

Ohio | Public Utilities Commission

Original AGG Case Number	Version
13 - 965 -EL-AGG	August 2004

RENEWAL APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form.
You may also download the form, by saving it to your local disk, for later use.

A. RENEWAL INFORMATION

A-1 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name DNE Sales LLC
Address 35 A Smithfield Blvd Suite 221 Plattsburgh, New York, 12901
PUCO Certificate # and Date Certified 13-0965-EL-AGG
Telephone # (888) 631-7977 Web site address (if any) dneresources.com

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name DNE Sales LLC
Address 35 A Smithfield Blvd Suite 221 Plattsburgh, New York, 12901
Telephone # (888) 631-7977 Web site address (if any) dneresources.com

A-3 List all names under which the applicant does business in North America

DNE Sales DNE Resources
Dominion Newenergy DNEnergy

A-4 Contact person for regulatory or emergency matters

Name Keith Grover
Title Business Development Director
Business address 398-400 rue St-Ambroise, Montreal, Quebec, Canada H4C 2C7
Telephone # (888) 631-7977 Fax # (514) 419-1816
E-mail address (if any) keith.grover@dneresources.com

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This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician AJ Date Processed 4/20/15

A-5 Contact person for Commission Staff use in investigating customer complaints

Name Keith Grover
Title Business Development Director
Business address 398-4000 rue St-Ambroise Montreal, Quebec, Canada H4C2C7
Telephone # (888) 631-7977 Fax # (514) 419-1816
E-mail address (if any) keith.grover@dnresources.com

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address 398-4000 rue St-Ambroise, Montreal, Quebec, Canada H
Toll-free Telephone # (888) 631-7977 Fax # (514) 419-1816
E-mail address (if any) info@dnresources.com

A-7 Applicant's federal employer identification number # 99-0366413

A-8 Applicant's form of ownership (check one)

- | | |
|--|---|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-9 Exhibit A-9 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-10 Exhibit A-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-4 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.
☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-5 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.
☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

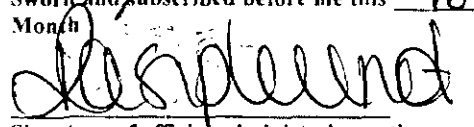
C-3 **Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

- C-4** Exhibit C-4 "Financial Arrangements." provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).
- C-5** Exhibit C-5 "Forecasted Financial Statements," provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6** Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7** Exhibit C-7 "Credit Report," provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization.
- C-8** Exhibit C-8 "Bankruptcy Information," provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9** Exhibit C-9 "Merger Information," provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.


Signature of Applicant & Title

CEO

Sworn and subscribed before me this 16th day of April, 2015
Month Year


Signature of official administering oath

Print Name and Title

Me Katarzyna Raszplewicz
NOTAIRES ET CONSEILLERS JURIDIQUES commission expires on 20987
NOTARIES & ATTORNEYS
186, Sutton Place, suite 1
Beaconsfield (Quebec) H9W 3S3
Tél.: (514) 505-3500 Fax: (514) 505-3515

AFFIDAVIT

State of Quebec, Canada

____ ss.
(Town)

County of Montreal :

Steve Shoiry, Affiant, being duly sworn/affirmed according to law, deposes and says that:

☒ He/She is the CEO (Office of Affiant) of DNE Sales LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant.

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability councils, and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)


11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

 CEO

Signature of Affiant & Title

Sworn and subscribed before me this 16th day of April 2015
Month Year



Signature of official administering oath

Print Name and Title

Me Katarzyna Raszplewicz
NOTAIRES ET CONSEILLERS JURIDIQUES Commission expires on 2018

NOTARIES & ATTORNEYS

186, Sutton Place, suite 1.

Beaconsfield (Québec) H9W 5S3

Tél.: (514) 505-3500 Fax: (514) 505-3515

Exhibit A-9 "Principal Officers, Directors & Partners"

Wayne Burke

President

829 rue Charon. Montreal, QC. Canada H3K 2P7

514-692-8753

Steve Shoiry

CEO

14 Hickory Dollard-Des Ormeaux, QC. Canada H9G 3B7

514-262-9619

Matthew Sherrett

CFO

2352 Mullins ave. apt 400 Montreal, QC. Canada H3K 1P1

514-993-8537

Jean-Marc Gohier

COO

12432 rue Granger Pierrefonds, QC. Canada H8Z 1V5

514-714-7466



DNE RESOURCES

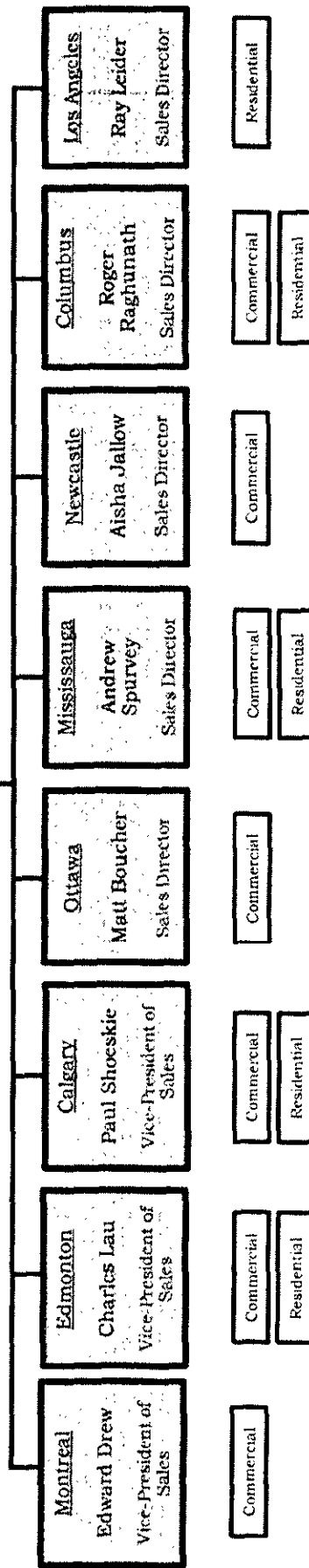
Organization Chart DNE Offices

Exhibit A-10 "Corporate Structure"

Executive Committee
Wayne Burke
Matt Sherrett
Steve Shoiry
Jean-Marc Gohier
Keith Grover
Montano Cabezas

Board of Directors
Wayne Burke
Matt Sherrett
Steve Shoiry
Jean-Marc Gohier

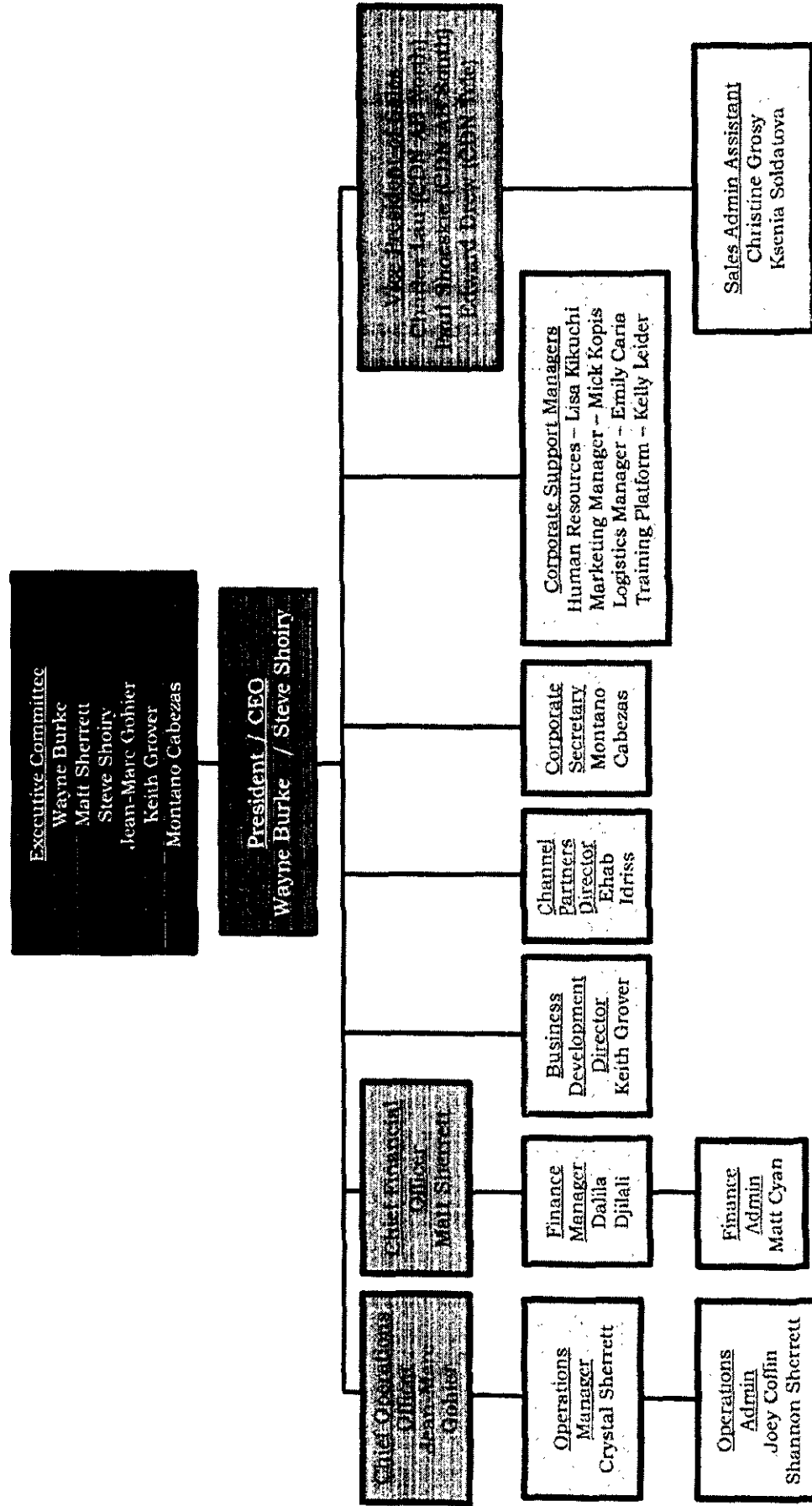
President / CEO
Wayne Burke / Steve Shoiry





DNE RESOURCES

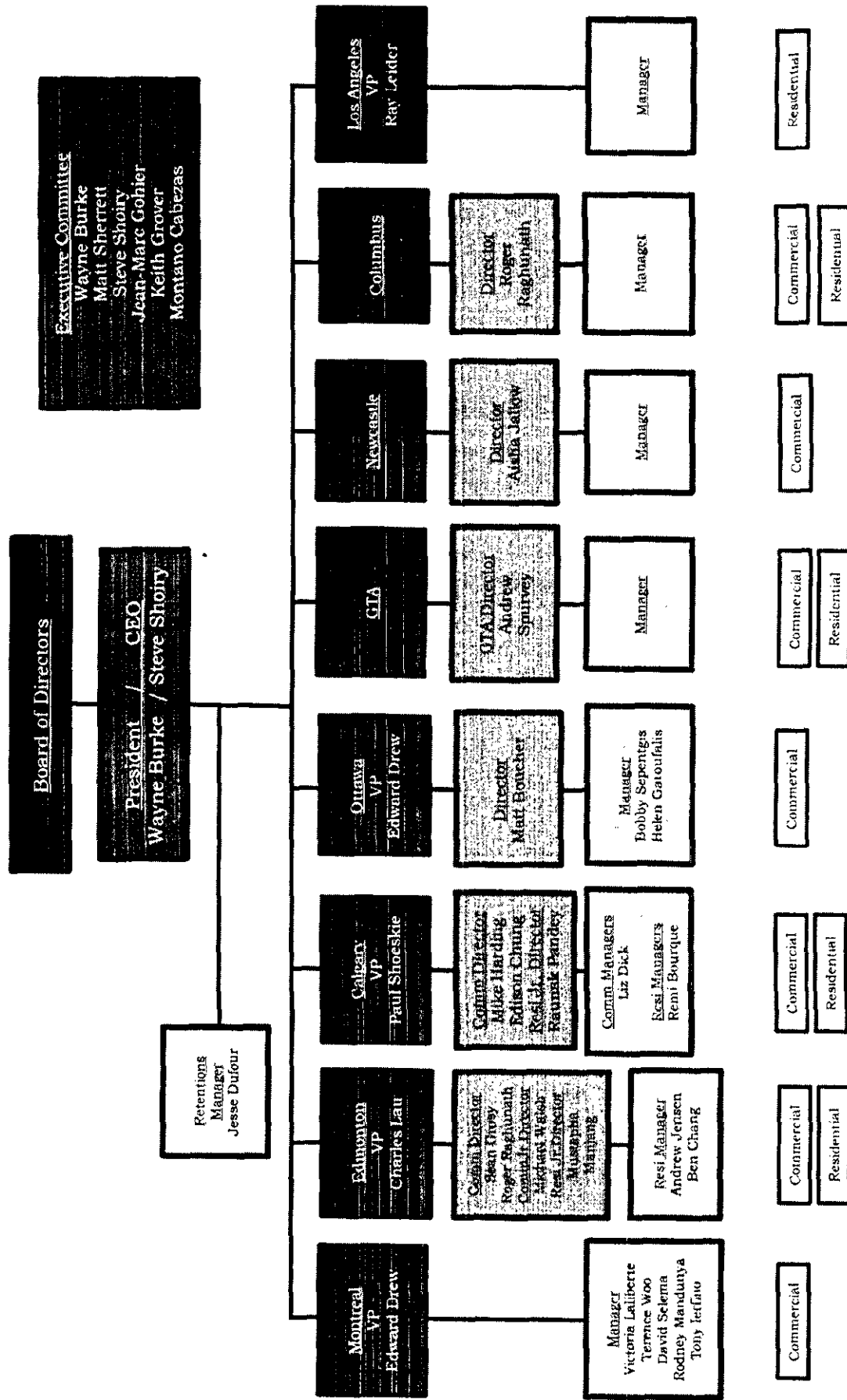
Organization Chart Administration





DNE RESOURCES

Organization Chart Sales Teams





DNE RESOURCES

Exhibit A-10 "Corporate Structure"

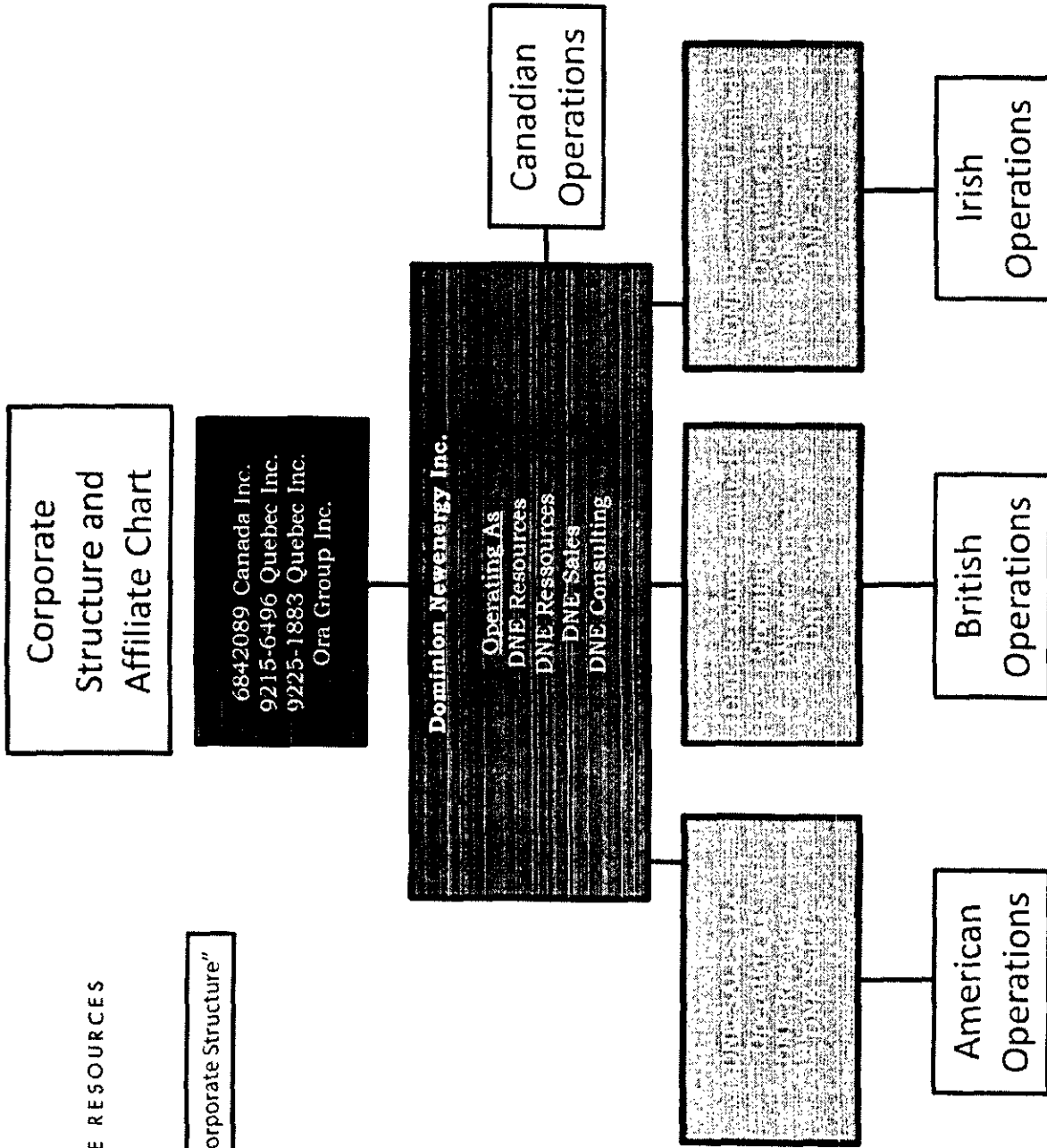


Exhibit B-1 'Jurisdictions of Operation'

DNE offers Residential service in Alberta Canada for Direct Energy. DNE offers brokerage services for commercial entities in Ohio, New York, Massachusetts, Texas and Connecticut on behalf of Hudson Energy, Direct Energy, and Integrys. In Alberta with Just Energy, Link Energy, Superior Energy and Direct Energy and in Quebec with Superior Energy, and Hudson Energy.

Exhibit B-2 `Experience & Plans`

DNE would like to have a broker's license to offer services from multiple suppliers in Ohio. DNE has a history of successful door to door and telesales operations. Our role as a broker is to find new customers for our supplier partners. After the initial agreement we conduct a third party terms verification call, TPV. The relationship then becomes between the supplier and the customer and DNE is not involved in billing or account inquiries of any kind. DNE does have a history of recording excellent customer service reviews with all of our supplier partners. We wish to proceed with a commercial telemarketing sales approach. Should any concern arise we investigate the concerns immediately and address them quickly. We previously were reviewed for misconduct by some of our agents in Ohio and the findings of our investigation resulted in summary dismissal of all offending parties. We take regulatory affairs seriously.

Exhibit B-3 "Disclosure of Liabilities and Investigations"

DNE SALES LLC has been a respondent to complaints with the New York State Division of Human Rights. A complaint (Case No. 10149652 in Albany New York) was lodged by Richie F. Levine in August 2011 with respect to our "unlawful discriminatory practice relating to employment because of conviction record", as our door to door permits can require a criminal background check in some of the communities in which we operate, thus our hiring practices reflected this necessity. The court ruled that there is "No probable cause to believe the respondent has engaged in... unlawful discriminatory practice" The case was dismissed.

Additionally DNE Sales LLC had been party to some PUCO complaints regarding door to door residential canvassing on behalf of DP&L Energy with regards to misrepresentation of the terms of the contract and signing unauthorized parties. When we were presented with these claims we thoroughly investigated them and dismissed the parties involved in the complaints for failure to comply with regulations and our training. I have attached the findings of our investigation which were shared with DP&L Energy the recipient of the complaint to present to the board.

We have not encountered any revocation of licences, revocations of authority, regulatory investigations, or any other investigations that would pose a liability for our operations in Ohio.

Exhibit C-1 "Annual Reports"

DNE SALES LLC does not produce or distribute Annual Reports as it is not a public company and therefore is not required to do so by law.

Exhibit C-2 "SEC Filings"

DNE SALES LLC does not produce or submit and 10'K or 8'K Filings with the SEC as it is not a public company and therefore is not required to do so by law.

Exhibit C-3 "Financial Statements"

DNE SALES LLC has attached the two most recent versions of the Financial Statements that it has, for years 2013 and 2012. We have not yet received our completed Financial Statements for 2014 from our preparer.

Exhibit C-4 "Financial Arrangements"

DNE SALES LLC does not have any financial arrangements, be it guarantees, bank commitments, or contractual or credit arrangements. We are not entering as an aggregator and thus as a broker we do not need and loan guarantees or bonds for our operations.

Exhibit C-5 "Forecasted Financial Statements"

DNE SALES LLC.**Profit & Loss**

January 2015 - December 2016

	<i>Forecast</i> Forecast 2015	<i>Forecast</i> Forecast 2016
Income		
6600 Residential Sales Revenue	300,000.00	600,000.00
6620 Commercial Sales Revenue	600,000.00	960,000.00
6650 Interest Revenue	0.00	0.00
Total Income	\$900,000.00	\$1,560,000.00
Gross Profit	\$900,000.00	\$1,560,000.00
Expenses		
7004 Contractor Fees	708,000.00	1,204,800.00
7005 Direct Sales Costs	5,000.00	8,000.00
7010 Advertising	10,000.00	15,000.00
7012 Bank Charges	5,000.00	8,000.00
7016 Consulting	0.00	0.00
7018 Dues & Subscriptions	3,000.00	5,000.00
7020 Foreign Exchange	0.00	0.00
7024 Interest Expense	0.00	0.00
7025 Insurance Expense	7,000.00	7,500.00
7026 Legal & Professional Fees	10,000.00	15,000.00
7028 Meals and Entertainment	2,500.00	6,000.00
7030 Motor Vehicle Expenses	2,500.00	4,000.00
7032 Office Expenses	5,000.00	5,000.00
7046 Rent or Lease	45,000.00	60,000.00
7056 Supplies	2,000.00	5,000.00
7060 Telecom	2,000.00	3,000.00
7062 Travel & Entertainment	6,000.00	8,000.00
7065 Computer Hosting Services	0.00	0.00
7066 Hotel Expense	1,500.00	3,000.00
7068 Utilities	2,000.00	3,000.00
7100 Income Tax	13,000.00	26,790.00
Total Expenses	\$829,500.00	\$1,387,090.00
Net Operating Income	\$70,500.00	\$172,910.00
Net Income	\$70,500.00	\$172,910.00

DNE SALES LLC.
Balance Sheet
As of January 28 2015

	<i>Forecast</i> 2015	<i>Forecast</i> 2016
ASSETS		
Current Assets		
Bank Accounts		
1010 Checking USA	65,007.10	237,917.10
Total Bank Accounts	<u>\$65,007.10</u>	<u>\$237,917.10</u>
Accounts Receivable		
1100 Accounts Receivable	0.00	0.00
Total Accounts Receivable	<u>\$0.00</u>	<u>\$0.00</u>
Other current assets		
1205 Office Furniture & Equipment	1,074.81	1,074.81
1215 Vehicle	0.00	0.00
1225 Computer Hardware	2,250.00	2,850.00
Total Other current assets	<u>\$3,324.81</u>	<u>\$3,924.81</u>
Total Current Assets	<u>\$68,331.91</u>	<u>\$241,841.91</u>
TOTAL ASSETS	\$68,331.91	\$241,841.91
LIABILITIES AND EQUITY		
Liabilities		
Current Liabilities		
Accounts Payable		
3000 Accounts Payable	0.00	0.00
Total Accounts Payable	<u>\$0.00</u>	<u>\$0.00</u>
Other Current Liabilities		
3410 Loan Payable	0.00	0.00
3430 Income Tax Payable	0.00	0.00
Total Other Current Liabilities	<u>\$0.00</u>	<u>\$0.00</u>
Total Current Liabilities	<u>\$0.00</u>	<u>\$0.00</u>
Long-Term Liabilities		
4100 Loans from Parent Company	\$0.00	\$0.00
Total Long-Term Liabilities	<u>\$0.00</u>	<u>\$0.00</u>
Total Liabilities	<u>\$0.00</u>	<u>\$0.00</u>
Equity		
5000 Common Stock	100.00	100.00
5200 Retained Earnings	431.91	70,931.91
Net Income	70,500.00	172,910.00
Total Equity	<u>\$71,031.91</u>	<u>\$243,941.91</u>
TOTAL LIABILITIES AND EQUITY	\$71,031.91	\$243,941.91

DNE SALES LLC.
Statement of Cash Flows

As of January 28 2015

	<i>Forecast</i> Forecast 2015	<i>Forecast</i> Forecast 2016
OPERATING ACTIVITIES		
Net Income	70,500.00	172,910.00
Adjustments to Net Income		
Accounts Receivable	0.00	0.00
Employee Loans	0.00	0.00
Accounts Payable	0.00	0.00
Net Cash from Operating Activities	\$70,500.00	\$172,910.00
INVESTING ACTIVITIES		
Motor Vehicles	0.00	0.00
Pre-paid Insurance	0.00	0.00
Net Cash from Investing Activities	\$0.00	\$0.00
FINANCING ACTIVITIES		
Bank Loan	0.00	0.00
Note Payable	0.00	0.00
Loans Parent Company	-65,000.00	-40,000.00
Opening Bal Equity	100.00	100.00
Owner's Equity : Owner's Draw	0.00	0.00
Retained Earnings	431.91	70,931.91
Net Cash from Financing Activities	-\$64,468.09	\$31,031.91
Net Cash increase for the year	\$6,031.91	\$31,031.91
Cash at the beginning of the year	\$43,184.50	\$132,995.73
Cash at the end of the year	\$49,216.41	\$133,095.73

Exhibit C-6 "Credit Rating"

DNE SALES LLC cannot be found in either Dun and Broadstreet Moody's or Standard and Poors because it is a small entity that is privately held. We have no leveraged assets and accounts owing on our American businesses. At this time we do not have any items to disclose for this Exhibit.

Exhibit C-7 "Credit Report"

DNE SALES LLC cannot be found in either Dun and Broadstreet or Experian's databases. We are a new company with little credit as we are as services based business entity that is privately held. We have no leveraged assets and accounts owing on our American businesses. At this time we present two credit reports, i) DNE Sales LLC in a now defunct Pittsburgh location. We were in this market for less than 6 months and as such did not build a credit report with any institutions. ii) a Hoover's (A D&B company) report for DNE Resources the Canadian parent company.

4/16/2015

DNBi

DNE Sales LLC

Printed By: Matt Sherrett
Date Printed: April 16, 2015

 DNBi Risk Management

Exhibit C-7 "Credit Report"

Live Report : DNE SALES LLC

D-U-N-S® Number: 07-864-9231

Endorsement/Billing Reference: matt.sherrett@dn-sales.com

D&B Address

Address 239 Fourth Ave. Ste
1615
Pittsburgh PA, US -
15222


Phone 201 940-7208

Fax

Location Type Single Location
Web

Endorsement : matt.sherrett@dn-sales.com

Company Summary

Currency: Shown in USD unless otherwise indicated 

Score Bar

PAYDEX®

Unavailable

Commercial Credit Score
Percentile

42

Moderate Risk of severe payment
delinquency.

Financial Stress Score National
Percentile

14

Moderate to High Risk of severe
financial stress.

D&B Viability Rating

6

6

G



[View More Details](#)

Bankruptcy Found

No

D&B Rating

ERN

Employee information Not Available

Detailed Trade Risk Insight™

D&B Viability Rating

No Detailed Trade Data is available for this D-U-N-S®
Number

6 Viability Score : 6

6 Portfolio Comparison : 6

G Data Depth Indicator : G



Company Profile : X

Financial Data	Trade Payments	Company Size	Years in Business
Not Available	Not Available	Small	Young

D&B Company Overview

4/16/2015

DNB

Year Started	2012
Employees	UNDETERMINED
SIC	9999
Line of business	Nonclassified establishment
NAICS	999990
History Status	INCOMPLETE

FirstRain Company News

This Company is not currently tracked for Company News

Powered by FirstRain


Public Filings

The following data includes both open and closed filings found in D&B's database on this company.

Record Type	Number of Records	Most Recent Filing Date
Bankruptcies	0	-
Judgments	0	-
Liens	0	-
Suits	0	-

The public record items contained herein may have been paid, terminated, vacated or released prior to today's date.

Predictive Scores

Currency: Shown in USD unless otherwise indicated 

D&B Viability Rating Summary

The D&B Viability Rating uses D&B's proprietary analytics to compare the most predictive business risk indicators and deliver a highly reliable assessment of the probability that a company will go out of business, become dormant/inactive, or file for bankruptcy/insolvency within the next 12 months. The D&B Viability Rating is made up of 4 components:

6

Viability Score

Lowest Risk:1

Highest Risk:9

Compared to All US Businesses within the D&B Database:

- Level of Risk: **Moderate Risk**
- Businesses ranked 6 have a probability of becoming no longer viable: **13 %**
- Percentage of businesses ranked 6: **30 %**
- Across all US businesses, the average probability of becoming no longer viable: **14 %**

**Portfolio Comparison**

Lowest Risk:1

Highest Risk:9

Compared to All US Businesses within the same MODEL SEGMENT:

- Model Segment: **Firmographics and Business Activity**
- Level of Risk: **Moderate Risk**
- Businesses ranked 6 within this model segment have a probability of becoming no longer viable: **18 %**
- Percentage of businesses ranked 6 with this model segment: **12 %**
- Within this model segment, the average probability of becoming no longer viable: **16 %**

**Data Depth Indicator**

Predictive Data:A

Descriptive Data:G

Data Depth Indicator:

- ✓ Basic Firmographics
- ✗ No Financial Attributes

Greater data depth can increase the precision of the D&B Viability Rating assessment.

**Company Profile**Financial
DataTrade
PaymentsCompany
SizeYears in
BusinessNot
AvailableNot
Available

Small

Young

Company Profile Details:

- Financial Data: **Not Available**
- Trade Payments: **Not Available**
- Company Size: **Small** (Employees: <10 and Sales: <\$10K or Missing)
- Years in Business: **Young** (<5)

This information may not be reproduced in whole or in part by any means of reproduction.

Exhibit Z-7 - 'Credit Report'

DNE SALES LLC

DNE Resources

Montreal, QC Canada

Also trades as:

Company Description

4030 Saint-Ambroise St Suite 398
H4C 2C7 Montreal H4C 2C7 QC
Canada

Phone: +1-514-692-8753

Line of Business

Natural gas distribution

[Download This Company](#)

Key Information

D-U-N-S Number	203254917
Location Type	Single Location
Subsidiary Status	No
Employees (All Sites)	10
Employees (This Site)	10
1-Year Employee Growth	0.0%
Year of Founding	2012
Primary Industry	Natural Gas Distribution & Marketing
Primary SIC Code	49240000: Natural gas distribution
Primary NAICS Code	221210: Natural Gas Distribution
Minority Owned/Women Owned	No

Key Financials

Annual Sales (Estimated)	\$2.49M
Prescreen Score	Low Risk

43

People

Title	Name	Compensation
President	<u>Wayne Burke</u>	

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Exhibit C-8 "Bankruptcy Information"

DNE SALES LLC has not had any reorganizations that resulted in bankruptcy nor any other form of bankruptcy filings, by DNE SALES LLC or any of its parent or affiliate organizations.

Exhibit C-9 "Merger Information"

DNE SALES LLC has not had any dissolution or merger or acquisition that altered the composition of the organization. As described in Exhibit A-14 one partner was bought out and the shares were acquired by the other owners, no shares were dissolved or diluted.