

The Public Utilities Commission of Ohio

RETAIL NATURAL GAS SUPPLIER/GOVERNMENTAL AGGREGATOR FILING COVER FORM POST INITIAL CERTIFICATION

In the Matter of the Application of

Glacial Natural Gas, Inc.

to:

Case No. 10 - 642 -GA- CRS

15-1675-6A-ABN

Name of Applicant: Glacial Natural Gas, Inc.

Applicant's Address: 72 north state road #504 Briarcliff Manor NY 10510

Contact Person or Counsel: Phil Spillane

Telephone Number: 914-236-1420

Mark the reason for filing this form, application, and supporting information. Check only one of the five main categories. Use separate copies of this form for each type of application.

1. ☐ Certification Renewal Application (to be filed 30 to 60 days prior to expiration of current certificate) per Rules 4901:1-27-09 and 4901:1-27-04 of the Ohio Administrative Code.
2. ☐ Notification of Material Change in Business (to be filed in the initial or most recent certification docket and within 30 days of the material change occurring) per Rule 4901:1-27-11 of the Ohio Administrative Code. Please check the following material change(s) that is(are) involved with this filing:
 - ☐ Change in ownership of five percent or more
 - ☐ Affiliation with public utility or change in affiliation with a public utility in this state
 - ☐ Retirement or other long-term changes to supply sources
 - ☐ Revocation, restriction, or termination of interconnection or service agreement with pipeline company or natural gas company
 - ☐ Fall of bond rating below BBB-
 - ☐ Fall of bond rating below Baa3
 - ☐ Filed or intend to file for some form of bankruptcy
 - ☐ Receipt of judgment, finding, or ruling that could affect fitness or ability to provide service
 - ☐ Other (please describe):
3. ☐ Certificate Transfer Application per Rule 4901:1-27-11 of the Ohio Administrative Code.
4. ☒ Abandonment Application (to be filed at least 90 days prior the effective date of the abandonment) per Rule 4901:1-27-12 of the Ohio Administrative Code. Please indicate which of the following two situations applies to the proposed abandonment:
 - ☒ Seek to abandon operations with no existing customers
 - ☐ Seek to abandon operations with existing customers
5. ☐ Other application (please describe):

(CRNGS/GOVT AGG Abandonment - Version 1.07)

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician AN Date Processed SEP 24 2015

PUCO

2015 SEP 24 AM 11:26

RECEIVED-COCKETING DIV

The Public Utilities Commission of Ohio

RETAIL NATURAL GAS SERVICE SUPPLIER/GOVERNMENTAL AGGREGATOR OPERATIONS AND CERTIFICATE ABANDONMENT APPLICATION

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - GENERAL INFORMATION

A-1 Applicant Information:

Name Glacial Natural Gas, Inc.

Address 72 North State Road #504 Briarcliff Manor NY 10510

Telephone #

Web site address glacialenergy.com

PUCO Certification Case # 10-642-GA-CRS

Certificate # 10-191G(1)

A-2 Contact person for regulatory or emergency matters:

Name Phil Spillane

Title CFO

Business Address 72 North State Road #504 Briarcliff Manor NY 10510

Telephone # 914-236-1420

Fax #

Email Address pspillane@glacialenergy.com

SECTION B - OPERATIONS/SERVICE INFORMATION

B-1 Date Applicant Will Cease Operations. Effective Immediately

B-2 Are customers being switched back to LDC ☐ **or contracts assigned** ☒ **?**

B-3 If contracts were/will be assigned, to whom? were assigned to Agera Energy LLC

B-4 In which month's billing cycle will the customers be switched? the billing cycle after they received notice of assignment

B-5 Indicate total number of Ohio customers currently served 0 **, and by service territory:**

CGO 0

DEO 0

DUKE 0

VEDO 0

- B-6** Pursuant to Rule 4901:1-27-11 (B), applicant acknowledges that all contract terms and conditions were fulfilled prior to abandoning the operations provided under its certificate, or applicant has assigned such contracts to another retail natural gas supplier to fulfill the unexpired contract terms and conditions. YES ☒ NO ☐

SECTION C - EXHIBITS

- C-1** Exhibit C-1 "Notice to Director of the Consumer Services Department" provide a dated copy of the written notice that notified PUCO Department Director of Applicant's intent to assign customer contracts, pursuant to Rule 4901:1-29-10(D)(1)(a) of the Ohio Administrative Code.
- C-2** Exhibit C-2 "LDC Notice" provide a dated copy of the written notice provided to each LDC in each certified territory the CRNGS supplier operates of its intent to cease providing service pursuant to Rule 4901:1-27-11(B)(2) of the Ohio Administrative Code.
- C-3** Exhibit C-3 "Customer Notice" provide a dated copy of the notice that notified customers of the company's intent to abandon service pursuant to Rule 4901:1-27-11(B)(3); and if applicable, provide a dated copy of the notice that also notified customers of the company's intent to assign contracts to another supplier.
- C-4** Exhibit C-4 "Affidavit" provide a signed and notarized affidavit (*approved form attached herein*).



Applicant Signature:

Title: CFO

Date: 9/23/2015

C-1 Notice to Director of The Consumer Services Department



Glacial Energy Gives You the Power to Choose!

May 6, 2015

John Williams
Director, Service Monitoring and Enforcement Dept.
180 East Broad Street
Columbus, OH 43215-3793

Re: Notice of Assignment of all Customer Contracts to Agera Energy LLC

To Whom It May Concern,

Glacial Natural Gas, Inc. is writing to update you on the status of its customer assignment to Agera Energy LLC in the Columbia Gas territory. Glacial will be assigning all of its residential and commercial contracts in the Columbia Gas territory to Agera Energy LLC (PUCO License No. 15-415G(1)) on June 9, 2015. This includes 354 Commercial contracts.

Sincerely,
Glacial Natural Gas, Inc.





Glacial Energy Gives You the Power to Choose!

March 10, 2015

John Williams
Director, Service Monitoring and Enforcement Dept.
180 East Broad Street
Columbus, OH 43215-3793

Re: Notice of Assignment of all Customer Contracts to Agera Energy LLC

To Whom It May Concern,

Glacial Natural Gas, Inc. is writing to notify you that it will be assigning all of its residential and commercial contracts in the Duke Gas territory to Agera Energy LLC (PUCO License No. 15-415G(1)) on April 1, 2015. This includes 72 Commercial, and 266 Residential contracts.

Sincerely,
Glacial Natural Gas, Inc





Glacial Energy Gives You the Power to Choose

April 20, 2015

John Williams
Director, Service Monitoring and Enforcement Dept.
180 East Broad Street
Columbus, OH 43215-3793

Re: Notice of Assignment of all Customer Contracts to Agera Energy LLC

To Whom It May Concern,

Glacial Natural Gas, Inc. is writing to notify you that it will be assigning all of its residential and commercial contracts in the Dominion East Gas territory to Agera Energy LLC (PUCO License No. 15-415G(1)) around May 20, 2015. This includes 359 Commercial, and 1 Residential contract.

Sincerely,
Glacial Natural Gas, Inc.



C-2 LDC NOTICE



Glacial Energy Gives You the Power to Choose!

May 7, 2015

Trevor J. Nelson - Choice Analyst, Gas Transportation
Columbia Gas
290 W. Nationwide BLVD.
Columbus, Ohio 43215

Re: Notice of Assignment of all Customer Contracts to Agera Energy LLC

To Whom It May Concern,

Glacial Natural Gas, Inc. is writing to update you on the status of its customer assignment to Agera Energy LLC in the Columbia Gas territory. Glacial will be assigning all of its residential and commercial contracts in the Columbia Gas territory to Agera Energy LLC (PUCO License No. 15-415G(1)) on June 9, 2015. This includes 354 Commercial contracts.

Sincerely,
Glacial Natural Gas, Inc.





Glacial Energy Gives You the Power to Choose!

VIA FEDERAL EXPRESS

March 16, 2015

Duke Energy
100 East Maine Street
Plainfield, Indiana 46168

Re: Notice of Assignment of all Customer Contracts to Agera Energy LLC

To Whom It May Concern,

Glacial Natural Gas, Inc. is writing to notify you that it will be assigning all of its residential and commercial contracts in the Duke service territories to Agera Energy LLC (PUCO License No. 15-415G(1) on April 1, 2015.

Sincerely,
Glacial Natural Gas, Inc.





Glacial Energy Gives You the Power to Choose!

VIA FEDERAL EXPRESS

April 22, 2015

Dominion East Ohio Gas
Attn: Amanda Konkoly
1201 East 55th Street
Cleveland Oh 44103-1028

Re: Notice of Assignment of all Customer Contracts to Agera Energy LLC

To Whom It May Concern,

Glacial Natural Gas, Inc. is writing to notify you that it will be assigning all of its residential and commercial contracts in the Dominion East service territories to Agera Energy LLC (PUCO License No. 15-415G(1) around May 20th, 2015.

Sincerely,
Glacial Natural Gas, Inc.



C-3 CUSTOMER NOTICE



Glacial Energy Gives You the Power to Choose!

Customer Notification Letter

[Date]

To Our Valued Customer:


Thank you for choosing Glacial Natural Gas, Inc. ("Glacial") as your natural gas supplier. As you may know, Glacial's assets were recently acquired by Agera Energy LLC.

In order to continue to provide you with the best level of service, we will be assigning your account --- **with no change to your rate or any other terms and conditions** set forth in your original contract--- to **Agera Energy LLC**, an authorized Retail Natural Gas Supplier in Ohio. The assignment of your contract will be effective on your next meter read date, or as confirmed with your Utility. As of that date, Agera Energy LLC will begin providing service to you. This change requires no action from you whatsoever.

Agera Energy LLC is located at 555 Pleasantville Rd, S-107, Briarcliff Manor, NY 10510. Please feel free to call the Agera Energy LLC customer service center at 1-844-MY-AGERA (1-844-692-4372), visit them at www.ageraenergy.com or contact them by email at customercare@ageraenergy.com, with any questions or comments you may have about your bill or your energy needs. Your account is being transferred in accordance with the guidelines set forth by the Public Utilities Commission of Ohio. If you have any questions or concerns, you may contact PUCO by mailing correspondence to 180 East Broad Street Columbus, Ohio 43215, or by calling 1-800-686-PUCO (1-800-686-7826).

Sincerely,

Glacial Natural Gas, Inc.



The Public Utilities Commission of Ohio

RETAIL NATURAL GAS SERVICE SUPPLIER/GOVERNMENTAL AGGREGATOR OPERATIONS AND CERTIFICATE ABANDONMENT AFFIDAVIT EXHIBIT C-4

AFFIDAVIT

In the Matter of the Application of)
Glacial Natural Gas)
 To Abandon Retail Natural Gas Service Supplier)
 Or Ohio Natural Gas Governmental Aggregation)
 Operations and Certificate in Ohio.)

Case No. *10-642-GA-CFS*

County of *Westchester*
 State of *New York*

Phil Spillane Affiant, being duly sworn/affirmed according to law, deposes and says that:
 He/She is the *CFO* (Office of Affiant) of *Glacial Natural Gas, Inc.*
 (Name of Applicant).

1. Affiant is authorized to and does make this affidavit for said Applicant.
2. Applicant herein attests under penalty of false statement that all statements made in the application are true and complete.
3. Affiant further sayeth naught.

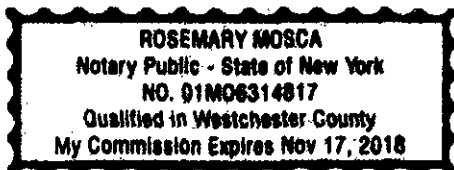
Affiant Signature & Title

[Signature], *CFO.*

Sworn and subscribed before me this *23rd* day of *September* Month *2015* Year

Rosemary Mosca
 Signature of Official Administering Oath

Rosemary Mosca
 Print Name and Title



My commission expires on *November 17, 2018*