

FILE

13-1500-EL-AGG

31

Ohio**Public Utilities
Commission**

Original/AGG Case Number	Version
13-1500-EL-AGG	August 2004

RENEWAL APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form.
You may also download the form, by saving it to your local disk, for later use.

A. RENEWAL INFORMATION**A-1 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address**

Legal Name ENERGY CONSULTANTS LIMITED LIABILITY COMPANY
 Address 209 PLYMOUTH AVENUE WEST BERLIN, NJ 08091
 PUCO Certificate # and Date Certified 13-720E (1) 7/29/2013
 Telephone # (856) 673-1782 Web site address (if any) _____

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name ENERGY CONSULTANTS LIMITED LIABILITY COMPANY
 Address 209 PLYMOUTH AVENUE WEST BERLIN, NJ 08091
 Telephone # (856) 673-1782 Web site address (if any) _____

A-3 List all names under which the applicant does business in North America
ENERGY CONSULTANTS LIMITE

A-4 Contact person for regulatory or emergency matters

Name KENNETH PROPP
 Title CONTROLLER
 Business address 209 PLYMOUTH AVENUE WEST BERLIN, NJ 08091
 Telephone # (856) 673-1782 Fax # (267) 790-8163
 E-mail address ROBPROPP@LIVE.COM

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
 Technician SMK Date Processed JUN 22 2015

RECEIVED-DOCKETING DIV
 2015 JUN 22 PM 1:48
 PUCO

A-5 Contact person for Commission Staff use in investigating customer complaints

Name KENNETH PROPP
Title CONTROLLER
Business address 209 PLYMOUTH AVENUE WEST BERLIN, NJ 08091
Telephone # (856) 673-1782 Fax # (267) 790-8163
E-mail address ROBPROPP@LIVE.COM

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address 209 PLYMOUTH AVENUE WEST BERLIN, NJ 08091
Toll-free Telephone # (888) 602-1782 Fax # (267) 790-8163
E-mail address ROBPROPP@LIVE.COM

A-7 Applicant's federal employer identification number # 27-1059684

A-8 Applicant's form of ownership (check one)

- | | |
|--|---|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

A-9 Exhibit A-9 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

A-10 Exhibit A-10 "Corporate Structure," provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

B-1 Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.

B-2 Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-4 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.
☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-5 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.
☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

C-3 **Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

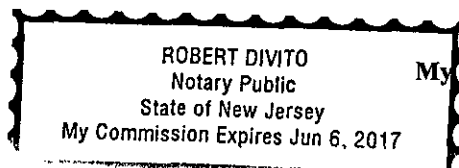
- C-4** **Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).
- C-5** **Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6** **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7** **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization.
- C-8** **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9** **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

Kenneth R Perry Castro II
Signature of Applicant & Title

Sworn and subscribed before me this 16 day of June, 2015
Month Year

[Signature]
Signature of official administering oath

Robert DWB
Notary Public
Print Name and Title



My commission expires on 6/6/17

AFFIDAVIT

State of New Jersey :

(Town) ss.

County of Camden :

Kenneth Propp, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the Controller (Office of Affiant) of Energy Conservation (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

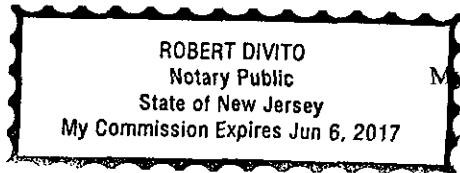
That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Kenneth R. Perry Controller
Signature of Affiant & Title

Sworn and subscribed before me this 16 day of June, 2015
Month Year

[Signature]
Signature of official administering oath

Robert D. Vito - Notary Public
Print Name and Title



My commission expires on 6/6/17

A-9 Exhibit A-II "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

Fred Rodio Owner
209 Plymouth Ave
West Berlin, NJ 08091
856-673-1782

Kenneth Propp Controller
209 Plymouth Ave
West Berlin, NJ 08091
856-673-1782

A-10 Exhibit A-10 "Corporate Structure," provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.

No affiliate or subsidiary companies

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

B-1 Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.

New Jersey

Pennsylvania

Delaware

B-1 Exhibit B-1 "Jurisdictions of Operation,"

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION**

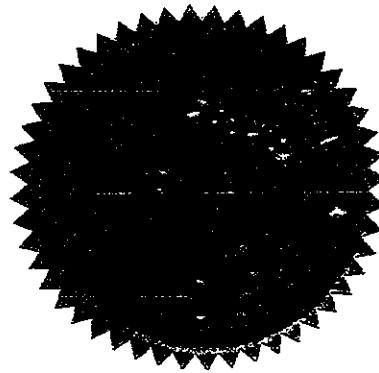
IN THE MATTER OF THE APPLICATION OF: A-2010-2197893

The Application of Energy Consultants LLC for approval to begin to offer, render, furnish or supply electricity or electric generation services to residential, small commercial (25 kw and under demand), large commercial (over 25 kw demand), industrial and governmental customers within the Commonwealth of Pennsylvania.

The Pennsylvania Public Utility Commission hereby certifies that after an investigation and/or hearing, it has, by its report and order made and entered, found and determined that the granting of the application is necessary or proper for the service, accommodation, convenience and safety of the public and hereby issues, evidencing the Commission's approval, to the applicant this:

LICENSE FOR ELECTRIC GENERATION SUPPLIER.

In Witness Whereof, the PENNSYLVANIA PUBLIC UTILITY COMMISSION has caused these presents to be signed and sealed, and duly attested by its Secretary at its office in the city of Harrisburg this 22nd day of November 2010.



A handwritten signature in dark ink, appearing to read "R. Cunningham", is written over the official seal.

Secretary

B-1 Exhibit B-1 "Jurisdiction of Fixed Utility Services"

<u>AGENDA NO.</u>	<u>DOCKET NO.</u>	<u>NAME AND SUBJECT</u>
2197853-FUS Vote 5-0	A-2010-2197853	THE LEGACY ENERGY GROUP, LLC D/B/A LEGACY ENERGY - Application filed on 9/8/10, for a license to offer, render, furnish or supply electricity or electric generation services as a broker/marketer acting on behalf of small commercial (25 kw and under demand), large commercial (over 25 kw), and industrial customers in the electric distribution company service territories in PA. RECOMMENDATION: That the Commission adopt the proposed Order which approves the application.
2197893-FUS Vote 5-0	A-2010-2197893	→ ENERGY CONSULTANTS, LLC ← - Application filed on 9/3/10, for a license to offer, render, furnish or supply electricity or electric generation services as a broker/marketer engaged in the business of analyzing customer electricity load requirements and quotes from suppliers in order to recommend the supplier that best fits the needs for residential, small commercial (25 kw and under demand), large commercial (over 25 kw demand), industrial, and governmental customers in the electric distribution company service territories throughout PA. RECOMMENDATION: That the Commission adopt the proposed Order which approves the application.
2199236-FUS Vote 5-0	A-2010-2199236	DUKE ENERGY RETAIL SALES, LLC - Application filed on 9/10/10, for a license to offer, render, furnish or supply electricity or electric generation services as a supplier of retail electricity for large commercial (over 25 kw demand), industrial, and governmental customers in the electric distribution service territories throughout PA. RECOMMENDATION: That the Commission adopt the proposed Order which approves the application.

ENERGY CONSULTANTS LIMITED LIABILITY COMPANY

B-1 Exhibit B-1 "Jurisdictions of Operation"



**STATE OF DELAWARE
PUBLIC SERVICE COMMISSION**

861 SILVER LAKE BOULEVARD
CANNON BUILDING, SUITE 100
DOVER, DELAWARE 19904

TELEPHONE: (302) 736-7500
FAX: (302) 739-4849

January 12, 2011

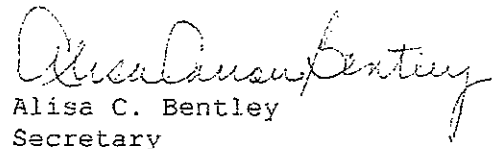
Mr. Kenneth Propp
Controller
Energy Consultants, LLC
209 Plymouth Avenue
West Berlin, NJ 08091

Re: IN THE MATTER OF THE APPLICATION
OF ENERGY CONSULTANTS, LLC FOR A
CERTIFICATE TO PROVIDE ELECTRIC
SUPPLY SERVICES WITHIN THE STATE
OF DELAWARE (FILED DECEMBER 16, 2010) -
PSC DOCKET NO. 10-431

Dear Mr. Propp:

Enclosed are two (2) Certified Copies of Commission Order No. 7887 in the above-captioned matter, which are self-explanatory.

Very truly yours,


Alisa C. Bentley
Secretary

ACB/jrs
Enclosures: 2
Certified Mail #000331593962
Cc: Joseph Handlon, Esq. (w/encl)
Malika Davis (w/encl)
Michael Sheehy (w/encl)

B-1 Exhibit B-1 "Jurisdictions of Operation,"

State of Delaware



The Public Service Commission

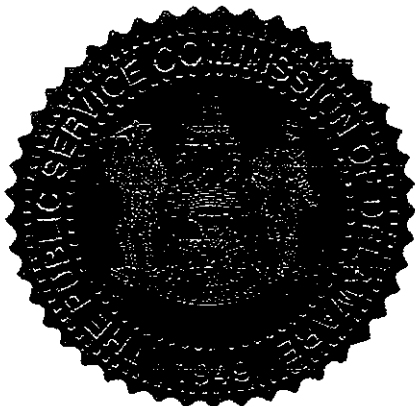
STATE OF DELAWARE

COUNTY OF KENT

} ss.

I, Alisa Carrow Bentley, Secretary
of the PUBLIC SERVICE COMMISSION OF DELAWARE, do hereby certify that
I have compared the attached Order with the original adopted by said Commission,
and that it is a true and correct transcript of Order No. 7887,
Docket No. 10-431.

IN WITNESS WHEREOF, I have hereunto subscribed my hand and affixed
the seal of the Commission this 11th day of January A.D.,
20 11.



Alisa Carrow Bentley
Secretary

ENERGY CONSULTANTS LIMITED LIABILITY COMPANY

B-1 Exhibit B-1 "Jurisdictions of Operation."

BEFORE THE PUBLIC SERVICE COMMISSION
OF THE STATE OF DELAWARE

IN THE MATTER OF THE APPLICATION)
OF ENERGY CONSULTANTS, LLC FOR A)
CERTIFICATE TO PROVIDE ELECTRIC) PSC DOCKET NO. 10-431
SUPPLY SERVICES WITHIN THE STATE)
OF DELAWARE (FILED DECEMBER 16,)
2010))

ORDER NO. 7887

ELECTRIC SUPPLIER CERTIFICATE

This 11th day of January 2011 the Commission determines and orders the following:

1. On December 16, 2010, Energy Consultants, LLC ("Energy Consultants" or the "Company"), filed an application seeking certification as a retail electric supplier in order to broker the sale or purchase of electric supply service (the "Application"). See 26 Del. C. §§1001(2), 1001(11), 1012(a). Under the Electric Utilities Restructuring Act of 1999 (the "Act"),¹ "electric suppliers", which includes brokers, are not "public utilities," and, accordingly, the resulting sales of electric supply service to retail customers are not subject to traditional forms of rate-regulation. See 26 Del. C. §§102(2), 202(f).²

2. In the Application, the Company reports that it is a broker that will utilize appropriate energy procurement strategies to meet the needs of its residential, commercial and industrial customers in Delaware. Staff reports that the Company's application fulfills all

¹ See 26 Del. C. Ch. 10 (2004 Supp.), as amended by the "Electric Utility Retail Customer Supply Act of 2006," 75 Del. Laws Ch. 242 (2006).

² Under the Act, a "broker" is an agent or intermediary in the sale or purchase of electricity to, or by, retail electric customers. Although a broker never takes "title" to the electric supply product, the Act deems a broker an "electric supplier." See 26 Del. C. §§ 1001(2), 1001(11)c.

ENERGY CONSULTANTS LIMITED LIABILITY COMPANY

PSC Docket No. 10-431, Order No. 7887 Con't

B-1 Exhibit B-1 "Jurisdictions of Operation."

of the requirements for an Electric Supplier Certificate to act as a broker as set forth in the Commission's Rules for Certification and Regulations of Electric Suppliers (the "ES Rules"). In particular, Staff reports that the Company appears to possess the financial, operational, managerial, and technical capabilities to operate as a broker of electric supply service. Based on Staff's report, the Commission hereby issues to Energy Consultants an Electric Supplier Certificate, allowing it to broker sales and customer purchases of electric supply services in the State of Delaware consistent with the scheme adopted by the Act and the ES Rules.

NOW, THEREFORE, IT IS HEREBY ORDERED BY THE AFFIRMATIVE VOTE OF NOT
FEWER THAN THREE COMMISSIONERS:

1. That Energy Consultants, LLC is hereby granted under 26 Del. C. §1012(a) and the Commission's "Rules for Certification and Regulations of Electric Suppliers" a certificate to do business, and operate, as an "Electric Supplier" (broker) within the State of Delaware. Under this Certificate, Energy Consultants, LLC is authorized to broker Electric Supply Service within this State in accordance with 26 Del. C. Ch. 10.

2. That Energy Consultants, LLC shall at all times provide its brokerage of Electric Supply Service in accordance with the requirements imposed by 26 Del. C. Ch. 10 and the Commission's "Rules for Certification and Regulations of Electric Suppliers" as presently adopted, or as may be hereafter amended or supplemented. Energy Consultants, LLC is hereby notified that a violation of any applicable statute, rule or other controlling authority may be enforced by the

ENERGY CONSULTANTS LIMITED LIABILITY COMPANY

PSC Docket No. 10-431, Order No. 7887 Con't

B-1 Exhibit B-1 "Jurisdictions of Operation,"

sanctions permitted by 26 Del. C. §1012(a) and §10.2 of the "Rules for Certification and Regulations of Electric Suppliers".

3. That Energy Consultants, LLC shall, pursuant to the provisions of 26 Del. C. §1012(c)(2) and §§3.9 and 3.10 of the "Rules for Certification and Regulations of Electric Suppliers," file such reports and pay such fees and assessments as may be required, or may be imposed, by 26 Del. C. §§114 and 115.

4. That Energy Consultants, LLC consents to the jurisdiction of the courts of the State of Delaware for all acts or omissions related to its offering of electric supply services in this State.

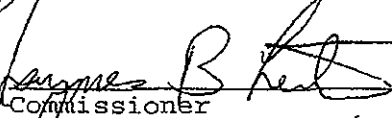
5. That Energy Consultants, LLC is hereby placed on notice that the costs of the proceedings will be charged to it under the provisions of 26 Del. C. § 114(b)(1).


6. That the Commission reserves the jurisdiction and authority to enter such further Orders in this matter as may be deemed necessary or proper.

BY ORDER OF THE COMMISSION:


Chair


Commissioner


Commissioner


Commissioner


Commissioner

State of New Jersey



Board of Public Utilities

Two Gateway Center -- Suite 801, Newark, New Jersey 07102

HEREBY REGISTERS

Energy Consultants LLC
209 Plymouth Avenue
West Berlin, New Jersey 08091

To conduct business in the State of New Jersey as a

Private Aggregator



Kristi Izzo

Secretary of the Board

B-2 Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

Energy Consultants LLC proposes to be a broker of electricity. Energy Consultants LLC will analyze a customer's bill to determine the current rate they are paying. Energy Consultants LLC will request quotes from alternative suppliers that offer electricity in the customers market. Energy Consultants LLC will analyze the quotes from the suppliers. Energy Consultants LLC will recommend the supplier that best fits the needs of the customer.

Energy Consultants LLC has brokered over 150,000,000 kWh for over 150 customers in New Jersey, Pennsylvania Delaware and New York.

Energy Consultants LLC plans on serving commercial, industrial and residential customers.

Energy Consultants LLC will not contract with customers.

B-3 Exhibit B-3 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

NONE

ENERGY CONSULTANTS LIMITED LIABILITY COMPANY

C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 Exhibit C-1 "Annual Reports,"

NOT APPLICABLE NOT A PUBLIC COMPANY.

ENERGY CONSULTANTS LIMITED LIABILITY COMPANY

C-2 Exhibit C-2 "SEC Filings."

NOT APPLICABLE NOT A PUBLIC COMPANY.

ENERGY CONSULTANTS LIMITED LIABILITY COMPANY

C-3 Exhibit C-3 "Financial Statements,"

I certify to the best of my knowledge that the attached income statement and balance sheet present fairly the financial position of Energy Consultants LLC for the years ending December 31, 2013 and December 31, 2014.

Certified by

A handwritten signature in black ink, appearing to read "Kenneth Propp". The signature is written in a cursive, flowing style.

Kenneth Propp Controller

6/16/2015

1:30 PM
06/15/15
Accrual Basis

Energy Consultants LLC
Balance Sheet
As of December 31, 2013

	<u>Dec 31, 13</u>
ASSETS	
Current Assets	
Checking/Savings	
NJ GOLD	-210.00
TD-APPLIED	302.12
TD BANK ENERGY CONSULTANTS LLC	8,522.92
Total Checking/Savings	<u>8,615.04</u>
Total Current Assets	8,615.04
Fixed Assets	
Furniture and Equipment	<u>1,826.49</u>
Total Fixed Assets	<u>1,826.49</u>
TOTAL ASSETS	<u>10,441.53</u>
LIABILITIES & EQUITY	
Equity	
Member 1 Draws	-214,518.67
Opening Balance Equity	555.54
Retained Earnings	189,980.63
Net Income	<u>34,424.03</u>
Total Equity	<u>10,441.53</u>
TOTAL LIABILITIES & EQUITY	<u>10,441.53</u>

1:29 PM
06/15/15
Accrual Basis

Energy Consultants LLC
Profit & Loss
January through December 2013

	<u>Jan - Dec 13</u>
Ordinary Income/Expense	
Income	
Commission Income	247,060.36
Total Income	<u>247,060.36</u>
Expense	
Advertising and Promotion	2,136.50
Automobile Expense	4,723.03
Bank Service Charges	1,143.00
Charitable Contributions	333.00
Commission Expense	115,230.18
Computer and Internet Expenses	6,583.17
Dues and Subscriptions	3,015.17
Insurance Expense	1,487.87
License	1,536.61
Meals and Entertainment	679.25
Miscellaneous Expense	2,917.20
Office Supplies	10,579.95
Postage and Delivery	327.15
Printing and Reproduction	681.08
Professional Fees	14,811.65
Rent	15,000.88
Repairs and Maintenance	11,279.18
Taxes State	325.00
Telephone Expense	6,366.04
Travel Expense	8,446.74
Utilities	5,033.68
Total Expense	<u>212,636.33</u>
Net Ordinary Income	<u>34,424.03</u>
Net Income	<u><u>34,424.03</u></u>

1:30 PM
06/15/15
Accrual Basis

Energy Consultants LLC
Balance Sheet
As of December 31, 2014

	<u>Dec 31, 14</u>
ASSETS	
Current Assets	
Checking/Savings	
LIBERTY BELL	500.00
TD-APPLIED	-50.08
TD BANK ENERGY CONSULTANTS LLC	2,750.74
Total Checking/Savings	<u>3,200.66</u>
Total Current Assets	3,200.66
Fixed Assets	
Furniture and Equipment	<u>1,826.49</u>
Total Fixed Assets	<u>1,826.49</u>
TOTAL ASSETS	<u>5,027.15</u>
LIABILITIES & EQUITY	
Equity	
Member 1 Draws	-288,251.07
Opening Balance Equity	555.54
Retained Earnings	224,404.66
Net Income	<u>68,318.02</u>
Total Equity	<u>5,027.15</u>
TOTAL LIABILITIES & EQUITY	<u>5,027.15</u>

1:29 PM
06/15/15
Accrual Basis

Energy Consultants LLC
Profit & Loss
January through December 2014

	<u>Jan - Dec 14</u>
Ordinary Income/Expense	
Income	
Commission Income	334,355.45
Total Income	<u>334,355.45</u>
Expense	
Advertising and Promotion	1,128.00
Automobile Expense	7,713.84
Bank Service Charges	1,309.99
Commission Expense	205,655.08
Computer and Internet Expenses	1,287.10
Dues and Subscriptions	2,682.65
Insurance Expense	7,736.21
License	1,837.07
Meals and Entertainment	1,579.96
Miscellaneous Expense	1,690.01
Office Supplies	3,310.47
Postage and Delivery	283.16
Professional Fees	8,941.04
Rent	1,194.91
Repairs and Maintenance	1,192.50
Taxes State	325.00
Telephone Expense	9,341.75
Travel Expense	5,323.00
Utilities	3,505.69
Total Expense	<u>266,037.43</u>
Net Ordinary Income	<u>68,318.02</u>
Net Income	<u><u>68,318.02</u></u>

C-5 Exhibit C-5 "Forecasted Financial Statements"

	Energy Consultants LLC projections	
	YEAR END 2015	YEAR END 2016
REVENUE	\$350,000.00	\$385,000.00
GROSS INCOME	\$350,000.00	\$385,000.00
SELLING EXPENSES	\$206,500.00	\$227,150.00
GENERAL EXPENSES	\$70,000.00	\$77,000.00
TOTAL EXPENSES	\$276,500.00	\$304,150.00
NET INCOME	\$73,500.00	\$80,850.00

ENERGY CONSULTANTS LIMITED LIABILITY COMPANY

C-6 Exhibit C-6 "Credit Rating."

NO CREDIT RATING

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ENERGY CONSULTANTS LIMITED LIABILITY COMPANY

C-7 **Exhibit C-7 "Credit Report,"**

NO CREDIT RATING

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ENERGY CONSULTANTS LIMITED LIABILITY COMPANY

C-8 Exhibit C-8 "Bankruptcy Information,"

NONE

ENERGY CONSULTANTS LIMITED LIABILITY COMPANY

C-9 Exhibit C-9 "Merger Information,"

NONE