eagleenergy@FUSE.net

February 20, 2015

The Honorable Barcy F. McNeal, Secretary The Public Utilities Commission of Ohio Docketing Division, 11th Floor 180 East Broad Street Columbus, Ohio 43215-3793

RE: Case No. 13-631-EL-GAG Case No. 13-632-GA-GAG

Dear Secretary McNeal:

Please find enclosed an original and three (3) copies of the Application of the Village of Cleves in each of the above referenced cases. The Applications seek a renewal of the Village's certificates as a governmental aggregator for electric service and natural gas service pursuant to §4928.08 and §4929.20, respectively, of the Ohio Revised Code

Any questions concerning this matter should be directed to our office. Thank you in advance for your attention to this matter.

Very truly yours,

Donald I. Marshall

President

cc: The Honorable Danny Stacy

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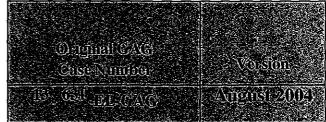
BEFORE

THE PUBLIC UTILITIES COMMISSION OF OHIO

In the Matter of the Application of the)	
Village of Cleves, Hamilton County, Ohio)	
for the Renewal of its Certificate as a)	Case No. 13-631-EL-GAG
Governmental Aggregator for Electricity)	
Service Pursuant to Section 4928.08)	
of the Ohio Revised Code.)	

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RENEWAL APPLICATION FOR GOVERNMENTAL AGGREGATORS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-4 Opt-Out Form). All attachments should bear the legal name of the Applicant and should be included on the electronic copy provided. Applicants should file completed applications and all related correspondence with: Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, OH 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

A. <u>RENEWAL INFORMATION</u>

A-1 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name Village of Cleves

Address 101 North Miami Avenue, Cleves, Ohio 45002

PUCO Certificate # and Date Certified 13-672E(1) dated April 12, 2013

Telephone # (513) 941-5127 Web site address (if any) www.Cleves.org

- **A-2** Exhibit A-2 "Authorizing Ordinance" provide a copy of the ordinance or resolution authorizing the formation of a governmental aggregation program adopted pursuant to Section 4928.20(A) of the Revised Code.
- A-3 Exhibit A-3 "Operation and Governance Plan" provide a copy of the applicant's current plan for operation and governance of its aggregation program adopted pursuant to Section 4928.20(C) of the Revised Code. The Operation and Governance Plan explained in Exhibit A-3 should include:
 - Terms and conditions of enrollment including:
 - Rates
 - Charges
 - Switching fees, if any
 - Policies associated with customers moving into/out of aggregation area
 - Billing procedures
 - Procedures for handling complaints and disputes including the toll-free telephone number and address for customer contacts

A-4 Exhibit A-4 Automatic Aggregation Disclosure - "Opt-out Form" provide a copy of the disclosures/"opt-out" required by Section 4928.20(D) of the Revised Code, if its aggregation program provides for automatic aggregation in accordance with Section 4928.20(A) of the Revised Code. If the opt-out is in draft form, docket the final opt-out (including beginning and ending dates of the 21-day -out period and the selected CRES supplier) with the Commission within 10 days prior to providing or offering service. See #12 in the attached Affidavit.

A-5	Contact person for regulatory or emergency matters
	Name Danny Stacy
	Title Mayor
	Business address 101 North Main Street, Cleves, Ohio 45002
	Telephone # (513) 941-5127
	E-mail address (if any) Clevesclerk@zoomtown.com
A-6	Contact person for Commission Staff use in investigating customer complaints
	Name Donald Marshall
	Title President, Eagle Energy
	Business address 6566 Glenway Avenue, Cincinnati, Ohio 45211
	Telephone # (513) 251-7283 Fax # (513) 251-7283
	E-mail address (if any) eagleenergy@fuse.net
A-7	Applicant's address and toll-free number for customer service and complaints Customer Service address 101 North Main Street, Cleves, Ohio 45002 Toll-free Telephone # (513) 941-5127 Fax # (513) 941-5198 E-mail address (if any) Clevesclerk@zoomtown.com
	E-mail address (if any) Clevesclerk@zoomtown.com
Signati	my Stay - Mayor of Cleves pre of Applicant & Title
Sworn Month	and subscribed before me this 13 day of Feb, 2015 Year Nda C Balton Linda C. Bolton, Noraey
0	Linda C Balton Narasi
Signati	re of official administering oath Print Name and Title
	My commission expires on 7/38/3016

<u>AFFIDAVIT</u>

State of Uhio:	Claves ss.
County of Lamilton:	(Town)
	ing duly sworn/affirmed according to law, deposes and says that:
He/Cho is the Mayor (Office of Affiant) of the Village of Cleves (Name of Applicant);

That he/ehe is authorized to and does make this affidavit for said Applicant,

- The Applicant herein, attests under penalty of false statement that all statements made in the
 application for certification renewal are true and complete and that it will amend its application while
 the application is pending if any substantial changes occur regarding the information provided in the
 application.
- The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission
 of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity
 pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of
 Section 4928.06 of the Revised Code.
- 3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
- 4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
- 5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
- 6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
- 7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
- 8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
- 9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
- 19. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

- 11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.
- 12. The Applicant herein, attests that if the opt-out is in draft form, the Applicant will docket the final optout (including beginning and ending dates of the 21-day -out period and the selected CRES supplier) with the Commission within 10 days prior to providing or offering service.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Warmy Staty-Mayor of Cleves_ Signature of Affiant & Wile

Sworn and subscribed before me this 13 day of Feb , 2015

Year

Linda C Bolton, Notary
Print Name and Title

My commission expires on 7/38/16

Linda C. Bolier Notary Public: State of Ci My Commission En

Exhibit A-2

Authorizing Ordinance Adopted Pursuant to Section 4928.20(A) O.R.C.

RESOLUTION NO. 23, 2012

RESOLUTION AUTHORIZING ALL ACTIONS NECESSARY TO EFFECT A GOVERNMENTAL RETAIL ELECTRIC LOADS AGGREGATION PROGRAM WITH OPT-OUT PROVISIONS PURSUANT TO SECTION 4928.20, OHIO REVISED CODE AND DIRECTING THE HAMILTON COUNTY BOARD OF ELECTIONS TO SUBMIT A BALLOT QUESTION TO THE ELECTORS AND DECLARING AN EMERGENCY

WHEREAS, the Ohio Legislature has enacted legislation (R.C. 4928.20) which authorizes the Council of the Village of Cleves to aggregate the retail electric loads located within the Village of Cleves and to enter into service agreements to facilitate for those loads the purchase and sale of electricity; and

WHEREAS, governmental aggregation provides an opportunity for residential and small business customers collectively to participate in the potential benefits of lower electric rates which they would not otherwise be able to have individually; and

WHEREAS, this Council seeks to establish a governmental aggregation program with opt-out provisions pursuant to Section 4929.26, Ohio Revised Code (the "Aggregation Program"), for the residents, businesses and other electric consumers in the Village, as permitted by law.

NOW, THEREFORE, BE IT RESOLVED by the Council of the Village of Cleves, Hamilton County, Ohio that

SECTION 1. This Council finds and determines that it is in the best interest of the Village, its residents, businesses and other Electric consumers located within the limits of the Village to establish the Electric Loads Aggregation Program in the Village. Provided that this Resolution and the Electric Loads Aggregation Program is approved by the electors of the Village pursuant to Section 2 of this Resolution, the Village is hereby authorized to aggregate in accordance with Section 4928.20, Ohio Revised Code, the retail electric loads located within the Village, and, for that purpose, to enter into service agreements to facilitate the sale and purchase of the service for the retail electric loads. The aggregation will occur automatically for each person owning, occupying, controlling, or using electric load center proposed to be aggregated and will provide for the opt-out rights described in Section 3 of this Resolution.

SECTION 2. The Board of Elections of Hamilton County is hereby directed to submit the following question to the electors of the Village at the general election in November 2012.

PROPOSED ELECTRIC AGGREGATION VILLAGE OF CLEVES

A majority affirmative vote is necessary for passage

Shall the Village of Cleves have the authority to aggregate the retail electric loads located in the Village of Cleves, and for that purpose, enter into service agreements to facilitate for those loads he sale and purchase of electricity, such aggregation to occur automatically except where any person lects to opt out, all in accordance with Section 4928.20 of the Ohio Revised Code?

YES	
NO	

The Village Clerk is instructed immediately to file a certified copy of this Resolution and the proposed form of the ballot question with the County Board of Elections not less than ninety (90) days prior to the November 2012 general election. The Electric Loads Aggregation Program shall not take effect unless approved by a majority of the electors voting upon this Resolution and the Electric Loads Aggregation Program provided for herein at the election held pursuant to this Section 2 and Section 4928.20, Ohio Revised Code.

SECTION 3. Upon the approval of a majority of the electors voting at the general election provided for in Section 2 of this Resolution, this Council shall develop a plan of operation and governance for the Electric Loads Aggregation Program. Before adopting such plan, this Council or a licensed consultant, on behalf of this Council, shall hold at least two public hearings on the plan. Before the first hearing, notice of the hearings shall be published once a week for two consecutive weeks in a newspaper of general circulation in the Village. The notice shall summarize the plan and state the date, time, and location of each hearing. No plan adopted by this Council shall aggregate the electric load of any electric load center within the Village unless it in advance clearly discloses to the person owning, occupying, controlling, or using the load center that the person will be enrolled automatically in the Electric Loads Aggregation Program and will remain so enrolled unless the person affirmatively elects by a stated procedure not to be so enrolled. The disclosure shall state prominently the rates, charges, and other terms and conditions of enrollment. The stated procedure shall allow any person enrolled in the Electric Loads Aggregation Program the opportunity to opt out of the program at least every three years, without penalty or switching fee. Any such person that opts out of the Electric Loads Aggregation Program shall default to the utility's standard service offer unless and until that person chooses a competitive retail electric supplier.

SECTION 4. This Council finds and determines that all formal actions of this Village Council concerning and relating to the adoption of this Resolution were taken in an open meeting of this Council and that all deliberations of this Council and of any committees that resulted in those formal actions were in meetings open to the public in compliance with the law.

SECTION 5. This Resolution is declared to be an emergency measure necessary for the immediate preservation of the public health, safety and welfare of the Village, and for the further reason that this Resolution is required to be immediately effective in order to file a certified copy of this Resolution and the proposed form of the ballot question with the Board of Elections of Hamilton County not later than ninety (90) days prior to the November 2012 general election, as provided herein; wherefore, this Resolution shall be in full force and effect immediately upon its adoption.

ADOPTED at a meeting on June 13	s, 2012.
	Janus Saly
	DANNY STACY
	Mayor
ρ	LINDA/BOLTON
	Clerk
Approved as to Form://	
FRANCIS M. HYLE	_
Solicitor / //	
I, Linda Bolton, Clerk-Treasurer of the Vi certify that this is a true and accurate copy of a Resol	illage of Cleves, Hamilton County, Ohio, hereby
of Cleves, County of Hamilton, Ohio, at its regular	· · · · · · · · · · · · · · · · · · ·
Date:	



Village of Cleves, Phio

INCORPORATE 1875

MAYOR DANNY STACY (513) 941-5127 101 N. MIAMI AVENUE CLEVES, OHIO 45002

CHIEF OF POLICE WILLIAM RENNER (513) 941-1212

CLERK/TREASURER LINDA BOLTON (513) 941-5127 x10 (513) 941-5198

STREET COMMISSIONER HAROLD DUNCAN (513) 941-3618

CERTIFICATE OF POSTING

The undersigned, Clerk/Treasurer of the Village of Cleves, Ohio, hereby certifies there is no newspaper in said municipality and publication of:

ORDINANCE # ____ -- 20___ Or RESOLUTION # ______ -- 20______

Was duly made by posting notice thereof at five of the most public places in said Village as determined by the Council as follows:

- 1. Village Municipal Building
- 2. Cleves Water Works
- 3. U.S. Post Office (Cleves Branch)
- 4. Miami Township Hall
- 5. Hamilton County Public Library (Cleves Branch)

Each for a period of 15 days commencing on the 20 day of fure, 2012.

Clerk/Treasurer

Date

Attest

CERTIFICATE OF RESULT OF ELECTION ON QUESTION OR ISSUE Revised Code, Section 3501.11 State of Ohlo Hamilton County of Hamilton The Board of Elections of __County hereby Village Of Cleves certifies that at the election held in the (Name of Subdivision) 2012 on the_ , the vote cast on the following issue was as follows: Issue. Shall the Village of Cleves have the authority to aggregate the retail electric loads located in the Village of Cleves, and for that purpose, enter into service agreements to facilitate for those loads the sale and purchase of electricity, such aggregation to occur automatically except where any person elects to opt out? Country 3 35. 736 Yes (For, yes, etc.-as on ballot) (Number) 609 No Votes (Number) (No, against, etc.-as on ballot) 1,345 Total vote cast on issue: (Number) IN WITNESS WHEREOF, we have hereunto subscribed our names officially at _ 27th November 2012 Ohio, this day) e. 24 -

BOARD OF ELECTIONS

Hamilton County, Ohio

Exhibit A-3

Operation and Governance Plan Adopted Pursuant to Section 4928.20(C) O.R.C.

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PLAN OF OPERATION AND GOVERNANCE

\mathbf{OF}

VILLAGE OF CLEVES, OHIO, HAMILTON COUNTY

For Additional Information Contact:

Donald I. Marshall Eagle Energy, LLC 6566 Glenway Avenue Cincinnati, Ohio 45211

Telephone: (513) 251-7283 E-mail: eagleenergy@fuse.net Introduction. The Village of Cleves (Cleves) obtained voter approval to offer an electric aggregation program pursuant to §4928.20, Ohio Revised Code (ORC) on November 6, 2012. As a result of the voter's affirmative vote, Cleves shall offer an "opt-out" electric aggregation program to all eligible customers¹; i.e., all residential customers and non-mercantile commercial, industrial and other public authority customers within Cleves. The plan will be available to approximately 1500 customers and those customers shall automatically be enrolled in the program as further explained herein. A Program Agreement has not been executed with a IGS Energy (IGS), a Competitive Retail Electric Supplier (IGS) for the purpose of implementing an aggregation program.

The aggregation program has been developed in accordance with §4928.20, ORC, in the following manner:

- 1. §4928.20(A) specifies the requirement of a municipal authority to adopt an ordinance. Exhibit A-2 is a copy of Ordinance No. 23-2012 adopted by the elected officials of Cleves specifying that aggregation shall occur automatically.
- 2. §4928.20(B) requires that the Ordinance be submitted to the electorate. Ordinance No. 23-2012 was passed on November 6, 2012 by a majority affirmative vote.
- 3. §4928.20(C) requires two public hearings on this Plan of Operation and Governance (Plan) to be conducted. Those hearings were held on February 13 and 27, 2013 at 6:30 PM after appropriate public notice was provided for two consecutive weeks.
- 4. §4928.20(D) requires appropriate notice be provided to customers being automatically enrolled in the aggregation program. The notice, including terms and conditions, is attached as Exhibit A-4.

The Plan has been developed in accordance with the Commission's rules and regulations, specifically rule 4901:1-21-16(B) of the Administrative Code, in the following manner:

1. <u>Services to be Provided</u>. Cleves has enter into a contract with IGS to provide generation and transmission services. The IGS contract includes all terms and conditions that make it clear that the IGS has ultimate responsibility to deliver firm power to the distribution system of the local Electric Distribution Utility (EDU), Duke-Ohio (Duke). Duke, in turn, shall utilize its distribution system, as it currently uses, and deliver the power to the customer's end-use facilities. Cleves

¹ As further defined herein. Certain customers may not be eligible to participate. In addition, Cleves may consider other factors such as savings opportunities that could impact different rate classes and/or consumption patterns among customers differently. The result would be that certain customers may not be included in a particular rate offer. However, Cleves will not conduct a mailing at any time unless the majority of residential customers are included in said mailing.

- does not intend to re-sell any power as a result of this program and, in fact, is not authorized to do so.
- 2. <u>Determination of Rates to be Charged</u>. All customers shall continue to receive standard distribution service under Duke's tariffs on file and approved by the Commission. Customers shall be responsible for the distribution charges and certain delivery Riders specified under Rates RS, DM or DS of the Duke tariff, P.U.C.O. No. 19². Customers enrolled in the program shall receive relief from certain other Riders and the rates associated with basic generation (PTC-BG) contained in Duke's tariff. Cleves has retained Eagle Energy to assist in the identification of potential benefits for customers. Benefits may include savings against the prevailing price-to-compare of Duke with the contract rate of the IGS. The rate comparison will be contained in the notice to be received by the customer. A surcharge authorized under §4928.20(I) is not applicable at the current time.
- 3. <u>Standby Service</u>. Currently, avoidance of certain rate riders within Duke's rate structure requires action by customers, which is inconsistent with the opt-out program, which requires no action by the customer. In addition, Cleves does not want customers to be subject to market-based rates in the event a customer returns to Duke. For these reasons, Cleves currently intends for customers to pay all rate Riders imposed by Duke that shall permit customers to return to Duke on a full-requirements basis without penalty. The goal of Cleves in the process of negotiating a contract with a IGS was to negotiate a provision that would exclude a switching fee provision for customers.
- 4. Opt-out Disclosure Notice. Cleves requested Duke for a customer list that identifies potential customers within its geographic boundary. The customer list was provided to the IGS and will be used as the basis for developing a mail file for the opt-out notice following noted exclusions, if any. Once the mailing list has been developed, the opt-out notice shall be mailed via first class U.S. mail and customers shall have twenty-one (21) days to opt-out of the program. Those customers electing **not** to opt-out shall be enrolled in the program.
- 5. <u>Customer Inclusion</u>. The following customers shall be excluded from the opt-out program:
 - (a) Customers who have opted-out of the program;
 - (b) Customers under contract with a CRES;

² The program may be available to other special rates such as Rates EH, ORH, et al.

- (c) Customers who may have a special contract with Duke;
- (d) Customers outside the geographical boundary of Cleves;
- (e) Customers who have elected to be on the "do not aggregate" list provided by §4928.21(C);
- (f) Mercantile customers;
- (g) Customers with an unsatisfactory credit rating; and,
- (h) Customers enrolled in the Percentage of Income Payment Plan (PIPP).

Customers in categories (b) through (h) shall not appear in the customer list provided by Duke. Customers within the above categories who may be accidentally enrolled shall be returned to Duke without charge.

IGS has the responsibility to monitor the enrollment of customers. Customers who elect to opt-out of the program shall be excluded and if a customer were accidentally enrolled shall also be returned to Duke without charge.

- 6. Opt-out Process. Customers shall receive a letter, terms and conditions and instructions on how to opt-out of the program³. Customers shall be provided twenty-one (21) days to elect to opt-out of the program. After the twenty-one day opt-out period ends customers shall be submitted to Duke for enrollment. Duke shall confirm enrollment and provide the customer with an additional seven (7) days to allow the customer to cancel enrollment. Thereafter, a similar enrollment program shall be offered at intervals not to exceed three (3) years.
- 7. <u>Eligible Customers</u>. The aggregation program shall include residential and non-mercantile customers, except those identified in Section 5 above, served under the provision's of Duke's electric tariff P.U.C.O. No. 19 as follows⁴:

Rate RS, Sheet No. 30.14; Rate DS, Sheet No. 40.15; and, Rate DM, Sheet No. 43.15.

The current tariffs have an effective date of May 6, 2013.

- 8. <u>Billing Procedures</u>. The contract with the IGS shall include billing procedures but the goal of Cleves is to have the customer receive a single bill from Duke. The bill shall include all charges including the rates of IGS. The billing interval shall be approximately thirty (30) days. If Duke does not receive timely payments, then the subsequent bill will reflect late payments fees, as authorized by the Commission, including those charges of IGS.
- 9. <u>Credit and Deposit Procedures</u>. Collection and credit procedures shall be those authorized by the Commission and remain the responsibility of Duke, the IGS and the involved customer. Cleves shall not be involved in any credit or collection matter.

³ Customers shall also be provided a toll-free telephone number to be provided by IGS that will monitor and verify enrollment status.

⁴ See footnote 2 also.

10. <u>Customer Service Procedures</u>. Customers shall have multiple means of addressing customer service issues. Generally, concerns relative to service reliability or billing should be addressed to Duke and concerns regarding the Plan should be addressed to Cleves or Eagle Energy. If a customer is unable to resolve their concern in a satisfactory manner, then that matter should be directed to the Commission or to the Ohio Consumers' Counsel. The following telephone numbers are for customers' assistance for resolving customer service issues:

 Duke Energy Ohio	(800) 544-6900
Public Utilities Commission of Ohio	(800) 686-7826 (800) 686-1750 (TDD/TTY)
Village of Cleves	(513) 771-7200
Eagle Energy	(513) 251-7283
Ohio Consumers' Counsel	(877) 742-5622
IGS	(800) 280-4474

In addition, this Plan adopts by reference Commission rule 4901:1-21-08 of the Administrative Code as the rule pertains to customer access, slamming and complaint handling procedures.

- 11. <u>New Customer Policy</u>. During the Plan's term, customers who have either left the Plan or who moved into the governmental boundaries of Cleves, may contact Cleves, Eagle Energy or the IGS at any time to obtain enrollment⁵ information. IGS shall have sole discretion to permit new customers to enroll into the Plan at no charge.
- 12. <u>Customer Who Move</u>. Enrolled customers who move from one location to another within the geographical boundaries of Cleves shall be permitted to maintain their enrollment status at their new location.
- 13. <u>Customers Who Opt-out</u>. Customers who provided the required opt-out notice shall remain a customer of the EDU, Duke, or their selected IGS. Customers may request IGS to permit enrollment and in that instance IGS shall have sole discretion as to allow the enrollment at no charge. Customers being served by IGS shall be cautioned about any cancellation charges associated with their current enrollment status. A new enrollment period shall be offered, at a minimum, every three (3) years without any charge to the customer.
- 14. <u>Other Matters</u>. A copy of this Plan shall be available at the Cleves offices for public inspection and shall be made available to any customer upon request. Cleves shall be precluded from altering this Plan in any way that materially affects enrolled customers. If a material change to the Plan is made, affected

⁵ Enrollment must be authorized by Duke.

customers shall be provided notice of the change and shall be given an opportunity to opt-out of the aggregation.

Exhibit A-4

Automatic Disclosure Form Required by Section 4928.20(D) O.R.C.

1-1-12345-()-TEST CUSTOMER 6100 EMERALD PKWY DUBLIN OH 43016

September 2, 2014

Dear Village of Cleves Resident,

The Village of Cleves is providing you the opportunity to participate with other Village of Cleves residents and businesses in an opt-out electric aggregation program, with IGS Energy of Dublin, Ohio as your provider.

Under governmental aggregation, the Village Council Members act on behalf of electric consumers in the community to negotiate an electric supply contract with an eligible provider. Both the Village and eligible retail electric suppliers are required to be certified by the Public Utilities Commission of Ohio (PUCO). The Village Council Members passed an ordinance to adopt the aggregation program after the Village of Cleves voters approved its implementation on November 06, 2012. The aggregation program for The Village of Cleves will begin following your enrollment with Duke Energy as a participant in the Village's program and will continue through your October 2017 meter read date.

Under this aggregation program, eligible residents and businesses in the Village of Cleves will pay a fixed rate of 5.76 cents per kWh through the October 2017 meter read date. This offer is lower than any other fixed offer on the PUCO Apples to Apples Comparison site as of August 15, 2014 and is 9% lower than Duke's current average price to compare for residential customers. IGS Energy's price applies to the generation and transmission portion of your bill. You will continue to be charged by Duke for the distribution and delivery portion of your bill.

If you are ever unhappy with your service or rate from IGS Energy, you may cancel free of charge at any time and return to Duke Energy at a rate and terms that may or may not be the same as what other Duke Energy customers pay.

You will be automatically enrolled in the Village of Cleves Electric Aggregation Program unless you choose to "opt out" — that is, affirmatively choose to <u>not</u> participate. If you want to be excluded from the Village of Cleves Electric Aggregation Program, you must return the enclosed "Opt-Out" Form or contact IGS Energy at 1-800-280-4474 by <u>Opt Out Deadline</u>. If you do not opt-out at this time or cancel service in the future, you will be enrolled in the program until it expires with your October 2017 meter reading date. If you do opt-out, you will continue to receive Duke Energy's standard service offer rate.

Under this aggregation, Duke Energy will continue to maintain the wires that deliver power to your home or business. You will continue to contact Duke Energy regarding loss of power service or for any other concerns or issues having to do with your electric service. You will continue to receive a single monthly bill from Duke Energy for your electric service with your IGS Energy fixed rate included. Budget billing will continue to be available through Duke.

If you have any questions please call IGS Energy at 1-800-280-4474, weekdays, from 8:00 a.m. to 8:00 p.m. EST. For general information on deregulation in Ohio, you can also visit the Web Site of the Public Utilities Commission of Ohio (www.PUCO.ohio.gov).

Sincerely, IGS Energy and Danny Stacy, Mayor

P.S. Remember to return the "Opt-Out" form only if you do <u>not</u> want to participate in the Village of Cleves Electric Aggregation Program.

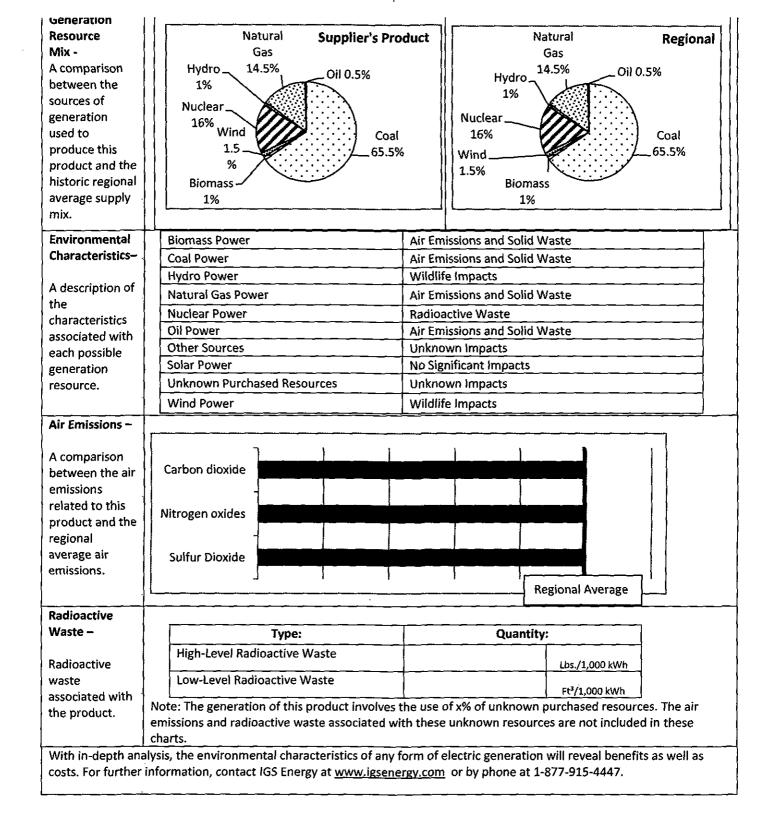
If the home for which you have received this letter is not located within the Village of Cleves, you have received this letter in error. Please contact IGS Energy at 1-800-280-4474 to be removed from the aggregation list.

You are not eligible to participate in this program if you are currently enrolled in the Percentage of Income Payment Plan (PIPP) program.

11 digit account number as it appears on your Duke Energy electric bill.

I wish to opt out of the Village of Cleves Natural

alastala Azarrastian Dunaran 19



NOTICE

Return the "Opt-Out" form only if you do not want to participate in the Village of Cleves Electric Aggregation Program.

IGS Energy

Uniform Disclosure Statement

Form DUKE-EAGLE-CLEVES14-OPTOUT Keep for your records **Term Box Utility:** Duke Energy (Duke) Price Plan Fixed Rate **Program Price** Subject to the terms and conditions detailed below in the body of the Agreement: For residential customers the applicable price per KWH shall be 5.76 cents per KWH of electricity consumed. For non-mercantile commercial customers the applicable price per KWH shall be 5.76 cents per KWH of electricity consumed. Services to be rendered pursuant to this Agreement will commence upon the Term implementation of Village of Cleves community opt-out government aggregation program ("Program") and will continue through the October 2017 billing period **Cancellation Fee** None

The services provided to me by Interstate Gas Supply, Inc. ("IGS Energy") are governed by the terms and conditions stated in this document and the opt-out notification accompanying this document ("Agreement") and will serve as the terms of service for the Village of Cleves opt-out government aggregation program ("Program") which I agree to enroll in by not "opting-out" of the Program, as described in the accompanying opt-out notification letter, or exercising my right to rescission under this Agreement.

Term: The Term of the Program will be as stated in the term box located at the top of this Agreement ("Term Box"). IGS Energy will supply the commodity portion of my electricity and Duke will continue to be my Electric Delivery Company ("EDC"). Upon expiration of the opt-out period, if I do not elect to opt-out of the Program, IGS Energy will notify the EDC to transfer electric commodity services to IGS Energy. If I am currently an IGS Energy electric customer, IGS Energy reserves the right to reject or rescind my enrollment in the Program or to require me to pay the applicable cancellation fee, if any, for my existing electric service agreement to be eligible for this Agreement.

Regulatory: Competitive electric retail services ("CRES Program") are subject to ongoing Public Utilities Commission of Ohio ("PUCO") and EDC jurisdiction. I understand that if the CRES Program is terminated or materially altered, this Program may be terminated by me or IGS Energy without penalty. I understand that IGS Energy may terminate or modify this Program due to unforeseen regulatory action that will materially affect the costs of providing electric service to the Program.

Price: The price I will pay for my electric supply charges (which applies to the generation and transmission portion) under the Program is as described in the Term Box ("Program Price"). I am responsible for, and my Program Price does not include, applicable taxes and/or EDC charges, including service and delivery charges, which will be billed by the EDC.

Renewal: If my community's governmental aggregation continues, at least every three years from the establishment of this Program the government aggregator or its supplier shall provide me notice of my right to opt out of the aggregation without penalty. The process for providing me with notice of my right to opt-out shall include a provision for me to return a post card or similar notice to the governmental aggregator or the supplier. For renewals, I will have at least twenty-one days from the post mark date on the written notice to choose to opt out of the Program, and my return post card or notice that is post marked before the opt out deadline has elapsed shall count as timely sent. The notice will follow the procedures established for the initial opt-out notice set forth in this rule and shall prominently disclose to me all changes to the terms and conditions associated with the aggregation. I am entitled to opt-out of the government aggregation program at least every three years from the commencement sent date of the Program, without a penalty. If I am in the Program when the Program is renewed and I do not exercise my right to opt-out, I will be continued in the Program. Nothing in this Agreement guarantees that I am entitled to pricing provided by IGS Energy after the Term of this Agreement expires.

Customer Rescission Period: If I am a new customer to IGS Energy this Agreement may be rescinded by me with no cancellation fee by contacting the EDC in writing or by telephone at the number provided on the confirmation notice within 7 business days from the post-mark date of the confirmation notice sent by the EDC ("Rescission Period"). If I am an existing IGS Energy electric customer I will not receive a confirmation notice from the EDC but, in the event I decide to cancel this Agreement, I will be returned to my previous Agreement with IGS Energy without penalty under this Agreement.

Cancellation: I can cancel this Agreement without cancellation fee by providing notice of not less than 30 days to IGS Energy. Cancellation notices provided after the Rescission Period may result in an additional month(s) of service with IGS Energy at the Program Price, which I agree to pay, as the effective date of all cancellations are subject to EDC guidelines. I understand that if I switch my service to another supplier or back to the EDC an EDC switching fee may apply under the EDC's tariff and if I return to the EDC sales service I may not be served under the same rates, terms, and conditions that apply to other customers served the EDC.

Contact and Dispute Resolutions: In the event of a billing dispute or issues regarding volume or metering, I should contact the EDC at the number listed on their bill. For other questions or concerns about pricing, I can contact the IGS Energy choice

mail at choice@IGSEnergy.com. If my questions or concerns or complaint are not resolved after I have called IGS Energy or my EDC, or for general utility information, residential and business customers may contact the Public Utilities Commission of Ohio for assistance at 1-800-686-7826 (toil free) or for TTY toll free at 1-800-686-1570 (toll free), from 8:00 a.m. to 5:00 p.m. weekdays, or at www.puco.ohio.gov. The Ohio Consumer's Counsel ("OCC") represents residential utility customers in matters before the PUCO. The OCC can be contacted at 1-877-742-5622 (toll free) from 8 a.m. to 5 p.m. weekdays, or at http://www.pickocc.org

Assignment: This Agreement is assignable by IGS Energy without my consent subject only to required regulatory approvals. IGS Energy will use its best efforts to give the EDC and me thirty (30) days written notice prior to any assignment.

Billing: For my convenience I will receive only one bill, which will be issued by the EDC each month and will contain IGS Energy's electric supply charge plus applicable taxes and all of the EDC's delivery and other applicable charges, including any late fees assessed by the EDC. I agree to continue to pay the EDC for the entire electric bill under the EDC's payment terms and conditions. If I fail to pay my bill within the EDC's payment terms, IGS Energy reserves the right to charge a monthly late fee of 1.5% of the amount past due, calculated from the EDC due date on my bill. I understand and agree that although I remit my payments to the EDC, ultimately if I fail to make my payment to the EDC for the electricity commodity component of my bill supplied by IGS Energy, I will owe the unpaid amount to IGS Energy in which case IGS Energy may bill me directly for unpaid balances and seek collection if necessary. Additionally, if I fail to timely pay my IGS Energy charges billed by either the EDC or IGS Energy, IGS Energy may in its sole discretion terminate this Agreement with fourteen (14) days written notice or require a security deposit in order to continue service under this Agreement.

I may request twice within a 12 month period, at no charge, up to 24 months of my payment history for services rendered by IGS Energy. Other than for operation, maintenance, assignment and transfer of my account or, where IGS Energy is performing billing services, or for collections, IGS Energy will not disclose my account number to any other third party without my affirmative written consent or electronic authorization or pursuant to a court or PUCO order and that, other than for credit checking and credit reporting, IGS Energy will not disclose my social security number without my affirmative written consent or pursuant to court order. I authorize IGS Energy to obtain my billing payment and usage history from the EDC.

Moving/Termination: I understand that this contract will automatically terminate, without penalty, if I relocate outside the EDC service territory or if I relocate within the current EDC service territory and the EDC assigns a new account number or Service Delivery Identifier ("SDI").

Environmental Disclosure: For electric customers, the approximate generation resource mix and environmental characteristics of the power supply that will be secured to provide services under this Agreement can be viewed at the IGS website – igsenergy.com. I agree that IGS will make the required quarterly updates to the disclosure statement electronically on the IGS website. IGS will also provide the environmental disclosure statement upon my request.

Eligibility / Limitation of Liability / Jurisdiction: This Agreement is for only residential and non-mercantile commercial customers (see Ohio Revised Code 4928(A)(19)) in the Duke service territory. By entering into this Agreement, I represent and agree that the account(s) served by IGS Energy under this Agreement is (are) Residential or Non-Mercantile Commercial account(s) and that I am not an existing IGS Energy customer. IGS Energy reserves the exclusive right, at any time, to not enroll, or if I have been enrolled, to terminate my service locations that do not meet the preceding criteria and return me to the EDC (or previous IGS Energy product, whichever is applicable) with no penalty to IGS Energy. Also, I represent that I am current on my EDC bill at the time of enrollment with IGS Energy. If IGS Energy determines through the enrollment process with the EDC that I am not current on my EDC bill, IGS Energy can in its sole discretion. 1) void my enrollment without notice and without penalty to IGS Energy or 2) require a security deposit to be paid as a condition of enrollment. Furthermore, participation in the program is subject to the rules of the EDC and customers are sometimes terminated from the residential program either in error or for being in arrears. In such instances, I can contact the EDC to correct the problem and be reinstated in the residential program. Regardless of the reason for termination, in no case will the original term be extended for months that I was unable to participate nor will IGS Energy have any liability for any early termination or for any months that I was unable to participate in the program. IGS Energy assumes no liability or responsibility for losses or consequential damages arising from items associated with the EDC including, but not limited to: operations and maintenance of their system; any interruption of service; termination of service; or deterioration of service, nor does IGS Energy assume responsibility or liability for damages arising from any in-home or building damages and in addition shall not be responsible for any indirect, consequential, special or punitive damages whether arising under contract, tort (including negligence or strict liability) or any other legal theory. The parties agree that if issues relating to this Agreement cannot be resolved through the PUCO as detailed under "Contract and Dispute Resolution" above or if suit is filed, any legal action involving this Agreement shall be brought only in a court of the State of Ohio sitting in Franklin County, Ohio or the United States District Court sitting in Franklin County, Ohio. I submit to the personal jurisdiction in such courts and irrevocably waive any objections that I have or might have in the future to such courts as the proper forum for any and all actions arising under this Agreement. The parties agree that this Agreement shall be interpreted under the laws of the State of Ohio, regardless of Ohio's choice of law provisions.