certification and related compliance matters. Additionally, John was responsible for all aspects of the operation including growth, call center operation and customer service. John also coordinated with the CFO to reconcile our bank records, our financial reports, budgets, projections and financial reports required by state and local regulatory agencies and debt providers.

John brings almost 30 years of business experience to the table, with over 20 years of broad ranging C-level experience. John is a graduate of New York University's Stern School of Business where he received his M.B.A. in Finance as well as Hofstra University, where he received his B.S. in Computer Science. John graduated from Xavier High School, a Jesuit College Preparatory School in New York City, New York.

Operations, Management

Trevor Herbest, President PPC, LLC

Mr. Herbest has 10 years of experience as an officer and executive in the energy industry with an emphasis in operations, management and regulatory. He has worked for several Retail Energy Providers within all ISO's, Utility commissions and local utilities within the deregulated marketplace in North America.

Mr. Herbest began his career as COO and EVP of Public Power, LLC which allowed him to expand into over 10 states, 5 ISO's and 18 utilities. Within 3 years and from those efforts they were able to grow their customer base to over 150,000 customers. After the success of Public Power, LLC he was

appointed and accepted the position as COO and EVP for ABEST Power and Gas. Here he secured all the licensing and memberships with NYISO, NEISO, Connecticut PUC, NY DPS including completing EDI testing with all utilities. Recently he joined Eligo Energy, LLC as Director of regulatory and market expansion in 2014. He was able to duplicate the same footprint as Public Power, LLC over the last 2 years. In conjunction he has also been working with Vantage Commodities as the Interim GM for the Kona Energy, LLC and Bluco Energy, LLC workout. Here he has been managing the day to day operations including working with all governing agencies, including ISO's, utility commissions and local utilities. Trevor has a vast knowledge of EDI, billing systems, asset formation and regulatory rules throughout the industry.

Buying & Selling Power & Energy in wholesale markets
Steve Malkiewicz, CFO, ESCO Advisors

Mr. Malkiewicz is one of the founders of ESCO Advisors, a Connecticut based consulting firm with over 35 years of Energy Industry experience and has managed 3,000 MW and \$750 million in commodity buys. Mr. Malkiewicz will provide Iron Energy DBA Kona with energy operations, energy forecasting, scheduling and settlements, price stability and risk management. He will also provide and manage an extensive suite of systems to both control and increase the efficiency of day-to-day operations

Mr. Malkiewicz was the Managing Director and founder of St. Clair Energy Associates LLC (1997 to 2011), a management consulting firm serving the energy/utilities industry. His experience is a unique blend of financial and analysis assignments, with strategic and operational responsibilities, including helping to establish, finance and build several new businesses. Mr. Malkiewicz has founded or assisted in forming and building several new businesses designed to capture opportunities in restructured energy markets in the US and Canada, serving in roles from Chief Financial Officer to supply management, regulatory and finance responsibilities. Mr. Malkiewicz has held various senior officer capacities at SEMCO Energy Inc. (1990 to 1997), a gas distributor based in Michigan, including Senior Vice President and COO, Vice President and Chief Financial Officer and Controller and Treasurer of its energy marketing and investment subsidiary. Mr. Malkiewicz began his career as an auditor and consultant at Arthur Andersen in the Chicago and Detroit offices (1982 to 1990). He is a Certified Public Accountant and a member of the Global Association of Risk Professionals. He has a Bachelor of Business Administration in Accounting from Eastern Michigan University.



Public Utilities Commission

PUCO USE ONLY – Version 1.07		
Date Received	Case Number	Certification Number
	- GA-CRS	12-2466-6A CRS

CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS SUPPLIERS

Please **type or print** all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-16 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

A-1 Applicant intends to be certified as: (check all that apply)

☒ Retail Natural Gas Aggregator ☐ Retail Natural Gas Broker ☐ Retail Natural Gas Marketer

A-2 Applicant information:

Legal Name Iron Energy, LLC dba Kona Energy
Address 8350 N. Central Expressway Suite 1250 Dallas, TX 75206
Telephone No. 855-566-2669 Web site Address www.konaenergy.com

A-3 Applicant information under which applicant will do business in Ohio:

Name Iron Energy, LLC dba Kona Energy
Address 8350 N. Central Expressway Suite 1250 Dallas, TX 75206
Web site Address www.konaenergy.com Telephone No. 855-566-2669

A-4 List all names under which the applicant does business in North America:

Kona Energy

A-5 Contact person for regulatory or emergency matters:

Name John Cooper Title President
Business Address 8350 N. Central Expressway Suite 1250 Dallas, TX 75206
Telephone No. 212-229-4866 Fax No. 1-800-420-1138 Email Address regulatory@konaenergy.com

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name John Cooper Title President
Business address 8350 N. Central Expressway Suite 1250 Dallas, TX 75206
Telephone No. 212-229-4866 Fax No. 1-800-420-1138 Email Address regulatory@konaenergy.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address 8350 N. Central Expressway Suite 1250 Dallas, TX 75206
Toll-Free Telephone No. 855-566-2669 Fax No. 1-800-420-1138 Email Address regulatory@konaenergy.com

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name Lillianne L Howard Title Customer Service
Business address 52 E Gay Street Columbus, Ohio 43215
Telephone No. 614-746-8486 Fax No. 888-739-6207 Email Address regulatory@konaenergy.com

A-9 Applicant's federal employer identification number 45-3564472

A-10 Applicant's form of ownership: (Check one)

☐ Sole Proprietorship ☐ Partnership
☐ Limited Liability Partnership (LLP) ☒ Limited Liability Company (LLC)
☐ Corporation ☐ Other

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

4/28/2016 1:50:21 PM

in

Case No(s). 12-2460-GA-CRS

Summary: Notification Update to previous filing. Please not the reference to John Edgar as (Interim) CEO is incorrect the correct title was (Interim) President. Please find attached updated cover letter. electronically filed by Mr. Trevor M Herbst on behalf of Iron Energy, LLC dba Kona Energy