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Ohio

Public Utilities  
Commission

Original AGG Case Number	Version
12 - 828 -EL-AGG	December 2014

12-828-EL-AGG

## RENEWAL APPLICATION FOR ELECTRIC AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form.  
You may also download the form, by saving it to your local disk, for later use.

A. RENEWAL INFORMATION

## A-1 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name Summit Power Brokers, LLC  
Address 4381 Hudson Drive Stow, Ohio 44224  
PUCO Certificate # and Date Certified 12-491E(2) April 28, 2014  
Telephone # (330) 208-0335 Web site address (if any) www.summitpowerbrokers.com

## A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name Summit Power Brokers  
Address 4381 Hudson Drive Stow, Ohio 44224  
Telephone # (330) 208-0335 Web site address (if any) www.summitpowerbrokers.com

## A-3 List all names under which the applicant does business in North America

Summit Power Brokers, LLC  
Summit Power Brokers  
Robert C. Haehn

## A-4 Contact person for regulatory or emergency matters

Name Robert C. Haehn  
Title President  
Business address 4381 Hudson Drive Stow, Ohio 44224  
Telephone # (330) 208-0335 Fax # (330) 319-8999  
E-mail address rob@summitpowerbrokers.com

PUCO

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**A-5 Contact person for Commission Staff use in investigating customer complaints**

Name Robert C. Haehn  
Title President  
Business address 4381 Hudson Drive Stow, Ohio 44224  
Telephone # (330) 208-0335 Fax # (330) 319-8999  
E-mail address rob@summitpowerbrokers.com

**A-6 Applicant's address and toll-free number for customer service and complaints**

Customer Service address 4381 Hudson Drive Stow, Ohio 44224  
Toll-free Telephone # (330) 208-0335 Fax # (330) 319-8999  
E-mail address rob@summitpowerbrokers.com

**A-7 Applicant's federal employer identification number # 45-5045424**

**A-8 Applicant's form of ownership (check one)**

- |  |   |
|--|---|
| <input type="checkbox"/> Sole Proprietorship                 | <input type="checkbox"/> Partnership                                |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation                         | <input type="checkbox"/> Other _____                                |

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**A-9 Exhibit A-9 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.**

**A-10 Exhibit A-10 "Corporate Structure," provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.**

**B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**B-1 Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.**

**B-2 Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.**

**B-3** **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

**B-4** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

**B-5** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

## **C. FINANCIAL CAPABILITY AND EXPERIENCE**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

**C-1** **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

**C-2** **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

**C-3** **Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

- C-4 **Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).
- C-5 **Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

Robert C. Hach - President  
Signature of Applicant & Title

Sworn and subscribed before me this 1<sup>st</sup> day of March, 2016  
Month Year

Debbie D. Edling  
Signature of official administering oath

Debbie D. Edling | Notary  
Print Name and Title

My commission expires on Nov. 28, 2019

# **AFFIDAVIT**

State of Ohio :

Stow ss.  
(Town)

County of Summit :

Robert C. Haehn Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the President (Office of Affiant) of Summit Power Brokers (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Robert C. Hunt - President  
Signature of Affiant & Title

Sworn and subscribed before me this 1<sup>st</sup> day of March, 2016  
Month Year

Debbie D. Edling  
Signature of official administering oath

Debbie D. Edling Notary  
Print Name and Title

My commission expires on Nov. 28, 2019

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Exhibit A-9

“Principal Officers, Directors & Partners”

Summit Power Brokers

Summit Power Brokers, LLC is wholly owned by Robert C. Haehn.

Robert C. Haehn – Owner Summit Power Brokers, LLC

Percentage Ownership – 100%

4381 Hudson Drive Stow, Ohio 44224

Phone: 330-208-0335

Exhibit A-10

“Corporate Structure”

Summit Power Brokers

Summit Power Brokers, LLC is wholly owned by Robert C. Haehn. Summit Power Brokers, LLC does not have affiliates or subsidiary companies.



## Exhibit B-1

### “Jurisdictions of Operation”

#### Summit Power Brokers

Summit Power Brokers, LLC is licensed as a commercial energy broker in Ohio under the following license numbers:

Electric Original Case Number - 12-828-EL-AGG

Electric Certificate Number – 12-491E (2)

Natural Gas Original Case Number – 12-921-GA-AGG

Natural Gas Certificate Number – 12-245G(2)

Robert C. Haehn is authorized to provide retail electric and natural gas service in all deregulated states as an authorized agent for brokers and direct suppliers.

## Exhibit B-2

### “Experience and Plans”

#### Summit Power Brokers

Robert C. Haehn began working in Ohio’s deregulated Energy industry in October 2009 after accepting a position as a Business Development Manager with an alternative Electric supplier. As a lifelong resident of Ohio, Mr. Haehn was well positioned to work with business customers in his home state. In November 2010 Mr. Haehn became an independent agent and in 2012 he applied and was approved for Electric and Natural Gas brokerage licenses by The Public Utilities Commission of Ohio. He became active with both licenses in June 2012.

Since acquiring both licenses and starting Summit Power Brokers, a commercial energy brokerage firm, Mr. Haehn has brokered over 100 Energy Contracts for commercial accounts as of 12-31-15. Summit Power Brokers operates as a commercial Electric and Natural Gas broker in Northeast Ohio specializing in short-term Fixed Rate Contracts.

Applicant is seeking renewal of Original AGG Case Number 12-828-EL-AGG to continue providing commercial Electric brokerage services. The direct supplier is solely responsible for billing and receiving payment from commercial customer. The commercial customer contracts with the direct supplier through the Energy broker and therefore pays direct supplier for agreed supply of Electric. Applicant does not receive out of pocket compensation from commercial customer. Applicant does not bill or receive payment from commercial customer. The direct supplier pays applicant a monthly commission based on a predetermined rate per kwh multiplied by the actual kwh used by the commercial customer.

Applicant and direct suppliers that applicant contracts with are both subject to Commission rules pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code. Applicant will review proposed electric offers to ensure direct suppliers are compliant with ORC 4929.22 and contained in Chapter 4901:1-29.

If the PUCO requires applicant to make available a dedicated toll free complaint number or otherwise dedicated complaint number for Summit Power Brokers, LLC applicant will do so on the company website. Applicant will provide local customer assistance for customer complaints that can be made in writing by fax, mail or email. Applicant will send notification to one or more of the following within 3 business days of receiving customer complaint: PUCO, Office of

## Exhibit B-2

### “Experience and Plans”

#### Summit Power Brokers

the Ohio Consumers’ Counsel, Attorneys General Office. Applicant will make available complaint telephone numbers for the Electric Utility, Electric service company as well as telephone numbers for state agencies including the Public Utilities Commission of Ohio, Office of the Ohio Consumers’ Counsel, and Attorney General’s Office with the available hours upon request.

Applicant will abide by the procedures set forth in the Energy agreement in the event of a customer complaint. This includes but is not limited to mediation, arbitration or otherwise acceptable remedy as set forth by PUCO, Office of the Ohio Consumers’ Counsel, and/or Attorneys General Office. In the event procedures were not set forth in the Energy agreement or regulatory authority initiates an investigation applicant will adhere to the recommendation of the regulatory authority.

## Exhibit B-3

### “Disclosure of Liabilities and Investigations”

#### Summit Power Brokers

Summit Power Brokers does not have any existing, pending or past rulings, judgements, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the company’s financial or operational status or ability to provide the services it is licensed to provide.

## Exhibit C-1

### “Annual Reports”

#### Summit Power Brokers

Exhibit C-1 “Annual Reports” is not applicable to Summit Power Brokers. Summit Power Brokers is wholly owned by Robert C. Haehn and began operating as a licensed commercial Electric and Natural Gas broker on June 1, 2012. The Energy brokerage firm is privately held and does not have shareholders. Summit Power Brokers does not issue annual reports.

## Exhibit C-2

### “SEC Filings”

#### Summit Power Brokers

Exhibit C-2 “SEC Filings” is not applicable to Summit Power Brokers. Summit Power Brokers is wholly owned by Robert C. Haehn and began operating as a licensed commercial Electric and Natural Gas broker on June 1, 2012. The Energy brokerage firm is privately held and not subject to “SEC Filings.”

Exhibit C-3

“Financial Statements”

Summit Power Brokers

Prepared by:

Robert C. Haehn

P.O. Box 2285

Stow, Ohio 44224

Email: [rob@summitpowerbrokers.com](mailto:rob@summitpowerbrokers.com)

Phone: 330-208-0335

Education: Bachelor of Business Administration, Major: Finance, Kent State University May 2000

Master of Business Administration, Concentration: Finance, Kent State University May 2005

Exhibit C-3  
"Financial Statements"  
Summit Power Brokers

2014 Cash Flow Statement

Cash Flow Year 3	Jan	Feb	March	April	May	June	July	Aug	Sep	Oct	Nov	Dec	TOTALS
<b>CASH-IN</b>	1	2	3	4	5	6	7	8	9	10	11	12	
<b>Beginning Cash Balance</b>	(\$6,481)	(\$6,583)	(\$6,887)	(\$6,920)	(\$7,142)	(\$7,388)	(\$7,708)	(\$7,271)	(\$7,376)	(\$6,562)	(\$7,375)	(\$6,753)	
New Cash Injection for Working Capital	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
<b>Cash Received from Operations</b>													
Total Electric Commission	\$2,002	\$2,166	\$2,368	\$2,204	\$2,119	\$2,227	\$2,326	\$2,550	\$2,350	\$2,651	\$3,081	\$2,168	\$28,211
Total Gas Commission	23	49	146	427	257	117	42	18	17	17	30	111	1,253
<b>TOTAL CASH-IN</b>	\$2,025	\$2,215	\$2,514	\$2,631	\$2,376	\$2,343	\$2,367	\$2,568	\$2,367	\$2,668	\$3,110	\$2,279	\$29,464
<b>CASH-OUT</b>													
Cost of Goods Sold													\$0
(Purchases on Account)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Payment of Current Accounts Payable	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Total Fixed (Equipment) Asset F	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
<b>Operating Expenses</b>													
Advertising								249	28	50			412
Website Design	-		450	550									1,000
Dues and Subscriptions										200			200
Lodging and Meals													-
Office - Rent							200		200	200	200	200	1,000
Office - Answering Service	75	75	75	75	75	102							527
Office - Internet - Phone - Fax	220	324	151	253	260	254	219	219	252		218		2,310
Payroll - Robert Haehn	1,115	1,285	1,385	1,545	1,490	1,295	1,505	1,780	534	1,600	1,715	2,130	17,379
Office Expense				64		30			30	64		30	218
Payroll Taxes													\$0
Professional Fees													\$0
Legal Fees				140	70								210
Auto Expense									140	1,012			1,152
Tolls													
Cell Phone	140	120	111	130	130	120	122	126	125	125	125	126	1,500
Utilities													
Interest Expense - Credit Card	81	97	113	123	169	171	177	140	176	178	177	140	1,741
CC Pmt (less int. expense)	481	619	232	(28)	429	691	(74)	159	9	51	54	66	2,690
Misc.	15	30	30						60			80	185
<b>TOTAL CASH-OUT</b>	\$2,127	\$2,520	\$2,547	\$2,852	\$2,623	\$2,663	\$1,930	\$2,673	\$1,553	\$3,480	\$2,489	\$3,067	\$30,524
<b>Net Cash Flow</b>	(\$102)	(\$304)	(\$33)	(\$221)	(\$247)	(\$320)	\$437	(\$105)	\$814	(\$812)	\$622	(\$787)	(\$1,059)
<b>Ending Cash Balance</b>	(\$6,583)	(\$6,887)	(\$6,920)	(\$7,142)	(\$7,388)	(\$7,708)	(\$7,271)	(\$7,376)	(\$6,562)	(\$7,375)	(\$6,753)	(\$7,540)	(\$7,540)



Exhibit C-3  
"Financial Statements"  
Summit Power Brokers

## Income Statement

<b>Sales</b>	<b>2014</b>
<b>Total Sales</b>	<b>\$29,464</b>
<b>Cost of Sales</b>	<b>\$0</b>
<b>Depreciation</b>	<b>-</b>
<b>Total Cost of Goods Sold</b>	<b>\$0</b>
<b>GROSS PROFIT MARGIN</b>	<b>\$29,464</b>
<b>Gross Profit %</b>	<b>100.00%</b>
<b>Operating Expenses</b>	
Advertising	\$2,715
Website Design	\$1,556
Dues and Subscriptions	\$200
Lodging and Meals	\$1,655
Office - Rent	\$2,171
Office - Answering Service	\$793
Office - Internet - Phone - Fax	\$3,041
Payroll - Robert Haehn	\$17,379
Office Expense	\$1,236
Payroll Taxes	
Professional Fees	
Legal Fees	\$1,250
Auto Expense	\$2,882
Tolls	
Cell Phone	\$1,433
Utilities	\$237
Interest Expense - Credit Card	\$1,741
CC Pmt (less int. expense)	\$2,690
Misc.	\$964
0	\$0
<b>Total Expenses</b>	<b>\$41,943</b>
<b>Net Profit</b>	<b>(\$12,479)</b>
<b>% of Sales</b>	<b>-42.35%</b>

Exhibit C-3  
"Financial Statements"  
Summit Power Brokers

## Balance Sheet Year 2014

### ASSETS

Current Assets	
Cash	(\$7,540)
Accounts Receivable	\$0
Inventory	\$0
Other	\$0
<b>Total Current Assets</b>	<b>(\$7,540)</b>

Long Terms / Fixed Assets	
Land and Building	\$0
Furniture, Fixtures and Equipment	\$0
Less: Accumulated Depreciation	\$0
Other	\$0
<b>Total Fixed Assets</b>	<b>\$0</b>

Intangible Assets	
Patents / Trademarks / Copyrights	\$0
Goodwill	\$0
<b>Total Intangible Assets</b>	<b>\$0</b>

<b>TOTAL ASSETS</b>	<b>(\$7,540)</b>
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### LIABILITIES

Current Liabilities	
Note Payable	\$0
Accounts Payable	\$0
Wages/Payroll Expenses/Commissions Payable	\$0
Current Long Term Debt	\$0
Other	\$0
<b>Total Current Liabilities</b>	<b>\$0</b>

Long Term Liabilities	
Long Term Debt	\$6,916
Other	\$0
<b>Total Long Term Liabilities</b>	<b>\$6,916</b>

<b>Total Liabilities</b>	<b>\$6,916</b>
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### OWNERS EQUITY

Owner's Equity	(\$14,456)
<b>Total Equity</b>	<b>(\$14,456)</b>

<b>TOTAL LIABILITIES AND EQUITY</b>	<b>(\$7,540)</b>
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Exhibit C-3  
"Financial Statements"  
Summit Power Brokers

2015 Cash Flow Statement

Cash Flow												TOTALS
Year 4												
Jan	Feb	March	April	May	June	July	Aug	Sep	Oct	Nov	Dec	
1	2	3	4	5	6	7	8	9	10	11	12	
<b>CASH IN</b>												
Beginning Cash Balance	(\$7,540)	(\$5,710)	(\$3,500)	(\$901)	\$674	\$1,845	(\$755)	\$2,293	\$3,328	\$2,811	\$4,388	
New Cash Injection for Working Capital	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
<b>Cash Received from Operations</b>												
Total Electric Commission	\$3,578	\$3,995	\$4,172	\$3,718	\$3,367	\$3,379	\$3,471	\$3,429	\$3,568	\$3,436	\$3,587	\$43,654
Total Gas Commission	794	1,253	2,117	1,744	951	341	578	137	113	109	472	8,731
<b>TOTAL CASH-IN</b>	\$4,372	\$5,248	\$6,289	\$5,462	\$4,318	\$3,720	\$4,048	\$3,566	\$3,682	\$3,545	\$4,059	\$52,385
<b>CASH OUT</b>												
Cost of Goods Sold												
(Purchases on Account)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Payment of Current Accounts Payable	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Total Fixed (Equipment) Asset F	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
<b>Operating Expenses</b>												
Advertising	148	189	91	300			49					778
Website Design	-	700	700	400	200	200	200	200				2,600
Dues and Subscriptions												-
Lodging and Meals												-
Office - Rent	200	200	200	200	200	200	200	200	200			2,000
Office - Answering Service	50	50		50	50		17					217
Office - Internet - Phone - Fax	132	123	165	129	63	436	98	117	104	158	105	1,631
Payroll - Robert Haehn	1,425	1,250	1,300	1,900	1,600	4,200	2,000	2,200	3,300	1,450	4,900	25,825
Office Expense			30	128	30	30		30			30	248
Payroll Taxes									200			\$0
Professional Fees												200
Legal Fees	193	350	400									943
Auto Expense												-
Tolls												
Cell Phone	58	121	163		159	148	77	187	101	106		1,120
Utilities												-
Interest Expense - Credit Card	135	178	209	179	133	139	134	140	138	129	177	1,826
CC Pmt (less int. expense)	202	(123)	391	609	391	917	366	250	232	114	78	3,551
Misc.			40	343	50			50	221	50		754
	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
<b>TOTAL CASH-OUT</b>	\$2,542	\$3,038	\$3,690	\$3,887	\$3,147	\$6,320	\$1,000	\$3,082	\$3,526	\$4,198	\$1,968	\$41,693
<b>Net Cash Flow</b>	\$1,830	\$2,210	\$2,599	\$1,575	\$1,171	(\$2,600)	\$3,048	\$485	(\$517)	\$1,577	(\$1,236)	\$10,692
<b>Ending Cash Balance</b>	(\$5,710)	(\$3,500)	(\$901)	\$674	\$1,845	(\$755)	\$2,293	\$2,778	\$2,811	\$4,388	\$3,152	\$3,152

Exhibit C-3  
"Financial Statements"  
Summit Power Brokers

## Income Statement

<b>Sales</b>	<b>2015</b>
<b>Total Sales</b>	<b>\$52,385</b>
<b>Cost of Sales</b>	<b>\$0</b>
<b>Depreciation</b>	<b>-</b>
<b>Total Cost of Goods Sold</b>	<b>\$0</b>
<b>GROSS PROFIT MARGIN</b>	<b>\$52,385</b>
<b>Gross Profit %</b>	<b>100.00%</b>
<b>Operating Expenses</b>	
Advertising	\$1,290
Website Design	\$2,784
Dues and Subscriptions	\$0
Lodging and Meals	\$2,476
Office - Rent	\$2,000
Office - Answering Service	\$0
Office - Internet - Phone - Fax	\$1,883
Payroll - Robert Haehn	\$25,825
Office Expense	\$637
Payroll Taxes	\$0
Professional Fees	\$200
Legal Fees	\$0
Auto Expense	\$1,013
Tolls	\$0
Cell Phone	\$1,153
Utilities	\$284
Interest Expense - Credit Card	\$1,835
CC Pmt (less int. expense)	
Misc.	\$3,611
	0
	\$0
<b>Total Expenses</b>	<b>\$44,990</b>
<b>Net Profit</b>	<b>\$7,395</b>
<b>% of Sales</b>	<b>14.12%</b>

Exhibit C-3  
"Financial Statements"  
Summit Power Brokers

## Balance Sheet Year 2015

### ASSETS

Current Assets	
Cash	\$3,152
Accounts Receivable	\$0
Inventory	\$0
Other	\$0
<b>Total Current Assets</b>	<b>\$3,152</b>
Long Terms / Fixed Assets	
Land and Building	\$0
Furniture, Fixtures and Equipment	\$0
Less: Accumulated Depreciation	\$0
Other	\$0
<b>Total Fixed Assets</b>	<b>\$0</b>
Intangible Assets	
Patents / Trademarks / Copyrights	\$0
Goodwill	\$0
<b>Total Intangible Assets</b>	<b>\$0</b>

<b>TOTAL ASSETS</b>	<b>\$3,152</b>
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### LIABILITIES

Current Liabilities	
Note Payable	\$0
Accounts Payable	\$0
Wages/Payroll Expenses/Commissions Payable	\$0
Current Long Term Debt	\$0
Other	\$0
<b>Total Current Liabilities</b>	<b>\$0</b>
Long Term Liabilities	
Long Term Debt	\$7,044
Other	\$0
<b>Total Long Term Liabilities</b>	<b>\$7,044</b>

<b>Total Liabilities</b>	<b>\$7,044</b>
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### OWNERS EQUITY

Owner's Equity	(\$3,892)
<b>Total Equity</b>	<b>(\$3,892)</b>

<b>TOTAL LIABILITIES AND EQUITY</b>	<b>\$3,152</b>
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Exhibit C-4

“Financial Arrangements”

Summit Power Brokers

Exhibit C-4 “Financial Arrangements” is not applicable to Summit Power Brokers. Summit Power Brokers is licensed as a commercial Energy broker and does not take title to Electric.

Exhibit C-5

“Forecasted Financial Statements”

Summit Power Brokers

Prepared by:

Robert C. Haehn

P.O. Box 2285

Stow, Ohio 44224

Email: [rob@summitpowerbrokers.com](mailto:rob@summitpowerbrokers.com)

Phone: 330-208-0335

Education: Bachelor of Business Administration, Major: Finance, Kent State University May 2000

Master of Business Administration, Concentration: Finance, Kent State University May 2005

Exhibit C-5  
“Forecasted Financial Statements”  
Summit Power Brokers

**List of Assumptions**

Forecasted Financial Statement of Cash Flows are based on existing Monthly Cash Flows from 2015 Cash Flow Statement at 100% Retention Rate per month. The new forecasted monthly revenue from Natural Gas and Electric commissions are then added to the existing monthly cash flows.

**Natural Gas**

Forecasted Financials are based on 24,000 new mcf customers in year 1 and 36,000 new mcf customers in year 2

Commission rate is \$.15 per mcf

Customer Retention Rate for new accounts is 75% after year 1

Rolling Residual is defined as recurring commission that is received after the first month of applicable commissions

LLC may accept short term operational loans made available from owner Robert C. Haehn

**Electric**

Financials are based on 36 million new kilowatt hour customers in year 1 and 48 million new Kilowatt hour customers in year 2

Commission rate is .003 per kilowatt hour

Customer Retention Rate for new accounts is 75% after year 1

Rolling Residual is defined as recurring commission that is received after the first month of applicable commissions

LLC may accept short term operational loans made available from owner Robert C. Haehn



Exhibit C-5  
"Forecasted Financial Statements"  
Summit Power Brokers

2 Year Forecasted Revenues in Kilowatt Hours - 2016 - 2017

	Jan	Feb	March	April	May	June	July	Aug	Sept	Oct	Nov	Dec
1	2	3	4	5	6	7	8	9	10	11	12	
New kWh sold (estimated year average)	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000
Existing base load @ 20¢/kWh	250,000	250,000	250,000	250,000	250,000	250,000	250,000	250,000	250,000	250,000	250,000	250,000
Existing residential @ 10¢/kWh	250,000	250,000	500,000	750,000	1,000,000	1,250,000	1,500,000	1,750,000	2,000,000	2,250,000	2,500,000	2,750,000
Total residential kWh	250,000	500,000	750,000	1,000,000	1,250,000	1,500,000	1,750,000	2,000,000	2,250,000	2,500,000	2,750,000	3,000,000
Total kWh sold (estimated 2016)	\$750	\$1,500	\$2,250	\$3,000	\$3,750	\$4,500	\$5,250	\$6,000	\$6,750	\$7,500	\$8,250	\$9,000

Jan	Feb	March	April	May	June	July	Aug	Sept	Oct	Nov	Dec
13	14	15	16	17	18	19	20	21	22	23	24
4,000,000	4,000,000	4,000,000	4,000,000	4,000,000	4,000,000	4,000,000	4,000,000	4,000,000	4,000,000	4,000,000	4,000,000
333,333	333,333	333,333	333,333	333,333	333,333	333,333	333,333	333,333	333,333	333,333	333,333
3,000,000	2,583,333	2,916,667	3,250,000	3,583,333	3,916,667	4,250,000	4,583,333	4,916,667	5,250,000	5,583,333	5,916,667
750,000	645,833	729,167	812,500	895,833	979,167	1,062,500	1,145,833	1,229,167	1,312,500	1,395,833	1,479,167
2,250,000	1,937,500	2,187,500	2,437,500	2,687,500	2,937,500	3,187,500	3,437,500	3,687,500	3,937,500	4,187,500	4,437,500
2,583,333	2,916,667	3,250,000	3,583,333	3,916,667	4,250,000	4,583,333	4,916,667	5,250,000	5,583,333	5,916,667	6,250,000
\$7,750	\$8,750	\$9,750	\$10,750	\$11,750	\$12,750	\$13,750	\$14,750	\$15,750	\$16,750	\$17,750	\$18,750

Exhibit C-5  
"Forecasted Financial Statements"  
Summit Power Brokers

2 Year Forecasted Revenues in MCF - 2016 - 2017

	Jan	Feb	March	April	May	June	July	Aug	Sept	Oct	Nov	Dec
New net sales (stated in Yearly Budget)	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000
New net sales (stated in Yearly Budget)	167	167	167	167	167	167	167	167	167	167	167	167
New net sales (stated in Yearly Budget)	167	167	333	500	667	833	1,000	1,167	1,333	1,500	1,667	1,833
New net sales (stated in Yearly Budget)	167	333	500	667	833	1,000	1,167	1,333	1,500	1,667	1,833	2,000
Right to use forecasted \$5.00 cents per kWh	\$25	\$50	\$75	\$100	\$125	\$150	\$175	\$200	\$225	\$250	\$275	\$300

	Jan	Feb	March	April	May	June	July	Aug	Sept	Oct	Nov	Dec
New net sales (stated in Yearly Budget)	3,000	3,000	3,000	3,000	3,000	3,000	3,000	3,000	3,000	3,000	3,000	3,000
New net sales (stated in Yearly Budget)	250	250	250	250	250	250	250	250	250	250	250	250
New net sales (stated in Yearly Budget)	2,000	1,750	2,000	2,250	2,500	2,750	3,000	3,250	3,500	3,750	4,000	4,250
New net sales (stated in Yearly Budget)	500	438	500	563	625	688	750	813	875	938	1,000	1,063
New net sales (stated in Yearly Budget)	1,500	1,313	1,500	1,688	1,875	2,063	2,250	2,438	2,625	2,813	3,000	3,188
New net sales (stated in Yearly Budget)	1,750	2,000	2,250	2,500	2,750	3,000	3,250	3,500	3,750	4,000	4,250	4,500
Right to use forecasted \$5.00 cents per kWh	\$263	\$300	\$338	\$375	\$413	\$450	\$488	\$525	\$563	\$600	\$638	\$675

**Exhibit C-5**  
**"Forecasted Financial Statements"**  
**Summit Power Brokers**

**2016 Forecasted Cash Flow Statement**

Cash Flow													
Year 1 Forecast - 2016	Jan	Feb	March	April	May	June	July	Aug	Sep	Oct	Nov	Dec	TOTALS
	1	2	3	4	5	6	7	8	9	10	11	12	
CASH-IN													
Beginning Cash Balance	\$3,152	\$4,129	\$6,757	\$11,201	\$15,592	\$19,616	\$23,816	\$29,119	\$34,715	\$41,595	\$48,857	\$56,757	
New Cash Injection for Working Capital	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Cash Received from Operations													
Total Sales													
2015 Electric	\$3,578	\$3,995	\$4,172	\$3,718	\$3,367	\$3,379	\$3,471	\$3,429	\$3,953	\$3,568	\$3,436	\$3,587	\$43,654
New Electric	\$750	\$1,500	\$2,250	\$3,000	\$3,750	\$4,500	\$5,250	\$6,000	\$6,750	\$7,500	\$8,250	\$9,000	\$58,500
2015 Gas	\$794	\$1,253	\$2,117	\$1,744	\$951	\$341	\$578	\$137	\$122	\$113	\$109	\$472	\$8,731
New Gas	\$25	\$50	\$75	\$100	\$125	\$150	\$175	\$200	\$225	\$250	\$275	\$300	\$1,950
													\$0
TOTAL CASH-IN	\$5,147	\$6,798	\$8,614	\$8,562	\$8,193	\$8,370	\$9,473	\$9,766	\$11,050	\$11,432	\$12,070	\$13,359	\$112,835
CASH-OUT													
Cost of Goods Sold													
(Purchases on Account)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Payment of Current Accounts Payable	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Total Fixed (Equipment) Asset F	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Operating Expenses													
Advertising	400	400	400	400	400	400	400	400	400	400	400	400	4,800
Website Design	100	100	100	100	100	100	100	100	100	100	100	100	1,200
Dues and Subscriptions	100	100	100	100	100	100	100	100	100	100	100	100	1,200
Lodging and Meals	125	125	125	125	125	125	125	125	125	125	125	125	1,500
Office - Rent	155	155	155	155	155	155	155	155	155	155	155	155	1,860
Office - Answering Service	74	74	74	74	74	74	74	74	74	74	74	74	888
Office - Internet - Phone - Fax	249	249	249	249	249	249	249	249	249	249	249	249	2,988
Payroll Officer	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000	24,000
Office Expense	149	149	149	149	149	149	149	149	149	149	149	149	1,788
Payroll Taxes 13.3%	266	266	266	266	266	266	266	266	266	266	266	266	3,192
Professional Fees	-	-	-	-	-	-	-	-	-	-	-	-	\$0
Legal Fees	75	75	75	75	75	75	75	75	75	75	75	75	900
Auto Expense	75	75	75	75	75	75	75	75	75	75	75	75	900
Tolls													
Cell Phone	149	149	149	149	149	149	149	149	149	149	149	149	1,788
Utilities	33	33	33	33	33	33	33	33	33	33	33	33	396
Interest Expense - Credit Card	75	75	75	75	75	75	75	75	75	75	75	75	900
CC Pmt (less int. expense)	110	110	110	110	110	110	110	110	110	110	110	110	1,320
Misc.	35	35	35	35	35	35	35	35	35	35	35	35	420
	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL CASH-OUT	\$4,170	\$4,170	\$4,170	\$4,170	\$4,170	\$4,170	\$4,170	\$4,170	\$4,170	\$4,170	\$4,170	\$4,170	\$50,040
Net Cash Flow	\$977	\$2,628	\$4,444	\$4,392	\$4,023	\$4,200	\$5,303	\$5,596	\$6,880	\$7,262	\$7,900	\$9,189	\$62,795
Ending Cash Balance	\$4,129	\$6,757	\$11,201	\$15,592	\$19,616	\$23,816	\$29,119	\$34,715	\$41,595	\$48,857	\$56,757	\$65,947	\$65,947

## Income Statement

<b>Sales</b>	<b>2016</b>
<b>Total Sales</b>	<b>\$112,835</b>
<b>Cost of Sales</b>	<b>\$0</b>
<b>Depreciation</b>	
<b>Total Cost of Goods Sold</b>	<b>\$0</b>
<b>GROSS PROFIT MARGIN</b>	<b>\$112,835</b>
<b>Gross Profit %</b>	<b>100.00%</b>
<b>Operating Expenses</b>	
Advertising	\$4,800
Website Design	\$1,200
Dues and Subscriptions	\$1,200
Lodging and Meals	\$1,500
Office - Rent	\$1,860
Office - Answering Service	\$888
Office - Internet - Phone - Fax	\$2,988
Payroll Office	\$24,000
Office Expense	\$1,788
Payroll Taxes 13.3%	\$3,192
Professional Fees	\$0
Legal Fees	\$900
Auto Expense	\$900
Tolls	
Cell Phone	\$1,788
Utilities	\$396
Interest Expense - Credit Card	\$900
CC Pmt (less int. expense)	\$1,320
Misc.	\$420
0	\$0
<b>Total Expenses</b>	<b>\$50,040</b>
<b>Net Profit</b>	<b>\$62,795</b>
<b>% of Sales</b>	<b>55.65%</b>

Exhibit C-5  
"Forecasted Financial Statements"

Summit Power Brokers

## Balance Sheet Year 2016

### ASSETS

Current Assets	
Cash	\$65,947
Accounts Receivable	\$0
Inventory	\$0
Other	\$0
<b>Total Current Assets</b>	<b>\$65,947</b>

Long Terms / Fixed Assets	
Land and Building	\$0
Furniture, Fixtures and Equipment	\$0
Less: Accumulated Depreciation	\$0
Other	\$0
<b>Total Fixed Assets</b>	<b>\$0</b>

Intangible Assets	
Patents / Trademarks / Copyrights	\$0
Goodwill	\$0
<b>Total Intangible Assets</b>	<b>\$0</b>

<b>TOTAL ASSETS</b>	<b>\$65,947</b>
---------------------	-----------------

### LIABILITIES

Current Liabilities	
Note Payable	\$0
Accounts Payable	\$0
Wages/Payroll Expenses/Commissions Payable	\$0
Current Long Term Debt	\$0
Other	\$0
<b>Total Current Liabilities</b>	<b>\$0</b>

Long Term Liabilities	
Long Term Debt	\$7,000
Other	\$0
<b>Total Long Term Liabilities</b>	<b>\$7,000</b>

<b>Total Liabilities</b>	<b>\$7,000</b>
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### OWNERS EQUITY

Owner's Equity	\$58,947
<b>Total Equity</b>	<b>\$58,947</b>

<b>TOTAL LIABILITIES AND EQUITY</b>	<b>\$65,947</b>
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**Exhibit C-5**  
**"Forecasted Financial Statements"**  
**Summit Power Brokers**

**2017 Forecasted Cash Flow Statement**

Cash Flow												
Year 2 Forecast - 2017	Jan	Feb	March	April	May	June	July	Aug	Sep	Oct	Nov	Dec
	1	2	3	4	5	6	7	8	9	10	11	12
TOTALS												
CASH IN												
Beginning Cash Balance	\$65,947	\$71,730	\$79,427	\$89,202	\$99,188	\$109,068	\$119,387	\$131,071	\$143,312	\$157,098	\$171,529	\$186,861
New Cash Injection for Working Capital	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0
Cash Received from Operations												
Total Sales												
2015 Electric	\$3,578	\$3,995	\$4,172	\$3,718	\$3,367	\$3,379	\$3,471	\$3,429	\$3,963	\$3,568	\$3,436	\$3,587
New Electric	\$7,750	\$8,750	\$9,750	\$10,750	\$11,750	\$12,750	\$13,750	\$14,750	\$15,750	\$16,750	\$17,750	\$18,750
2015 Gas	\$794	\$1,253	\$2,117	\$1,744	\$951	\$341	\$578	\$137	\$122	\$113	\$109	\$472
New Gas	\$263	\$300	\$338	\$375	\$413	\$450	\$488	\$525	\$563	\$600	\$638	\$675
TOTAL CASH-IN	\$12,384	\$14,298	\$16,377	\$16,587	\$16,481	\$16,920	\$18,286	\$18,841	\$20,388	\$21,032	\$21,933	\$23,484
CASH OUT												
Cost of Goods Sold												
(Purchases on Account)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Payment of Current Accounts Pay	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Total Fixed (Equipment) Asset F	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Operating Expenses												
Advertising	700	700	700	700	700	700	700	700	700	700	700	700
Website Design	100	100	100	100	100	100	100	100	100	100	100	100
Dues and Subscriptions	249	249	249	249	249	249	249	249	249	249	249	249
Lodging and Meals	349	349	349	349	349	349	349	349	349	349	349	349
Office - Rent	500	500	500	500	500	500	500	500	500	500	500	500
Office - Answering Service	74	74	74	74	74	74	74	74	74	74	74	74
Office - Internet - Phone - Fax	249	249	249	249	249	249	249	249	249	249	249	249
Payroll Officer	3,000	3,000	3,000	3,000	3,000	3,000	3,000	3,000	3,000	3,000	3,000	3,000
Office Expense	149	149	149	149	149	149	149	149	149	149	149	149
Payroll Taxes 15.3%	459	459	459	459	459	459	459	459	459	459	459	459
Professional Fees	-	-	-	-	-	-	-	-	-	-	-	-
Legal Fees	149	149	149	149	149	149	149	149	149	149	149	149
Auto Expense	135	135	135	135	135	135	135	135	135	135	135	135
Tolls												
Cell Phone	149	149	149	149	149	149	149	149	149	149	149	149
Utilities	55	55	55	55	55	55	55	55	55	55	55	55
Interest Expense - Credit Card	75	75	75	75	75	75	75	75	75	75	75	75
CC Pmt (less int. expense)	110	110	110	110	110	110	110	110	110	110	110	110
Misc.	99	99	99	99	99	99	99	99	99	99	99	99
	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL CASH-OUT	\$6,601	\$6,601	\$6,601	\$6,601	\$6,601	\$6,601	\$6,601	\$6,601	\$6,601	\$6,601	\$6,601	\$6,601
Net Cash Flow	\$5,783	\$7,697	\$9,776	\$9,986	\$9,880	\$10,319	\$11,685	\$12,240	\$13,787	\$14,431	\$15,332	\$16,883
Ending Cash Balance	\$71,730	\$79,427	\$89,202	\$99,188	\$109,068	\$119,387	\$131,071	\$143,312	\$157,098	\$171,529	\$186,861	\$203,744

Exhibit C-5  
"Forecasted Financial Statements"  
Summit Power Brokers

## Income Statement

<b>Sales</b>	<b>2017</b>
<b>Total Sales</b>	<b>\$217,010</b>
<b>Cost of Sales</b>	<b>\$0</b>
<b>Depreciation</b>	<b>-</b>
<b>Total Cost of Goods Sold</b>	<b>\$0</b>
<b>GROSS PROFIT MARGIN</b>	<b>\$217,010</b>
<b>Gross Profit %</b>	<b>100.00%</b>
<b>Operating Expenses</b>	
Advertising	\$8,400
Website Design	\$1,200
Dues and Subscriptions	\$2,988
Lodging and Meals	\$4,188
Office - Rent	\$6,000
Office - Answering Service	\$888
Office - Internet - Phone - Fax	\$2,988
Payroll Office	\$36,000
Office Expense	\$1,788
Payroll Taxes 15.3%	\$5,508
Professional Fees	\$0
Legal Fees	\$1,788
Auto Expense	\$1,620
Tolls	
Cell Phone	\$1,788
Utilities	\$660
Interest Expense - Credit Card	\$900
CC Pmt (less int. expense)	\$1,320
Misc.	\$1,188
	0
	\$0
<b>Total Expenses</b>	<b>\$79,212</b>
<b>Net Profit</b>	<b>\$137,798</b>
<b>% of Sales</b>	<b>63.50%</b>

Exhibit C-5  
"Forecasted Financial Statements"

Summit Power Brokers

## Balance Sheet Year 2017

### ASSETS

Current Assets	
Cash	\$203,744
Accounts Receivable	\$0
Inventory	\$0
Other	\$0
<b>Total Current Assets</b>	<b>\$203,744</b>

Long Terms / Fixed Assets	
Land and Building	\$0
Furniture, Fixtures and Equipment	\$0
Less: Accumulated Depreciation	\$0
Other	\$0
<b>Total Fixed Assets</b>	<b>\$0</b>

Intangible Assets	
Patents / Trademarks / Copyrights	\$0
Goodwill	\$0
<b>Total Intangible Assets</b>	<b>\$0</b>

<b>TOTAL ASSETS</b>	<b>\$203,744</b>
---------------------	------------------

### LIABILITIES

Current Liabilities	
Note Payable	\$0
Accounts Payable	\$0
Wages/Payroll Expenses/Commissions Payable	\$0
Current Long Term Debt	\$0
Other	\$0
<b>Total Current Liabilities</b>	<b>\$0</b>

Long Term Liabilities	
Long Term Debt	\$5,500
Other	\$0
<b>Total Long Term Liabilities</b>	<b>\$5,500</b>

<b>Total Liabilities</b>	<b>\$5,500</b>
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### OWNERS EQUITY

Owner's Equity	\$198,244
<b>Total Equity</b>	<b>\$198,244</b>

<b>TOTAL LIABILITIES AND EQUITY</b>	<b>\$203,744</b>
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## Exhibit C-6

### "Credit Rating"

#### Summit Power Brokers

Summit Power Brokers started Energy brokerage services on June 1, 2012. The company acquired a DUNS number in 2014 but does not yet have a credit rating.

## SUMMIT POWER BROKERS LLC

D-U-N-S® 05-307-0886

Single  
4381 Hudson Drive  
Columbus, OH 43224

Phone 330-208-0052

## Business Background Report

Purchase Date: 02/17/2016

Last Update Date: 03/25/2014

Attention: am

### Executive Summary

#### Company Info

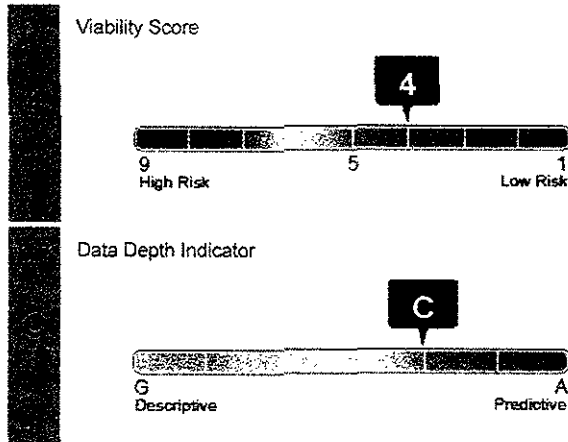
Year Started 2012  
Employees UNDETERMINED  
Trade Styles SUMMIT POWER BROKERS

#### D&B Rating

D&B Rating

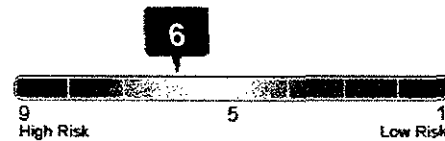
#### D&B Viability Rating

D&B Viability Rating



#### Portfolio Comparison

6



#### Company Profile

Financial Data	Trade Payments	Company Size	Years in Business
Not Available	Available (3+Trade)	Small	Young

### Business Information

#### Business Summary

SIC 4931  
Electric and other services combined

NAICS 221118  
Other Electric Power Generation

History Status INCOMPLETE

#### Credit Capacity Summary

##### D&B Rating

Prior D&B Rating --

Rating Date 02/28/2014

Payment Activity (based on 3 experiences) USD

Average High Credit \$1,783

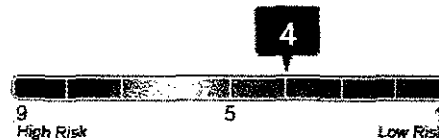
Highest Credit 5,000

Total Highest Credit 5,350

#### D&B Viability Rating

assessment of the probability that a company will no longer be in business within the next 12 months.

## Viability Score

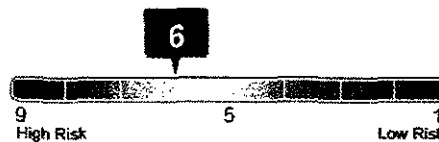


Compared to All US Businesses within D&B Database:

- Level of risk: **Low Risk**
- Businesses ranked 4 have a probability of becoming no longer viable: 5%
- Percentage of businesses ranked 4: 14%
- Across all US businesses, the average probability of becoming no longer viable: 14%

6

## Portfolio Comparison

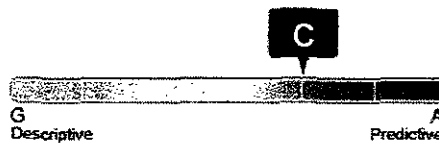


Compared to all Businesses within the same MODEL SEGMENT:

Model Segment: **Established Trade Payments**

- Level of risk: **Moderate Risk**
- Businesses ranked 6 within this model segment have a probability of becoming no longer viable: 5%
- Percentage of businesses ranked 6 within this model segment: 9%
- Within this model segment, the average probability of becoming no longer viable: 5%

## Data Depth Indicator



Data Depth Indicator Details:

- ✓ Rich Firmographics
- ✓ Extensive Commercial Trading Activity
- ✗ No Financial Attributes

Greater data depth can increase the precision of the D&B Viability Rating assessment.

You have the ability to influence the confidence of the viability assessment by asking the business to report more information to D&B at <https://update.dnb.com/Update/>

## Company Profile

Financial Data	Trade Payments	Company Size	Years in Business
Not Available	Available (3+Trade)	Small	Young

Company Profile Details:

- Financial Data: **Not Available**
- Trade Payments: **Available (3+Trade)**
- Business Size: **Small** (Employees: <10 and Sales: <\$10K or Missing)

• Years in Business: Young (<5)

## Business History

Officers ROBERT HAEHN, MBR-PRES

As of 03/25/2014

The Ohio Secretary of State's business registrations file showed that SUMMIT POWER BROKERS, LLC was registered as a Limited Liability Company on January 24, 2012. Although this company operates as a limited liability company, the members have elected to use officer titles to denote areas of responsibility.

Business started 2012.

ROBERT HAEHN. Work history unknown.

## Business Registration

CORPORATE AND BUSINESS REGISTRATIONS REPORTED BY THE SECRETARY OF STATE OR OTHER OFFICIAL SOURCE AS OF Feb 05 2016:

Registered Name	SUMMIT POWER BROKERS, LLC	Registration ID	2078238	Filing Date	01/24/2012
		Status	ACTIVE	Registered Agent	ROBERT C. HAEHN 4110 BRIDGEWATER PARKWAY, STE 201, STOW, OH 442240000
State of Incorporation	OHIO	Where Filed	SECRETARY OF STATE/CORPORATIONS DIVISION, COLUMBUS, OH		

## Government Activity Summary

Activity Summary		Possible candidate for socioeconomic program consideration			
Borrower	No	Labor Surplus Area	N/A	Small Disadvantaged	No
Administrative Debt	No	Small Business	YES (2016)	HUB-Zoned Certified	No
Grantee	No	Women Owned	N/A	Historically Under Utilized	No
Party Excluded from Federal Programs	No	Minority Owned	N/A	Veteran Owned	No
Public Company	N/A	Disadvantaged Business Enterprise	No	Vietnam Veteran Owned	No
Congressional District	11, 14, 13	Ethnicity Classification	N/A	Disabled Owned	No
Contractor	No			Historical College Classification	N/A
Importer/Exporter	N/A				

The details provided in the Government Activity section are as reported to Dun & Bradstreet by the federal government and other sources.

## Operations Data

As of 03/25/2014

Description: Provides electric and other services combined.

Employees: UNDETERMINED which includes partners.

Facilities: Occupies premises in building.

Location: Suburban business section on well traveled street.

## Industry Data

### SIC

Code	Description
49310000	Electric and other services combined

### NAICS

Code	Description
221118	Other Electric Power Generation

## Exhibit C-8

### "Bankruptcy Information"

#### Summit Power Brokers

Summit Power Brokers does not have reorganizations, protection from creditors or any other form of bankruptcy filings to report. Summit Power Brokers does not have parent or affiliate organizations.

Exhibit C-9

“Merger Information”

Summit Power Brokers

Summit Power Brokers does not have dissolution, merger or acquisition to report.