

## **Renewal Instructions for Aggregator/Power Brokers**

**I. Where to File:** Applications should be sent to: Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus Ohio 43215-3793.

**II. What to File:** Applicant must submit one original notarized application signed by a principal officer and three copies including all exhibits, affidavits, and other attachments. All attachments, affidavits, and exhibits should be clearly identified. For example, Exhibit A-10 should be marked "Exhibit A -10 'Corporate Structure.'" All pages should be numbered and attached in a sequential order.

**VERY IMPORTANT: The renewal application must be docketed in the applicant's original EL-AGG case number.**

**III. Renewal Application Form:** The renewal application is available on the Commission's web site, [www.puco.ohio.gov](http://www.puco.ohio.gov) or directly from the Commission at: Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus Ohio 43215- 3793.

**IV. Confidentiality:** An applicant may file financial statements, financial arrangements, and forecasted financial statements under seal. If these exhibits are filed under seal, they will be afforded protective treatment for a period of six years from the date of the certificate for which the information is being provided.

An applicant may file a motion for a protective order for other information not filed under seal per the previous paragraph. If the motion is filed in conformance with rule 4901:1-24-07 of the Administrative Code, it shall be automatically approved on the thirty-first day after the date of filing and the information shall be afforded protective treatment for a period of six years from the date of the certificate for which the information is being provided, unless the commission or an attorney examiner appointed by the commission rules otherwise.

At the expiration of the six-year period provided for in the previous paragraphs, the information will be automatically released into the open record. An applicant wishing to extend a protective order beyond the six-year time period must comply with paragraph (F) of rule 4901-1-24 of the Administrative Code.

**V. Commission Process for Approval:** An application for renewal shall be made on forms approved and supplied by the Commission. The applicant shall complete the appropriate application renewal form in its entirety and supply all required attachments, affidavits, and evidence of capability specified by the form at the time an application is filed. The Commission renewal process begins when the Commission's Docketing Division receives and time/date stamps the application. An incomplete application may be suspended or rejected. An application that has been suspended as incomplete may cause delay in certification.

The Commission may approve, suspend, or deny a renewal application within 30 days. If the Commission does not act within 30 days, the renewal application is deemed automatically approved on the 31<sup>st</sup> day after the official filing date. If the Commission suspends the application, the Commission shall notify the applicant of the reasons for such suspension and may direct the applicant to furnish additional information. The Commission shall act to approve or deny a suspended application within 90 days of the date that the application was suspended. Upon Commission approval, the applicant shall receive notification of approval and a numbered certificate that specifies the service(s) for which the applicant is certified and the dates for which the certificate is valid.

Unless otherwise specified by the Commission, a competitive retail electric service provider's certificate is valid for a period of two years, beginning and ending on the dates specified on the certificate. The applicant may renew its certificate in accordance with Rule 4901:1-24-09 of the Ohio Administrative Code.

CRES (competitive retail electric service) providers shall inform the Commission of any material change to the information supplied in a certification application within thirty days of such material change in accordance with Rule 4901:1-24-10 of the Ohio Administrative Code.

**VI. Questions:** Questions regarding filing procedures should be directed to [CRES@puc.state.oh.us](mailto:CRES@puc.state.oh.us)

**VII. Governing Law:** The certification/renewal of competitive retail electric suppliers is governed by Chapter 4901:1-24 of the Ohio Administrative Code, Chapter 4901:1-21 of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

Original AGG Case Number	Version
- -EL-AGG	

## RENEWAL APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

**This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.**

### A. RENEWAL INFORMATION

#### A-1 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name \_\_\_\_\_  
 Address \_\_\_\_\_  
 PUCO Certificate # and Date Certified \_\_\_\_\_  
 Telephone # \_\_\_\_\_ Web site address (if any) \_\_\_\_\_

#### A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name \_\_\_\_\_  
 Address \_\_\_\_\_  
 Telephone # \_\_\_\_\_ Web site address (if any) \_\_\_\_\_

#### A-3 List all names under which the applicant does business in North America

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

#### A-4 Contact person for regulatory or emergency matters

Name \_\_\_\_\_  
 Title \_\_\_\_\_  
 Business address \_\_\_\_\_  
 Telephone # \_\_\_\_\_ Fax # \_\_\_\_\_  
 E-mail address (if any) \_\_\_\_\_

**A-5 Contact person for Commission Staff use in investigating customer complaints**

Name \_\_\_\_\_  
Title \_\_\_\_\_  
Business address \_\_\_\_\_  
Telephone # \_\_\_\_\_ Fax # \_\_\_\_\_  
E-mail address (if any) \_\_\_\_\_

**A-6 Applicant's address and toll-free number for customer service and complaints**

Customer Service address \_\_\_\_\_  
Toll-free Telephone # \_\_\_\_\_ Fax # \_\_\_\_\_  
E-mail address (if any) \_\_\_\_\_

**A-7 Applicant's federal employer identification number # \_\_\_\_\_**

**A-8 Applicant's form of ownership (check one)**

? Sole Proprietorship                      ? Partnership  
? Limited Liability Partnership (LLP)      ? Limited Liability Company (LLC)  
? Corporation                              ? Other \_\_\_\_\_

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**A-9 Exhibit A-9 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

**A-10 Exhibit A-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.

**B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.

**B-2 Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

**B-3** **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

**B-4** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

? No                      ? Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

**B-5** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

? No                      ? Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

## **C. FINANCIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

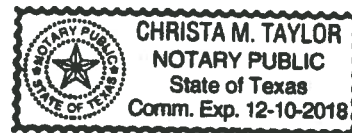
**C-1** **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

**C-2** **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

**C-3** **Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

- C-4 Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).
- C-5 Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experion, Dun and Bradstreet or a similar organization.
- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

 President  
Signature of Applicant & Title



Sworn and subscribed before me this 15 day of January, 2015  
Month Year

  
Signature of official administering oath

Christa Taylor, operations  
Print Name and Title mgr.

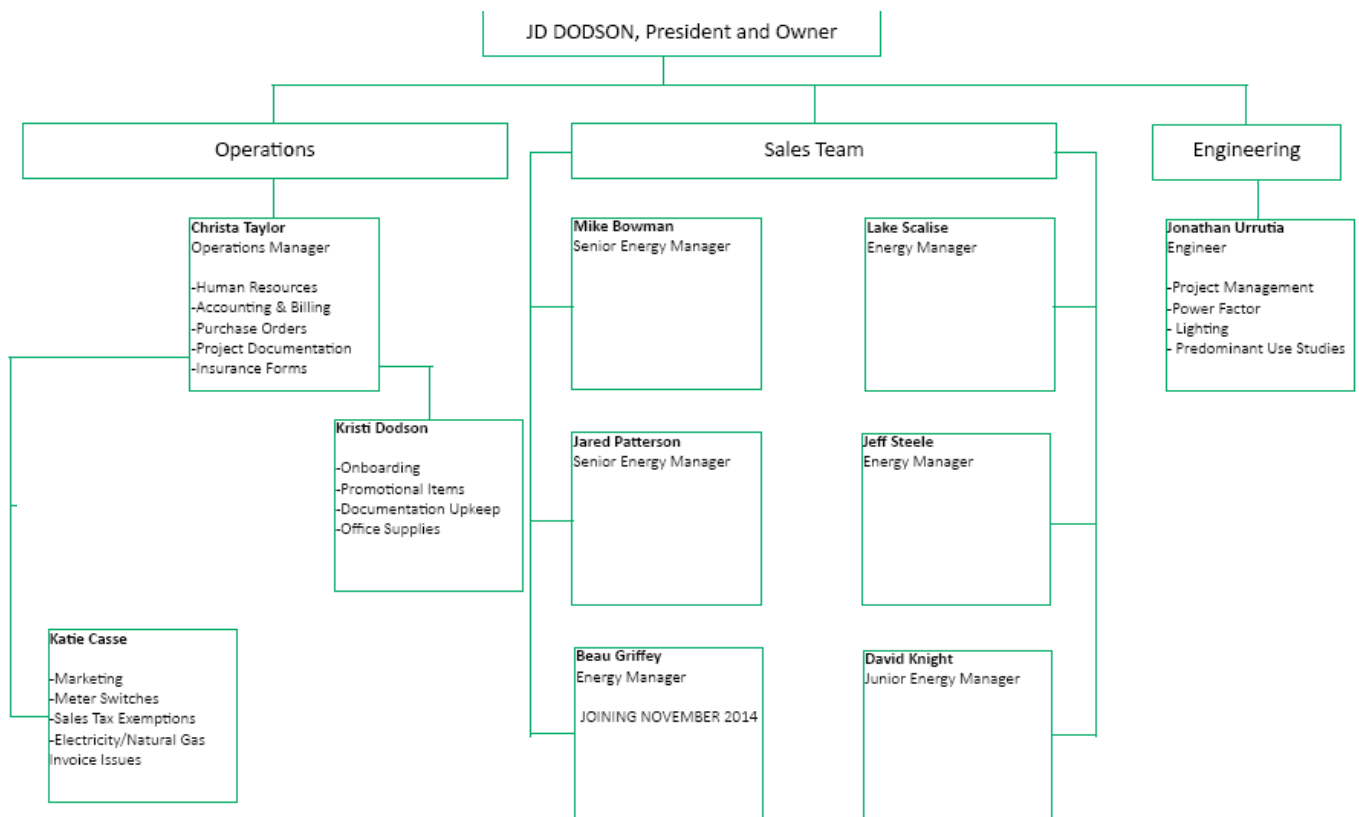
My commission expires on 12-10-18

## A: RENEWAL INFORMATION

### Exhibit A-9 “Principal Officers, Directors & Partners”

James “JD” Dodson  
President  
19111 North Dallas Pkwy Suite 125  
Dallas, TX 75287  
PH: 469.759.1451

### Exhibit A-10 “Corporate Structure”



## **B. Applicant Managerial Capability and Experience**

### **Exhibit B-1 “Jurisdictions of Operation”**

Rapid Power Management, LLC is in licensed or in the process of becoming licensed in the following states:

Illinois	Pennsylvania
Massachusetts	
Texas	New Jersey
Washington DC	Delaware
Ohio	Maryland
Connecticut	

### **Exhibit B-2 “Experience and Plans”**

Rapid Power Management has been providing consulting services in Texas since 2002. Throughout the years Rapid Power Management has expanded to do business in multiple other states. Our company does not provide contracts or contracted services to the customer. The customer signs a contract with the retail electric provider, who will also be billing the customer directly. Rapid Power Management, LLC simply provides consulting services to the customer.

### **Exhibit B-3 “Disclosure of Liabilities and Investigations”**

N/A



## **C: FINANCIAL CAPABILITY AND EXPERIENCE**

### **Exhibit C-1 “Annual Reports”**

Rapid Power Management is a private company, therefore excused from providing any annual reports to shareholders.

### **Exhibit C-2 “SEC Filings”**

Rapid Power Management is a private company, therefore excused from filing with the SEC.

### **Exhibit C-3 “Financial Statements”**

Please see pages 10 - 15 for the most recent financial statements Rapid Power Management has available.

Rapid Power Management, LLC  
Financial Statements

*December 31, 2013 and 2012*



## TABLE OF CONTENTS

---

	PAGE
INDEPENDENT ACCOUNTANTS' COMPILATION REPORT	1
FINANCIAL STATEMENTS	
Balance Sheets	2
Statements of Operations and Retained Earnings	4

---



3990 EastSide Ave.  
Dallas, Texas 75226

214.827.9118 / 214.827.9108 (fax)

[www.bakerandcompany.com](http://www.bakerandcompany.com)

## INDEPENDENT ACCOUNTANTS' COMPILATION REPORT

*To the Shareholders:*

Rapid Power Management, LLC  
Dallas, Texas

We have compiled the accompanying balance sheets of Rapid Power Management, LLC, a sub chapter S Corporation (hereafter, the Company) as of December 31, 2013 and 2012, and the related statements of income and retained earnings for the years then ended. We have not audited or reviewed the accompanying financial statements, and accordingly, do not express an opinion or provide any assurance about whether the financial statements are in accordance with accounting principles generally accepted in the United States of America.

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America and for designing, implementing, and maintaining internal control relevant to the preparation and fair presentation of the financial statements.

Our responsibility is to conduct the compilation in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. The objective of a compilation is to assist management in presenting financial information in the form of financial statements without undertaking to obtain or provide any assurance that there are no material modifications that should be made to the financial statements.

Management has elected to omit substantially all of the disclosures and the statements of cash flows required by accounting principles generally accepted in the United States of America. If the omitted disclosures were included in the financial statements, they might influence the user's conclusions about the Company's assets, liabilities, equity, revenues and expenses. Accordingly, the financial statements are not designed for those who are not informed about such matters.

A handwritten signature in blue ink, likely belonging to a representative of Baker, Ford &amp; Company, PLLC.

Baker, Ford & Company, PLLC  
April 30, 2014

# Rapid Power Management, LLC

Balance Sheets  
December 31, 2013 and 2012

## ASSETS

	2013	2012
CURRENT ASSETS		
Cash in bank	\$ 713,089	\$ 1,039,080
Accounts receivable, trade (net of allowance for doubtful accounts of \$0 at December 31, 2013 and 2012)	339,753	205,453
Unbilled revenues	-	67,632
TOTAL CURRENT ASSETS	1,052,842	1,312,165
PROPERTY AND EQUIPMENT		
Automobiles	61,018	-
Office equipment / furniture	149,283	189,957
Leasehold improvements	-	4,832
Equipment	-	14,677
Less accumulated depreciation	(93,798)	(136,129)
NET PROPERTY AND EQUIPMENT	116,503	73,337
OTHER ASSETS		
Website development	104,946	47,564
Accumulated amortization	(24,336)	(44,610)
Rent deposit	6,135	6,135
OTHER ASSETS, NET	86,745	9,090
	\$ 1,256,091	\$ 1,394,592

*See Independent Accountants' Compilation Report*

# Rapid Power Management, LLC

Balance Sheets  
December 31, 2013 and 2012

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## LIABILITIES AND MEMBERS' EQUITY

	2013	2012
CURRENT LIABILITIES		
Accounts payable	\$ 74,756	\$ 33,606
Sales tax payable	13,874	9,459
Unearned revenue	23,178	-
Payroll liabilities	908	-
TOTAL CURRENT LIABILITIES	112,715	43,065
TOTAL LIABILITIES	112,715	43,065
MEMBERS' EQUITY		
Members' equity	1,143,376	1,351,527
TOTAL MEMBERS' EQUITY	1,143,376	1,351,527
	\$ 1,256,091	\$ 1,394,592

*See Independent Accountants' Compilation Report*

**Rapid Power Management, LLC**  
Statements of Operations and Retained Earnings  
For the years ended December 31, 2013 and 2012

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	<b>2013</b>	<b>2012</b>
<b>SALES</b>	<b>\$ 2,641,666</b>	<b>\$ 2,395,576</b>
<b>COST OF GOODS SOLD</b>	<u>790,270</u>	<u>593,487</u>
<b>GROSS PROFIT</b>	1,851,396	1,802,089
<b>GENERAL AND ADMINISTRATIVE EXPENSES</b>	<u>1,421,803</u>	<u>1,505,403</u>
<b>INCOME FROM OPERATIONS</b>	<b>429,593</b>	<b>296,685</b>
<b>OTHER INCOME AND (EXPENSE)</b>		
Interest income	378	228
Depreciation and amortization expense	(22,367)	(39,357)
Gain (loss) on disposal of assets	(15,977)	-
Other income and (expense), net	<u>(23,211)</u>	<u>(8,822)</u>
<b>NET INCOME</b>	<b>368,416</b>	<b>248,735</b>
<b>MEMBERS' EQUITY, Beginning of year</b>	1,351,527	1,959,359
<b>CONTRIBUTIONS</b>	-	-
<b>DISTRIBUTIONS</b>	<u>(576,566)</u>	<u>(856,567)</u>
<b>MEMBERS' EQUITY, End of year</b>	<u><u>\$ 1,143,376</u></u>	<u><u>\$ 1,351,527</u></u>

*See Independent Accountants' Compilation Report*

#### **Exhibit C-4 “Financial Arrangements”**

N/A

#### **Exhibit C-5 “Forecasted Financial Statements”**

**Please see pages 17-24**

Prepared by Stefanie Crane | stefanie@bacogroup.com | 214-827-9118 x 176  
BaCo Group  
3990 East Side Avenue  
Dallas, Texas 75226

#### **Exhibit C-6 “Credit Rating”**

Please see pages 25 - 32

#### **Exhibit C-7 “Credit Report”**

Please see pages 25 - 32



# Rapid Power Management, LLC Financial Statements

*December 31, 2014 (Historical) and  
December 31, 2015 and 2016 (Forecast)*



## TABLE OF CONTENTS

---

	PAGE
INDEPENDENT ACCOUNTANTS' COMPILATION REPORT	1
FINANCIAL STATEMENTS (FORECAST AND HISTORICAL)	
Balance Sheets	2
Statements of Operations and Members' Equity	4
Cash Flows	5

---



3990 EastSide Ave.  
Dallas, Texas 75226

214.827.9118 / 214.827.9108 (fax)

www.bakerandcompany.com

## INDEPENDENT ACCOUNTANTS' COMPILATION REPORT

*To the Members:*

Rapid Power Management, LLC  
Dallas, Texas

We have compiled the accompanying forecasted balance sheets of Rapid Power Management, LLC, a sub chapter S Corporation (hereafter, the Company) as of December 31, 2015 and 2016, and the related statements of income and members' equity and cash flows for the years then ending, in accordance with attestation standards established by the American Institute of Certified Public Accountants.

A compilation of forecasted statements is limited to presenting, in the form of a forecast, information that is the representation of management and does not include evaluation of the support for the assumptions underlying the forecast. We have not examined the forecast, and accordingly, do not express an opinion or any other form of assurance on the accompanying forecasted statements or assumptions. Furthermore, there will usually be differences between the forecasted and actual results, because events and circumstances frequently do not occur as expected and those differences may be material. We have no responsibility to update this report for events and circumstances occurring after the date of this report.

We have also compiled the accompanying historical balance sheet of the Company as of December 31, 2014, and the related statements of income and members' equity and cash flows for the year then ended. We have not audited or reviewed the accompanying financial statements, and accordingly, do not express an opinion or provide any assurance about whether the financial statements are in accordance with accounting principles generally accepted in the United States of America.

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America and for designing, implementing, and maintaining internal control relevant to the preparation and fair presentation of the financial statements.

Our responsibility is to conduct the compilation in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. The objective of a compilation is to assist management in presenting financial information in the form of historical financial statements without undertaking to obtain or provide any assurance that there are no material modifications that should be made to the historical financial statements.

Management has elected to omit the summary of significant accounting policies required by the guidelines for presentation of a forecast established by the American Institute of Certified Public Accountants. Management has also elected to omit substantially all of the disclosures required by accounting principles generally accepted in the United States of America. If the omitted disclosures were included in the forecast and historical financial statements, they might influence the user's conclusions about the Company's assets, liabilities, equity, revenues and expenses, both historical and forecast. Accordingly, the forecast and historical financial statements are not designed for those who are not informed about such matters.

Baker, Ford & Company, PLLC  
February 12, 2015

# Rapid Power Management, LLC

## Balance Sheets

December 31, 2014 (Historical) and 2015 and 2016 (Forecast)

	<b>ASSETS</b>		
	<i>Historical</i>	<i>Forecast</i>	
	<b>2014</b>	<b>2015</b>	<b>2016</b>
<b>CURRENT ASSETS</b>			
Cash in bank	\$ 397,795	\$ 398,000	\$ 450,000
Accounts receivable, trade	416,419	426,800	440,800
<b>TOTAL CURRENT ASSETS</b>	<b>814,214</b>	<b>824,800</b>	<b>890,800</b>
<b>PROPERTY AND EQUIPMENT</b>			
Automobiles	61,018	61,000	61,000
Office equipment / furniture	151,803	152,000	152,000
Less accumulated depreciation	(118,798)	(143,800)	(168,800)
<b>NET PROPERTY AND EQUIPMENT</b>	<b>94,023</b>	<b>69,200</b>	<b>44,200</b>
<b>OTHER ASSETS</b>			
Website development	104,946	105,000	105,000
Software	119,375	120,000	120,000
Accumulated amortization	(49,336)	(75,000)	(100,000)
Rent deposit	6,135	6,000	6,000
<b>OTHER ASSETS, NET</b>	<b>181,120</b>	<b>156,000</b>	<b>131,000</b>
	<b>\$ 1,089,358</b>	<b>\$ 1,050,000</b>	<b>\$ 1,066,000</b>

*See Accompanying Summary of Significant Assumptions and Independent Accountants' Compilation Report*

## Rapid Power Management, LLC

### Balance Sheets

December 31, 2014 (Historical) and 2015 and 2016 (Forecast)

#### LIABILITIES AND MEMBERS' EQUITY

	<i>Historical</i>	<i>Forecast</i>	
	<b>2014</b>	<b>2015</b>	<b>2016</b>
CURRENT LIABILITIES			
Accounts payable	\$ 86,060	\$ 86,000	\$ 86,000
Sales tax payable	11,372	10,000	10,000
TOTAL CURRENT LIABILITIES	97,432	96,000	96,000
TOTAL LIABILITIES	97,432	96,000	96,000
MEMBERS' EQUITY			
Members' equity	991,927	954,000	970,000
TOTAL MEMBERS' EQUITY	991,927	954,000	970,000
	\$ 1,089,358	\$ 1,050,000	\$ 1,066,000

*See Accompanying Summary of Significant Assumptions and Independent Accountants' Compilation Report*

# Rapid Power Management, LLC

## Statements of Operations and Retained Earnings

For the years ended December 31, 2014 (Historical) and 2015 and 2016 (Forecast)

	<i>Historical</i>	<i>Forecast</i>	
	<b>2014</b>	<b>2015</b>	<b>2016</b>
<b>SALES</b>	<b>\$ 3,850,826</b>	<b>\$ 3,000,000</b>	<b>\$ 3,500,000</b>
<b>COST OF GOODS SOLD</b>	<b>1,554,161</b>	<b>1,200,000</b>	<b>1,400,000</b>
<b>GROSS PROFIT</b>	<b>2,296,665</b>	<b>1,800,000</b>	<b>2,100,000</b>
<b>GENERAL &amp; ADMINISTRATIVE EXPENSES</b>	<b>1,890,656</b>	<b>1,470,000</b>	<b>1,715,000</b>
<b>INCOME FROM OPERATIONS</b>	<b>406,009</b>	<b>330,000</b>	<b>385,000</b>
<b>OTHER INCOME AND (EXPENSE)</b>			
Interest income	346	73	-
State franchise and property taxes	(17,240)	(18,000)	(19,000)
Depreciation and amortization expense	(50,000)	(50,000)	(50,000)
Gain (loss) on disposal of assets	-	-	-
Other income and (expense), net	(1,372)	-	-
<b>NET INCOME</b>	<b>337,743</b>	<b>262,073</b>	<b>316,000</b>
<b>MEMBERS' EQUITY, Beginning of year</b>	<b>1,143,367</b>	<b>991,927</b>	<b>954,000</b>
<b>CONTRIBUTIONS</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>DISTRIBUTIONS</b>	<b>(489,183)</b>	<b>(300,000)</b>	<b>(300,000)</b>
<b>MEMBERS' EQUITY, End of year</b>	<b>\$ 991,927</b>	<b>\$ 954,000</b>	<b>\$ 970,000</b>

See Accompanying Summary of Significant Assumptions and Independent Accountants' Compilation Report

# Rapid Power Management, LLC

## Statements of Cash Flows

For the years ended December 31, 2014 (Historical) and ending 2015 and 2016 (Forecast)

	<i>Historical</i>	<i>Forecast</i>	
	<b>2014</b>	<b>2015</b>	<b>2016</b>
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>			
Net income (loss)	\$ 337,743	\$ 262,073	\$ 316,000
Adjustments to reconcile net loss to net cash provided by (used in) operating activities			
Depreciation and amortization	50,000	50,666	50,000
Changes in assets and liabilities:			
Decrease (increase) in receivables, trade and related party	(76,666)	(10,381)	(14,000)
Increase (decrease) in accounts payable	11,304	(60)	-
Decrease (increase) in other assets	-	135	-
Increase (decrease) in sales tax payable and payroll liabilities	(3,410)	(1,372)	-
Increase (decrease) in unearned revenues	(23,178)	-	-
Net cash flows provided by (used in) operating activities	<u>295,793</u>	<u>301,062</u>	<u>352,000</u>
<b>CASH FLOWS FROM INVESTING ACTIVITIES</b>			
Purchase of property and equipment	<u>(121,895)</u>	<u>(857)</u>	<u>-</u>
Net cash flows used in investing activities	<u>(121,895)</u>	<u>(857)</u>	<u>-</u>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>			
Distributions	<u>(489,183)</u>	<u>(300,000)</u>	<u>(300,000)</u>
Net cash flows provided by (used in) financing activities	<u>(489,183)</u>	<u>(300,000)</u>	<u>(300,000)</u>
<b>INCREASE (DECREASE) IN CASH</b>	<b>(315,285)</b>	<b>205</b>	<b>52,000</b>
CASH, at the beginning of the year	<u>713,089</u>	<u>397,795</u>	<u>398,000</u>
CASH, at the end of the year	<u><u>\$ 397,795</u></u>	<u><u>\$ 398,000</u></u>	<u><u>\$ 450,000</u></u>
<b>SUPPLEMENTAL DISCLOSURE OF CASH FLOW INFORMATION</b>			
Cash paid for interest	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Cash paid for income taxes	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>

See Accompanying Summary of Significant Assumptions and Independent Accountants' Compilation Report

**Rapid Power Management, LLC**  
**Selected Information**  
*Substantially All Disclosures Required by GAAP Are Omitted*

---

NOTE A – SELECTED INFORMATION ON SIGNIFICANT FORECAST ASSUMPTIONS

The financial forecast presents, to the best of managements' knowledge and belief, the Company's expected financial position, results of operations, and cash flows for the forecast period. Accordingly, the forecast reflects managements' judgment as of February 12, 2015, the date of this forecast, of the expected conditions and its expected course of action. The assumptions disclosed herein are those that management believes are significant to the forecast. There will usually be differences between the forecasted and actual results because events and circumstances frequently do not occur as expected, and those differences may be material.

Management developed the sales figures for this forecast by evaluating its sales force and known as well as potential projects, and using this data to develop an estimate of sales for 2015 and 2016. Management has experienced steady growth in the last several years, but did have individually significant job in 2014 which was an anomaly. It is not expected to recur. This is the reason that sales are expected to decline between 2014 and 2015. However, the 2015 and 2016 sales figures represent a steady growth pattern over the course of the last several years, when excluding the job mentioned above.

Management projected gross margins to be 60% of revenues, based on the historical margin percentage experienced in 2014. Management also projected operating expenses to be 49% of revenues, based on the Company's experience in 2014. Other income and expense items were projected to be generally flat. The Company doesn't have any plans for capital expenditures in the upcoming years, having made recent investments in software and IT.





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Company Snapshot    Creditworthiness    Payment History & Trends    Public Filings    History & Operations    Banking & Finance

## Company Snapshot

## Business Summary

## Profile

Dallas, TX 75287

**D&B Rating: 2R3**

## Company Stats

2002

7

SECURED

James Dodson, Mbr

8741

Management srvc

This is a **single** location.

The Net worth amount in this section may have been adjusted by D&B to reflect typical deductions, such as certain intangible assets.

LOW ☒

1 2 3 4 5  
Low High

AVERAGE 

PROMPT ☒

**\*\*Based on 22 trade experiences on file with D&B**

↔ UNCHANGED

[Get details](#)

NO ☒

NO ☒

0 ☒

\$0 ☒

Value of open records refers only to 10 most recent filings for each record type.



The average probability of failure is based on businesses in D&B's database and is provided for comparative purposes.

paying in a severely delinquent manner than 75% of all scorable companies in D&B's files.

- The Financial Stress Score Percentile reflects the relative ranking of a company among all scorable companies in D&B's file.
- The Financial Stress Score offers a more precise measure of the level of risk than the Risk Class and Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.

[Company Snapshot](#) [Creditworthiness](#) [Payment History & Trends](#) [Public Filings](#) [History & Operations](#) [Banking & Finance](#)

## Payment History

### Summary

Average payment performance trend when weighted by dollar amount

UNCHANGED 

Company's payment performance over the past 12 months compared with its peers

AHEAD 

### Payment History Overview

Payment experiences on file with D&B:	22	Average highest credit:	\$676
Payments made within terms:	21 (95%)	Largest high credit:	\$2,500
Amount placed for collections:	0 (0%)	Highest now owing:	\$1,000
		Highest past due:	\$0

### Historical Payment Trends: PAYDEX®

Average payment performance trend when weighted by dollar amount

Last 3 months: Trend is unchanged

 UNCHANGED

Last 12 months: Generally within terms D&B PAYDEX® : 80  
Industry benchmark: Prompt



Based on payments collected over last 12 months.

Indications of slowness can be the result of dispute over merchandise, skipped invoices, etc. Accounts are sometimes placed for collection

even though the existence or amount of the debt is disputed.

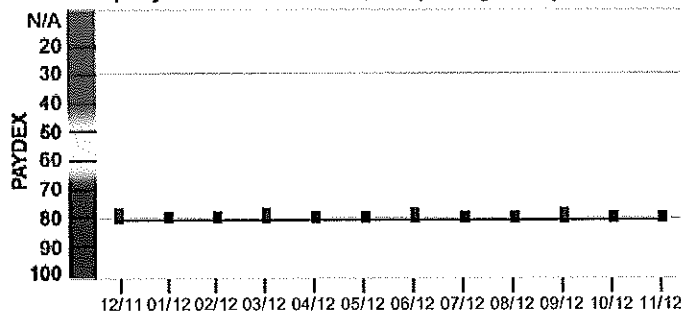
### Historical Payment Trends: PAYDEX® Comparison to Industry

Company's payment performance over the past 12 months compared with its peers

AHEAD 

This company's 12-month high: 80, or equal to generally within terms

This company's 12-month low: 80, or equal to generally within terms



Shows PAYDEX scores of this Business compared to the Primary Industry from each of the last four quarters. The Primary Industry is Management svcs, based on SIC code 8741.

### Payment History Details

Date Reported	Paying Record	High Credit (\$)	Now Owes (\$)	Past Due (\$)	Selling Terms	Last Sale Within (months)
10/12	Prompt		250	0		1
10/12	Prompt	2,500	1,000	0		1
10/12	Prompt	500	500	0		1
10/12	Prompt	250	250	0		1
10/12	Prompt	50	0	0	Net30	4-5
10/12	(006)	50	0	0	Cash account	6-12
10/12	(007)	50			Cash account	1
09/12	Prompt	1,000	0	0		1
09/12	Prompt	750	250	0		1
09/12	Prompt	250	0	0		6-12
09/12	Prompt	100	100	0		1
09/12	Prompt	0	0	0		6-12
09/12	(013)	250			Cash account	1
06/12	(014)	100	100		Cash account	1
04/12	Prompt	0	0	0		1
03/12	Prompt	500				1
12/11	Slow 30	100	0	0	Net30	2-3
11/11	(018)	2,500	1,000	0		1
	Satisfactory.					
10/11	(019)	0	0	0	Cash account	6-12
05/11	(020)	250	0	0		6-12
	Cash own option.					
03/11	Prompt	50				4-5
11/10	(022)	0	0	0	Cash account	6-12

Payment experiences reflect how bills are met in relation to the terms granted. In some instances payment beyond terms can be the result of dispute over merchandise, skipped invoices, etc.

Each experience shown is from a separate supplier. Updated trade experiences replace those previously reported.

### Payment Analysis By Industry

Company's dollar-weighted payments listed by the primary industries of its suppliers

Industry	Total Received (#)	Total Dollar Amount (\$)	Largest High Credit (\$)	Within Terms	Slow 1-30 60	Slow 31- 90	Slow 61- 90	Slow 91+
					(% of dollar amount)			
Data processing svcs	3	1,100	750	100	0	0	0	0
Telephone communictns	2	1,500	1,000	100	0	0	0	0
Mfg relays/controls	1	2,500	2,500	100	0	0	0	0
Hvy const eqpt rental	1	2,500	2,500	100	0	0	0	0
Public finance	1	500	500	100	0	0	0	0
Misc business service	1	250	250	100	0	0	0	0
Whol electronic parts	1	100	100	0	100	0	0	0
Whol electrical equip	1	50	50	100	0	0	0	0
Lithographic printing	1	50	50	100	0	0	0	0
Misc business credit	1	250	0	100	0	0	0	0
Photocopying service	1	0	0	0	0	0	0	0

Short-term busn credit	1	0	0	0	0	0	0	0
<b>Other payment categories</b>								
Cash experiences	7	700	250					
Payment record unknown	0	0	0					
Unfavorable comments	0	0	0					
<b>Placed for collection</b>								
With D&B	0	0	0					
Other	0	N/A	0					
<b>Total in D&amp;B's file</b>	<b>22</b>	<b>9,500</b>	<b>2,500</b>					

There are 22 payment experiences in D&B's file for the most recent 12 months, with 13 experiences reported during the last three month period.

[Company Snapshot](#)   [Creditworthiness](#)   [Payment History & Trends](#)   [Public Filings](#)   [History & Operations](#)   [Banking & Finance](#)

## Public Filings

### Summary of Court Actions

The following data includes both open and closed filings found in D&B's database on the subject company.

Record Type	Open Records	Open Value	Total Records	Most Recent Filing Date
<b>Suits</b>	0	0	0	-
<b>Liens</b>	0	0	0	-
<b>Judgments</b>	0	0	0	-
<b><u>UCC Filings</u></b>	5	N/A	6	10/19/2012
<b>Bankruptcy Proceedings</b>	0	N/A	0	-

Public filing data is for informational purposes only and is not the official record. Certified copies can only be obtained from the official source.

Number and value of open records refers only to 10 most recent filings for each record type.

### UCC Filings

Collateral	Type	Sec. Party	Debtor	Date Filed	Additional Details
All Inventory and proceeds - All Account(s) and proceeds - All General intangibles(s) and proceeds - All Equipment and proceeds - All Fixtures and proceeds	Original	THE FROST NATIONAL BANK, SAN ANTONIO, TX	RAPID POWER MANAGEMENT, LLC	06/02/2010	Filing number: 100015779141 Filed with: SECRETARY OF STATE/UCC DIVISION, AUSTIN, TX Latest info Received: 06/09/2010
All Inventory and proceeds - All Account(s) and proceeds - All General intangibles(s) and proceeds - All Equipment and proceeds - All Chattel paper and proceeds	Original	JPMORGAN CHASE BANK, NA, HOUSTON, TX	RAPID POWER MANAGEMENT	06/01/2005	Filing number: 050017043717 Filed with: SECRETARY OF STATE/UCC DIVISION, AUSTIN, TX Latest info Received: 06/13/2005
Unavailable	Continuation	JPMORGAN CHASE BANK, NA, HOUSTON, TX	RAPID POWER MANAGEMENT	01/05/2010	Filing number: 1000001387 Filed with: SECRETARY OF STATE/UCC DIVISION, AUSTIN, TX Latest info Received: 01/06/2010
Accounts receivable and proceeds - Inventory and proceeds - Contract rights and proceeds - Equipment and proceeds - Fixtures and proceeds	Original	SP4 TOLLWAY CROSSING, L.P., LOS ANGELES, CA	RAPID POWER MANAGEMENT, LLC	03/24/2008	Filing number: 080010177478 Filed with: SECRETARY OF STATE/UCC DIVISION, AUSTIN, TX Latest info Received: 04/04/2008
Unavailable	Continuation	SP4 TOLLWAY CROSSING, L.P., LOS ANGELES, CA	RAPID POWER MANAGEMENT, LLC	10/19/2012	Filing number: 1200330786 Filed with: SECRETARY OF STATE/UCC DIVISION, AUSTIN, TX Latest info Received: 10/19/2012

All Account(s) and  
proceeds - All General  
intangibles(s) and  
proceeds - All Equipment  
and proceeds

Original

THE FROST NATIONAL BANK, SAN ANTONIO, TX  
RAPID POWER MANAGEMENT, LLC

04/25/2012

Filing number: 120013001159

Filed with: SECRETARY OF  
STATE/UCC DIVISION, AUSTIN,  
TX

Latest Info Received: 05/09/2012

The public record items contained in this report may have been paid, terminated, vacated or released prior to the date this report was printed. Any public filings displayed in red are open.

## Government Activity

Activity Summary		Possible Candidate for Socio-Economic Program Consideration	
Borrower (Dir/Guar)	No	Labor Surplus Area	N/A
Administrative Debt	No		Yes (2012)
Contractor	No	Small Business	
Grantee	No	8(A) Firm	N/A
Party Excluded from Federal Program(s)	No		

The details provided in the Government Activity section are as reported to D&B by the federal government and other sources.

[Company Snapshot](#) [Creditworthiness](#) [Payment History & Trends](#) [Public Filings](#) [History & Operations](#) [Banking & Finance](#)

## History & Operations

Topic	Description
<b>History</b>	Detailed information on the history of a company, including background information on the management team and key principals, and information on related companies.
<b>Registration &amp; Incorporation</b>	Detailed registration and incorporation information, including the date and state of incorporation and the type of corporation formed.
<b>Company Operations</b>	Detailed information on a company's operations, including the identity of the parent company, the geographic scope of the business, and the key holdings.
<b>Industry Classification</b>	Details on the specific industry within which a company is classified.

### History

#### Management:

JAMES DODSON, MBR

THOMAS KEATING, MEMBER

BRAD KEATING, MEMBER

This is a Limited Liability Company formed in the State of Texas on January 4, 2008.

Business started 2002.

JAMES DODSON born 1968. Work history unknown.

THOMAS KEATING. Work history unknown.

BRAD KEATING. Work history unknown.

BRAD KEATING. Work history unknown.

### Registration & Incorporation

**Registered Name:** Rapid power management, llc

**Filing Date:** January 04, 2008

<b>Business Type:</b>	Domestic limited liability co	<b>Registration ID:</b>	0800919568
<b>State of incorporation:</b>	Texas	<b>Where filed:</b>	SECRETARY OF STATE, AUSTIN, TX
<b>Duration :</b>	Perpetual	<b>Registered Agent:</b>	BRAD KEATING, 2761 TRINITY MILLS ROAD, STE 102, CARROLLTON, TX, 750060000
<b>Status:</b>	In existence	<b>Principals:</b>	JAMES DODSON, MEMBER, 19111 DALLAS PKWY STE 125, DALLAS, TX, 752870000 BRAD KEATING, MEMBER, 19111 DALLAS PKWY STE 125, DALLAS, TX, 752870000

Corporate and business registrations provided by management or other source.

## Company Operations

**Description:** Provides management services (100%).

ADDITIONAL TELEPHONE NUMBER(S): Facsimile (Fax) 972 820-0111.

Terms are undetermined. Sells to undetermined. Territory : United States.

**Employees:** 7 w hich includes partners.

**Facilities:** Leases 3,000 sq. ft. in a one story building.

## Industry Classification

<b>SIC</b>	<b>NAICS</b>
87410000 Management services	561110 Office Administrative Services

Based on information in our file, D&B has assigned this company an extended 8-digit SIC. D&B's use of 8-digit SICs enables us to be more specific to a company's operations than if we use the standard 4-digit code.

The 4-digit SIC numbers link to the description on the Occupational Safety & Health Administration (OSHA) Web site. Links open in a new browser window.

[Company Snapshot](#) [Creditworthiness](#) [Payment History & Trends](#) [Public Filings](#) [History & Operations](#) [Banking & Finance](#)

## Banking & Finance

### Key Business Ratios

D&B has been unable to obtain sufficient financial information from this company to calculate business ratios. Our check of additional outside sources also found no information available on its financial performance.

To help you in this instance, ratios for other firms in the same industry are provided below to support your analysis of this business.

Industry Norms based on 13 establishments			
	This Business	Industry Median	Industry Quartile
<b>Profitability</b>			
Return on Sales	UN	5.1	UN
Return on Net Worth	UN	32.3	UN
<b>Short-Term Solvency</b>			
Current Ratio	UN	2.5	UN
Quick Ratio	UN	2.0	UN
<b>Efficiency</b>			
Assets Sales	UN	35.2	UN
Sales / Net Working Capital	UN	9.4	UN
<b>Utilization</b>			
Total Liabilities / Net Worth	UN	65.6	UN

UN = Unavailable

## 11/12/2011

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### **Exhibit C-8 “Bankruptcy Information”**

Rapid Power management, LLC has not reorganized, nor had any sort of bankruptcy filing in the current year or the previous two years.

### **Exhibit C-9 “Merger Information”**

N/A

# **AFFIDAVIT**

State of   Texas   :

  Dallas   ss.  
(Town)

County of   Collin   :

  JD Dodson  , Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the   Owner   (Office of Affiant) of   Rapid Power Management   (Name of Applicant);

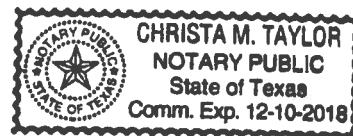
That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

 President  
Signature of Affiant & Title



Sworn and subscribed before me this 15 day of January, 2015  
Month

Year

  
Signature of official administering oath

Christa Taylor, operations  
Print Name and Title mgr

My commission expires on 12-10-18

**This foregoing document was electronically filed with the Public Utilities**

**Commission of Ohio Docketing Information System on**

**2/17/2015 2:26:54 PM**

**in**

**Case No(s). 13-0363-EL-AGG**

Summary: Certificate Rapid Power Management was asked to revise C-5 of our certificate renewal. Please see entire renewal application here. electronically filed by Ms. Katie Casse on behalf of Casse , Katie