



PUCO USE ONLY – Version 1.07		
Date Received	Case Number	Certification Number
	- GA-AGG	

CERTIFICATION APPLICATION
COMPETITIVE RETAIL NATURAL GAS BROKERS /AGGREGATORS

Please **type or print** all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-16 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

A-1 Applicant intends to be certified as: (check all that apply)

☒ Retail Natural Gas Aggregator ☒ Retail Natural Gas Broker

A-2 Applicant information:

Legal Name NOVA Ohio, LLC, d/b/a NOVA Energy Partners

Address 81 S 5th St. Suite 201, Columbus, OH 43215

Telephone No. (800) 513-6469

Web site Address www.nova-ep.com

A-3 Applicant information under which applicant will do business in Ohio:

Name NOVA Ohio, LLC, d/b/a NOVA Energy Partners

Address 81 S 5th St. Suite 201, Columbus, OH 43215

Web site Address (800) 513-6469

Telephone No. www.nova-ep.com

A-4 List all names under which the applicant does business in North America:

NOVA Ohio, LLC

NOVA Energy Partners

A-5 Contact person for regulatory or emergency matters:

Name Rodney Nespeca

Title President

Business Address 81 S 5th St. Suite 201, Columbus, OH 43215

Telephone No. (800) 513-6469

Fax No.

Email Address Rodney.Nespeca@nova-ep.com

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name Rodney Nespeca Title President
Business address 81 S 5th St. Suite 201, Columbus, OH 43215
Telephone No. (800) 513-6469 Fax No. Email Address Rodney.Nespeca@nova-ep.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address 81 S 5th St. Suite 201, Columbus, OH 43215
Toll-Free Telephone No. (800) 513-6469 Fax No. Email Address Rodney.Nespeca@nova-ep.com

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name Rodney Nespeca Title President
Business address 81 S 5th St. Suite 201, Columbus, OH 43215
Telephone No. (800) 513-6469 Fax No. Email Address Rodney.Nespeca@nova-ep.com

A-9 Applicant's federal employer identification number 472273173

A-10 Applicant's form of ownership: (Check one)

- | | |
|--|---|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other |

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: residential, small commercial, and/or large commercial/industrial (mercantile) customers. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<input checked="" type="checkbox"/>	Columbia Gas of Ohio	<input checked="" type="checkbox"/>	Residential	<input checked="" type="checkbox"/>	Small Commercial	<input checked="" type="checkbox"/>	Large Commercial / Industrial
<input checked="" type="checkbox"/>	Dominion East Ohio	<input checked="" type="checkbox"/>	Residential	<input checked="" type="checkbox"/>	Small Commercial	<input checked="" type="checkbox"/>	Large Commercial / Industrial
<input checked="" type="checkbox"/>	Duke Energy Ohio	<input checked="" type="checkbox"/>	Residential	<input checked="" type="checkbox"/>	Small Commercial	<input checked="" type="checkbox"/>	Large Commercial / Industrial
<input checked="" type="checkbox"/>	Vectren Energy Delivery of Ohio	<input checked="" type="checkbox"/>	Residential	<input checked="" type="checkbox"/>	Small Commercial	<input checked="" type="checkbox"/>	Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

☐ Columbia Gas of Ohio

<input type="checkbox"/>	Residential	Beginning Date of Service		End Date	
<input type="checkbox"/>	Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Industrial	Beginning Date of Service		End Date	

☐ Dominion East Ohio

<input type="checkbox"/>	Residential	Beginning Date of Service		End Date	
<input type="checkbox"/>	Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Industrial	Beginning Date of Service		End Date	

☐ Duke Energy Ohio

<input type="checkbox"/>	Residential	Beginning Date of Service		End Date	
<input type="checkbox"/>	Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Industrial	Beginning Date of Service		End Date	

☐ Vectren Energy Delivery of Ohio

<input type="checkbox"/>	Residential	Beginning Date of Service		End Date	
<input type="checkbox"/>	Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Industrial	Beginning Date of Service		End Date	

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

<input checked="" type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date	June 18, 2015
<input checked="" type="checkbox"/>	Dominion East Ohio	Intended Start Date	June 18, 2015
<input checked="" type="checkbox"/>	Duke Energy Ohio	Intended Start Date	June 18, 2015
<input checked="" type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date	June 18, 2015

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 Exhibit A-15 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale natural gas or electricity to customers in North America.
- A-16 Exhibit A-16 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-17 Exhibit A-17 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto.
- A-18 Exhibit A-18 "Secretary of State,"** provide evidence that the applicant is currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking to be certified to provide (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services it is seeking to be certified to provide.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer-certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer-certified financial statements covering the life of the business.
- C-4 Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's current financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)
- C-5 Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRNGS operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.

- C-6 Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 Exhibit C-7 "Credit Report,"** provide a copy of the applicant's current credit report from Experian, Dun and Bradstreet, or a similar organization.
- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or since applicant last filed for certification.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant since applicant last filed for certification.

SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business functions.
- D-2 Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

J.M. President

Sworn and subscribed before me this

18th

day of

MAY

Month

2015

Year

Joshua D. Borean
Signature of official administering oath

JOSHUA D. BOREAN, Attorney
Print Name and Title

Notary

My commission expires on

DOES NOT EXPIRE



JOSHUA D. BOREAN, Attorney-At-Law
Notary Public - State of Ohio
My Commission has no expiration date
Sec. 147.03 R.C.



The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service Affidavit Form (Version 1.07)

In the Matter of the Application of)

NOVA OHIO, LLC)
for a Certificate or Renewal Certificate to Provide)
Competitive Retail Natural Gas Service in Ohio.)

Case No. *15 - 0992* -GA-AGG

County of *FRANKLIN*
State of *OHIO*

RODNEY D. NESTELA

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

[Signature] President

Sworn and subscribed before me this *18th* day of *MAY* Month *2015* Year

Signature of Official Administering Oath

Print Name and Title



JOSHUA D. BOREAN, Attorney-At-Law
Notary Public - State of Ohio
My Commission has no expiration date
Sec. 147.03 R.C.

My commission expires on *DOES NOT EXPIRE*

(CRNGS Broker/Aggregator -Version 1.07) Page 7 of 7

Exhibit A-14 Principal Officers, Directors & Partners

Provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

Name: Rodney D. Nespeca
Title: President
Address: 81 S Fifth Street Suite 201, Columbus, OH 43215
Phone: 1-800-513-6469
Email: Rodney.Nespeca@nova-ep.com

Exhibit A-15 Corporate Structure

Provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale natural gas or electricity to customers in North America.

NOVA Ohio, LLC is an Ohio limited liability company, doing business as NOVA Energy Partners, and a wholly-owned subsidiary of NOVA Energy Partners LLC, a Delaware limited liability company. NOVA Energy Partners LLC is a Delaware limited liability company and a wholly owned subsidiary of IDORA Ventures, LLC, a Delaware limited liability company.

NOVA Ohio, LLC is the legal entity that is filing for certification application for aggregator/power broker with the Ohio Public Utilities Commission.

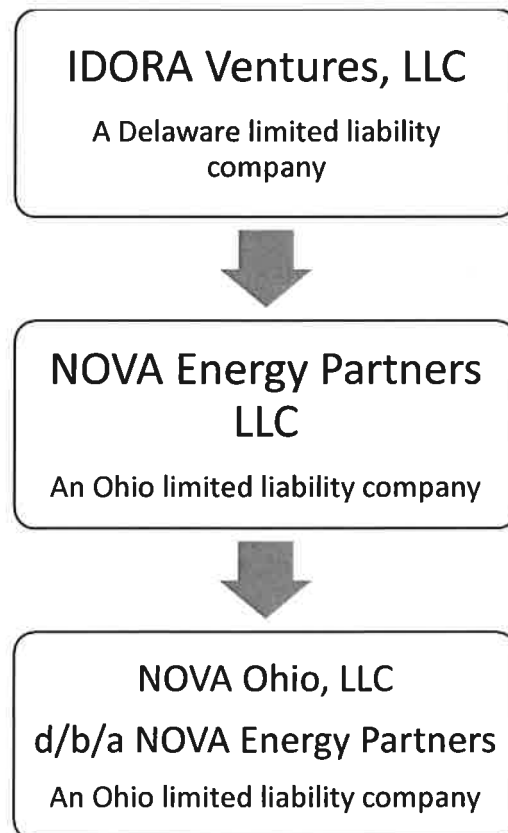


Exhibit A-16 Company History

Provide a concise description of the applicant's company history and principal business interests.

NOVA Ohio, LLC, d/b/a NOVA Energy Partners, is a newly formed energy management firm specializing in procurement and advisory services for end-use energy consumers.

NOVA Energy Partners was founded on the belief that efficiencies obtained through competitive energy supplier choices, advanced technology and a deep understanding of deregulated energy markets can be harnessed to reduce retail electricity and natural gas costs and advise end-users on smarter electricity and natural gas procurement and consumption strategies.

Through detailed analytics, customized reporting and auction transparency, NOVA Energy Partners will deliver premier customer service and accountability to our end-use clients.

NOVA Energy Partners combines corporate energy operations experience (regulated and deregulated) and Ohio public sector service experience to form a well-rounded background for the delivery of premier retail electricity procurement and advisory related services.

NOVA Ohio, LLC is an Ohio based limited liability company and a wholly-owned subsidiary of NOVA Energy Partners LLC, a Delaware limited liability company.

As of December 22, 2014, NOVA Ohio, LLC became a licensed Power Broker with the Public Utilities Commission of Ohio (Certificate No. 14-907E(1)).

Exhibit A-17 Articles of Incorporation and Bylaws

If applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.

Applicant is a limited liability company and does not have Articles of Incorporation or Bylaws. The Articles of Organization for applicant are attached.



DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
10/21/2014	201429301962	ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO. (LCP)	125.00	0.00	0.00	0.00	0.00

Receipt

This is not a bill. Please do not remit payment.

KOOPERMAN GILLESPIE MENTEL LTD
100 SOUTH 4TH STREET
SUITE 100
COLUMBUS, OH 43215

STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jon Husted
2336520

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

NOVA OHIO, LLC

and, that said business records show the filing and recording of:

Document(s)

ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO.

Effective Date: 10/20/2014

Document No(s):

201429301962



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio this
21st day of October, A.D. 2014.

Jon Husted
Ohio Secretary of State



Form 533A Prescribed by:
Ohio Secretary of State

JON HUSTED
Ohio Secretary of State

Central Ohio: (614) 466-3910
Toll Free: (877) SOS-FILE (767-3453)
www.OhioSecretaryofState.gov
Busserv@OhioSecretaryofState.gov

Date Electronically Filed: 10/20/2014

Articles of Organization for a Domestic Limited Liability Company

Filing Fee: \$125

CHECK ONLY ONE (1) BOX

(1) ☒ Articles of Organization for Domestic
For-Profit Limited Liability Company
(115-LCA)

(2) ☐ 2Articles of Organization for Domestic
Nonprofit Limited Liability Company
(115-LCA)

Name of Limited Liability Company **NOVA OHIO, LLC**

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "ltd.," or "ltd"

Effective Date **10/20/2014**
(Optional) mm/dd/yyyy

(The legal existence of the limited liability company begins upon the filing
of the articles or on a later date specified that is not more than ninety days
after filing)

This limited liability company shall exist for
(Optional)

Period of Existence

Purpose
(Optional)

**Note for Nonprofit LLCs

The Secretary of State does not grant tax exempt status. Filing with our office is not sufficient to obtain state or federal tax exemptions. Contact the Ohio Department of Taxation and the Internal Revenue Service to ensure that the nonprofit limited liability company secures the proper state and federal tax exemptions. These agencies may require that a purpose clause be provided.

ORIGINAL APPOINTMENT OF AGENT

The undersigned authorized member(s), manager(s) or representative(s) of

NOVA OHIO, LLC

Name of Limited Liability Company

hereby appoint the following to be Statutory Agent upon whom any process, notice or demand required or permitted by statute to be served upon the limited liability company may be served. The name and address of the agent is

KGM AGENT, INC.

Name of Agent

100 S 4TH ST, STE 100

Mailing Address

COLUMBUS

City

OH

State

43215

ZIP Code

ACCEPTANCE OF APPOINTMENT

The undersigned, _____ named herein as the statutory agent

KGM AGENT, INC.

Statutory Agent Name

for

NOVA OHIO, LLC

Name of Limited Liability Company

hereby acknowledges and accepts the appointment of agent for said limited liability company

Statutory Agent Signature

SCOTT G. BROWN, AUTHORIZED AGENT OF KGM AGENT, INC.

Individual Agent's Signature / Signature on Behalf of Business Serving as Agent

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

Required

Articles and original appointment of agent must be signed by a member, manager or other representative.

If authorized representative is an individual, then they must sign in the "signature" box and print their name in the "Print Name" box.

If authorized representative is a business entity, not an individual, then please print the business name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print their name in the "Print Name" box.

KGM AGENT, INC.

Signature

SCOTT G. BROWN, AUTHORIZED AGENT

By (if applicable)

Print Name

Signature

By (if applicable)

Print Name

Signature

By (if applicable)

Print Name

Exhibit A-18 Secretary of State

Provide evidence that the applicant has registered with the Ohio Secretary of the State.

NOVA Ohio, LLC was formed with the Ohio Secretary of State on October 20, 2014. See Certificate of Full Force and Effect from Ohio Secretary of State, attached.

UNITED STATES OF AMERICA
STATE OF OHIO
OFFICE OF THE SECRETARY OF STATE

I, Jon Husted, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show NOVA OHIO, LLC, an Ohio For Profit Limited Liability Company, Registration Number 2336520, was organized within the State of Ohio on October 20, 2014, is currently in FULL FORCE AND EFFECT upon the records of this office.



Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 18th day of May, A.D. 2015.

A handwritten signature in black ink that reads "Jon Husted".

Ohio Secretary of State

Validation Number: 201513800612

Exhibit B-1 Jurisdictions of Operation

Provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services..

At the time of filing, NOVA Ohio, LLC, d/b/a NOVA Energy Partners, is not certified, licensed, registered, or otherwise authorized to provide retail or wholesale natural gas services including aggregation services in any other state.

As of December 22, 2014, NOVA Ohio, LLC became a licensed Power Broker with the Public Utilities Commission of Ohio (Certificate No. 14-907E(1)).

Exhibit B-2 Experience & Plans

Provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.

NOVA Ohio, LLC, d/b/a NOVA Energy Partners, is newly formed energy management firm specializing in the procurement of retail electricity and natural gas, and complementary advisory related services, for end-use energy consumers.

NOVA Energy Partners combines corporate energy operations experience (regulated and deregulated) and Ohio public sector service experience to form a well-rounded background for the delivery of premier retail electricity and natural gas procurement and advisory related services.

NOVA Energy Partners will pursue contractual relationships with end-users of retail electricity and natural gas and provide competitive procurement and advisory related services.

For procurement services, billing will be channeled through the energy supplier, in coordination with the end-user's billing cycle. However, NOVA Energy Partners will maintain the ability to provide billing services directly to end-use energy consumers if requested.

The President of NOVA Ohio, LLC, Rodney Nespeca, has obtained significant deregulated and regulated energy sector experience serving at both Calpine Corp and American Electric Power (AEP). Calpine is one of the largest independent power producers in the United States, with the ability to deliver over 29,000 megawatts of deregulated generating capacity to customers in 20 states in the U.S. and Canada. AEP is one of the largest investor owned utilities in the United States.

At Calpine, Mr. Nespeca managed efforts related to acquisition and divestitures, project development and financing and corporate allocation strategy. Mr. Nespeca has participated in over \$4 billion of acquisition and divestiture related transaction activity. Mr. Nespeca's expertise includes, but is not limited to, the valuation of power generation assets, engineering of structured finance vehicles, long-term power purchase contract execution and the valuation of a broad range of publicly traded and privately held companies within the electric sector.

At AEP, Mr. Nespeca's main focus was on the financial optimization of the company's generation fleet, state and federal environmental policy initiatives and power market fundamental analysis. Mr. Nespeca also acted as a main point of contact for all federal environmental and clean energy policy analysis and strategy originating from AEP's government affairs office in Washington, D.C. His findings supported external industry organizations, members of Congress and Congressional committees.

A toll-free number, email support and available representatives will be provided by NOVA Energy Partners to respond to all customer inquiries and complaints. All applicable sections of the Ohio Revised Code and rules adopted by the PUCO with regard to CRES providers will be strictly adhered to.

Exhibit B-3 Summary of Experience

Provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking to be certified to provide (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).

As a newly formed energy management firm, NOVA Ohio, LLC, d/b/a/ NOVA Energy Partners, has no previous experience in providing retail natural gas aggregation services to customers. Please see Exhibit B-2 for applicable experience of Rodney Nespeca, President of NOVA Ohio, LLC.

The NOVA Energy Partners team combines experience in corporate energy operations (regulated and deregulated) and Ohio public sector services experience. We believe that experience will be critical in providing premier retail natural gas aggregation services.

As of December 22, 2014, NOVA Ohio, LLC became a licensed Power Broker with the Public Utilities Commission of Ohio (Certificate No. 14-907E(1)). Applicant's customers have requested that Applicant become a registered natural gas broker so as to provide a broader range of available broker services.

Exhibit B-4 Disclosure of Liabilities and Investigations

Provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

NOVA Ohio, LLC, d/b/a NOVA Energy Partners, does not have any existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations or other matters that could adversely impact it's financial or operational status or ability to provide services such as the procurement of retail natural gas for end-use consumers and additional advisory services involving the planning and consumption of end-use consumer's retail electricity.

Exhibit C-1 Annual Reports

Provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

NOVA Ohio, LLC, d/b/a/ NOVA Energy Partners, is a newly formed and privately held energy management firm. No annual reports have been produced or made available to any shareholders as of the filing of this application.

Exhibit C-2 SEC Filings

Provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

Exhibit C-2 is not applicable. NOVA Ohio, LLC, d/b/a NOVA Energy Partners, a newly formed energy services company, is not traded on any national stock exchanges, does not have over \$10 million in assets and greater than 500 shareholders and has not issued any securities to the public pursuant to a registration, therefore is not required to file with the SEC.

Exhibit C-3 Financial Statements

Provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer-certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer-certified financial statements covering the life of the business.

NOVA Ohio, LLC, d/b/a NOVA Energy Partners, is a newly formed energy services company and thus does not have any audited or unaudited financial statements.

Exhibit C-4 Financial Arrangements

Provide copies of the applicant's current financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g. guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

NOVA Ohio, LLC, d/b/a NOVA Energy Partners, is self-funded and does not have any guarantees, bank commitments, contractual arrangements, or credit agreements with any party.

Exhibit C-5 Forecasted Financial Statements

Provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRNGS operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.

Prepared by:

Name: Rodney Nespeca
Address: 81 South Fifth Street, Suite 201, Columbus, Ohio 43215
Email: Rodney.Nespeca@nova-ep.com;
Phone: 614-507-0077

NOVA Ohio (Illustrative)	2015	2016	2017
Commercial Sales	600,000	900,000	1,440,000
Industrial Sales	45,000	54,000	54,000
Residential Sales	44,000	53,000	53,000
Total Sales	689,000	1,007,000	1,547,000
Commercial Revenue	\$1,800,000	\$2,700,000	\$4,320,000
Industrial Revenue	\$135,000	\$162,000	\$162,000
Residential Revenue	\$133,000	\$159,000	\$159,000
Total Gross Revenue	\$2,068,000	\$3,021,000	\$4,641,000
Channel Partner Revenue	(\$1,241,000)	(\$1,813,000)	(\$2,785,000)
Total Net Revenue	\$827,000	\$1,208,000	\$1,856,000
Total Fixed Costs	(\$212,000)	(\$458,000)	(\$828,000)
Total EBITDA	\$615,000	\$750,000	\$1,028,000
Cash Flows (Illustrative)			
EBITDA	\$615,000	\$751,000	\$1,028,000
Capital Costs	(\$50,000)	(\$250,000)	(\$250,000)
Cash Taxes	(\$246,000)	(\$300,000)	(\$411,000)
After Tax Free Cash Flow	\$319,000	\$201,000	\$367,000

- (1) Ohio retail sales data and retail sales growth rate sourced from Energy Information Administration Form EIA-861 (figures have been rounded)
- (2) Non-residential broker margin share and gross margin assumptions sourced from DNV KEMA "The Channel Partner Market, 2013"
- (3) NOVA Ohio first 12-month penetration, year over year growth rate assumptions and fixed costs are estimates and subject to change
- (4) Channel Partner Revenue is defined as NOVA Ohio's external sales force and representatives; Capital Costs and Partner Margin Assumptions are illustrative estimates and subject to change

Exhibit C-6 Credit Rating

Provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.

Exhibit C-6 is not applicable. NOVA Ohio, LLC, d/b/a NOVA Energy Partners, is a newly formed energy management firm with no financial history and no outstanding liabilities/debt obligations to date.

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Exhibit C-7 Credit Report

Provide a copy of the applicant's credit report from Experion, Dun and Bradstreet or a similar organization.

As a newly formed energy management firm, NOVA Ohio, LLC, d/b/a NOVA Energy Partners does not have a credit report.

Exhibit C-8 Bankruptcy Information

Provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or since applicant last filed for certification.

NOVA Ohio, LLC, d/b/a NOVA Energy Partners, has not had any reorganizations, protection from creditors or any other form of bankruptcy filings nor has the parent or affiliate organization.

Exhibit C-9 Merger Information

Provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

NOVA Ohio, LLC, d/b/a NOVA Energy Partners, has never had any dissolution or merger or acquisition related activity.

Exhibit D-1 Operations

Provide a current written description of the operational nature of the applicant's business functions.

As of December 22, 2014, NOVA Ohio, LLC became a licensed Power Broker with the Public Utilities Commission of Ohio (Certificate No. 14-907E(1)). NOVA Ohio LLC manages its operations through a technology driven approach. This approach is executed through the utilization of 3rd party applications for IT related operations as well as sales, analytics and customer support operations. Microsoft and Salesforce.com are the two main vendors utilized by NOVA Ohio LLC. The NOVA website has a "Contact Us" section where customers can reach the company via phone email or through a contact portal. Interaction with CRNGS is done mostly via email. Detailed records and data are securely stored on Microsoft Azure cloud platform.

Exhibit D-2 Operations Expertise

Given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.

NOVA is currently a PUCO approved electricity broker/aggregator and has built the operational systems described above for the purposes of electricity broker services from CRES. Visit www.nova-ep.com under the "Contact Us" link to see how we drive inbound customer service requests. Our bidding, data and analytics platform is an in-house proprietary tool, based on Microsoft Azure platform. Its primary use is to analyze, organize and track price quotes and general data obtained from multiple sources including but not limited to CRNGS and CRES.

Exhibit D-3 Key Technical Personnel

Provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Rodney Nespeca
President
rodney@nova-ep.com
(614) 758-8686

See attached bio (Exhibit B-2) – day to day administrative responsibilities for managing the systems described in Exhibits D-2 and D-3

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5/18/2015 3:08:27 PM

in

Case No(s). 15-0992-GA-AGG

Summary: Application Certification Application - Competitive Retail Natural Gas Brokers/
Aggregators electronically filed by Mr. Joshua D Borean on behalf of NOVA Ohio, LLC and
Nespeca, Rodney D. Mr.