

The Public Utilities Commission of Ohio

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|--|-------------|---------|
| <b>Date Received</b>   | Case Number | Version |
|  |             |         |

# CERTIFICATION APPLICATION FOR RETAIL GENERATION PROVIDERS AND POWER MARKETERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-13 Company History). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

## A. APPLICANT INFORMATION

| Power Markete                         | on Provider<br>r         | <ul><li>☑ Power Broker</li><li>☑ Aggregator</li></ul> |
|---------------------------------------|--------------------------|---|
| Applicant's leg                       | al name, addres          | ss, telephone number and web site address             |
| Legal Name RESID                      | DENTS ENERGY, LLC        |   |
| Address 520 Broad S                   | street, Newark, NJ 07102 |   |
| Felephone # (973) 43                  | 38-3500 Web              | site address (if any) www.residentsenergy.com         |
| will do business<br>Legal Name_Reside |                          |   |
| Addrage 520 Broad S                   |                          |   |

| A-3         | Contact person for regulati   | ny or emerge   | mcy matters                                    |  |   |
|-------------|---|--|--|--|---|
|             | Name Avi Keilson  |  |  |  |   |
|             | Title Director of Regulatory Affairs  |  |  |  |   |
|             | Business address 520 Broad Street, Ne   | ewark, NJ 07102  |  |  |   |
|             | Telephone # (973) 438-3920  | Fax #  | (973) 438-1878                                 |  |   |
|             | E-mail address (if any) akeilson@g  | genieretail.com  |  |  |   |
|             |   |  |  |  |   |
| A-6         | Contact person for Commis   | ssion Staff us   | e in investigati                               | ng customer c                                    | complaints  |
|             | Name Wayne Stoughton  |  |  |  |   |
|             | Title VP, Customer Service and Regulatory   |  |  |  |   |
|             | Business address 20 West Third Street   | , Suite 10, Jamestown,                                       | NY 14701                                       |  |   |
|             | Telephone # (973) 438-7271  | Fax #  | (716) 664-2476                                 |  |   |
|             | Telephone # (973) 438-7271<br>E-mail address (if any) wstoughtor  | n@genieretail.com  |  |  |   |
| <b>A-</b> 7 | Applicant's address and tol   | l-free numbe   | r for customer                                 | service and c                                    | omplaints   |
|             | Customer Service address PO Box   | 400 Jamestown NV   | 14702-0400                                     |  |   |
|             | Toll from Tolombone # (888) 828 7   | 274  | Fax # (716) 664-2                              | 476  | ,   |
|             | Toll-free Telephone # (888) 828-73 E-mail address (if any) contactus@   | Draeidenteenergy com   | rax # (110) 004-2                              |  |   |
|             | E-man address (If any) contactuse   | greaternachergy.com  |  |  |   |
| A-8         | Applicant's federal employe   | er identificati  | on number # <u>2</u>                           | 72046457   | _   |
| A-9         | Applicant's form of owners  | hip (check on  | e)   |  |   |
|             | ☐ Sole Proprietorship ☐ Limited Liability Partnership ☐ Corporation   | (LLP) <b>□</b> Lim   | nership<br>ited Liability Co<br>er             |  |   |
| A-10        | (Check all that apply) Ide which the applicant intends t class that the applicant intemercantile commercial, and 4928.01 of the Revised Code, is a part of a national account in one or | o provide servends to serve, industrial. (A commercial custo | vice, including for example, mercantile custom | identification residential, sn er, as defined in | of each customer<br>nall commercial,<br>(A) (19) of Section |
|             | ☑ First Energy  |  |  |  |   |
|             | ☑ Ohio Edison   | ■ Residential  | ■ Commercial                                   | ■ Mercantile                                     | □ Industrial  |
|             | ☑ Toledo Edison   | Residential  | Commercial                                     | <ul> <li>Mercantile</li> </ul>                   | <ul> <li>Industrial</li> </ul>                              |
|             | Cleveland Electric Illuminating   |  | Commercial                                     | <ul> <li>Mercantile</li> </ul>                   | ■ Industrial  |
|             | Duke Energy   | Residential  | Commercial                                     | <ul><li>Mercantile</li></ul>                     | □ Industrial  |
|             | <b> ☑</b> Monongahela Power   | Residential  | Commercial                                     | <ul> <li>Mercantile</li> </ul>                   | □ Industrial  |
|             | M American Electric Power   |  |  |  |   |

■ Residential

☑ Ohio Power

□ Commercial □ Mercantile

 $\blacksquare$  Industrial

| Columbus Southern Power | Residential | Commercial | <ul> <li>Mercantile</li> </ul> | Industrial          |
|-------------------------|-------------|------------|--------------------------------|---------------------|
| Dayton Power and Light  | Residential | Commercial | ■ Mercantile                   | <b>□</b> Industrial |
|                         |             |            |                                |                     |

A-11 Provide the approximate start date that the applicant proposes to begin delivering services

#### PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-12 <u>Exhibit A-12 "Principal Officers, Directors & Partners"</u> provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-13 <u>Exhibit A-13 "Corporate Structure,"</u> provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America.
- A-14 <u>Exhibit A-14 "Company History,"</u> provide a concise description of the applicant's company history and principal business interests.
- A-15 <u>Exhibit A-15 "Articles of Incorporation and Bylaws,"</u> if applicable provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto.
- A-16 Exhibit A-16 "Secretary of State," provide evidence that the applicant has registered with the Ohio Secretary of the State.

### B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

#### PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- **B-1** Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services.
- B-2 <u>Exhibit B-2 "Experience & Plans,"</u> provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

- **B-3** Exhibit B-3 "Summary of Experience," provide a concise summary of the applicant's experience in providing the service(s) it is seeking to be certified to provide (e.g. number and types of customers served, utility service areas, amount of load, etc.).
- B-4 <u>Exhibit B-4 "Environmental Disclosure,"</u> provide a detailed description of how the applicant intends to determine its (a) generation resource mix, and (b) environmental characteristics, including air emissions and radioactive waste. This information shall include sufficient discussion so as to detail both the annual projection methodology and the proposed approach to compiling the quarterly actual environmental disclosure data. Additional details on this requirement may be obtained by referring to 4901:1-21-09.
- B-5 Exhibit B-5 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.
- **B-6** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.
  - No Yes

If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

- **B-7** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service denied, curtailed, suspended, revoked, or cancelled within the past two years.

If yes, provide a separate attachment labeled as **Exhibit B-7 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

## C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 Exhibit C-1 "Annual Reports," provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

- C-2 <u>Exhibit C-2 "SEC Filings,"</u> provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.
- C-3 <u>Exhibit C-3 "Financial Statements,"</u> provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.
- C-4 <u>Exhibit C-4 "Financial Arrangements,"</u> provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).
- C-5 <u>Exhibit C-5 "Forecasted Financial Statements,"</u> provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.
- C-6 Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 <u>Exhibit C-7 "Credit Report,"</u> provide a copy of the applicant's credit report from Experion, Dun and Bradstreet or a similar organization.
- C-8 <u>Exhibit C-8 "Bankruptcy Information,"</u> provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

C-9 Exhibit C-9 "Merger Information," provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

#### D. APPLICANT TECHNICAL CAPABILITY

#### PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- D-1 Exhibit D-1 "Operations" provide a written description of the operational nature of the applicant's business. Please include whether the applicant's operations will include the generation of power for retail sales, the scheduling of retail power for transmission and delivery, the provision of retail ancillary services as well as other services used to arrange for the purchase and delivery of electricity to retail customers.
- D-2 Exhibit D-2 "Operations Expertise," given the operational nature of the applicant's business, provide evidence of the applicant's experience and technical expertise in performing such operations.
- Exhibit D-3 "Key Technical Personnel," provide the names, titles, e-mail addresses, D-3 telephone numbers, and the background of key personnel involved in the operational aspects of the applicant's business.
- D-4 Exhibit D-4 "FERC Power Marketer License Number," provide a statement disclosing the applicant's FERC Power Marketer License number. (Power Marketers only)

14 Th day of July Sworn and subscribed before me this Month

Signature of official administering oath

Print Name and Title

My commission expires on

LINDA A. KARCIC Notary Public, State of New Jerse My Commission Expires February 16, 2016

# FFIDAVIT

State of New Tenny:

County of ESSEX:

Alan Schwab, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the Pres + (00 (Office of Affiant) of Pesdats Eregy, W(Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

- 1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
- 2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
- 3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
- 4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
- The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
- 6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
- 7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
- 8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
- The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
- 10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Saff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Signature of Affiant & Title

Sworn and subscribed before me this 14 day of Tuly, 2015

Month Year

LINDA A KARCICA

My commission expires on 2/16/14

# Exhibit A-12 "Principal Officers, Directors & Partners"

Provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

Michael Stein, CEO, 520 Broad Street, Newark, NJ 07102, 973-438-3018

Alan Schwab, President & COO, 520 Broad Street, Newark, NJ 07102, 974-438-4343

Terrence Stronz, CFO, 20 West 3<sup>rd</sup> Street, Suite 10, Jamestown, NY 14701, 973-438-7263

Avi Goldin, EVP, 520 Broad Street, Newark, NJ 07102, 973-438-4075

Joyce Mason, Secretary, 520 Broad Street, Newark, NJ 07102, 973-438-4466

#### Exhibit A-13 "Corporate Structure"

Provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America.

The applicant is a wholly-owned subsidiary of IDT Energy, Inc. which in turn is a wholly-owned subsidiary of Genie Retail Energy, Inc. which is a wholly-owned subsidiary of Genie Energy International Corporation which is owned almost entirely by Genie Energy Ltd., a publicly traded company.

See graphical description of Applicant's corporate structure, attached.

# Affiliates that supply retail or wholesale electricity or natural gas to customers in North America:

IDT Energy, Inc., - immediate parent of applicant - is a retail supplier of electricity and/or natural gas to customers in NY, NJ, PA, MD, DC and IL.

Evergreen Gas & Electric, LLC - affiliate of applicant - is licensed as a retail electricity and natural gas supplier in NY but is not currently active.

Diversegy, LLC – affiliate of applicant – broker of electricity and natural gas to commercial customers in numerous jurisdictions throughout the US. Licensed as an Aggregator/Broker of both electricity and natural gas in Ohio under license numbers 12-605E(2) and 12-279G(2).

EPIQ Energy, LLC – affiliate of applicant - broker of electricity and natural gas to residential and small commercial customers in numerous jurisdictions throughout the US. Licensed as an Aggregator/Broker of both electricity and natural gas in Ohio under license numbers 13-713E(1) and 13-315G(1).

### Exhibit A-14 "Company History"

Provide a concise description of the applicant's company history and principal business interests.

The applicant was formed in the State of New York on March 4, 2010, under the name New Energy Services, LLC. The New York Public Service Commission ("NYPSC") deemed it eligible to serve as a natural gas supplier (ESCO) on April 2, 2010. Sometime thereafter it began serving natural gas customers in the ConEdison and Keyspan territories in New York City. On July 26, 2011, the NYPSC deemed the Company eligible to serve as an electricity supplier.

On April 26, 2011, the applicant received its natural gas supplier license from the NJ Board of Public Utilities ("NJBPU"). It began serving natural gas customers in the PSE&G territory of New Jersey sometime thereafter.

The founders of the applicant then decided that they did not wish to pursue growth and they allowed the customers to migrate back to the utilities and/or other suppliers.

On August 7, 2012, all of the membership interests in the applicant were purchased by IDT Energy, Inc. ("IDTE"), a Delaware corporation headquartered in Newark, New Jersey.

In March of 2013, IDTE changed the name of the applicant to Residents Energy, LLC, and its headquarters address to Newark, New Jersey. On March 21, 2013, The New York Public Service Commission issued a new Eligibility Letter acknowledging the applicant's name change.

On April 23, 2014, the New Jersey Board of Public Utilities issued Electric Power Supplier and Gas Supplier Licenses to the applicant as Residents Energy, LLC.

The Pennsylvania Public Utility Commission granted the applicant a license to serve as a Natural Gas Supplier on November 13, 2014 and a license to serve as an Electric Generation Supplier on December 18, 2014.

After completion of the application process and the appropriate EDI testing, the applicant began serving electric and natural gas customers in the majority of the New York utility territories during the 4<sup>th</sup> Quarter of 2014.

At this juncture, the applicant is in the process of getting set up to do business with numerous utility companies in New Jersey and Pennsylvania.

# Exhibit A-15 "Articles of Incorporation and Bylaws"

Provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto.

Copies of the applicant's Articles of Organization and amendments thereto, are attached. As a limited liability company, the applicant does not have Bylaws.

# Exhibit A-16 "Secretary of State"

Provide evidence that the applicant has registered with the Ohio Secretary of the State.

Copy of Certificate from Secretary of State, attached.



DATE 01/08/2015 DOCUMENT ID 201500800342

DESCRIPTION REGISTRATION OF FOREIGN FOR PROFIT LLC (LFP)

FILING EXPED 125.00 100.00 PENA

0.00 CERT

Receipt

This is not a bill. Please do not remit payment.

NSI 145 BAKER ST. ATTN: MARINA REEL MARION, OH 43302

# STATE OF OHIO

CERTIFICATE

Ohio Secretary of State, Jon Husted 2355501

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

RESIDENTS ENERGY, LLC

and, that said business records show the filing and recording of:

Document(s)

Document No(s):

REGISTRATION OF FOREIGN FOR PROFIT LLC

Effective Date: 01/07/2015

201500800342



United States of America State of Ohio Office of the Secretary of State Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 8th day of January, A.D. 2015.

Jan Hastel

**Ohio Secretary of State** 



Form 533B Prescribed by: Ohio Secretary of State JON HUSTED Ohio Secretary of State

Central Ohio: (614) 466-3910
Toll Free: (877) SOS-FILE (767-3453)
www.OhioSecretaryofState:gov
Busserv@OhioSecretaryofState.gov

Mall this form to one of the following:

Regular Filing (non expedite) P.O. Box 670 Columbus, OH 49216

Expedite Filing (Two-business day processing time requires an additional \$109.00). P.O. Box 1390 Columbus, OH 43218

## Registration of a Foreign Limited Liability Company Filing Fee: \$125

| CHECK ONLY ONE (1) BOX  | position and the second |
|---|--|
| (1) Registration of a Foreign For-Profit Limited Liability Company (106-LFA) ORC 1705                               | (2) Registration of a Foreign Nonprofit Limited Liability Company (108-LFA) ORC 1705   |
| Jurisdiction of Formation NEW YORK  | Jurisdiction of Formation  |
| Date of Formation 03/04/2010  | Date of Formation  |
| Name of Limited Liability Company in its jurisdiction of forms  | ation  |
| RESIDENTS ENERGY, LLC   |  |
|   | F 23 1   |
| Name under which the foreign limited liability company desi   | ires to transact business in Ohlo (if different from its mame in its   |
| jurisdiction of formation) is:  |  |
|   | 1  |
| Name must include one of the following words or abbreviations: "limite  | ted liability company," "limited," "LLC," "L.L.C.," "itd.," or "itd"   |
| The address to which interested persons may direct reques agreement, bylaws, or other charter documents of the comp | sts for copies of the limited liability company's operating  |
| C/O MINI RIVERA   |  |
| Name  |  |
| 1430 BROADWAY, SUITE 1615   |  |
| Mailing Address   |  |
| NEW YORK  | NY 10018   |
| City  | State ZIP Code   |
|   |  |

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Last Revised: 5/14/2014

| he limited liability company hereby company may be served in the state  | r appoints the following as its ag<br>e of Ohio. The name and comp  | gent upon whom proce<br>plete address of the ag   | ess against the limited liability<br>lent is |
|---|---|---|--|
| CSC-Lawyers Incorporating Serv  | rice (Corporation Service Comp  | pany)   |  |
| Name  |   |   |  |
| 50 West Broad Street, Suite 180   | 0   |   |  |
| Mailing Address   |   | _   |  |
| Columbus  |   | Ohio  | 43215  |
| City  |   | State   | ZIP Code                                     |
| b. an agent is  | cably consents to service of pro<br>and to service of process upo<br>not appointed, or<br>appointed but the authority of<br>cannot be found or served after | in the Ohio Secretary of the Ohio Secretary | of State If:<br>evoked, or                   |
| by signing and submitting this form as the requisite authority to execu | to the Ohio Secretary of State, te this document.   | , the undersigned here  | eby certifies that he or she                 |
| Required  | Signature foot  | <u> </u>  |  |
| lust be signed by an<br>uthorized representative.                       | Signature   |   |  |
| fauthorized representative  | By (if applicable)  |   |  |
| s an individual, then they<br>nust sign in the "signature"              | IOVAE MACON CECTO   | ETABV   |  |
| ox and print their name<br>the "Print Name" box.                        |   | JOYCE MASON, SECRETARY  Print Name  |  |
| Tine Print Name box.  | Luir Maille   |   |  |
| authorized representative sa business entity, not an                    |   |   |  |
| ndividual, then please print  | Signature   |   |  |
| he business name in the<br>signature" box, an                           |   |   |  |
| authorized representative By (if applicable) of the business entity     |   |   |  |
| nust sign in the "By" box   |   | <u></u>   |  |
| and print their name in the<br>'Print Name" box.                        | Print Name  |   |  |
| •   |   |   |  |
|   | Signature   |   |  |
|   |   |   |  |
|   | By (if applicable)  |   |  |
|   |   |   |  |
|   | Print Name  |   |  |
| Form 533B   | Page 2 of   | 2   | Last Revised: 5/14                           |

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# **Exhibit B-1 "Jurisdictions of Operation"**

Provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services.

Residents Energy, LLC 520 Broad Street Newark, NJ 07102

| State / License Type              | License Number                                |
|-----------------------------------|---|
| NY – ESCO (Electricity)           | Eligibility Letter issued by NYPSC on 7/26/11 |
| NJ – Electric TPS                 | ESL-0155                                      |
| PA – Electric Generation Supplier | A-2014-2433184                                |

IDT Energy, Inc. 520 Broad Street Newark, NJ 07102

| State   | License Number                                |
|---|---|
| DC – Electric Supplier / Marketer                   | EA 11-22<br>(Order #16840)                    |
| IL – Alternative Retail Electric<br>Supplier (ARES) | 12-0428                                       |
| MD – Electric Supplier                              | IR-1747                                       |
| NJ – Third Party Supplier<br>(Electricity)          | ESL-0081                                      |
| NY – ESCO (Electricity)                             | Eligibility Letter issued by NYPSC on 9/8/04. |
| PA – Electric Generation Supplier (EGS)             | A-2009-2134623                                |

Evergreen Gas & Electric, LLC 520 Broad Street Newark, NJ 07102

| State / License Type | License Number               | Nagalika da |
|----------------------|------------------------------|-------------|
| NY – ESCO (Electrici | ity) Eligibility Letter issu | ie by       |
|                      | NYPSC on 1/28/13             |             |

# EXHIBIT B-1 – "Jurisdictions of Operation" (cont.)

Diversegy, LLC 5080 Spectrum Drive, Ste. 600W Addison, TX 75001

| State / License Type                            | License Number  |
|---|-----------------|
| DC – Electric Broker                            | Order No. 17118 |
| DE – Electric Broker                            | Order No. 8572  |
| IL – Agent, Broker, or Consultant<br>(Electric) | 12-0581         |
| MA – Electric Broker                            | EB-282          |
| MD – Electric Broker                            | IR-2986         |
| NH – Electric Aggregation                       | DM 14-092       |
| NJ – Energy Agent<br>(Electric & Gas)           | EA-0225         |
| NJ – Private Aggregator<br>(Electric & Gas)     | PA-0131         |
| NJ – Energy Consultant<br>(Electric & Gas)      | EC-0063         |
| OH – Aggregator/Broker (Electric)               | 12-605E(2)      |
| PA – Broker (Electric)                          | A-2013-2365762  |
| RI – Power Producer                             | D-96-6(V6)      |

Epiq Energy, LLC 5080 Spectrum Drive, Ste. 600W Addison, TX 75001

| State / License Type                         | License Number  |
|--|-----------------|
| IL – Agent, Consultant, or Broker (Electric) | 13-0382         |
| OH – Aggregator/Broker (Electric)            | 13-713E(1)      |
| DC – Electric Broker                         | Order No. 17434 |
| NJ – Energy Agent<br>(Electric & Gas)        | EA-0291         |
| NJ – Private Aggregator<br>(Electric & Gas)  | PA-0156         |
| NJ – Energy Consultant<br>(Electric & Gas)   | EC-0090         |
| MD – Electric Broker                         | IR-3272         |
| PA – Broker (Electric)                       | A-2014-2405358  |
| NH – Electric Aggregator                     | DM-15-077       |

#### Exhibit B-2 "Experience & Plans"

Provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

The applicant's parent company, IDT Energy, Inc. ("IDTE"), has been an active retail energy supplier since 2004, and currently serves more than 350,000 electric and natural gas meters in five states and in the District of Columbia. The applicant has only recently re-entered the New York utility markets, after a two-year hiatus, under the new ownership of IDTE, and with the new name, Residents Energy, LLC. As a wholly-owned subsidiary of IDTE, the applicant will leverage the considerable experience of IDTE's executive leadership team, and its dedicated back-office operations staff, as it develops its brand.

The plan is for the applicant to market its products to Ohio customers through a variety of channels including direct mail, internet advertising, and the use of both in-house and outside telemarketing and door-to-door marketing teams. Outside marketing vendors will be cautiously selected after a substantial vetting process. The individual sales agents hired by the vendors for door-to-door marketing will be subjected to criminal background checks. All sales agents will be required to be properly trained before they will be permitted to participate in any marketing campaigns on behalf of the applicant. Lists for telemarketing campaigns will be appropriately scrubbed against the "do-not-call" registry. Marketing activities of all outside vendors will be heavily monitored by an in-house Quality Assurance team and an inspector in the field. Misrepresentations or deceptive communications of the applicant's products and offers, or any similar violations of the Commission's rules, will not be tolerated.

The enrollment of Ohio customers will be done in accordance with the rules set forth in 4901:1-21-06. Door-to-door sales will be validated by third-party verifications made after the sales agent leaves the premises of the customer. Hard copies of the terms and conditions will be provided to the customer at the time of sale, or electronic copies when permitted. Telephonic enrollments will also be validated by third-party verifications and the written terms and conditions will sent to the customer thereafter. The applicant's website will be set-up to accept internet enrollments as well.

With respect to customer billing, the applicant does not intend to bill its customers directly. Rather, customers will be billed by their local utility companies, pursuant to Commission-approved tariffs, for both the utility's delivery charges and the applicant's supply charges. EDI communications between the applicant and the utilities will be handled by an outside, experienced EDI provider.

With respect to customer inquiries and complaints, the applicant will initially leverage the existing knowledgeable in-house Customer Service team of IDTE, based in IDTE's satellite

facility in Jamestown, New York. There are currently more than forty representatives on staff and they take calls from 7:30 am to 7:30 pm seven days per week. There are representatives on staff who are fluent in Spanish. Additional staff will be added as needed to accommodate growth. Every effort will be made to resolve all customer complaints in the most expeditious and amicable manner.

#### Exhibit B-3 "Summary of Experience"

Provide a concise summary of the applicant's experience in providing the service(s) it is seeking to be certified to provide (e.g. number and types of customers served, utility service areas, amount of load, etc.).

As described in Exhibit A-14, the applicant's initial experience is no longer relevant because the company is now under new ownership and leadership and has only recently reentered the market after a hiatus of more than two years. Of greater relevance however, is the experience of IDT Energy, Inc. ("IDTE"), the applicant's parent company. IDTE has been an active participant in the retail energy marketplace for more than a decade. From its beginnings as a New York ESCO, IDTE expanded into gas and electric markets in New Jersey, Pennsylvania, Maryland, the District of Columbia and Illinois. Throughout, IDTE's customer base has been primarily residential and small commercial. As of the close of 2014, IDTE was servicing more than 234,000 electric meters and 129,000 gas meters. IDTE's leadership team intends to replicate many of the positive things it has learned over the last decade as it grows the applicant into a respectable market force in the Ohio electric and gas markets.

### Exhibit B-4 "Environmental Disclosure"

Provide a detailed description of how the applicant intends to determine its (a) generation resource mix, and (b) environmental characteristics, including air emissions and radioactive waste. This information shall include sufficient discussion so as to detail both the annual projection methodology and the proposed approach to compiling the quarterly actual environmental disclosure data. Additional details on this requirement may be obtained by referring to 4901:1-21-09.

The applicant is a wholly-owned subsidiary of IDT Energy, Inc. ("IDTE"). IDT Energy has a supplier agreement with BP Energy Company such that IDTE and its subsidiaries purchase all of their electricity through BP Energy Company. As such, the applicant will comply with the requirements of 4901:1-21-09 by using the generation resource mix and environmental characteristics information provided to BP Energy by the ISO/RTO.

## Exhibit B-5 "Disclosure of Liabilities and Investigations"

Provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

There are no existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations or other matters against, or relating directly to, the applicant. However, the applicant's parent company, IDT Energy, Inc. ("IDTE") has been involved in the following matters.

IDTE has been named as a defendant in three putative class action lawsuits. IDTE maintains that the lawsuits have no merit and is vigorously defending each of them. The three lawsuits are as follows:

McLaughlin v. IDT Energy, Inc. – US District Court EDNY- Case No: 14-cv-4107 <u>Ferrare v. IDT Energy, Inc.</u> – US District Court EDPA – Case No: 2:14-cv-04658-AB Aks v. IDT Energy, Inc. – Superior Court of NJ - Docket No. L-04936-14

#### Pennsylvania PUC Docket No. M-2013-2314312

In 2013, the Bureau of Investigation and Enforcement (I&E) of the Pennsylvania Public Utility Commission (PAPUC) conducted an informal investigation into twenty-one consumer complaints against IDTE that had been brought to the PAPUC's Bureau of Consumer Services. All of the complaints related to marketing contacts initiated by IDTE's independent, third-party sales agents.

IDTE fully cooperated with I&E throughout the course of the investigation and the parties negotiated the terms of a settlement agreement. In the settlement agreement IDTE admitted no wrongdoing, but agreed to pay a civil settlement amount of \$39,000.00, to take certain corrective actions and revise its operating procedures, and to comply with additional reporting requirements during the following year.

The settlement agreement, with some modifications, was approved by the PAPUC in an order issued on October 17, 2013. The full text of the settlement agreement and the order can be accessed online at:

http://www.puc.state.pa.us/about\_puc/consolidated\_case\_view.aspx?Docket=M-2013-2314312

### Pennsylvania PUC Docket No. C-2014-2427657

In June 2014, in the wake of the much publicized winter "Polar Vortex" price spikes on the wholesale electric markets and the resultant increase in rates to customers on variable rate products, the Pennsylvania Attorney General's Office ("AG") and the Acting Consumer Advocate ("OCA") filed separate Joint Complaints against five energy suppliers who were

selling variable rate products in Pennsylvania, including IDTE. IDTE maintains that it did nothing illegal or inappropriate and is vigorously defending itself against the allegations in the Joint Complaint. The Hearings phase of the matter commenced in February 2015 and is ongoing.

## Exhibit C-1 "Annual Reports"

Provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

As a limited liability company (LLC), the applicant does not have shareholders and thus, no Annual Report to Shareholders. Furthermore, since the applicant has only been actively marketing for a few months, the applicant has nothing similar to an annual shareholder report to provide at this time.

## Exhibit C-2 "SEC Filings"

Provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

The applicant is not a publicly traded company and is therefore not required to make SEC filings. The applicant's ultimate parent company, Genie Energy Ltd., is publicly traded on the NYSE and has more than \$150 million in assets. All of the financial information of the applicant is consolidated into Genie Energy Ltd.'s financial reports. The 10-K for 2014 is attached hereto and can also be accessed at <a href="http://genie.com/investors/sec-filings/">http://genie.com/investors/sec-filings/</a>.

# **Exhibit C-3 "Financial Statements"**

Provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

Further, documentation submitted in connection with this Exhibit will be filed under seal pursuant to Ohio Administrative Code 4901:1-24-08(A).

## Exhibit C-4 "Financial Arrangements"

Provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

Further, documentation submitted in connection with this Exhibit will be filed under seal pursuant to Ohio Administrative Code 4901:1-24-08(A).

# **Exhibit C-5 "Forecasted Financial Statements"**

Provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.

See forecasted financials filed under seal pursuant to Ohio Administrative Code 4901:1-24-08(A).

## Exhibit C-6 "Credit Rating"

Provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.

Please the documentation attached to Exhibit C-7.

# Exhibit C-7 "Credit Report"

Provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization.

Please see the attached.



#### **Experian Business Credit**

#### CreditScore<sup>SM</sup> Report

as of: 07/15/15 12:15 ET

#### Residents Energy, LLC

Address:

550 Broad St

Newark, NJ 07102-4531

United States

Experian BIN:

955160928

Agent:

Illinois Corporation Service C

Agent Address:

801 Adlai Stevenson Drive

Springfield,

Family Linkage:

Ultimate Parent

Residents Energy, LLC

550 Broad St

Newark, NJ

Branches /

Residents Energy, LLC 550 Broad St

Alternative Locations

ns Newark, NJ United States

Business Type:

Experian File Established:

Experian Years on File:

3 Years

Corporation

January 2012

Years in Business:

More than 3 Years

Filing Data Provided by: Date of Incorporation: New York

03/22/2013

This location does not yet have an estimated Days Beyond Terms (DBT), or a Payment Trend Indicator. This is often the result of too few Payment Tradelines. **UCC Filings**:

9

★ Businesses Scoring Worse:
✓ Bankruptcies:

26%

✓ Liens:

0

✓ Judgments Filed:

0

✓ Collections:

0

#### **Credit Summary**

# Credit Ranking Score: 27

High Risk



Low Risk The objective of the Credit Ranking Score is to predict payment behavior. High Risk means that there is a significant probability of delinquent payment. Low Risk means that there is a good probability of on-time payment.

#### **Key Score Factors:**

- Nbr of active commercial accts within the last 12 mos.
- · Number of commercial accounts with net 1-30 days term.
- · Number of recently active commercial accounts.
- · Number of commercial accounts including commercial leases.

Recommended Action: Medium Risk

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#### **Experian Business Credit**

#### CreditScore<sup>SM</sup> Report

as of: 07/15/15 16:30 ET

#### ldt Energy Inc

Address:

550 Broad St Newark

Newark, NJ 07102

**United States** 

Phone:

973-438-4167

Website:

idtenergy.com

Experian BIN:

962980684

Family Linkage:

Ultimate Parent

Genie Energy Limited

Newark, NJ United States

Parent / Headquarters

Newark, NJ United States

Genie Energy Limited

SIC Code:

NAICS Code:

4925-Petroleum Production

4911-Electric Services

4939-Combination Utilities, Nec 221210-Natural Gas Distribution

221100-Electric Power Generation,

Transmission And Distribution

221000-Utilities

Corporation

Business Type: Experian File Established:

August 2005 10 Years

Experian Years on File: Years in Business:

11 Years

This location does not yet have an estimated Days Beyond Terms (DBT), or a Payment Trend Indicator. This is often the result of too few Payment Tradelines.

#### **UCC Filings:**

1

Businesses Scoring Worse:

29%

✓ Bankruptcies:

0

√ Liens: ✓ Judgments Filed: 0 0

✓ Collections:

0

#### **Credit Summary**

## Credit Ranking Score: 30

High





Low Risk The objective of the Credit Ranking Score is to predict payment behavior. High Risk means that there is a significant probability of delinquent payment. Low Risk means that there is a good probability of on-time payment.

#### **Key Score Factors:**

- · Nbr of active commercial accts within the last 12 mos.
- · Number of commercial accounts with net 1-30 days term.
- · Number of recently active commercial accounts.
- · Number of commercial accounts including commercial leases.

Recommended Action: Medium Risk

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## Exhibit C-8 "Bankruptcy Information"

Provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

The applicant has no such reorganizations or bankruptcies to report.

### C-9 "Merger Information"

Provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

The applicant was formed in the State of New York on March 4, 2010, under the name New Energy Services, LLC. On August 7, 2012, the founding members of the applicant - an individual and two irrevocable family trusts - sold all of their membership interests in the Company to IDT Energy, Inc. ("IDTE"), a Delaware corporation headquartered in Newark, New Jersey. The applicant did not have any active customers at the time of its acquisition.

In March of 2013, IDTE changed the name of the applicant to Residents Energy, LLC, and its headquarters address to Newark, New Jersey.

#### Exhibit D-1 "Operations"

Provide a written description of the operational nature of the applicant's business. Please include whether the applicant's operations will include the generation of power for retail sales, the scheduling of retail power for transmission and delivery, the provision of retail ancillary services as well as other services used to arrange for the purchase and delivery of electricity to retail customers.

The applicant's business is the supply of electricity and natural gas to residential, small commercial and some large commercial customers. The applicant does not generate its own power. The applicant is currently supplying electricity and natural gas to customers in New York State and intends to begin marketing in New Jersey and Pennsylvania in the near future.

As a subsidiary of IDT Energy, Inc. ("IDTE"), the applicant will initially utilize IDTE's employees and outside vendors to conduct its operations. IDTE has been licensed as a competitive gas and/or electricity supplier in New Jersey, New York, Pennsylvania, the District of Columbia, Maryland, and Illinois and currently serves more than 350,000 meters in those markets.

IDTE has had a long-standing Key Supplier Agreement in place with BP Energy and that agreement covers the activities of the applicant as well. Pursuant to the KSA, BP is the financially responsible party and the scheduling entity for the applicant's load. BP is responsible for scheduling electricity on a daily basis and securing ancillary services to support the load.

## **Exhibit D-2 "Operations Expertise"**

Given the operational nature of the applicant's business, provide evidence of the applicant's experience and technical expertise in performing such operations.

As described in Exhibits A-14, B-3 and D-1, the applicant is a wholly-owned subsidiary of IDT Energy, Inc. ("IDTE"). IDTE has been an active participant in the retail energy marketplace for more than a decade. From its beginnings as a New York ESCO, IDT Energy expanded into gas and electric markets in New Jersey, Pennsylvania, Maryland, the District of Columbia and Illinois. Throughout, IDTE's customer base has been primarily residential and small commercial. IDTE's leadership team intends to replicate many of the positive things it has learned over the last decade as it grows the applicant into a respectable market force in the Ohio electric and gas markets. The applicant will initially rely on the marketing vendors, EDI vendor and back-office staff, utilized by IDTE and will expand its resources as it becomes necessary.

#### Exhibit D-3 "Key Technical Personnel"

Provide the names, titles, e-mail addresses, telephone numbers, and the background of key personnel involved in the operational aspects of the applicant's business.

Michael Stein, CEO mstein@genieretail.com 973-438-3018

Michael Stein recently assumed the role of CEO of Genie Retail Energy, Inc. and its subsidiaries, IDT Energy, Inc. and Residents Energy, LLC. Previously, he served as Executive Vice President of Operations and then Senior Vice President of Operations of Genie Energy Ltd. Prior to assuming responsibility for the energy related initiatives, Mr. Stein was Senior Vice President of Business Development of IDT Telecom and was an analyst at Belstar Investment Management. He holds a BA in Psychology from Yeshiva University.

# Alan Schwab, President and Chief Operating Officer aschwab@genieretail.com 973-438-4343

Alan Schwab is the President and Chief Operating Officer (COO) of Genie Retail Energy, Inc. and its subsidiaries, Residents Energy, LLC and IDT Energy, Inc. As President and COO, Alan is responsible for the day-to-day operations of the businesses including sales, operations, compliance, customer service and technology. Under his leadership, IDT Energy expanded its customer base into the New Jersey, Pennsylvania, Maryland, Illinois and District of Columbia markets and Residents Energy began its operations in the New York utility markets.

Prior to his foray into energy, Alan excelled in a succession of senior operations positions at IDT Corporation beginning at IDT Telecom, which he joined in 1996. As SVP at IDT Telecom, Alan managed the routing, provisioning and switching translations for IDT's global telecommunications network.

Alan subsequently was named COO of IDT Capital, the division of IDT responsible for new business initiatives and incubation. In that capacity, he directed the development of over a dozen successful start-ups, including IDT Energy.

Alan holds an MBA from Barry University in Miami, Florida.

# Terrence P. Stronz, Chief Financial Officer <a href="mailto:tstronz@genieretail.com">tstronz@genieretail.com</a> 973-438-7263

Terry is an experienced finance and accounting executive with over 25 years of professional accounting experience. As Chief Financial Officer of Genie Retail Energy, Inc., and its subsidiaries, Residents Energy, LLC and IDT Energy, Inc., Terry is responsible for the companies' financial reporting, budgeting and forecasting, analytical review and financial relationships with suppliers and lenders.

Terry has been in his current position since 2005. He has helped to guide the businesses through a period of rapid growth and expansion, from revenues of approximately \$12 million in 2005 to \$200 million today. Previously, Terry served as Chief Financial Officer for North American Energy, Inc., a New York based energy retailer acquired by IDT Energy.

Terry's career includes twenty years with Buffamante Whipple Buttafaro, P.C., (BWB), one of the leading public accounting firms in New York State.

At BWB, Terry was responsible for providing high-quality, timely counsel to companies in a range of industries. His consulting practice focused on advising clients with regard to profit enhancement, tax planning, negotiations with taxing authorities and costing systems. In this capacity, Terry's industry focus included oil and gas, steel fabricators and various manufacturing companies. His responsibilities at BWB also included a central role in administration as director of human resources. He also led the firm's office in Jamestown, New York and served on BWB's executive and compensation committees.

Throughout his career, Terry has contributed to his community in board and executive roles for a number of civic organizations. He is currently a member of the Chautauqua Region Community Foundation, where he serves on the investment committee. Terry is also a Director and Treasurer of the Moon Brook Country Club. His former civic involvement included service as a board member and executive of the Jamestown Area YMCA, Director and Treasurer of the Chautauqua Region Multiple Sclerosis Society and as a volunteer at the Jamestown YMCA Youth Basketball Program.

Terry is a Certified Public Accountant and earned a BA in business administration with a concentration in accounting from Saint Bonaventure University.

# Brad Martin, VP of Marketing bmartin@genieretail.com 973-438-3184

Brad Martin is Vice President of Marketing for Genie Retail Energy, Inc. and its subsidiaries, Residents Energy, LLC and IDT Energy, Inc. He is responsible for the companies' marketing and customer acquisition strategy, including on- and off-line media plans, media partner development and new consumer offers.

Brad previously served as Senior Director of Marketing Programs at RCN Telecommunications, where he managed marketing, product development, sales, customer care, project management and back office operations. Brad rose through the leadership ranks in RCN's marketing group while serving various senior positions, earning the CEO's Award for Marketing Performance in 2005.

Prior to joining RCN, Brad was Marketing Director at SmartServ Online and i3 Mobile, both developers of mobile telephony applications. During his tenure he successfully launched applications with every major wireless carrier in North America.

Brad established his marketing career at Columbia House, Co. where he directed product management, business development and customer acquisition programs for one of the largest consumer software and video gaming clubs in North America.

Brad graduated with a Bachelor of Science in Marketing and Management Information Systems from Yeshiva University in New York.

# Wayne Stoughton, VP – Customer Services and Regulatory Compliance wstoughton@genieretail.com 973-438-7271

Wayne Stoughton is an experienced energy executive with over 25 years of industry experience in senior operational positions. Throughout his career, Wayne has been a leading proponent of retail energy deregulation and competition, and has been extensively involved in a wide range of related regulatory and public policy issues.

As Vice President of Customer Service and Regulatory Compliance for Genie Retail Energy, Inc. and its subsidiaries, Residents Energy, LLC and IDT Energy, Inc., Wayne develops and manages quality control procedures and reporting methodologies, identifies and engages subcontractors, and oversees the customer service call center in Jamestown, New York, including management of the center's staff. The center handles all inbound and outbound customer-related calls.

Wayne is an active participant in the National Energy Marketers Association's Northeast Region Advocacy Team on Electric and Gas Policy. Wayne has also been an active party to many utility rate cases and energy-related New York Public Service Commission regulatory initiatives, where he has worked to promote energy competition. During his career, Wayne has served on numerous development committees of the EDI (electronic data interchange) protocol in New York State.

Wayne's prior industry experience included his supervision of the drilling and production of natural gas and oil at nearly 1,000 wells in the Appalachian Basin. He was also responsible for pipeline gathering system design and installation.

Wayne holds a B.S. in Business Management from the University of Phoenix.

# Maurice Kamienny, VP - Electricity Forecasting/Scheduling/Settlement <a href="mkamienny@genieretail.com">mkamienny@genieretail.com</a> 973-438-2671

Maurice Kamienny serves as Vice President of Electricity Forecasting, Scheduling and Settlement for Genie Retail Energy, Inc. and its subsidiaries, Residents Energy, LLC and IDT Energy, Inc. Maurice spent 25 years in the System Control Center of Florida Power and Light (FPL), a regulated electric utility in Miami, Florida. At FPL, Maurice was responsible for the Real Time and Day-Ahead Economic Optimization of the Power System. He was also responsible for the accuracy, tuning and enhancement of the load forecast, security constraint unit commitment and fuel optimization, AGC, Economic Dispatch, EconomyA and Cogen Pricing and developed and implemented a new interchange contract into the Interchange Transaction Scheduler.

He was the recipient of the 2005 Outstanding Engineer Award from the IEEE Power Engineering Society Miami, Florida Chapter.

Maurice holds a BS and MS in Electrical Engineering from Purdue University in Indiana.

# Exhibit D-4 "FERC Power Marketer License Number"

Provide a statement disclosing the applicant's FERC Power Marketer License number. (Power Marketers only)

The applicant received market-based rate authority from FERC in Docket or Case Number ER13-802-000. The name change from New Energy Services, LLC to Residents Energy, LLC was recognized by FERC in ER 13-1244-000.

This foregoing document was electronically filed with the Public Utilities

**Commission of Ohio Docketing Information System on** 

7/16/2015 12:15:52 PM

in

Case No(s). 15-1321-EL-CRS

Summary: Application for Certification as a Competitive Retail Electric Generation Provider and Power Marketer electronically filed by Ms. Margeaux Kimbrough on behalf of Residents Energy, LLC