

PUCO USE ONLY – Version 1.07		
Date Received	Case Number	Certification Number
	- GA-AGG	

15-1045

26

CERTIFICATION APPLICATION

COMPETITIVE RETAIL NATURAL GAS BROKERS /AGGREGATORS

Please type or print all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-16 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

A-1 Applicant intends to be certified as: (check all that apply)

☐ Retail Natural Gas Aggregator ☒ Retail Natural Gas Broker

A-2 Applicant information:

Legal Name Save On Energy, LLC
Address 1101 Red Ventures Dr, Fort Mill, SC 29707
Telephone No. 704-971-2300 Web site Address www.saveonenergy.com

A-3 Applicant information under which applicant will do business in Ohio:

Name Save On Energy, LLC
Address 1101 Red Ventures Dr, Fort Mill, SC 29707
Web site Address www.saveonenergy.com Telephone No. 704-971-2300

A-4 List all names under which the applicant does business in North America:

Save On Energy, LLC
saveonenergy.com

A-5 Contact person for regulatory or emergency matters:

Name C. Brent Moore Title President
Business Address 1101 Red Ventures Dr, Fort Mill, SC 29707
Telephone No. 704-971-2300 Fax No. 704-971-2303 Email Address bmoore@redventures.com

RECEIVED-DOCKETING DIV
2015 MAY 29 PM 1:13
PUCO

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician [Signature] Date Processed MAY 29 2015
(CRNGS Broker/Aggregator -Version 1.07)

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name Melanie Gremmer

Title Licensing Manager

Business address 1101 Red Ventures Dr, Fort Mill, SC 29707

Telephone No. 704-910-8815

Fax No. 704-971-2303

Email Address mgremmer@redventures.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address 1101 Red Ventures Dr, Fort Mill, SC 29707

Toll-Free Telephone No. 877-658-3183

Fax No. 704-971-2303

Email Address help@saveonenergy.com

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name

Title

Business address

Telephone No.

Fax No.

Email Address

A-9 Applicant's federal employer identification number 200103768

A-10 Applicant's form of ownership: (Check one)

☐ **Sole Proprietorship**

☐ Partnership

☐ **Limited Liability Partnership (LLP)**

☒ Limited Liability Company (LLC)

□ Corporation

☐ **Other**

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers.* (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<input checked="" type="checkbox"/> Columbia Gas of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Dominion East Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Duke Energy Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Vectren Energy Delivery of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

☐ Columbia Gas of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Dominion East Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Duke Energy Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Vectren Energy Delivery of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

<input checked="" type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date	2015-06-01
<input checked="" type="checkbox"/>	Dominion East Ohio	Intended Start Date	2015-06-01
<input checked="" type="checkbox"/>	Duke Energy Ohio	Intended Start Date	2015-06-01
<input checked="" type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date	2015-06-01

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 **Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 **Exhibit A-15 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale natural gas or electricity to customers in North America.
- A-16 **Exhibit A-16 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-17 **Exhibit A-17 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto.
- A-18 **Exhibit A-18 "Secretary of State,"** provide evidence that the applicant is currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 **Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 **Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking to be certified to provide (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services it is seeking to be certified to provide.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer-certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer-certified financial statements covering the life of the business.
- C-4 Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's current financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)
- C-5 Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRNGS operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.

- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's current credit report from Experion, Dun and Bradstreet, or a similar organization.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or since applicant last filed for certification.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant since applicant last filed for certification.

SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 **Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business functions.
- D-2 **Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 **Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

Mark Brodsky

Sworn and subscribed before me this 28 day of May Month 2015 Year

[Signature]

Mark Brodsky, CFO

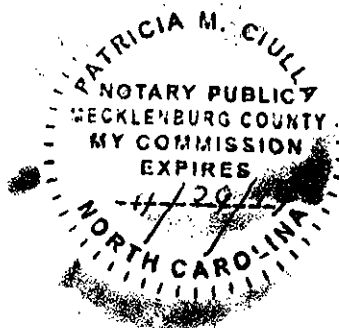
Signature of official administering oath

Print Name and Title

PATRICIA M. CIULLA

My commission expires on

11/29/19





The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service
Affidavit Form
(Version 1.07)

In the Matter of the Application of)

Save On Energy, LLC)

for a Certificate or Renewal Certificate to Provide)

Competitive Retail Natural Gas Service in Ohio.)

Case No. - -GA-AGG

County of Mecklenburg

State of North Carolina

Mark Brodsky

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

Mark Brodsky

Sworn and subscribed before me this 28

day of May

Month

2015

Year

[Signature]

Signature of Official Administering Oath

Mark Brodsky, CFO

Print Name and Title

PATRICK M. CIULLA

My commission expires on

11/29/19

(CRNGS Broker/Aggregator -Version 1.07) Page 7 of 7

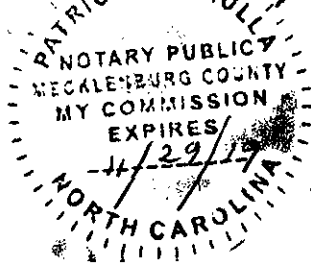




Exhibit A-8

Save On Energy, LLC does not maintain an office or employee in the state of Ohio. Save On Energy, LLC markets on behalf of a supplier, but provides marketing services ONLY. Our organization is NOT a supplier, but does operate a website that allows consumers to compare energy rates. We have a registered agent office, but no brick and mortar store in the state of Ohio, nor any employees stationed in the state of OH.

**Exhibit A-14 “Principal Officers, Directors &
Partners”**

C. Brent Moore, President
1101 Red Ventures Drive
Fort Mill, SC 29707

Exhibit A-15 “Corporate Structure”

Save On Energy, LLC is a wholly-owned subsidiary of Red Ventures, LLC. The only officer is C. Brent Moore, President of Save On Energy, LLC and Senior Vice President at Red Ventures. Save On Energy, LLC has no affiliates or subsidiary companies that supply retail or wholesale electricity or natural gas.

Organizational Structure:

Save On Energy, LLC

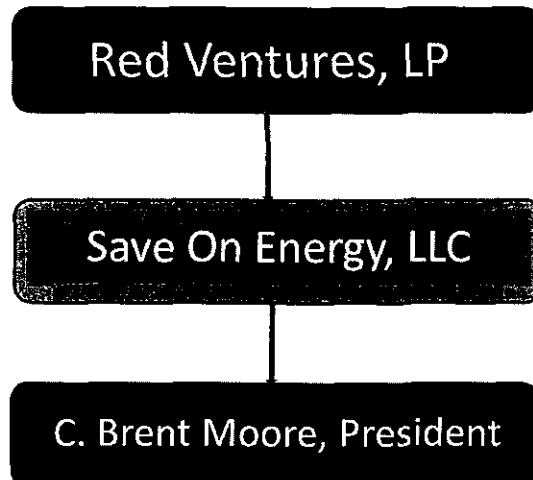




Exhibit A-16 “Company History”

Save On Energy, LTD was founded by Brent Moore in 2003 to help consumers take advantage of competitive energy markets by creating a way to easily compare energy rates among top suppliers available in an area. Save On Energy, LTD was acquired by Red Ventures, LLC in August 2012 and was subsequently converted to a limited liability company in October 2012.

It is the intention of Save On Energy, LLC to forge partnerships with energy providers in states with deregulated energy markets. The principal business interest is to provide marketing services for a fee. Each of these providers' products and services will be featured on our website, which will enable consumers to comparison shop energy rates. Save On Energy's role in this process is to provide consultation services to residential and commercial energy consumers who contact one of our 800 numbers. Consumers interested in enrolling will either be routed to that specific supplier or sold that supplier's products to the consumer (depending upon the terms of the partnership). Save On Energy may pass commercial lead contact information to energy partners in order to for them to contact those consumers directly or, Save On Energy may contact those businesses from an internal sales center and provide quotes on our partners' behalf.

Exhibit A-17 “Articles of Incorporation and Bylaws”

Not applicable

Exhibit B-1 “Jurisdictions of Operation”

Save On Energy, LLC holds licenses in the following jurisdictions:

State	License
Illinois	Agents, Brokers, and Consultants
Pennsylvania	Electric Generation Supplier (Broker/Marketer)
Pennsylvania	Natural Gas Generation Supplier (Broker/Marketer)
New Jersey	Energy Agent/Consultant Registration
Ohio	Power Marketer/Broker – Electric
Maryland	Authorization to Supply Electricity or Electric Generation
Maryland	Authorization to Supply Natural Gas or Natural Gas Generation
Massachusetts (pending)	Electricity Broker License

Exhibit B-2 “Experience & Plans”

Save On Energy, LLC does not contract directly with consumers, nor is there a plan to do so. Save On Energy partners with the energy supplier to provide marketing services, but passes the consumer directly to the supplier for service enrollment. The contractual agreement is between the consumer and the supplier, not Save On Energy, LLC. Any complaints/issues regarding supplier products will be handled by the supplier directly.

Save On Energy, LLC plans to continue forging partnerships with energy suppliers in various states with deregulated energy markets, including Ohio. The business model consists of building relationships with these energy suppliers for the purpose of providing marketing services via the website www.saveonenergy.com. This website provides basic rate information to residential and commercial consumers to allow for comparison shopping.



Exhibit B-3 “Summary of Experience”

Please see the attached resume for C. Brent Moore, President of Save On Energy, LLC (Exhibit B-3A).

Managerial Licensing Requirements

Managerial Contact: Brent Moore

Title: President of Save On Energy, LLC and Senior Vice President at Red Ventures

C. BRENT MOORE

brent@SaveOnEnergy.com

8117 Preston Road, Suite 300

Dallas, TX 75225

(214) 707-7687

SENIOR EXECUTIVE

Combine entrepreneurial vision with business acumen to drive sales and profits for startups and industry leaders. Record of improving operations, enhancing ROI, gross margins and shareholder value in times of economic expansion and contraction. Managed up to 125 employees. Skilled at building and developing high performance teams. Additional expertise in:

- P&L
- Startups & Turnarounds
- Business Development
- Sales & Marketing
- Operations
- Business/Financial Modeling
- Raising Financing
- Strategic Planning
- Recruiting & Training

SELECT ACCOMPLISHMENTS

Founded and built SaveOnEnergy into an attractive acquisition target. Set up legal entity, developed business plans and financial modeling. Crafted marketing strategy, including brand and website. Built company into one of the largest competitive energy price comparison and lead generation platforms in North America, serving all deregulated markets.

Raised \$2.4 million equity / debt in exchange for a minority stake in SaveOnEnergy. Needed to recapitalize company to take it to the next level. Developed private equity road show presentation, high-level operating plan, budgets, and negotiated agreement. Maintained majority shareholder position.

Turned around airBand Communications. Developed and implemented reorganization plan, dividing company into three separate revenue producing business segments (Business Services, Enterprise Services, and Carrier services). Restructured compensation to align with corporate goals. Increased revenues from \$7 million to \$20 million (300%) while reducing costs by 35%

Instrumental in securing \$60 million in financing for airBand. airBand had raised \$50 million, but was in danger of losing its funding. Secured initial funding and played key role on executive team to raise \$10 million in additional series "C" round of financing.

Propelled dismal performer from 28th to 4th largest branch at Winstar Communications. Branch was ranked 28 out of 28 branches. Rebuilt team, recruiting, hiring and training high performance team of sales managers. Implemented incentive plans that drove dramatic growth.

Grew CapRock Communications to \$30 million in annual revenue. Managed operating expenses to maintain profitability. Built new channel partner division from ground up. Developed third party sales channel of agents and resellers and hired and trained supporting staff.

CAREER HISTORY

Save On Energy, LLC. (DBA SaveOnEnergy.com), Dallas, TX 2003 to Present
Leading source for energy information for consumers researching emerging energy markets.

Founder and Managing Partner

Grew company into the premiere online destination for commercial and residential customers looking to shop for and save on electricity and natural gas across North America.

- Manage strategic direction and partnerships in all deregulated markets.
- Craft and implement sales and marketing strategies, including traditional techniques, as well as online advertising, paid search and SEO.
- Develop new business both B2B and B2C.
- Started company, establishing organizational infrastructure (entity formation, legal, finance, HR and overall operations).

airBand Communications, Dallas, TX 2001 to 2003
The largest fixed wireless provider serving businesses in the United States.

Executive Vice President – Sales and Marketing

Managed four Business Units including Sales, Marketing, Business Development, and Real Estate.

- Secured \$60 million in financing from leading venture capital firms, including Sevin Rosen Funds, Battery Ventures and Crescendo Ventures.
- Grew revenue from \$7 million to \$20 million.
- Directed Profit & Loss management for over 100 employees with a \$20 million budget, including Op-Ex and Cap-Ex, with monthly accountability to the board of directors.
- Developed and implemented new compensation plans to drive company metrics.
- Created, developed and managed company website.
- Managed all marketing, public relations and advertising for company.

Winstar Communications, Dallas, TX 1999 to 2001
Broadband service provider for business customers.

Vice President/General Manager

Led multiple divisions while managing over 125 employees and a \$13 million budget.

- Directed divisions including sales, account management, operations, network deployment, marketing, finance, business development and LAN and network administration.
- Established process standards and built successful team environments, filling key management and technical positions and reducing unwanted employee turnover.

CapRock Communications, Dallas, TX 1996 to 1999
Provides broadband Internet, secure corporate networking, Voice over IP, and real-time video.

Director of Sales

Held P&L responsibility while managing over 25 employees and 300 indirect sales agents.

- Developed, built and managed alternate channel sales and service.
- Drove sales from \$10 million to \$30 million.
- Implemented all products, marketing materials and compensation plans.

- Landed key contracts with accounts including HEB food stores, with over 300 locations in Texas.

MFS Communications, Dallas, TX

1994 to 1996

A leading provider of communication services for business and government.

Agent Sales Manager

Trained and managed sales agents and dealers for state of Texas.

- Grew territory to over 50 sales agents and averaged over 150% to plan.
- Achieved #1 ranking in country for all 14 months in position.
- Penetrated and sold long distance products to small and medium sized businesses.

MCI Communications, Dallas, TX

1993 to 1994

Deliver innovative global and local communication services.

Territory Sales Representative

Top performer and key individual contributor to sales organization.

- Sold MCI products to small and medium sized businesses.
- Ranked consistently in the top 15% in region and top 5% in Dallas.

EDUCATION

BA, Psychology, Texas State University, San Marcos, TX



Exhibit B-4 “Disclosure of Liabilities and Investigations”

Civil penalty issued to Save On Energy, LLC in April 2014 in the amount of \$5,000 due to having marketed in the state of Maryland without applying for a license in a timely manner. That fee has been paid and licensure in the state of Maryland granted. Save On Energy, LLC was not certain that licensure was required given the nature of our business. However, upon its acquisition by Red Ventures, LLC, the company filed for licensure that has been granted and has since remained in compliance.

Exhibit C-1 “Annual Reports”

Save On Energy, LLC is a limited liability company and does not file annual reports for shareholders.

Exhibit C-2 “SEC Filings”

Neither Save on Energy, LLC nor its parent company, Red Ventures, LP has filed documentation with the SEC. Both companies are privately held firms, not publicly traded and are thus required to file with the SEC.

Exhibit C-3 “Financial Statements”

Save On Energy, LLC is a small firm and does not have audited financials to present as they are incorporated into its parent company’s financials. Because the parent company, Red Ventures, LLC is a privately held firm, its financials are not published. Please see Exhibit C-3(A), which is a letter of reference from Red Ventures’ financial institution, Fifth Third Bank.

Exhibit C-4 “Financial Arrangements”

Save On Energy, LLC is applying to the Public Utility Commission of Ohio as an Aggregator/Power Broker, but will only be providing marketing services on behalf of the energy suppliers. There are no financial arrangements made to fund or support supplier-related activities. Save On Energy, LLC will not take title to energy and all transactions are between the consumer and the supplier.

Exhibit C-6 “Credit Rating”

Save On Energy, LLC is a wholly-owned subsidiary of Red Ventures, LP. Neither Save On Energy, LLC, nor its parent company, Red Ventures, LLC have a credit rating issued by any of the following organizations: Duff and Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard and Poors, or a similar organization as they are privately-held firms that do not publish financial information.

Exhibit C-7 “Credit Report”

Save On Energy, LLC is a wholly-owned subsidiary of Red Ventures, LP. Neither Save On Energy, LLC, nor its parent company, Red Ventures, LP have a credit report issued by any of the following organizations: Duff and Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard and Poors, or a similar organization as they are privately-held firms that do not publish financial information.

Exhibit C-8 “Bankruptcy Information”

Not applicable



Exhibit C-9 “Merger Information”

See Exhibit C-9(A) which demonstrates that Save On Energy, LLC was acquired by Red Ventures, LLC in 2012 and is now a wholly-owned subsidiary of that company.



Exhibit D-1 “Operations”

Save On Energy partners with energy suppliers to provide marketing services. Our organization will sell supplier products to consumers who reach our call center (if applicable) and will route some consumers directly to the supplier or sell the supplier's products, depending upon the individual marketing agreements. Contracts are between the supplier and the consumer. Save On Energy, LLC does not take title to any electricity or natural gas.



Exhibit D-2 “Operations Expertise”

Please see the enclosed resumes for Save On Energy, LLC’s personnel. Technical expertise is not relevant as our organization will not take title to any electricity or natural gas, but rather will provide marketing services on behalf of the suppliers with whom we partner. Please see Exhibits B-3(A) D-2(A&B)



Fifth Third Bank
201 North Tryon Street
Suite 1700
Charlotte, North Carolina 28202

January 29, 2015

Jeff Ross
Red Ventures
1101 Red Ventures Drive
Fort Mill, SC 29707

Re: Red Ventures

Dear Mr. Ross,

This letter is to certify that Fifth Third Bank ("FTB") is the agent bank for Red Ventures, LLC. As of November 2014, the company had \$22 million in cash on hand, \$50 million available to borrow under a revolving line of credit and is in good standing.

Should you have any questions do not hesitate to call me at (704) 808-5087.

Sincerely,

Jodie Ayres
Vice President

Save On Energy, LLC
BALANCE SHEETS

ASSETS						
		FY 2012	July 2013 YTD	Proj FY 2013	Proj FY 2014 0.988045543	Proj FY 2015 0.993130761
CURRENT ASSETS						
Cash and Cash Equivalents		\$ 95,754	\$ 96,560	\$ 97,708	\$ 98,891	\$ 99,575
Accounts Receivable, Net		\$ -	\$ -	\$ -	\$ -	\$ -
Total Current Assets		<u>\$ 95,754</u>	<u>\$ 96,560</u>	<u>\$ 97,708</u>	<u>\$ 98,891</u>	<u>\$ 99,575</u>
OTHER ASSETS						
Accounts Receivable - Long-Term, Net		\$ 88,068	\$ 133,995	\$ 95,064	\$ 99,817	\$ 104,808
Deposits and Other Assets		\$ -	\$ -	\$ -	\$ -	\$ -
Total Other Assets		<u>\$ 88,068</u>	<u>\$ 133,995</u>	<u>\$ 95,064</u>	<u>\$ 99,817</u>	<u>\$ 104,808</u>
Total Assets		<u><u>\$ 183,822</u></u>	<u><u>\$ 230,555</u></u>	<u><u>\$ 192,772</u></u>	<u><u>\$ 198,708</u></u>	<u><u>\$ 204,383</u></u>
LIABILITIES AND PARTNERS' CAPITAL						
CURRENT LIABILITIES						
Accounts Payable		\$ 29,723	\$ 21,217	\$ 35,983	\$ 47,249	\$ 82,394
Accrued Expenses		\$ -	\$ -	\$ -	\$ -	\$ -
Allowance for Chargeback		\$ -	\$ -	\$ -	\$ -	\$ -
Total Current Liabilities		<u>\$ 29,723</u>	<u>\$ 21,217</u>	<u>\$ 35,983</u>	<u>\$ 47,249</u>	<u>\$ 82,394</u>
OTHER LIABILITIES						
Accrued Loss on Lease Abandonment, Net of Current Portion		\$ -	\$ -	\$ -	\$ -	\$ -
Deposits		\$ -	\$ -	\$ -	\$ -	\$ -
Total Other Liabilities		<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Total Liabilities		<u><u>\$ 29,723</u></u>	<u><u>\$ 21,217</u></u>	<u><u>\$ 35,983</u></u>	<u><u>\$ 47,249</u></u>	<u><u>\$ 82,394</u></u>
Equity						
Partners' Equity		\$ 154,099	\$ 209,338	\$ 156,790	\$ 151,459	\$ 121,988
Total Liabilities and Equity		<u><u>\$ 183,822</u></u>	<u><u>\$ 230,555</u></u>	<u><u>\$ 192,772</u></u>	<u><u>\$ 198,708</u></u>	<u><u>\$ 204,383</u></u>