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## BMB Legal Consulting, LLC

Brooke M. Bonelli, Attorney at Law

577 Red Rock Drive

Wadsworth, Ohio 44281

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April 12, 2016

Public Utilities Commission of Ohio

Docketing Division

180 East Broad Street

Columbus, Ohio 43215-3793

Re: Renewal Certification Application-Competitive Retail Natural Gas Brokers/Aggregators for M3 Consulting, LLC  
dba M3 Energy, Original Case Number 14-0792-GA-AGG

To Whom It May Concern:

Enclosed please find the original and three copies of the Renewal Certification Application-Competitive Retail Natural Gas Brokers/Aggregators for M3 Consulting, LLC dba M3 Energy, Original Case Number 14-0792-GA-AGG.

Also, enclosed please find an original and three copies of a Motion for Protective Order.

Please contact the undersigned with any questions.

Respectfully,

Brooke M. Bonelli  
Attorney at Law  
enclosures

PUCO

2016 APR 15 PM 3:20

RECEIVED-DOCKETING DIV

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# Public Utilities Commission

PUCO USE ONLY - Version 1.07		
Date Received	Renewal Certification Number	ORIGINAL AGG Case Number
		14 - 0792 - GA-AGG

## RENEWAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS/AGGREGATORS

Please **type or print** all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-16 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

### SECTION A - APPLICANT INFORMATION AND SERVICES

#### A-1 Applicant intends to renew its certificate as: (check all that apply)

☒ Retail Natural Gas Aggregator ☒ Retail Natural Gas Broker

#### A-2 Applicant information:

Legal Name M3 CONSULTING LLC, DBA M3 ENERGY  
Address 731 RED ROCK DRIVE, WADSWORTH, OH 44281  
Telephone No. 330-334-0498 Web site Address  
Current PUCO Certificate No. 14-355G(1) Effective Dates MAY 29, 2014-MAY 29, 2016

#### A-3 Applicant information under which applicant will do business in Ohio:

Name M3 ENERGY  
Address 731 RED ROCK DRIVE, WADSWORTH, OH 44281  
Web site Address Telephone No. 330-334-0498

#### A-4 List all names under which the applicant does business in North America:

M3 CONSULTING, LLC M3 ENERGY

#### A-5 Contact person for regulatory or emergency matters:

Name DEBRA MEAGER Title PRESIDENT  
Business Address 731 RED ROCK DRIVE, WADSWORTH, OH 44281  
Telephone No. 330-334-0498 Fax No. 888-814-0388 Email Address DEB.MEAGER@GMAIL.COM

**A-6 Contact person for Commission Staff use in investigating customer complaints:**

Name DEBRA MEAGER

Title PRESIDENT

Business address 731 RED ROCK DRIVE, WADSWORTH, OH 44281

Telephone No. 330-334-0498

Fax No. 888-814-0388

Email Address DEB.MEAGER@GMAIL.COM

**A-7 Applicant's address and toll-free number for customer service and complaints**

Customer service address 731 RED ROCK DRIVE, WADSWORTH, OH 44281

Toll-Free Telephone No. 330-334-0498

Fax No. 888-814-0388

Email Address DEB.MEAGER@GMAIL.COM

**A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee**

Name DEBRA MEAGER

Title PRESIDENT

Business address 731 RED ROCK DRIVE, WADSWORTH, OH 44281

Telephone No. 330-334-0498

Fax No. 888-814-0388

Email Address DEB.MEAGER@GMAIL.COM

**A-9 Applicant's federal employer identification number 203260833**

**A-10 Applicant's form of ownership: (Check one)**

☐ Sole Proprietorship

☐ Partnership

☐ Limited Liability Partnership (LLP)

☒ Limited Liability Company (LLC)

☐ Corporation

☐ Other

**A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)**

<input checked="" type="checkbox"/> Columbia Gas of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Dominion East Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Duke Energy Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Vectren Energy Delivery of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial

**A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.**

☒ Columbia Gas of Ohio

<input checked="" type="checkbox"/> Residential	Beginning Date of Service	JUNE 1, 2014	End Date
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	JUNE 1, 2014	End Date
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	JUNE 1, 2014	End Date
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service	JUNE 1, 2014	End Date

☒ Dominion East Ohio

<input checked="" type="checkbox"/> Residential	Beginning Date of Service	JUNE 1, 2014	End Date
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	JUNE 1, 2014	End Date
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	JUNE 1, 2014	End Date
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service	JUNE 1, 2014	End Date

☒ Duke Energy Ohio

<input checked="" type="checkbox"/> Residential	Beginning Date of Service	JUNE 1, 2014	End Date
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	JUNE 1, 2014	End Date
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	JUNE 1, 2014	End Date
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service	JUNE 1, 2014	End Date

☒ Vectren Energy Delivery of Ohio

<input checked="" type="checkbox"/> Residential	Beginning Date of Service	JUNE 1, 2014	End Date
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	JUNE 1, 2014	End Date
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	JUNE 1, 2014	End Date
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service	JUNE 1, 2014	End Date

**A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:**

<input type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date
<input type="checkbox"/>	Dominion East Ohio	Intended Start Date
<input type="checkbox"/>	Duke Energy Ohio	Intended Start Date
<input type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 **Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 **Exhibit A-15 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale natural gas or electricity to customers in North America.
- A-16 **Exhibit A-16 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-17 **Exhibit A-17 "Articles of Incorporation and Bylaws,"** provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, *only if the contents of the originally filed documents changed since the initial application.*
- A-18 **Exhibit A-18 "Secretary of State,"** provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

## **SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 **Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 **Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations,"** detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** detailing such action(s) and providing all relevant documents.

## **SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED**

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer-certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer-certified financial statements covering the life of the business.
- C-4 Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's current financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)
- C-5 Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRNGS operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.

- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's current credit report from Experian, Dun and Bradstreet, or a similar organization.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or since applicant last filed for certification.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant since applicant last filed for certification.

### **SECTION D – APPLICANT TECHNICAL CAPABILITY**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 **Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business functions.
- D-2 **Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 **Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

*Debra L. Meager, President*

Sworn and subscribed before me this 12

day of April

Month 2016

Year

*Brooke M Bonelli*

Signature of official administering oath

Brooke M Bonelli, Attorney

Print Name and Title



BROOKE M. BONELLI My Commission expires on N/A  
 Attorney At Law  
 NOTARY PUBLIC  
 STATE OF OHIO  
 My Commission Has  
 No Expiration Date  
 Section 147.03 O.R.C.



# The Public Utilities Commission of Ohio

## Competitive Retail Natural Gas Service Affidavit Form (Version 1.07)

In the Matter of the Application of )

M3 Consulting, LLC dba M3 Energy )

for a Certificate or Renewal Certificate to Provide )  
Competitive Retail Natural Gas Service in Ohio. )

Case No. 14 - 0792 -GA-AGG

County of Medina

State of Ohio

Debra L Meager

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

Debra L. Meager, President

Sworn and subscribed before me this

12th

day of April

Month

2016

Year

Brooke M Bonelli

Signature of Official Administering Oath

Brooke M Bonelli, Attorney

Print Name and Title



BROOKE M. BONE  
Attorney At Law  
NOTARY PUBLIC  
STATE OF OHIO  
My Commission Expires

My commission expires on

n/a

(CRNGS Broker/Aggregator Renewal) Page 7 of 7



## Exhibit A-14

*"Principal Officers, Directors & Partners "provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.*

Debra L. Meager, MBA Case Western Reserve University, Ohio Certified Business Advisor, Member, Women Business Enterprise National Council (WBENC); Woman Owned Small Business (WOSB)

President, M3 Consulting, LLC/M3 Energy  
731 Red Rock Drive  
Wadsworth OH 44281

## Exhibit A-15

*"Corporate Structure, "provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.*

M3 Consulting LLC was incorporated in the State of Ohio the 25 day of July, 2005, filed with Articles of Organization/Dom. LLC. Articles of Incorporation are on file with the State of Ohio. M3 Consulting, LLC filed a fictitious name/original filing as M3 Energy on the 11th of January 2010.

## Exhibit A-16

*"Company History," provide a concise description of the applicant's company history and principal business interests.*

M3 Consulting LLC was incorporated in the State of Ohio the 25 day of July, 2005, filed with Articles of Organization/Dom. LLC as a business consulting organization by Debra L. Meager.

After earning an Executive MBA from Case Western Reserve University, the Weatherhead School of Management, Debra Meager worked as a business advisor for Ohio Small Business Development centers and later as a consultant and business advisor at M3 Consulting, LLC.

In 2010 M3 Consulting, LLC filed a fictitious name/original filing as M3 Energy to do business in the gas and electric markets in Ohio. M3 Consulting, LLC and M3 Energy assists clients securing electricity in the open supply market.

## Exhibit A-17

*"Articles of Incorporation and Bylaws,"* provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, **only if the contents of the originally filed documents changed since the initial application.**

There have been no changes since the initial application.

## Exhibit A-18

*"Secretary of State,"* provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

See attached.

## Exhibit A-18

**Jon Husted**  
**Ohio Secretary**[Jon Husted & the Office](#) | [Elections & Voting](#) | [Campaign Finance](#) | [Legislation & Ballot Issues](#) | [Businesses](#) | [Records](#) | [Media Center](#) | [Publications](#)**Business Filing Portal**your **BUS****INESS** begins here[Print this report](#)**Corporation Details**

Corporation Details		
Entity Number	1558530	
Business Name	M3 CONSULTING, LLC	
Filing Type	DOMESTIC LIMITED LIABILITY COMPANY	
Status	Active	
Original Filing Date	07/25/2005	
Expiry Date		
Location:	County:	State:
Agent / Registrant Information		
DEBRA L MEAGER 731 RED ROCK WADSWORTH, OH 44281 Effective Date: 07/25/2005 Contact Status: Active		
Incorporator Information		
DEBRA L MEAGER		
Filings		
Filing Type	Date of Filing	Document Number/Image
ARTICLES OF ORGANIZATION/DOM. LIMITED LIABILITY CO	07/25/2005	200520703446
FICTITIOUS NAME/ORIGINAL FILING	01/11/2010	201001501084
FICTITIOUS NAME/ORIGINAL FILING	01/25/2015	201502500031

## Exhibit B-1

*"Jurisdictions of Operation, "provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.*

M3 Energy works mostly with customers in Ohio, and particularly Northeast Ohio.

## Exhibit B-2

*"Experience & Plans," provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.*

M3 Consulting, LLC, dba M3 Energy has been working under other aggregator/power brokers helping customers secure natural gas rates since 2006. M3 Energy currently manages approximately 300,000-500,000 million cubic feet per year through other aggregator/power brokers.

M3 Energy has developed relationships and conducted market assessments identifying natural gas suppliers to supply potential customers once M3 Energy is certified. In order to realize savings for customers and clients, M3 Energy needs a direct relationship with gas suppliers.

M3 Energy will assist customers to secure the best value and rates by querying gas suppliers and providing volume, negotiating terms as necessary, and comparing the best of the market at that given time. The customer then chooses the timeframe and company from which to buy their supply depending on market factors and geographical concerns. The final decision to buy and legal responsibility will reside with the customer. They will be billed by the supplier, not M3 Energy.

Customer inquiries and complaints will be handled by M3 Energy at telephone number 330-334-0498, FAX 888-214-0388, or email to [deb.meager@gmail.com](mailto:deb.meager@gmail.com).



## Exhibit B-3

*"Summary of Experience, "provide a concise summary of the applicant's experience in providing the service(s) for which it is seeking to be certified to provide (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.)*

M3 Consulting, LLC/M3 Energy currently manages approximately 200,000 mcf annually to approximately 500 customers in Ohio. Those customers are behind Dominion East Ohio Gas and Columbia Gas of Ohio utilities.

We review bills and usage with customers and provide look back and look forward analysis to help the customer with strategic planning. Since 2006, M3 Consulting, LLC/M3 Energy has been providing Ohio customers consulting services to help them save on their natural gas costs and provide a level of stability for those costs.

M3 Energy aggregates the client's usage, sometimes over several locations, and puts their volumes to bid with suppliers.

## Exhibit B-4

*"Disclosure of Liabilities and Investigations, "provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.*

There are no existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

## Exhibit C-1

*"Annual Reports, "provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.*

M3 Consulting, LLC is a Sole Proprietor Limited Liability Corporation; Exhibit C-1 is not applicable because M3 is not a C Corporation and is privately held.

## Exhibit C-2

*"SEC Filings, "provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.*

M3 Consulting, LLC is a sole proprietor Limited Liability Company and M3 Energy is a dba under M3 Consulting LLC. M3 is exempt from filing 10-K/8-K filings with the SEC because we are not a corporation.

## Exhibit C-3

*"Financial Statements, "provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cashflow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.*

Attached are officer (President) certified financial statements for M3 Consulting, LLC dba M3 Energy.

## Exhibit C-4

*"Financial Arrangements, "provide copies of the applicant's financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)*

M3 Consulting, LLC and M3 Energy is applying to be a Broker and will not be taking the power. CONTRACTS: M3 Consulting, LLC and M3 Energy currently has working relationships with several natural gas and electric suppliers and has developed direct buying relationships.

## Exhibit C-5

*"Forecasted Financial Statements," provide two years of forecasted financial statements (balance sheet, income statement, and cashflow statement) for the applicant's CRNGS operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.*

See attached for Forecasted Financial Statements.

## Exhibit C-6

*"Credit Rating," provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff& Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.*

The applicant does not have a credit rating as a normal course of business operations.



## Exhibit C-7

*"Credit Report, "provide a copy of the applicant's credit report from Experion, Dun and Bradstreet or a similar organization.*

The applicant does not have a credit report as a normal course of business operations.

## Exhibit C-8

*"Bankruptcy Information," provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or since applicant last filed for certification.*

NONE.

M3 Consulting, LLC and M3 Energy have never filed bankruptcy or partnered with anyone known to us to have filed bankruptcy. We have no affiliates or parent company. This excludes companies to which we supply or contract electricity as we have no control over their fiscal responsibility.

## Exhibit C-9

*"Merger Information," provide a statement describing any dissolution or merger or acquisition of the applicant since applicant last filed for certification.*

None. The applicant has no dissolutions, mergers, or acquisitions since the application was filed for certification.

## Exhibit D-1

*"Operations" provide a current written description of the operational nature of the applicant's business functions.*

M3 Consulting, LLC, dba M3 Energy audits customer's bills and provides the customer a review and explanation of the utility and supply charges. We confirm whether or not the customer is currently in a contract. M3 Energy often creates a spreadsheet of month to month usage, depending on total volume used and number of accounts.

Volumes of customer usage is sent to suppliers who provide rates that we communicate to the customer. Once the customer chooses the supplier, based on rate and terms, M3 Energy completes the contract provided by the supplier, gives it to the customer and customer signs and returns the contract to M3 Energy. We send the contract to the chosen supplier.

M3 Energy keeps records of customers and suppliers with dates of contracts, volumes, etc. Those records are cross-checked to supplier lists monthly ensuring timely enrollment and rate. M3 Energy also provides customer service to customers if there are inconsistent issues, questions or concerns.

M3 Energy will invoice the suppliers monthly for agreed upon commission.

## Exhibit D-2

*"Operations Expertise" given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.*

M3 Consulting, LLC, dba M3 Energy has been working behind other brokers/aggregators since 2006 providing the services mentioned in Exhibit D-1.

Debra Meager, President, completed an Executive MBA at Case Western Reserve University, Weatherhead School of Management in 2005 and started working in the deregulated natural gas market in 2006.

Working for six years with certified and registered broker/aggregators allowed us to learn the technical expertise necessary to becoming an effective broker/aggregator and provide the customer with exceptional customer service.

Customer Service will set M3 Energy apart from others in the industry. We will help our customers with any and all questions or concerns, directing them to the correct person, company or agency for issues for which we do not have the answers.

## Exhibit D-3

*"Key Technical Personnel" provide names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.*

M3 Consulting, LLC, dba M3 Energy is licensed with the State of Ohio.

Debra L. Meager, MBA, Ohio Certified Business Advisor  
President of M3 Consulting, LLC, dba M3 Energy  
Email: deb.meager@gmail.com  
Telephone: 330-334-0498  
FAX: 888-214-0388

Debra holds an Executive MBA from Case Western Reserve University, Weatherhead School of Management, and has been an entrepreneur for more than 20 years. She has the expertise to manage a business, pay taxes and stay informed of the changing business environment in Ohio.