

Telephone No.

Date Received	Case Number	Certification . Number
	15-0460-GA-AGG	

CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS / AGGREGATORS

Please type or print all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-16 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

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Th	is PDF form is desi	gned so that you may directly input inform saving it to your	nation onto the form local disk.	. You may also download the form by
		SECTION A - APPLICANT INFO		San years
		MONOR DONAL DAVIS DI GANDA IN INC	RMALION AND	SERVICES
A-1	Applicant inte	ends to be certified as: (check all th	at apply)	
	Retail Natur	ral Gas Aggregator 🔽 Retail Natur	al Gas Broker	
A-2	Applicant info	ormation:		
	Legal Name Address	Agent.DO LLC 5455 Muirfield Court, Dublin, OH 43017		
	Telephone No.	(614) 408-1020	Web site Address	www.agent.do (not yet active)
A-3	Applicant info	ormation under which applicant wil	l do business in (Ohio:
	Name Address	Agent.DO 5455 Muirfield Court, Dublin, OH 43017		
	Web site Address	www.agent.do	Telephone No.	(614) 408-1020
A-4	List all names	under which the applicant does bu	siness in North A	merica:
	Agent.DO			
A-5	Contact person	n for regulatory or emergency matt	ers:	
	Name Lance S	Schneier	Title CEO	
	Business Address	5455 Muirfield Court, Dublin, OH 40317		

lance@ae2.com

Email Address

A-6	Contact person for Commission Staff use in investigating customer complaints:
	Name Lance Schneier Title CEO
	Business address 5455 Muirfield Court
	Telephone No. (614) 408-1020 Fax No. (614) 408-1021 Email Address lance@ae2.com
A-7	Applicant's address and toll-free number for customer service and complaints
	Customer service address 5455 Muirfield Court, Dublin, OH 43017
	Toll-Free Telephone No. (888) 689-0950 Fax No. (614) 408-1021 Email Address support@agent.do
A-8	Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee
	Name Lance Schneier Title CEO
	Business address 5455 Muirfield Court, Dublin, OH 40317
	Telephone No. (614) 408-1020 Fax No. (614) 408-1021 Email Address lance@ae2.com
A-9	Applicant's federal employer identification number 47-3251314
A-10	Applicant's form of ownership: (Check one)
	Sole Proprietorship Partnership
	Limited Liability Partnership (LLP)
	☐ Corporation ☐ Other
A-11	(Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: residential, small commercial, and/or large commercial/industrial (mercantile) customers. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or

consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or

outside this state that has filed the necessary declaration with the Public Utilities Commission.)

If appli	cant or an affiliate	ed interest previousl	y participated in	any of Ohio's Natural Gas
		e area and customer began delivering and		proximate start date(s) and/s.
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	Industrial	Beginning Date of Serv	ice	End Date
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	Industrial	Beginning Date of Serv	/ice	End Date
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	Small Commercial	Beginning Date of Serv	rice	End Date
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	Industrial	Beginning Date of Serv	rice	End Date
	E D!			
l kry i	ren Energy Delivery o	t Ohio		

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

✓	Lobradda Gos of Thin	Întendul Sari Truc	April 1, 2015
✓	Dominion East Ohio	Intended Start Date	April 4, 2015
✓	Dake Inergy Chic	Intended Statt Oat	April (1, 2013
/	Vectren Energy Delivery of Ohio	Intended Start Date	April 4-, 2015

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- **A-14** Exhibit A-14 "Principal Officers, Directors & Partners," provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- **A-15** Exhibit A-15 "Corporate Structure," provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale natural gas or electricity to customers in North America.
- **A-16** Exhibit A-16 "Company History," provide a concise description of the applicant's company history and principal business interests.
- A-17 <u>Exhibit A-17 "Articles of Incorporation and Bylaws,"</u> if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto.
- **A-18** Exhibit A-18 "Secretary of State," provide evidence that the applicant is currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- **B-1** Exhibit B-1 "Jurisdictions of Operation," provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- **B-2** Exhibit B-2 "Experience & Plans," provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- **B-3** Exhibit B-3 "Summary of Experience," provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking to be certified to provide (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- **B-4** Exhibit B-4 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services it is seeking to be certified to provide.

B-5	Exhibit B-5 "Disclosure of Consumer Protection Violations," disclose whether the applicant,
	affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held
	liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

_	1000			 12 (2)
		O	1	Yes
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If Yes, provide a separate attachment labeled as <u>Exhibit B-5</u> "<u>Disclosure of Consumer Protection Violations</u>," detailing such violation(s) and providing all relevant documents.

B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas.

	No		Yes
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If Yes, provide a separate attachment, labeled as <u>Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"</u> detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- **C-1** Exhibit C-1 "Annual Reports," provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
- C-2 <u>Exhibit C-2 "SEC Filings</u>," provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 <u>Exhibit C-3 "Financial Statements</u>," provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer-certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer-certified financial statements covering the life of the business.
- C-4 <u>Exhibit C-4 "Financial Arrangements</u>," provide copies of the applicant's current financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)
- C-5 <u>Exhibit C-5 "Forecasted Financial Statements</u>," provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRNGS operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.

- C-6 Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 <u>Exhibit C-7 "Credit Report</u>," provide a copy of the applicant's current credit report from Experion, Dun and Bradstreet, or a similar organization.
- C-8 <u>Exhibit C-8 "Bankruptcy Information</u>," provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or since applicant last filed for certification.
- C-9 <u>Exhibit C-9 "Merger Information</u>," provide a statement describing any dissolution or merger or acquisition of the applicant since applicant last filed for certification.

SECTION D - APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- **D-1** Exhibit D-1 "Operations," provide a current written description of the operational nature of the applicant's business functions.
- **D-2** Exhibit D-2 "Operations Expertise," given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- **D-3** Exhibit D-3 "Key Technical Personnel," provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

Sworm and subscribed before me this What day of July Month 2015 Year

Lucy Signature of official administering oath

Print Name and Title

WECHIO NO.

My commission expires on

09,15.2018



The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service Affidavit Form (Version 1.07)

1.000	nt DO LLC
54. Ā1 A	$\mathcal{C}_{ase\ No.}$ 15-0460 -GA-AGG
	a Certificate or Renewal Certificate to Provide
Cor	mpetitive Retail Natural Gas Service in Ohio.
	te of Ohio
	Lance Schneier [Affiant], being duly sworn/affirmed, hereby states that:
(1)	The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
(2)	The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
(3)	The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
(4)	Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
(5)	Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
(6)	Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
(7)	Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
(8)	Affiant further sayeth naught.
	Affiant Signature & Title
	Sworn and subscribed before me this 2015 Year
(Coresa M. Bonvech: o, Barle
	Signature of Official Administering Oath Print Name and Title

My commission expires on

(CRNGS Broker/Aggregator -Version 1.07) Page 7 of 7

09.15.18

Exhibit A-14 Principal Officers, Directors & Partners

Lance Schneier CEO 5455 Muirfield Court Dublin, OH 43017 (614) 408-1020

Exhibit A-15 Corporate Structure \square

Lance Schneier is sole member of AE2 LLC, which is the sole member of Agent.DO LLC

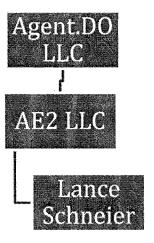


Exhibit A-16 Company History

Agent.DO was formed in early 2015 to provide services to residential and commercial customers in Ohio.

Exhibit A-17 Articles of Incorporation and Bylaws

See Attached



DATE 03/02/2015 DOCUMENT ID 201506101262

DESCRIPTION
DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG (LCP)

FILING EXPED 125.00 100.00

PENALTY CEI

COPY

.00 0.00 0.00

Receipt

This is not a bill. Please do not remit payment.

VORYS, SATER, SEYMOUR AND PEASE, LLP 52 EAST GAY STREET TED B. HIPSHER COLUMBUS, OH 43212

STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jon Husted 2369979

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

AGENT.DO LLC

and, that said business records show the filing and recording of:

Document(s)

Document No(s):

DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG Effective Date: 02/27/2015 201506101262



United States of America State of Ohio Office of the Secretary of State Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 2nd day of March, A.D. 2015.

Ohio Secretary of State



CHECK ONLY ONE (1) BOX

Form 533A Prescribed by:
Ohio Secretary of State
JON HUSTED
Ohio Secretary of State

Central Ohio: (614) 466-3910 Toll Free: (877) SOS-FILE (767-3453) www.OhioSecretaryofState.gov

www.OhioSecretaryofState.gov Busserv@OhioSecretaryofState.gov

Mail this form to one of the following:

Regular Filing (non expedite) P.O. Box 670 Columbus, OH 43216

Expedite Filing (Two-business day processing time requires an additional \$100.00).
P.O. Box 1390
Columbus, OH 43216

Articles of Organization for a Domestic Limited Liability Company

r a Domestic RECEIVED npany FEB 2 7 2015

Filing Fee: \$125

SECRETARY OF STATE

(1) Articles of Organization for Domestic For-Profit Limited Liability Company (115-LCA)	(2) Articles of Organization for Domestic Nonprofit Limited Liability Company (115-LCA)
Name of Limited Liability Company A	gent.DO LLC
	bbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "Itd., "or "Itd"
(The legal existe of the articles or after filling)	ence of the limited liability company begins upon the filing on a later date specified that is not more than ninety days
This limited liability company shall exist for (Optional) a period Period of Ex	of perpetuity xistence
Purpose (Optional)	
exemptions. Contact the Ohio Department of Taxation ar	Filing with our office is not sufficient to obtain state or federal tax and the Internal Revenue Service to ensure that the nonprofit eral tax exemptions. These agencies may require that a purpose

	Agent.DO LLC		
	Name of Limited Liability C	ompany	
hereby appoint the or permitted by sta address of the age	e following to be Statutory Agent upon whom atute to be served upon the limited liability corent is	any process, notic mpany may be ser	e or demand required ved. The name and
	Lance W. Schneier		
Name of Agent			
	5455 Muirfield Court	<u> </u>	
Mailing Address		· .	
			1 10015
Dublin	ĺ	Ohio	43017
Dublin		Ohio State	ZIP Code
City	ACCEPTANCE OF AP	State POINTMENT	ZIP Code
City	Lance W. Schneier	State POINTMENT	ZIP Code
City e undersigned,	Lance W. Schneier Statutory Agent Name	State POINTMENT	ZIP Code
City	Lance W. Schneier Statutory Agent Name Agent.DO LLC	State POINTMENT	ZIP Code
City undersigned,	Lance W. Schneier Statutory Agent Name	State POINTMENT	ZIP Code
city undersigned, for	Lance W. Schneier Statutory Agent Name Agent.DO LLC	State POINTMENT name	ZIP Code
city undersigned, for	Lance W. Schneier Statutory Agent Name Agent.DO LLC Name of Limited Liability Company d accepts the appointment of agent for said lir	State POINTMENT name	ZIP Code

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

Required

Articles and original appointment of agent must be signed by a member, manager or other representative.

If authorized representative is an individual, then they must sign in the "signature" box and print their name in the "Print Name" box.

If authorized representative is a business entity, not an individual, then please print the business name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print their name in the "Print Name" box.

Signature
By (if applicable)
Sy (ii applicable)
Lance W. Schneier
Print Name Authorized Representative
Signature
By (if applicable)
Print Name
Signature
Signature
By (if applicable)
Print Name

21266656

Exhibit A-18 Secretary of State \Box

See Attached

UNITED STATES OF AMERICA STATE OF OHIO OFFICE OF THE SECRETARY OF STATE

I, Jon Husted, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show AGENT.DO LLC, an Ohio For Profit Limited Liability Company, Registration Number 2369979, was organized within the State of Ohio on February 27, 2015, is currently in FULL FORCE AND EFFECT upon the records of this office.



Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 2nd day of March, A.D. 2015.

Ohio Secretary of State

Validation Number: 201506101907

Agent.DO LLC Aggregator/Broker Application Exhibit B-1 Jurisdictions of Operation

None

Exhibit B-2 Experience & Plans \square

Applicant will be providing fee based brokering and aggregation services. Customers will contract with Applicant online and there will be no billing by Applicant. Applicant's customers will become customers of the supplier(s) selected by Applicant. All matters regarding their service, billing and/or payment will be handled by the selected power marketer and/or serving utility.

Exhibit B-3 Summary of Experience

After a career as an energy attorney with Vorys, Sater, Seymour and Pease, Mr. Schneier created and operated several retail energy marketing companies, commencing in 1982, with the creation of Yankee Resources, later named Access Energy. Applicant continued to operate Accent Energy after it was acquired by Enron Corp. in 1992. Applicant created Accent Energy in 2002 and sold it in 2010.

Under Schneier's leadership his companies acquired, billed supplied and served over one million industrial, commercial and residential customers' electricity and/or natural gas requirements in over 40 states, including Ohio. In the course of his career Schneier developed strong relationships with other aggregators, brokers, suppliers and utilities.

Exhibit B-4 Disclosure of Liabilities and Investigations $\ \Box$

None

Exhibit C-1 Annual Reports \square

The Applicant's business is in the beginning stages of the process of being formed and therefore has no annual report at this time.

Agent.DO LLC Aggregator/Broker Application Exhibit C-2 SEC Filings□

N/A

Exhibit C-3 Financial Statements

Applicant's financial statements are being provided separately so that they may be treated confidentially.

Exhibit C-4 Financial Arrangements \square

This Exhibit is not applicable as the Applicant does not intend to take title to the electricity and intends to operate as a broker or aggregator.

Exhibit C-5 Forecasted Financial Statements \square

Applicant's forecasted financial statements are being provided separately so that they may be treated confidentially.

Exhibit C-6 Credit Rating \square

Applicant has no credit rating at this time.

Exhibit C-7 Credit Report \square

Applicant has just been formed and thus no credit reports have been created or are available. Once Applicant's certification is granted Applicant will apply for a credit report and submit it as a supplement to this application.

Exhibit C-8 Bankruptcy Information \Box

The Applicant has never declared bankruptcy at any time.

Exhibit C-9 Merger Information

The Applicant has not engaged in any mergers as of this time.

Exhibit D-1 Operations

Applicant will gather usage and pricing data from its customers via an online enrollment process. The data will be stored in a secure database. Applicant's customers will be matched with suppliers based on appropriate terms and conditions.

Exhibit D-2 Operations Experience

Lance Schneier has over 30 years experience establishing and operating the systems necessary to offer risk management and supply services, billing, customer support and all other operations necessary to serve retail residential and commercial electricity and natural gas customers. Applicant's proposed activities will be much less sophisticated than the operations Schneier has designed.

Exhibit D-3 Key Technical Personnel

Lance Schneier CEO lance@ae2.com (614) 408-1020

Lance Schneier has over 30 years experience establishing and operating the systems necessary to offer risk management and supply services, billing, customer support and all other operations necessary to serve retail residential and commercial electricity and natural gas customers. Applicant's proposed activities will be much less sophisticated than the operations Schneier has designed.

This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

3/4/2015 11:53:46 AM

in

Case No(s). 15-0460-GA-AGG

Summary: Application Certification Application Competitive Retail Natural Gas Brokers/ Aggregators electronically filed by Mr. Stephen M Howard on behalf of Agent.DO LLC