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Date Received	Case Number	Version
	15-1742-EL-AGG	December 2014

15-1742-EL-AGG

CERTIFICATION APPLICATION FOR ELECTRIC AGGREGATORS/ POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-5 Experience). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form.
You may also download the form, by saving it to your local disk, for later use.

A. APPLICANT INFORMATION

A-1 Applicant's legal name, address, telephone number and web site address

Legal Name Capitol Area Energy, Inc.
Address 14141 W Highway 290, Bldg 600, Austin, TX 78737-9340
Telephone # (512) 894-2060 Web site address (if any) www.capitolareaenergy.com

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name Capitol Area Energy, Inc.
Address 14141 W Highway 290, Bldg 600, Austin, TX 78737-9340
Telephone # (512) 894-2060 Web site address (if any) www.capitolareaenergy.com

A-3 List all names under which the applicant does business in North America

Capitol Area Energy, Inc.

A-4 Contact person for regulatory or emergency matters

Name David Babin
Title President / CEO

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician _____ Date Processed OCT 13 2015

RECEIVED-DOCKETING DIV
2015 OCT 13 AM 10:24
PUCO

Business address 14141 W Highway 290, Bldg 600, Austin, TX 78737-9340
Telephone # (512) 894-2060 Fax # (512) 829-5195
E-mail address dbabin@capitolareaenergy.com

A-5 Contact person for Commission Staff use in investigating customer complaints

Name David Babin
Title President / CEO
Business address 14141 W Highway 290, Bldg 600, Austin, TX 78737-9340
Telephone # (512) 894-2060 Fax # (512) 829-5195
E-mail address dbabin@capitolareaenergy.com

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address 14141 W Highway 290, Bldg 600, Austin, TX 78737-9340
Toll-free Telephone # _____ Fax # (512) 829-5195
E-mail address dbabin@capitolareaenergy.com

A-7 Applicant's federal employer identification number # 364488675

A-8 Applicant's form of ownership (check one)

- ☐ Sole Proprietorship ☐ Partnership
☐ Limited Liability Partnership (LLP) ☐ Limited Liability Company (LLC)
☒ Corporation ☐ Other _____

A-9 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).

- | | | | | |
|---|--------------------------------------|--|-------------------------------------|--|
| <input checked="" type="checkbox"/> First Energy | | | | |
| <input checked="" type="checkbox"/> Ohio Edison | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Toledo Edison | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Cleveland Electric Illuminating | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Duke Energy | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Monongahela Power | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> American Electric Power | | | | |
| <input checked="" type="checkbox"/> Ohio Power | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Columbus Southern Power | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Dayton Power and Light | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |

- A-10** Provide the approximate start date that the applicant proposes to begin delivering services
September 29, 2015

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-11** **Exhibit A-11 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-12** **Exhibit A-12 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.
- A-13** **Exhibit A-13 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-14** **Exhibit A-14 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.
- A-15** **Exhibit A-15 "Secretary of State,"** provide evidence that the applicant has registered with the Ohio Secretary of the State.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1** **Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2** **Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).

B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-5 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-6 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

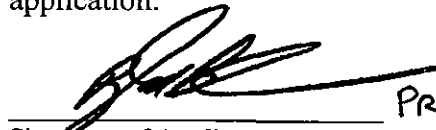
PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

- C-3 **Exhibit C-3 “Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.
- C-4 **Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).
- C-5 **Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant’s CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 **Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 “Credit Report,”** provide a copy of the applicant’s credit report from Experian, Dun and Bradstreet or a similar organization.
- C-8 **Exhibit C-8 “Bankruptcy Information,”** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.


Signature of Applicant & Title PRESIDENT & CEO

Sworn and subscribed before me this 12 day of OCT, 2015
Month Year


Signature of official administering oath

TODD CRICKMER
Print Name and Title

My commission expires on _____



AFFIDAVIT

State of TEXAS :

AUSTIN ss.
(Town)

County of TRAVIS :

DAVID BARIN, Affiant, being duly sworn/affirmed according to law, deposes and says that:

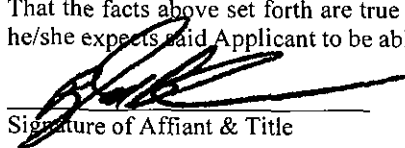
He/She is the PRESIDENT (Office of Affiant) of CAPITOL AREA ENERGY, INC. (Name of Applicant).

That he/she is authorized to and does make this affidavit for said Applicant,

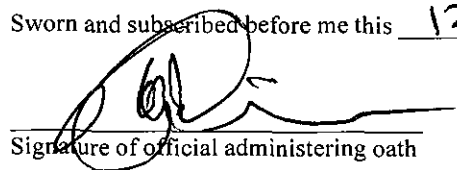
1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

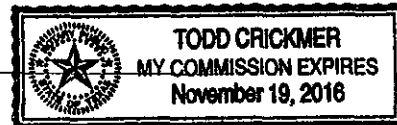

Signature of Affiant & Title

Sworn and subscribed before me this 12 day of OCT, 2015
Month Year


Signature of official administering oath

TODD CRICKMER
Print Name and Title

My commission expires on _____



Application for Aggregators / Power Brokers With the Ohio Public Utilities Commission

Applicant:

**Capitol Area Energy, Inc.
14141 W Highway 290, Bldg 600
Austin, TX 78737-9340**

Exhibit A-11 "Principal Officers, Directors & Partners"

**Sole Officer and Director
David Babin, President / CEO
14141 W Highway 290, Bldg 600
Austin, TX 78737-9340**

Exhibit A-12 "Corporate Structure"

**Capitol Area Energy, Inc.
State of Incorporation – Texas
Date of Incorporation – 2/14/2002
Secretary of State File # - 0800055028
Federal Tax Filing – Subchapter S
Affiliates and Subsidiaries – None**

Exhibit A-13 "Company History"

Capitol Area Energy was founded by Robert David Babin, Jr. (David Babin) in February 2002 to provide brokerage services and the resale of natural gas and electricity to commercial and industrial customers on a national basis.

Exhibit A-14 "Articles of Incorporation and Bylaws"

***Attached – Articles of Incorporation – dated Feb 12, 2002 (3 pages)
Attached – Certificate of Amendment – dated Oct 21, 2005 (4 pages)
(Name change from Capitol Area Energy Alternatives, Inc. to
Capitol Area Energy, Inc.)***

Exhibit A-15 "Ohio Secretary of State"

Attached – State of Ohio Certificate #2435748 (dated 10/08/2015)

Exhibit B-1 "Jurisdictions of Operation"

Provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.

None

Exhibit B-2 "Experience and Plans"

Provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

Capitol Area Energy, Inc. is an independent energy consultant firm that has been providing companies with a cohesive approach to energy management for over 15 years. By focusing on all facets of a company's energy portfolio we strive to match the leading natural gas and electricity suppliers in the industry with your needs. Our supplier network is based on financial stability, product offering, and competitive rate structure. Our goal is to provide...

- **Competitive electricity pricing**
- **High quality customer service**
- **Long-term budget management**
- **Market forecasting and risk management**

Exhibit B-3 "Summary of Experience"

Provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services.

For fifteen years, Capitol Area Energy has provided electricity to both Commercial and Industrial customers. Electricity consumers have benefited from the variety of services Capitol Area Energy has to offer. From energy efficiency assessments to securing agreements with Retail Electric Providers (REP), we can help manage any type of energy budget.

Capitol Area Energy's REP affiliation network consists of the industry's leading electricity suppliers. Our providers are selected based on financial stability, product

offering, and competitive rate structure. Many REPs have niche product offerings and pricings models. Our job is to match your electricity profile to the best provider for your company.

Exhibit B-4 "Disclosure of Liabilities and Investigations"

Provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

Capitol Area Energy, Inc. has never had a ruling, judgment, revocation of authority, regulatory investigation or operational status or ability to provide contracted services.

Contingent Liabilities:

Capitol Area Energy was a guarantor on two leases for office equipment (copiers). The leases were to CIT Technology and Wells Fargo Financial. Both leases have now been settled and Capitol Area Energy is no longer liable on either lease.

Exhibit B-5 "Disclosure of Consumer Protection Violations"

Capitol Area Energy, its predecessors, nor any of its principle officers have ever been convicted or held liable for any violation of any consumer protection or antitrust laws.

Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"

Capitol Area Energy, its predecessors, nor any of its principle officers have ever had any certification, license, or application to provide retail or wholesale electric services denied, curtailed, revoked, or cancelled.

Exhibit C-1 "Annual Reports"

Capitol Area Energy is not publicly held. David Babin is the sole stockholder of Capitol Area Energy, Inc. and has been its only stockholder since inception. Therefore Annual Reports have not been prepared.

Exhibit C-2 "SEC Filings"

Capitol Area Energy is not publicly held and has never been required to file with the Securities and Exchange Commission.

Exhibit C-3 "Financial Statements"

Audited Financial Statements are not available. Officer certified Financial Statements are attached for the Calendar Years 2014 and 2015.

See attach financial statements

Exhibit C-4 "Financial Arrangements"

Capitol Area Energy has no financial arrangements, including no guarantees, bank commitments, contractual arrangements or credit agreements.

The office equipment (copiers) guarantees referenced as Contingent Liabilities in Exhibit B-4 above, have been satisfied in full and Capitol Area Energy has been released from further liability.

Exhibit C-5 "Forecasted Financial Statements"

Based on prior year's performance and current projections for 2015 and 2016, Capitol Area Energy makes the following Financial Forecasts:

See attach financial forecasts/budgets

Exhibit C-6 "Credit Ratings"

Capitol Area Energy, Inc. is a small business privately held corporation and does not have traditional credit rating, however our DUNS Number is 80-138-7320

Exhibit C-7 "Credit Report"

Capitol Area Energy, Inc. is a small business privately held corporation and does not have traditional credit rating. However, we can report that Capitol Area Energy has no debt, no unpaid liabilities and no contingent liabilities. Our DUNS Number is 80-138-7320.

Exhibit C-8 "Bankruptcy Information"

Capitol Area Energy has never filed for reorganization, protection from creditors or any other form of bankruptcy protection.

Exhibit C-9 "Merger Information"

Capitol Area Energy has never participated in any form of dissolution nor merger nor acquisition of any form.

ARTICLES OF INCORPORATION
OF

FILED
In the Office of the
Secretary of State of Texas

CAPITOL AREA ENERGY ALTERNATIVES, INC. FEB 12 2002

Corporations Section

We, the undersigned, of full age, for the purpose of forming a corporation, adopt the following Articles of Incorporation of Capitol Area Energy Alternatives, Inc.

ARTICLE I

The name of this corporation shall be Capitol Area Energy Alternatives, Inc.

ARTICLE II

The period of duration of this corporation shall be perpetual.

ARTICLE III

The corporation is organized to transact any and all lawful business for which corporations may be organized under the Texas Business Corporation Act.

ARTICLE IV

The street address of the registered office of this corporation is 108 Cross Creek, Austin, Texas 78734. The name of the initial registered agent at this office is Robert David Babin Jr..

ARTICLE V

The total authorized number of shares of this corporation shall be ten thousand (10,000) shares. Unless otherwise established by the Board of Directors, all shares of this corporation shall be common shares entitled to

EXHIBIT A-14 (1/2)
ARTICLES OF INCORPORATION

vote and shall be of one class and one series having equal rights and preferences in all matters. The shares of stock shall have a par value of one dollar (\$1.00) per share. The Corporation shall not commence business until it has received for the issuance of its shares consideration of the value of a stated sum which shall be at least one thousand dollars (\$1,000.00).

ARTICLE VI

The Board of Directors shall consist of the number of directors provided in the Bylaws of this corporation. The initial Board of Directors shall consist of the following persons:

Robert David Babin, Jr.
108 Cross Creek
Austin, TX 78734

Any action required or permitted to be taken at a meeting of the Board of Directors may be taken by written action signed by the number of directors required to take the same action at a meeting of the Board of Directors at which all directors were present.

ARTICLE VII

The liability of the directors of the Corporation for monetary damages for breach of fiduciary duty as a director shall be eliminated to the fullest extent permitted by Texas law. If the statutes hereafter are amended to authorize the further elimination or limitation of the liability of directors, then the liability of a director of the Corporation shall be eliminated or limited to the fullest extent permitted by the amended Texas Statutes.

ARTICLE VIII


The corporation is authorized to indemnify the directors and the officers of the corporation to the fullest extent permissible under the laws of the State of Texas.

ARTICLE IX

The name and street address of the incorporators are:

Robert David Babin, Jr.
108 Cross Creek
Austin, TX 78734

IN WITNESS WHEREOF, we have hereunto executed these Articles of Incorporation, this 14th day of February, 2002.



Robert David Babin, Jr., Incorporator



Office of the Secretary of State

CERTIFICATE OF AMENDMENT OF

Capitol Area Energy, Inc.
800055028

[formerly: Capitol Area Energy Alternatives, Inc.]

The undersigned, as Secretary of State of Texas, hereby certifies that the attached Articles of Amendment for the above named entity have been received in this office and have been found to conform to law.

ACCORDINGLY the undersigned, as Secretary of State, and by virtue of the authority vested in the Secretary by law hereby issues this Certificate of Amendment.

Dated: 10/21/2005
Effective: 10/21/2005



A handwritten signature in black ink that reads "Roger Williams".

Roger Williams
Secretary of State

Form 404
(revised 9/05)

Return in duplicate to:
Secretary of State
P.O. Box 13697
Austin, TX 78711-3697
512 463-5555
FAX: 512/463-5709
Filing Fee: \$150



Articles of Amendment
Pursuant to Article 4.04,
Texas Business
Corporation Act

This space reserved for office use.

FILED
In the Office of the
Secretary of State of Texas

OCT 21 2005

Corporations Section

Article 1 –Name

The name of the corporation is as set forth below:

Capitol Area Energy Alternatives, Inc.

State the name of the entity as it is currently shown in the records of the secretary of state. If the amendment changes the name of the entity, state the old name and not the new name in Article 1.

The filing number issued to the corporation by the secretary of state is: 800055028

Article 2—Amended Name

(If the purpose of the articles of amendment is to change the name of the corporation, then use the following statement)

The amendment changes the articles of incorporation to change the article that names the corporation.
The article in the Articles of Incorporation is amended to read as follows:

The name of the corporation is (state the new name of the corporation below)

Capitol Area Energy, Inc.

The name of the entity must contain an organizational designation or accepted abbreviation of such term. The name must not be the same as, deceptively similar to, or similar to that of an existing corporate, limited liability company, or limited partnership name on file with the secretary of state. A preliminary check for "name availability" is recommended.

Article 3 –Amendment to Registered Agent/Registered Office

The amendment changes the articles of incorporation to change the article stating the registered agent and the registered office address of the corporation. The article is amended to read as follows:

Registered Agent of the Corporation
(Complete either A or B, but not both. Also complete C.)

☐ A. The registered agent is an organization (cannot be corporation named above) by the name of:

OR

☐ B. The registered agent is an individual resident of the state whose name is set forth below.

First Name	MI	Last Name	Suffix

Registered Office of the Corporation (Cannot be a P.O. Box.)

C. The business address of the registered agent and the registered office address is:

Street Address	City	State	Zip Code
		TX	

Article 4 – Other Altered, Added, or Deleted Provisions

Other changes or additions to the articles of incorporation may be made in the space provided below. If the space provided is insufficient to meet your needs, you may incorporate the additional text by providing an attachment to this form. Please read the instructions to this form for further information on format.

Text Area (The attached addendum, if any, is incorporated herein by reference.)

Article 5—Statement of Approval

The amendments to the articles of incorporation have been approved in the manner required by the Texas Business Corporation Act and by the constituent documents of the corporation.

Effectiveness of Filing

A. ☒ This document will become effective when the document is filed by the secretary of state.

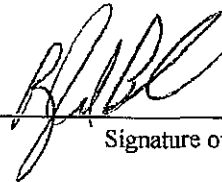
OR

B. ☐ This document will become effective at a later date, which is not more than ninety (90) days from the date of its filing by the secretary of state. The delayed effective date is _____

Execution

The undersigned signs this document subject to the penalties imposed by law for the submission of a false or fraudulent document.

10-20-05
Date



Signature of Authorized Officer

Corporations Section
P.O.Box 13697
Austin, Texas 78711-3697



Roger Williams
Secretary of State

Office of the Secretary of State

The undersigned, as Secretary of State of Texas, does hereby certify that the attached is a true and correct copy of each document on file in this office as described below:

Capitol Area Energy, Inc.
Filing Number: 800055028

Articles of Incorporation

February 12, 2002

In testimony whereof, I have hereunto signed my name officially and caused to be impressed hereon the Seal of State at my office in Austin, Texas on October 28, 2005.



A handwritten signature in cursive script that reads "Roger Williams".

Roger Williams
Secretary of State

201528201380

DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
10/09/2015	201528201380	FOREIGN LICENSE/FOR-PROFIT (FLF)	99.00	100.00	.00	.00	.00

Receipt

This is not a bill. Please do not remit payment.

CT CORPORATION SYSTEM
CHRIS RICKARD
4400 EASTON COMMONS WAY, SUITE 125
COLUMBUS, OH 43219

**STATE OF OHIO
CERTIFICATE**

Ohio Secretary of State, Jon Husted

2435748

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

CAPITOL AREA ENERGY, INC

and, that said business records show the filing and recording of:

Document(s)
FOREIGN LICENSE/FOR-PROFIT

Document No(s):
201528201380

Effective Date: 10/08/2015

Authorization to transact business in Ohio is hereby given, until surrender, expiration or cancellation of this license.



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio
this 9th day of October, A.D. 2015.

Jon Husted

Ohio Secretary of State

EXHIBIT A-15
STATE OF OHIO CERTIFICATE

Capitol Area Energy, Inc.
Balance Sheet
As of December 31, 2014

	<u>Dec 31, 14</u>	<u>Dec 31, 13</u>
ASSETS		
Current Assets		
Checking/Savings		
1000 - Cash in Banks	5,634	17,306
Total Checking/Savings	<u>5,634</u>	<u>17,306</u>
Accounts Receivable		
1100 - Accounts Receivable	3,000	3,000
Total Accounts Receivable	<u>3,000</u>	<u>3,000</u>
Other Current Assets		
1800 - Intercompany Receivables	199,639	101,376
Total Other Current Assets	<u>199,639</u>	<u>101,376</u>
Total Current Assets	<u>208,273</u>	<u>121,682</u>
TOTAL ASSETS	<u><u>208,273</u></u>	<u><u>121,682</u></u>
LIABILITIES & EQUITY		
Equity		
3010 - Capital Stock	1,000	1,000
3400 - Distribution	-898,858	-616,510
3500 - Retained Earnings	737,193	366,693
Net Income	368,938	370,499
Total Equity	<u>208,273</u>	<u>121,682</u>
TOTAL LIABILITIES & EQUITY	<u><u>208,273</u></u>	<u><u>121,682</u></u>

EXHIBIT C-3 (1/2)
FINANCIAL STATEMENTS

Capitol Area Energy, Inc. Profit & Loss (Actual)

Actual for Fiscal Years 2013 & 2014 - Projections for Fiscal Years 2015 & 2016

	Jan - Dec 13	Jan - Dec 14	Jan - Dec 15 Projection	Jan - Dec 16 Projection
Ordinary Income/Expense				
Income				
4000 - Gas Commission Income	123,549	134,896	130,084	129,400
4010 - Electricity Commission Income	254,076	214,742	209,627	226,100
4020 - Energy Consulting Services	36,000	48,000	48,000	48,000
Total Income	<u>413,625</u>	<u>397,438</u>	<u>387,711</u>	<u>403,500</u>
Gross Profit	413,625	397,438	387,711	403,500
Expense				
5101 - Salaries and Wages	25,846	0	0	0
5140 - Payroll Taxes	2,257	0	0	0
5160 - Employee benefits	4,120	3,877	4,183	4,100
5170 - Insurance	903	0	0	0
5420 - Advertising	64	289	908	300
5460 - Travel Expense	0	0	89	0
5470 - Automobile Expense	0	763	697	500
5480 - Meals and Entertainment	0	206	15	200
5510 - Payroll Service Fees	238	8,100	7,200	5,100
5590 - Professional Fees	44	1,520	1,875	1,600
5680 - Dues and Subscriptions	1,425	4,524	1,489	3,700
5700 - Rent Expense	5,003	4,164	2,631	3,100
5720 - Computer Expenses	2,434	0	143	100
5750 - Office Supplies	86	4,494	4,843	3,200
5760 - Telephone	300	563	463	400
5810 - Bank Service Charges	241	0	0	0
5830 - Interest Expense	60	0	3,587	1,200
5940 - Taxes and Licenses	105	0	28,123	23,800
Total Expense	<u>43,126</u>	<u>28,500</u>	<u>359,588</u>	<u>379,700</u>
Net Ordinary Income	<u>370,499</u>	<u>368,938</u>	<u>359,588</u>	<u>379,700</u>
Net Income	<u>370,499</u>	<u>368,938</u>	<u>359,588</u>	<u>379,700</u>

EXHIBIT C-3 (2/2)
FINANCIAL STATEMENTS

Capitol Area Energy, Inc.
Projected Profit & Loss

Actual for Fiscal Years 2013 & 2014 - Projections for Fiscal Years 2015 & 2016

	Jan - Dec 13	Jan - Dec 14	Jan - Dec 15	Jan - Dec 16
	<i>Actual</i>	<i>Actual</i>	Projection	Projection
Ordinary Income/Expense				
Income				
4000 - Gas Commission Income	123,549	134,696	130,084	129,400
4010 - Electricity Commission Income	254,076	214,742	209,627	226,100
4020 - Energy Consulting Services	36,000	48,000	48,000	48,000
Total Income	413,625	397,438	387,711	403,500
Gross Profit	413,625	397,438	387,711	403,500
Expense				
5101 - Salaries and Wages	25,846	0	0	0
5140 - Payroll Taxes	2,257	0	0	0
5160 - Employee benefits	4,120	3,877	4,183	4,100
5170 - Insurance	903	0	0	0
5420 - Advertising	64	289	0	300
5460 - Travel Expense	0	0	908	300
5470 - Automobile Expense	0	0	89	0
5480 - Meals and Entertainment	0	763	697	500
5510 - Payroll Service Fees	238	206	15	200
5590 - Professional Fees	44	8,100	7,200	5,100
5680 - Dues and Subscriptions	1,425	1,520	1,875	1,600
5700 - Rent Expense	5,003	4,524	1,489	3,700
5720 - Computer Expenses	2,434	4,164	2,631	3,100
5750 - Office Supplies	86	0	143	100
5760 - Telephone	300	4,494	4,843	3,200
5810 - Bank Service Charges	241	563	463	400
5830 - Interest Expense	60	0	0	0
5940 - Taxes and Licenses	105	0	3,587	1,200
Total Expense	43,126	28,500	28,123	23,800
Net Ordinary Income	370,499	368,938	359,588	379,700
Net Income	370,499	368,938	359,588	379,700

EXHIBIT C-5
FORECASTED FINANCIAL STATEMENTS