



Public Utilities Commission

Original AGG Case Number	Version
13-1366-EL-AGG	August 2004

RENEWAL APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

**This PDF form is designed so that you may input information directly onto the form.
You may also download the form, by saving it to your local disk, for later use.**

A. RENEWAL INFORMATION

A-1 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name National Energy Experts, Inc.
Address 3216 East Creek Court, Avon, Ohio 44011
PUCO Certificate # and Date Certified _____
Telephone # 440-258-4121 Web site address (if any) _____

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name National Energy Experts, Inc.
Address 3216 East Creek Court, Avon, Ohio 44011
Telephone # 440-258-4121 Web site address (if any) _____

A-3 List all names under which the applicant does business in North America

National Energy Experts, Inc. _____

A-4 Contact person for regulatory or emergency matters

Name George Zajankala
Title President
Business address 3216 East Creek Court, Avon, Ohio 44011
Telephone # 440-258-4121 Fax # 440-210-3063
E-mail address george@nationalenergyexperts.com

A-5 Contact person for Commission Staff use in investigating customer complaints

Name George Zajankala
Title President
Business address 3216 East Creek Court, Avon, Ohio 44011
Telephone # 440-258-4121 Fax # 440-210-3063
E-mail address george@nationalenergyexperts.com

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address 3216 East Creek Court, Avon, Ohio 44011
Toll-free Telephone # 1-877-210-1466 Fax # 440-210-3063
E-mail address george@nationalenergyexperts.com

A-7 Applicant's federal employer identification number # 45-3969793

A-8 Applicant's form of ownership (check one)

- | | |
|--|--|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input checked="" type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

A-9 Exhibit A-9 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

A-10 Exhibit A-10 "Corporate Structure," provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

B-1 Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.

B-2 Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-4 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-5 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. FINANCIAL CAPABILITY AND EXPERIENCE

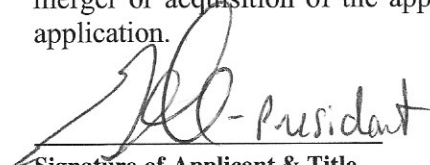
PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

C-3 **Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.


- C-4 Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).
- C-5 Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization.
- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.


 Signature of Applicant & Title



KATIE M. GIER
 Notary Public, State of Ohio
 My Commission Expires
 July 30, 2017

Sworn and subscribed before me this 10 day of June, 2015
 Month Year


 Signature of official administering oath

Katie M. Gier, Notary Public
 Print Name and Title

My commission expires on July 30, 2017

AFFIDAVIT

State of Ohio :

Avon ss.
(Town)

County of Lorain :

George Zajankala, Affiant, being duly sworn/affirmed according to law, deposes and says that:

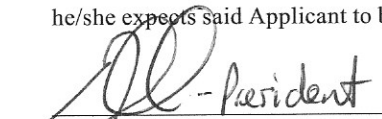
He/She is the President (Office of Affiant) of National Energy Experts, Inc. (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.



Signature of Affiant & Title



KATIE M. GIER
Notary Public, State of Ohio
My Commission Expires
July 30, 2017

Sworn and subscribed before me this 10 day of July, 2015
Month Year



Signature of official administering oath

Katie M Gier, Personal Banker
Print Name and Title

My commission expires on July 30, 2017

A. RENEWAL INFORMATION

A-9 Exhibit A-9 “Principal Officers, Directors & Partners,”

George Zajankala serves as President, Secretary, and Treasurer of the Corporation. There are no other officers.

A-10 Exhibit A-10 “Corporate Structure,”

Governed by the provisions of Section 1709.591 of the Ohio Revised Code (the “Code”) and shall be deemed to be a “Close Corporation Agreement” as described in the Code.

There are no affiliate and/or subsidiary companies.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

B-1 Exhibit B-1 “Jurisdictions of Operation,”

We are currently licensed in Ohio at which time we would like to renew.

B-2 Exhibit B-2 “Experience & Plans,”

All contractual agreements are done directly with suppliers. We will be acting as a broker and all contracts, billing, inquiries, and complaints will be handled through the power suppliers.

B-3 Exhibit B-3 “Disclosure of Liabilities and Investigations,”

None.

B-4 Exhibit B-4 “Disclosure of Consumer Protection Violations,”

Answered: No

B-5 Exhibit B-5 “Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,”

Answered: No

C. FINANCIAL CAPABILITY AND EXPERIENCE

C-1 Exhibit C-1 “Annual Reports,”

I have attached the last 2 annual reports on record for 2013 & 2014.

Next Pages... 10-15.



Public Utilities Commission

Annual Report to the Commission for Competitive Retail Electric Service

☐ AGGREGATOR

GOVERNMENTAL AGGREGATOR

POWER MARKETER

RETAIL ELECTRIC GENERATION PROVIDER

☒ POWER BROKER

Certification Number: 13-711E

PUCOID Number: 357680

Annual Report for the Year ending December 31, 2013 of National Energy Experts, Inc.

Address:

3216 East Creek Court
Avon, OH 44011

Website URL:

N/A

Filed by:

George Zajankala
(440) 258-4121

Changes: This company did not have any changes in name(s), principal address, legal status, ownership, corporate structure or operations during the 2013 reporting year.

Annual Report filings and instructions are available at:

www.puco.ohio.gov/puco/docketing/

National Energy Experts, Inc

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Customer Class	Sales (kWh)	Earnings(\$)
Residential	0	\$0
Commercial	0	\$60,130
Industrial	0	\$0
Other	0	\$0
Total	0	\$60,130

Instructions:

This information is used for PUCO annual assessment purposes pursuant to Section 4905.10, Revised Code. The reporting company shall report its intrastate gross earnings for the provision of retail services (e.g. Retail Electric Generation, Broker, Marketer, Governmental Aggregator) for which it is subject to certification by the PUCO under Section 4928, Revised Code. In addition, power providers please provide all corresponding sales of kilowatt hours of electricity. Sales of kilowatt hours of electricity are deemed to occur at the meter of the retail customer.

The reporting company shall maintain supporting and/or subsidiary records to separately record receipts and sales of electricity derived from operations other than in Ohio. Information presented herein is subject to audit by the PUCO.

IN ORDER TO ENSURE THAT PUCO CORRESPONDENCE IS DIRECTED TO THE APPROPRIATE
PERSON AT THE CORRECT ADDRESS, PLEASE COMPLETE THE FOLLOWING.

Docketing Contact Information

george@nationalenergyexperts.com

Email

George Zajankala

President

Name

Title

3216 East Creek Court Avon, OH 44011

Address

(440) 258-4121

Phone Number (including Area Code)

Fiscal Contact Information

george@nationalenergyexperts.com

Email

George Zajankala

President

Name

Title

3216 East Creek Court Avon, OH 44011

Address

(440) 258-4121

Phone Number (including Area Code)



Public Utilities Commission

Annual Report to the Commission for Competitive Retail Electric Service

☐ AGGREGATOR
GOVERNMENTAL AGGREGATOR
POWER MARKETER

RETAIL ELECTRIC GENERATION PROVIDER
☒ POWER BROKER

Certification Number: 13-711E

PUCOID Number: 357680

Annual Report for the Year ending December 31, 2014 of National Energy Experts Inc

Address:

3216 East Creek Court
Avon, OH 44011

Website URL:

N/A

Filed by:

George Zajankala
(440) 258-4121

Changes: This company did not have any changes in name(s), principal address, legal status, ownership, corporate structure or operations during the 2014 reporting year.

Annual Report filings and instructions are available at:

www.puco.ohio.gov/puco/docketing/

National Energy Experts, Inc 13.

Customer Class	Sales (kWh)	Earnings(\$)
Residential	0	\$0
Commercial	0	\$98,096
Industrial	0	\$0
Other	0	\$0
Total	0	\$98,096

Instructions:

This information is used for PUCO annual assessment purposes pursuant to Section 4905.10, Revised Code. The reporting company shall report its intrastate gross earnings for the provision of retail services (e.g. Retail Electric Generation, Broker, Marketer, Governmental Aggregator) for which it is subject to certification by the PUCO under Section 4928, Revised Code. In addition, power providers please provide all corresponding sales of kilowatt hours of electricity. Sales of kilowatt hours of electricity are deemed to occur at the meter of the retail customer.

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IN ORDER TO ENSURE THAT PUCO CORRESPONDENCE IS DIRECTED TO THE APPROPRIATE
PERSON AT THE CORRECT ADDRESS, PLEASE COMPLETE THE FOLLOWING.

Docketing Contact Information

george@nationalenergyexperts.com

Email

George Zajankala

President

Name

Title

3216 East Creek Court Avon, OH 44011

Address

(440) 258-4121

Phone Number (including Area Code)

Fiscal Contact Information

george@nationalenergyexperts.com

Email

George Zajankala

President

Name

Title

3216 East Creek Court Avon, OH 44011

Address

(440) 258-4121

Phone Number (including Area Code)

C-2 Exhibit C-2 “SEC Filings,”

No Filings with the SEC to report as it doesn't pertain to our business type.

C-3 Exhibit C-3 “Financial Statements,”

Evidence of financial info attached.

Next page... 17.

[SUMMARY](#)

[MAKE PAYMENTS](#)

[TRANSFER FUNDS](#)

[SERVICE CENTER](#)

Welcome, NATIONAL ENERGY EXPERTS INC

[Messages](#)

Real Life RewardsSM
[Become a Customer](#)

Deposits/Investments

Account Name	Balance as of 06/07/2015	Available Balance	
REGULAR BUSINESS CHECKING Checking [REDACTED]	\$87,262.48	\$87,230.57	Account Options
BUS RELATIONSHIP SAVINGS Checking X [REDACTED]	\$6.00	\$6.00	Account Options

Credit Cards/Loans

Account Name	Balance
No Credit Card/Loan accounts found.	

Scheduled Internal Transfers


Transfer Date	Amount	From Account	To Account
No Pending Transfers			

Scheduled Payments To a Fifth Third Account

Send Date	Amount	From Account	To Account
No Scheduled Payments on Credit, Loan or Line.			


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National Energy Experts, Inc. 17.

C-4 Exhibit C-4 “Financial Arrangements,”

We are not a CRES business. We will be doing business as a broker. We do not take title to power.

C-5 Exhibit C-5 “Forecasted Financial Statements,”

We are not a CRES operation. We are doing business as a broker. We do not take title to power.

C-6 Exhibit C-6 “Credit Rating,”

We don't have any of the listed credit ratings to report.

C-7 Exhibit C-7 “Credit Report,”

We don't have a credit report to offer. We haven't used any form of credit to run our business nor do we intend and/or need to.

C-8 Exhibit C-8 “Bankruptcy Information,”

None.

C-9 Exhibit C-9 “Merger Information,”

None.

This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

6/10/2015 7:34:45 PM

in

Case No(s). 13-1366-EL-AGG

Summary: Application for Electricity Renewal electronically filed by Mr. George A Zajankala on behalf of National Energy Experts, Inc