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		- GA-AGG	

CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS / AGGREGATORS

Please type or print all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-16 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES A-1 Applicant intends to be certified as: (check all that apply) ✓ Retail Natural Gas Aggregator ✓ Retail Natural Gas Broker A-2 Applicant information: Triple "S" Energy Management LLC Legal Name 2038 Oldtown Valley Road SE, New Philadelphia, Ohio 44663 Address www.triplesenergy.com 330-339-6001 Telephone No. Web site Address A-3 Applicant information under which applicant will do business in Ohio: Triple "S" Energy Management LLC Name Address 2038 Oldtown Valley Road SE, New Philadelphia, Ohio 44663 330-339-6001 Web site Address www.triplesenergy.com Telephone No. 2015 APR - 1 AM 10: 55 A-4 List all names under which the applicant does business in North America: Triple "S" Energy Management LLC A-5 Contact person for regulatory or emergency matters: Name Sandy Carpenter Owner/President Title Business Address 2038 Oldtown Valley Road SE, New Philadelphia, Ohio 44663 Telephone No. 330-339-6001 Email Address scarpenter@triplesenergy.com Fax No. 330-576-4141

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business (CRNGS Broker/Aggregator - Version 1.07) Page 1 of 7 Pectnician Patra Branches (CRNGS Broker/Aggregator - Version 1.07) Page 1 of 7 Pectnician

i

A-6	Contact person for Commission Staff use in investi	igating cu	stomer comp	laints:
	Name Sandy Carpenter	Title	Owner/Preside	ent
	Business address 2038 Oldtown Valley Road SE, New Philad	elphia, Ohio	44663	
	Telephone No. 330-339-6001 Fax No. 330-576-4141		Email Address	scarpenter@triplesenergy.com
A-7	Applicant's address and toll-free number for custo	mer servi	ice and comp	laints
	Customer service address 2038 Oldtown Valley Road SE, Ne	w Philadelph	nia, Ohio 44663	
	Toll-Free Telephone No. N/A Fax No. 330-57	6-4141	Email Addı	ress scarpenter@triplesenergy.
A-8	Provide "Proof of an Ohio Office and Employee," Revised Code, by listing name, Ohio office address designated Ohio Employee			
	Name Sandy Carpenter	Title	Owner/Preside	ent
	Business address 2038 Oldtown Valley Road SE, New Phil	adelphia, Oh	nio 44663	
	Telephone No. 330-339-6001 Fax No. 330-576-4141	Emai	il Address scar	penter@triplesenergy.com
A-9	Applicant's federal employer identification number	r 26-458	85783	
A-10	Applicant's form of ownership: (Check one)			
	☐ Sole Proprietorship] Partner	rship	
	Limited Liability Partnership (LLP)	Limited	l Liability Cor	npany (LLC)
	Corporation	Other		
A-11	(Check all that apply) Identify each natural gas currently providing service or intends to provide sclass that the applicant is currently serving or i commercial, and/or large commercial/industrial (main Section 4929.01(L)(1) of the Ohio Revised Code, means a chan 500,000 cubic feet of natural gas per year at a single local	ervice, inc ntends to ercantile) customer that	cluding ident serve, for e customers. (A at consumes, oth	ification of each customer xample: residential, small mercantile customer, as defined er than for residential use, more

residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or

outside this state that has filed the necessary declaration with the Public Utilities Commission.)

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A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

a Percui	Committees, of Omo	ingging Templan
	Dominion East Ohio	Intended Start Date
V	Didke Gressy Unio	hitotoeti Staria Drite
\ <u>\</u>	Vectren Energy Delivery of Ohio	Intended Start Date Way 1, 2015

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 <u>Exhibit A-14 "Principal Officers, Directors & Partners,"</u> provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 <u>Exhibit A-15 "Corporate Structure,"</u> provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale natural gas or electricity to customers in North America.
- A-16 <u>Exhibit A-16 "Company History</u>," provide a concise description of the applicant's company history and principal business interests.
- A-17 <u>Exhibit A-17 "Articles of Incorporation and Bylaws,"</u> if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto.
- A-18 Exhibit A-18 "Secretary of State," provide evidence that the applicant is currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- **B-1** Exhibit B-1 "Jurisdictions of Operation," provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 Exhibit B-2 "Experience & Plans," provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- **B-3** Exhibit B-3 "Summary of Experience," provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking to be certified to provide (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services it is seeking to be certified to provide.

B-5	Exhibit	B-5	"Disclosure	of	Consumer	Protection	Violations,"	disclose	whether	the	applicant,
	affiliate,	prede	cessor of the	app	licant, or any	y principal o	fficer of the ap	plicant ha	is been co	nvic	ted or held
	liable for	frau	d or for violat	ion	of any consi	ımer protect	ion or antitrus	t laws wit	hin the pa	st fi	ve years.

	No	Yes
17	110	

If Yes, provide a separate attachment labeled as <u>Exhibit B-5</u> "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas.

\square	No	☐ Yes
	110	

If Yes, provide a separate attachment, labeled as <u>Exhibit B-6</u> "<u>Disclosure of Certification Denial</u>, <u>Curtailment</u>, <u>Suspension</u>, or <u>Revocation</u>," detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports," provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
- C-2 <u>Exhibit C-2 "SEC Filings</u>," provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 <u>Exhibit C-3 "Financial Statements</u>," provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer-certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer-certified financial statements covering the life of the business.
- C-4 <u>Exhibit C-4 "Financial Arrangements</u>," provide copies of the applicant's current financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)
- C-5 <u>Exhibit C-5 "Forecasted Financial Statements</u>," provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRNGS operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.

- C-6 Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- Exhibit C-7 "Credit Report," provide a copy of the applicant's current credit report from Experion, C-7 Dun and Bradstreet, or a similar organization.
- Exhibit C-8 "Bankruptcy Information," provide a list and description of any reorganizations, **C-8** protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or since applicant last filed for certification.
- Exhibit C-9 "Merger Information," provide a statement describing any dissolution or merger or acquisition of the applicant since applicant last filed for certification.

SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- Exhibit D-1 "Operations," provide a current written description of the operational nature of the D-1 applicant's business functions.
- D-2 Exhibit D-2 "Operations Expertise," given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- Exhibit D-3 "Key Technical Personnel," provide the names, titles, email addresses, telephone D-3 numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

Sandy Carpenter - Owner/President

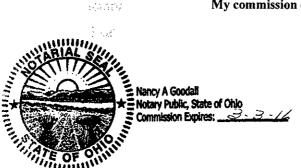
Sworn and subscribed before me this 30TH day of MARCH

Taxey a Godall Signature of official administering oath MARCH Month 2015 Year

NANCY A GOODALL NOTHRY

Print Name and Title

My commission expires on 3-3-16





The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service Affidavit Form (Version 1.07)

`	* OIL	Version .	1.07)			
Int	the Matter of the Application of)				
Trip	le "S" Energy Management LLC)	Case No.		-GA-	AGG
	a Certificate or Renewal Certificate to Provide)		- .	OII.	.133
Co	mpetitive Retail Natural Gas Service in Ohio.)				
	inty of Tuscarawas te of Ohio					
	Sandra K. Carpenter	[A	ffiant], being	duly sworn/affii	med, hereby s	tates that:
(1)	The information provided within the certification or complete, true, and accurate to the best knowledge of a		on renewal ap	plication and s	upporting info	rmation is
(2)	The applicant will timely file an annual report of its natural gas pursuant to Sections 4905.10(A), 4911.18(A)					oic feet of
(3)	The applicant will timely pay any assessment made Revised Code.	pursua	nt to Section	4905.10 or Se	ction 4911.18	(A), Ohio
(4)	Applicant will comply with all applicable rules and pursuant to Title 49, Ohio Revised Code.	orders :	adopted by the	e Public Utiliti	es Commission	n of Ohio
(5)	Applicant will cooperate with the Public Utilities C consumer complaint regarding any service offered or p				the investigati	on of any
(6)	Applicant will comply with Section 4929.21, Ohio Recourts and the service of process.	evised C	ode, regarding	consent to the	jurisdiction of	f the Ohio
(7)	Applicant will inform the Public Utilities Commission the certification or certification renewal application will contact person for regulatory or emergency purpose complaints.	thin 30	days of such n	naterial change,	including any	change in
(8)	Affiant further sayeth naught.					
	Affiant Signature & Title Sandy Carpenter - O	wner/f	President			>
	Sworn and subscribed before me this 307H day	of M	PACH	Month	2015	Year
اي.	Signature of Official Administering Oath	<i>N</i> /Print	ANCY A Go Name and Tit	ODHLC , le	NOTARY	
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(CRNGS Broker/Aggregator - Version 1.07) Page 7 of 7

Commission Expires: 3-3-



Exhibit A-14 "Principal Officers, Directors & Partners"

Company: Triple "S" Energy Management LLC

Principal Officer: Sandy Carpenter

Title: Owner/President

Address: 2038 Oldtown Valley Road SE New Philadelphia, Ohio 44663

Telephone Number: 330-339-6001

Exhibit A-15 "Corporate Structure"

Company: Triple "S" Energy Management LLC

Triple "S" Energy Management is a Limited Liability Company. Sandy Carpenter is the Sole member of the LLC

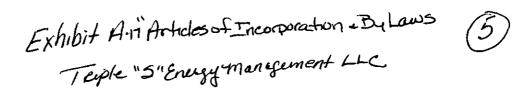
Exhibit A-16 "Company History" Company: Triple "S" Energy Management LLC

Triple "S" Energy Management LLC was created on April 1, 2009 to provide reliable energy consulting services on many utility systems. With individualized service to create value and stability in a volatile energy market, Triple "S" Energy's goal is to locate energy savings in many aspects of businesses. Triple S" Energy offers Natural Gas Procurement, Electricity Procurement, Energy Efficiency, Energy Intelligence Software, Demand Response, Renewable Energy and Phone Communication.

Exhibit A-17 "Articles of Incorporation and Bylaws"

Company: Triple "S" Energy Management LLC

Operating Agreement and Letter of Authority Attached



OPERATING AGREEMENT OF TRIPLE "S" ENERGY MANAGEMENT, LLC

This operating agreement is entered into by the members of TRIPLE "S" ENERGY MANAGEMENT, LLC, an Ohio limited liability company, for the purpose of governing the conduct of the company's affairs, and the relationships among the members and between the company and the members. In consideration of the mutual promises of the members, each member hereby agrees to be bound by the terms of this agreement.

I. Name; Purposes; Duration; Location

(A) Name

The name of the company shall be TRIPLE "S" ENERGY MANAGEMENT, LLC.

(B) Purposes

The company will engage in the energy business and carry on any activity for which persons may lawfully associate themselves.

(C) Duration

Unless sooner dissolved by law or pursuant to this agreement, the period of duration of the company shall be thirty (30) years from the date the company is formed.

(D) Location

The principal place of business of the company shall be located 2018 Oldtown Valley Road S.E., New Philadelphia, Ohio 44663, but may be moved to such other location as the managers consider expedient.

II Members

(A) Original members; new members

The sole member of this company is **Sandra K. Carpenter**. After filing of the articles of organization of the company with the Secretary of State, additional members may be admitted upon the unanimous written consent of the members.

Exhibit A-17 Articles of Incorporation & By Laws Juple "5" Energy Management LLC



(B) Resignation of member

A member has no right to resign from the company.

(C) Members' meetings; notice

The members shall meet at least annually, during the month of January on a day and at a time and place convenient to the members and designated by the managers, for the purpose of electing managers and transacting such other business as may come before the meeting.

Special meetings of the members may be held at the call of the managers or the call of any two (2) members.

Written notice of the annual and special meetings shall be sent to the members by ordinary mail at least thirty (30) days before the meeting. Notice of a special meeting shall state the purpose(s) of the meeting. If all members meet and consent to the meeting, the meeting is valid without call or notice.

(D) Quorum; voting rights; proxies

At any meeting of the members, a quorum consists of members owning a majority of the membership interests, appearing in person or by proxy. If a quorum is present, the affirmative vote of the members owning a majority of the membership interests represented at the meeting constitutes the act of the members. Proxies shall be filed with the company at or prior to the meeting.

(E) Action without a meeting

The members may act without a meeting, provided every member entitled to vote on a matter executes a written consent describing the action taken and such consents are filed with the managers.

III Contributions and Membership Interests

(A) Members' contributions; capital accounts

The initial contribution of each member shall be made at the time this operating agreement is executed. The amounts of the contributions of the members are as follows:

Sandra K. Carpenter \$1,000.00

A separate capital account shall be maintained for each member in accordance with Internal Revenue Code section 704(b) and applicable Treasury Regulations.

(B) Membership interests; allocation

Exhibit A-17
Articles of Incorporation - Bylaws
Suiple "5" Energy management LLC



The initial membership interests of the members in the company are as follows:

Sandra K. Carpenter 100%

Each member's distributive share of income, gain, loss, deduction, credit or allowance of the company for any period or year shall be determined according to the member's percentage membership interest as it exists at the time of determination.

IV Distributions

Net cash from operations, and the net proceeds from the sale or other disposition of a capital asset of the company, shall be distributed to the members at such times as the managers may determine, in pro rata shares according to the members' respective membership interests.

No distribution shall be declared or paid unless after such distribution the company's assets will exceed its liabilities, other than liabilities to members arising from their contributions.

No member is entitled to receive any distribution in any form other than cash.

V Transfer of Membership Interest

(A) Right of first refusal

A member desiring to sell, assign, hypothecate, pledge or otherwise transfer any part or all of a membership interest shall first offer the interest to the company and the other members on the same terms and conditions. If the company or members do not accept the offer within thirty days, the member may offer the interest to an outside transferee.

(B) Rights of assignee or transferee; membership

The assignee or transferee of a membership interest, however such interest is acquired, does not become a member of the company by reason of such assignment or transfer, and is not entitled to exercise any management or voting rights in the company, but is entitled only to distributions and allocations of profits, losses, gains, deductions, credits or similar items to which the member whose interest is assigned or transferred would have been entitled. The assignee or transferee of a

membership interest may be substituted for the assigning or transferring member and become a member only upon the written consent of all the other members, but until such time the assigning or transferring member remains a member.

Exhibit H-17

acticles of Incorporation & Bylaws

Luple "5" Energy Management LCC

VI

(8)

Management of Company

(A) Manager; powers and duties

The company shall be managed by **Sandra K. Carpenter.** Any manager shall be a member of the company. The manager shall be elected at the annual meeting of the members to be held in January of each year.

The managers shall manage, direct and control the business and affairs of the company. For this purpose the manager is an agent of the company, with authority to do any and all lawful acts appropriate to the company's business and affairs.

Without limitation on the managers powers, the managers are authorized to: enter into contracts on behalf of the company; hold and manage in the company's name real and personal property belonging to the company; execute documents on behalf of the company; obtain insurance against liability or protecting the company's property or business against loss; invest and reinvest funds of the company; maintain reserves for payment of company expenses; and employ and compensate attorneys, accountants, property managers and other persons.

(B) Standard of care

The managers shall perform all duties in good faith, in a way the manager reasonably believes to be in or not opposed to the company's best interests, and with the care that an ordinarily prudent person in a similar position would use under similar circumstances.

VII Indemnification

The company may indemnify any member, manager, partner, officer, employee, or other person against litigation expenses, including attorney fees, judgments, fines and amounts paid in settlement, to the extent and under the conditions permitted by Ohio Revised Code section 1705.32.

VIII Amendment of Agreement

This agreement may be amended by the affirmative vote of members holding a majority of the membership interests in the company.

IX Governing Law

This agreement shall be governed by, and construed according to, Ohio law.

Exhibit A-17 "Horticles of Incorporation & Bylaws Texple "5" Energy management LLC



WITNESS the signatures of all of the members of TRIPLE "S" ENERGY MANAGEMENT, LLC, on this ______ day of March, 2009.

Sandra K. Carpenter

C:\WPDOCS\ALPHA\C\Carpenter\Operating Agreement.wpd

Exhibit H-17 "Hirtides of Incorporation & B4 Laws" TRiple "5" Energy Management LLC



Letter of Authority

I, Sandra K. Carpenter, the Sole Owner for Triple "S" Energy Management LLC and is the only person authorized to conduct business for Triple "S" Energy Management LLC.

Sandra K. Carpenter

Date:

5/5/2010

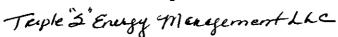


Exhibit A-18 "Secretary of State"

Company: Triple "S" Energy Management LLC

Articles of Organization for a Domestic Limited Liability Company (attached)

Exhibit A-18 " Secretary of State"







Form 533A Prescribed by the: Ohio Secretary of State

Central Ohio: (614) 466-3910 Toll Free: (877) SOS-FILE (767-3453)

www.sos.state.oh.us Busserv@sos.state.oh.us

Expedite	this	form:	(select	one
Mail form	to o	ne of th	ne follov	ving:

O Expedite

PO Box 1390

Columbus, OH 43216

*** Requires an additional fee of \$100 ***

Non Expedite

PO Box 670

Columbus, OH 43216

ARTICLES OF ORGANIZATION FOR A DOMESTIC LIMITED LIABILITY COMPANY

Filing Fee: \$125.00

(CHECK ONLY ONE (1) BOX)	(2) Articles of Organization for Demostic
(1) 🖸 Articles of Organ		(2) Articles of Organization for Domestic Nonprofit Limited Liability Company (115-LCA) ORC 1705
Name of limited liability		IIC
		ations: "limited liability company," "limited," "LLC," "L.L.C.," "ltd., "or "ltd"
Effective Date (Optional)	mm/dd/yyyy of the	egal existence of the limited liability company begins upon the filing a articles or on a later date specified that is not more than ninety days filing)
This limited liability com	pany shall exist for	30 years from date of formation Period of Existence
Purpose (Optional)	Engage in energy by lawfully associate the	nemselves.
☐ Check here if addit	ional provisions are a	attached

ORIGINAL APPOINTMENT OF AGENT

The undersigned authorized member(s), manager(s) or representative(s) of TRIPLE "S" ENERGY MANAGEMENT, LLC. Name of Limited Liability Company hereby appoint the following to be Statutory Agent upon whom any process, notice or demand required or permitted by statute to be served upon the limited liability company may be served. The name and address of the agent is Sandra K. Carpenter Name of Agent 2038 Oldtown Valley Road S.E. Mailing Address New Philadelphia If the agent is an individual and using a P.O. Box, check this box to certify the agent is an Ohio resident. ACCEPTANCE OF APPOINTMENT The undersigned, named herein as the statutory agent for TRIPLE "S" ENERGY MANAGEMENT, LLC. Name of Limited Liability Company hereby acknowledges and accepts the appointment of agent for said limited liability company

Exhibit A-18 " Secretary of State" Teiple "5" Energy Management LLC



By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document on behalf of the limited liability company identified above.

REQUIRED Articles and original appointment of agent must	Signature	3-16-09 Date
be authenticated (signed) by a member, manager or	Sandra K. Carpenter	
other representative.	Print Name	· ·
	Signature	Date
	Print Name	
	Signature	Date
	Print Name	

(See Instructions Below)

Exhibit B-1 "Jurisdictions of Operation"

Company: Triple "S" Energy Management LLC

Ohio

Exhibit B-2 "Experience & Plans

Company: Triple "S" Energy Management LLC

Triple "S" Energy was started by Sandy Carpenter with 31 years of natural gas experience. Prior to forming Triple "S" Energy, I was heavily involved in Sales by selling local production, Interstate gas, managed online natural gas bidding platforms.

My plans for contracting with customers is to offer an all in price for natural gas and electricity through Suppliers. As a Broker, Suppliers of these commodities would be willing to contract with Triple "S" Energy as a Broker. Supplier will pay Triple "S" Energy a Broker fee for bringing the customer to that particular supplier. Compensation will be based on cents per Dth for Natural Gas and mils for Electricity. Compensation will be paid to Triple "S" Energy by the Supplier on a monthly basis based on the customers actual usage.

Triple "S" Energy takes an individualized personal approach with its clients and provides a monthly savings report identifying the savings incurred within each month. Any inquiries or problems are addressed immediately with professionalism, knowledge and expertise to solve such inquiry.

Exhibit B-3 "Summary of Experience"

Company: Triple "S" Energy Management LLC

Since 1984, I have moved over 2 BCF of natural gas to small commercial, large commercial, small industrial and large industrial customers from coast to coast thru a number of supplies throughout my career in the Natural Gas Market. At the present time, my territory is Ohio.

Utility Systems: Dominion East Ohio Gas

Columbia Gas of Oho

Number of Clients: 24

Volume: 300,000 Dth Annually

I plan to increase this number to 500,000 Dth within the next year. My goal in natural gas is to consult 1 BCF of gas within the next 3 years.

Exhibit B-4 "Disclosure of Liabilities and Investigations"

Company: Triple "S" Energy Management LLC

None

Exhibit B-5 "Disclosure of Consumer Protection Violations"

Company: Triple "S" Energy Management LLC

None



Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension or Revocation"

Company: Triple "S" Energy Management LLC

None

Exhibit C-1 "Annual Report"

Company: Triple "S" Energy Management LLC

Triple "S" Energy is a small entity with no employees and one owner strong and growing. I do not have Annual Reports nor do I have Shareholders

Exhibit C-2 "SEC Filings"

Company: Triple "S" Energy Management LLC

Triple "S" Energy is not required to submit SEC filings because Triple "S" Energy is not a publically traded company

Exhibit C-3 "Financial Statements"

Company: Triple "S" Energy Management LLC

Schedule C of my Federal Tax Returns for 2013 and 2014 are available upon request. I do not keep monthly Financial Statements



Exhibit C-4 "Financial Arrangement"

Company: Triple "S" Energy Management LLC

Triple "S" Energy is Debt Free and has no Financial Arrangements with any Financial Institutes

Exhibit C-5 "Forecasted Financial Statements"

Company: Triple "S" Energy Management LLC

N/A



Exhibit C-6 "Credit Rating"

Company: Triple "S" Energy Management LLC

Triple "S" Energy does not have a Credit Rating, however Sandy Carpenter as principal has a Credit Rating available upon request

Exhibit C-7 "Credit Report"

Company: Triple "S" Energy Management LLC

Triple "S" Energy does not have a Credit Report

Exhibit C-8 "Bankruptcy Information"

Company: Triple "S" Energy Management LLC

N/A

Exhibit C-9 "Merger Information"

Company: Triple "S" Energy Management LLC

N/A

Exhibit D-1 "Operations"

Company: Triple "S" Energy Management LLC

Triple "S" Energy operates from a home office. A lot of my clients come from referrals but I also conduct cold calling to potential clients and ask them numerous questions relating to Energy. My goal is to reduce their overall cost of doing business by lowering their electricity and natural gas bills with 3rd party suppliers, offer free solar evaluations, talk to them about how they use their electricity by offering Intelligence Software, generate a revenue by offering a Demand Response Program and offer free Energy Efficiency Audits by using a Certified Engineer I have contracted with. I have just incorporated a new phone service I am offering thru a company I have also contracted with.

Once a client is interested in any options I offer, I will continually monitor the savings for that client on a month to month basis.

Exhibit D-2 "Operations Expertise"

Company: Triple "S" Energy Management LLC

Customer Case Studies Attached

TRIPLE "S" ENERGY MANAGEMENT, LLC.

Case Studies

Local School System benefits from Deregulation on AEP (July 3, 2014)

Triway Local School System, located in Wooster, Ohio (Wayne County) has worked with Triple "S" Energy Management since 2011 after pursuing them about reducing their overall electric cost on AEP. Triway has multiple meters that continue to enjoy significant benefits from deregulation on AEP by working with Triple "S" Energy to accomplish and capture the best savings to assist with the reduction of their total cost of electricity. Triple "S" Energy has been able to save Triway Local School System a total of \$137,567.00 from September, 2011 to May, 2014 against the default rate on AEP, the local utility company.

PRO FOOTBALL HALL OF FAME SAVE ON ELECTRIC COST (July 7, 2014)

The Pro Football Hall of Fame in Canton, Ohio, has been a national historical institution since 1963. The Pro Football Hall of Fame has partnered with Triple "S" Energy for many years to ensure they receive the lowest cost as it relates to purchasing natural gas and electricity. Since electric deregulation began Triple "S" Energy has worked extremely hard to secure significant cost savings. In soliciting proposals, and in turn signing for electricity with another provider, Triple "S" Energy has saved the Pro Football Hall of Fame \$27,000.00 in electric costs from September, 2013 to May, 2014. Projected savings over 36 months are estimated at \$146,000.

Non-Profit Organization Benefits from Energy Management (August 7, 2014)

The Cultural Center located in Canton, Ohio has partnered with Triple "S" Energy for many years to manage their energy needs including the success of the installation of a 50.76KW Solar System. This system was installed on the roof of the Cultural Center Parking Deck and will supply a small percentage of power to the 330,000 sq. ft. building. From May, 2013 to June, 2014, the system has produced 72,196 Kwh which is about 6% of the facilities usage. Triple "S" Energy has saved the Cultural Center a total of \$41,000.00 between 2013 and 2014 by reducing their electricity rate on AEP and managing their natural gas procurement.

Wooster, Ohio Company saves on Electric Deregulation

Through the efforts of Triple "S" Energy Management, a Wooster Company has saved approximately \$393,000 in 2013 by enrolling with a 3rd party supplier for generation/transmission cost on AEP Ohio. Triple "S" Energy Management also offered a Program called Demand Response which is the reduction of electric usage in response to electric grid emergencies. The Company has agreed to participate in this program on a year to year basis. By agreeing to reduce their usage during a specified critical day, the business is then compensated with an incentive payment. For the period of 2014-2015, this company will receive a compensation of \$70,000.00 paid out in 4 quarterly payments.



<u>G&S Titanium in Wooster, Ohio saves on Electric Deregulation and Renewable</u> Energy

G&S Titanium are Metal Wire Drawing Specialists who manufacture most grades of titanium in the form of round and hex bars, fastener wire, spring wire and precision ground medical bars. Through the efforts of Triple "S" Energy Management, G&S Titanium has enrolled the manufacturing facility with a 3rd party supplier for generation and transmission on AEPOhio. G&S Titanium has saved \$81,000 in 2013 and as of November, 2014, the savings has generated \$97,500.00. Triple "S" Energy introduced Carbon Vision to G&S Titanium regarding a Solar System. G&S Titanium successfully installed a 64.86 Ground Solar Array to generate power for his office. The system to date has generated 248,096 Kwh which has avoided CO2 emissions. From May, 2011 - April, 2012, AEP electric charges would have been \$21,349.11 and from April, 2012 - October, 2014, the system has generated an AEP cost of \$5,698.55. The savings is \$15,650.56 over a 29 month period. To see more information on G&S Titanium and their Solar array, visit www.gs-titanium.com.

Exhibit D-3 "Key Technical Personnel"

Company: Triple "S" Energy Management LLC

Name: Sandy Carpenter Title: Owner/President

Email: scarpenter@triplesenergy.com

Phone: 330-339-6001

Background: 31 years of Natural Gas Experience