John Kasich, Governor Andre T. Porter, Chairman Thomas W. Johnson Asim Z. Haque Lynn Slaby M. Beth Trombold

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

RENEWAL

Certificate Number: 04-124E (6)

Issued Pursuant to Case Number(s):

04-1323-EL-CRS

A certificate as a Competitive Retail Electric Service Provider is hereby granted to Dynegy Energy Services (East) d/b/a Dynegy Energy Services whose office or principal place of business is located at 601 Travis Street, Suite 1400, Houston, TX 77002 to provide <u>retail generation</u>, <u>aggregation</u>, <u>and power marketer</u> services within the State of Ohio effective March 01, 2015.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: May 1, 2015

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

Barcy F. McNeal, Secretary

Tanowa M. Troupe, Acting Secretary Felecia D. Burdett, Acting Secretary

Certificate Expires: March 01, 2017

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.

180 East Broad Street Columbus, Ohio 43215-3793 Date Processed 5/1/5

CRES AUTOMATIC CASE ACTION FORM

Case No. 04-1323-EL-CRS Date Sent: April 27, 2015 Effective Date of Certificate: March 1, 2015 Certificate Expires: March 1, 2017 Company Name and Company Name d/b/a: Dynegy Energy Services (East) d/b/a **Dynegy Energy Services** Renewal **Action Needed:** Issue Certificate Number to: at (address): X Certified To Provide the Following Services: Retail Generation Aggregation **Power Marketer** Power Broker Governmental Aggregation Renew Certificate No. to \boxtimes Revise Certificate No. **04-124E** (6)to (check all applicable): 冈 Reflect name change from Duke Energy Retail Sals, LLC to Dynegy Energy Services (East) d/b/a Dynegy Energy Services \boxtimes Reflect address change from 139 E Fourth, EX 320, Cincinnati, OH 45202 to 601 Travis Street, Suite 1400, Houston, TX 77002 Add new service offering to certificate: Retail Generation Aggregation Power Marketer Power Broker **Governmental Aggregation** П Correct Administrative Error Reflect Change of Ownership to: Cancel Certificate No. Protect Un-redacted copies until Close Case File, Case Withdrawn at Applicant's Request

Close Case File

CASE NUMBER:

04-1323-EL-CRS

CASE DESCRIPTION:

DUKE ENERGY RETAIL SALES LLC

DATE OF SERVICE:

5/1/2015

DOCUMENT SIGNED ON: APR 2/7 2015

Sign Here:

APPLICANT

PARTY OF RECORD

ATTORNEY

CINERGY CORP.

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ROCCO O. D'ASCENZO

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CINCINNATI, OH 45202

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DUKE ENERGY

RETAIL SALES LLC

FKA CINERGY

NONE

RETAIL SALES LLC VICE PRESIDENT

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