



Atlas Commodities, LLC
Certificate # 13-290G(1)

Public Utilities Commission

Pursuant Original Case #

13-0395-GA-AGG

Renewal Application for Gas Broker

Page 1 of 62

PUCO USE ONLY - Version 1.07		
Date Received	Renewal Certification Number	ORIGINAL AGG Case Number
		13 - 0395 - GA-AGG

RENEWAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS/AGGREGATORS

Please **type or print** all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-16 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

A-1 Applicant intends to renew its certificate as: (check all that apply)

☐ Retail Natural Gas Aggregator ☒ Retail Natural Gas Broker

A-2 Applicant information:

Legal Name Atlas Commodities, LLC
Address 24 E. Greenway Plaza, Suite 445 Houston, TX 77046
Telephone No. 281-407-2438 Web site Address www.Atlascommodities.com
Current PUCO Certificate No. 13-290G(1) Effective Dates March 14, 2013 - March 14, 2015

A-3 Applicant information under which applicant will do business in Ohio:

Name Atlas Commodities, LLC
Address 24 E. Greenway Plaza, Suite 445 Houston, TX 77046
Web site Address www.Atlascommodities.com Telephone No. 281-407-2438

A-4 List all names under which the applicant does business in North America:

Atlas Commodities, LLC

A-5 Contact person for regulatory or emergency matters:

Name Ngoc Tran Title Senior Account Manager
Business Address 24 E. Greenway Plaza, Suite 445 Houston, TX 77046
Telephone No. 281-407-2438 Fax No. 281-674-8335 Email Address Ngoc.Tran@atlascommodities.com

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name Ngoc Tran

Title Senior Account Manager

Business address 24 E. Greenway Plaza, Suite 445 Houston, TX 7746

Telephone No. 281-407-2438

Fax No. 281-674-8335

Email Address Ngoc.Tran@atlascommodities.co

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address 24 E. Greenway Plaza, Suite 445 Houston, TX 77046

Toll-Free Telephone No.

Fax No.

Email Address Ngoc.Tran@atlascommodities.co

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name National Registered Agents, Inc.

Title Registered Agent

Business address 1300 E. Ninth St. Cleveland, OH 44114

Telephone No. 800-862-5438

Fax No.

Email Address

A-9 Applicant's federal employer identification number 743199027

A-10 Applicant's form of ownership: (Check one)

☐ Sole Proprietorship

☐ Partnership

☐ Limited Liability Partnership (LLP)

☒ Limited Liability Company (LLC)

☐ Corporation

☐ Other

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<input checked="" type="checkbox"/> Columbia Gas of Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Dominion East Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Duke Energy Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Vectren Energy Delivery of Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

☐ Columbia Gas of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Dominion East Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Duke Energy Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Vectren Energy Delivery of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

<input checked="" type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date	March 2015
<input checked="" type="checkbox"/>	Dominion East Ohio	Intended Start Date	March 2015
<input checked="" type="checkbox"/>	Duke Energy Ohio	Intended Start Date	March 2015
<input checked="" type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date	March 2015

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 **Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 **Exhibit A-15 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale natural gas or electricity to customers in North America.
- A-16 **Exhibit A-16 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-17 **Exhibit A-17 "Articles of Incorporation and Bylaws,"** provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, *only if the contents of the originally filed documents changed since the initial application.*
- A-18 **Exhibit A-18 "Secretary of State,"** provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 **Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 **Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer-certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer-certified financial statements covering the life of the business.
- C-4 Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's current financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)
- C-5 Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRNGS operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.

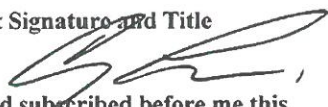
- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's current credit report from Experian, Dun and Bradstreet, or a similar organization.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or since applicant last filed for certification.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant since applicant last filed for certification.

SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 **Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business functions.
- D-2 **Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 **Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

 MANAGING PARTNER

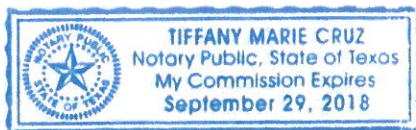
Sworn and subscribed before me this 9 day of FEBRUARY Month 2015 Year



Signature of official administering oath

TIFFANY CRUZ, OFFICE MANAGER

Print Name and Title



My commission expires on SEPTEMBER 29, 2018



Atlas Commodities, LLC
Certificate # 13-290G(1)

Pursuant Original Case #
13-0395-GA-AGG

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The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service Affidavit Form (Version 1.07)

In the Matter of the Application of)

Atlas Commodities LLC)

for a Certificate or Renewal Certificate to Provide)
Competitive Retail Natural Gas Service in Ohio.)

Case No. 13 - 0395 -GA-AGG

County of Harris
State of Texas

Craig Taylor

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

MANAGING PARTNER

Sworn and subscribed before me this

9

day of FEBRUARY

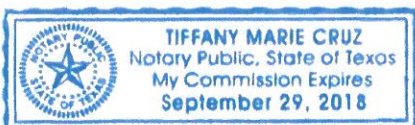
Month 2015

Year

Signature of Official Administering Oath

TIFFANY CRUZ, MANAGING PARTNER

Print Name and Title



My commission expires on SEPTEMBER 29, 2018

(CRNGS Broker/Aggregator Renewal) Page 7 of 7

Exhibit A-14

Principal Officers, Directors, & Partners

Managing Partner:

Craig Taylor
24 E. Greenway Plaza, Suite 445
Houston, TX 77046
281-407-2412
Craig.Taylor@atlascommodities.com

Partner:

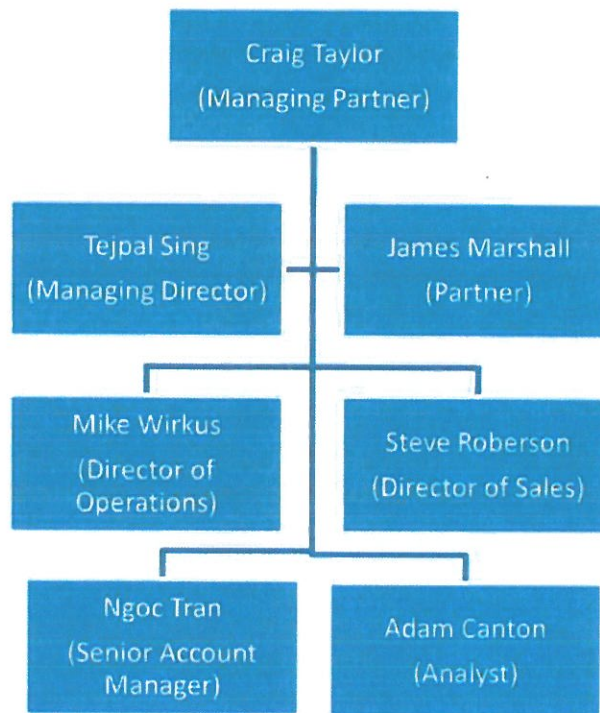
James Marshall
24 E. Greenway Plaza, Suite 445
Houston, TX 77046
281-407-2432
James.Marshall@atlascommodities.com



Exhibit A-15

Corporate Structure

Atlas Commodities, LLC



Atlas does not have any affiliates or subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.

Exhibit A-16

Company History

Established in 2006 in New York, Atlas Commodities LLC acts as a source of global market information and commentary for international energy market professionals. We offer a full spectrum of energy and energy-related markets in the natural gas, crude oil, electricity, and refined products. In 2010, we moved to Texas and established headquarters in Houston, TX with offices in Portsmouth, NH and Toronto, Canada.

As a neutral intermediary, Atlas anonymously matches up buyers and sellers of financial and physical energy products at the most attractive prices available. At Atlas, our seasoned brokers are prepared to deliver seamless transactions, targeted market information and managed risk exposure for all of our clients' energy trading requirements.

Atlas expanded into the retail energy market in 2012, bringing in experienced representatives from various larger consulting and brokering firms in the United States. This in addition to our expansive energy knowledge from other divisions within Atlas provides our customers with superior knowledge and experience managing their energy needs. Atlas is licensed in all deregulated US markets for both natural gas and electricity.

Exhibit A-17

Articles of Incorporation and Bylaws

Please see the following pages for Articles of Incorporation.

Applicant does not have any Bylaws.

Atlas Commodities, LLC
Certificate # 13-290G(1)
Corporations Section
P.O.Box 13697
Austin, Texas 78711-3697

Pursuant Original Case #
13-0395-GA-AGG

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John Steen
Secretary of State



Office of the Secretary of State

Certificate of Fact

The undersigned, as Secretary of State of Texas, does hereby certify that the document, Certificate of Formation for ATLAS COMMODITIES, LLC (file number 801319524), a Domestic Limited Liability Company (LLC), was filed in this office on September 16, 2010.

It is further certified that the entity status in Texas is in existence.

In testimony whereof, I have hereunto signed my name officially and caused to be impressed hereon the Seal of State at my office in Austin, Texas on December 12, 2012.



A handwritten signature in dark ink, appearing to read "John Steen".

John Steen
Secretary of State

FILED
In the Office of the
Secretary of State of Texas
APR 12 2011

Corporations Section

**Restated Certificate of
Formation**

The name of the entity is ATLAS COMMODITIES, LLC.

The filing entity is a limited liability company.

The file number issued to the filing entity by the secretary of state is 801319524.

The date of formation of the filing entity is September 17, 2010.

This restated certificate of formation makes new amendments to the certificate of formation. Provided below is an identification by reference or description of each added, altered, or deleted provision. The certificate of formation has been amended as follows:

The provision for management by managers has been changed to management by members.

The following identified provisions of the of the certificate of formation are amended. The full text of each amended provision is contained in the Amended and Restated Certificate of Formation attached hereto.

"Article 3 - Governing Authority" of the Certificate of Formation is amended to read as provided in the Amended Certificate of Formation attached hereto.

Each new amendment has been made in accordance with the provisions of the Texas Business Organizations Code. The amendments to the Certificate of Formation and the amended Certificate of Formation have been approved in the manner required by the Code and by the governing documents of the entity.

The Amended Certificate of Formation, which is attached to this form, accurately states the text of the Certificate of Formation being restated and each amendment to the certificate of formation being restated that is in effect, and as further amended by the Amended Certificate of Formation. The attached Amended Certificate of Formation does not contain any other change in the Certificate of Formation being restated except for the information permitted to be omitted by the provisions of the Texas Business Organizations Code applicable to the filing entity.

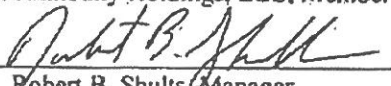
This document becomes effective when the document is filed by the secretary of state.

The undersigned signs this document subject to the penalties imposed by law for the submission of a materially false or fraudulent instrument and certifies under penalty of perjury that the undersigned is authorized under the provisions of law governing the entity to execute the filing instrument.

Date: 12/23/2010

ATLAS COMMODITIES, LLC

By: Atlas Commodity Holdings, LLC, Member

By: 
Robert B. Shults, Manager

**AMENDED AND RESTATED CERTIFICATE OF FORMATION
OF
ATLAS COMMODITIES, LLC**

Article 1. - Entity Name and Type

The filing entity being formed is a limited liability company. The name of the entity is
ATLAS COMMODITIES, LLC.

Article 2. - Registered Agent and Registered Office

The initial registered agent is an individual resident of the state whose name is set forth
below:

Robert B. Shults
4203 Montrose Boulevard
Suite 650
Houston, Texas 77006

Article 3. - Governing Authority

The limited liability company will not have managers. The limited liability company will
be managed by its members. The name and address of each initial member are set forth below:

Craig Taylor
3222 Newcastle Drive
Houston, Texas 77027

Atlas Commodity Holdings, LLC
4203 Montrose Blvd.
Suite 650
Houston, Texas 77006

Article 4. - Purpose

The purpose for which the company is formed is for the transaction of any and all lawful
purposes for which a limited liability company may be organized under the Texas Business
Organizations Code.

Article 5. - Organizer

The name and address of the organizer is:

Robert B. Shults
4203 Montrose Boulevard
Suite 650
Houston, Texas 77006

Article 6. - Effectiveness of Filing

This document becomes effective when the document is filed by the secretary of state.

The undersigned signs this document subject to the penalties imposed by law for the submission of a materially false or fraudulent instrument.

Executed this 23rd day of December, 2010.



ROBERT B. SHULTS

F:\WPDOCS\4072001\mdp revised cert formation atlas commodities.wpd

Exhibit A-18

Secretary of State

Please see following page for evidence of filing with the Ohio Secretary of State.

201301400796

DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
01/15/2013	201301400796	REGISTRATION OF FOREIGN FOR PROFIT LLC (LFP)	125.00	.00	.00	.00	.00

Receipt

This is not a bill. Please do not remit payment.

NRAI CORPORATE SERVICES, LLC
ATTN: JOY SCHROEDER
1021 MAIN ST. STE 1150
HOUSTON, TX 77002

**STATE OF OHIO
CERTIFICATE**

Ohio Secretary of State, Jon Husted

2165321

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

ATLAS COMMODITIES, LLC

and, that said business records show the filing and recording of:

Document(s):
REGISTRATION OF FOREIGN FOR PROFIT LLC

Document No(s):
201301400796



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio this
11th day of January, A.D. 2013.

Jon Husted

Ohio Secretary of State

Exhibit B-1

Jurisdictions of Operation

<u>Jurisdiction</u>	<u>Certificate/Order Number:</u>	<u>Effective Date:</u>
Massachusetts Department of Public Utilities	License Number RA-098	4/16/2014
Maryland PSC	License Number IR-2944	4/13/2013
New Hampshire PUC	DM 14-178	7/7/2014

Exhibit B-2

Experience and Plans

Atlas Commodities has 8 years of experience as an intermediary broker of electric and natural gas products between utilities, banks, and large funds. Atlas has been consulting for end users in the retail electric market for over 2 years in all deregulated markets in the United States.

The Atlas team is made up of experienced managers with backgrounds at major energy consulting firms. Both Steve Roberson and Mike Wirkus have 8 years of experience in the retail energy business between Atlas Commodities and Tradition Energy with senior roles at both companies. Ngoc Tran also came to Atlas with years of client services experience at companies such as Amerex. These three managers hold many training sessions for the rest of the company throughout the year ensuring all employees are providing customers best experience possible. Atlas also takes advantage of any utility sponsored training sessions in markets we serve.

Atlas plans to continue to serve as a consultant/broker in the retail natural gas market in OH. These services will include procurement and data management. Once agreed upon by customer Atlas fees will typically be imbedded in the price provided by the supplier. As a result there will not be direct billing by Atlas to our customers. Natural gas invoices will continue to come through the local utility and selected third party energy supplier.

With the leadership of Ngoc Tran, Atlas provides our clients with experienced account managers that will assist customers with their questions in regards to their contract and/or billing from the third party supplier. In addition to these efforts, customers will also have access to the suppliers' customer service representatives. Any issues that may arise will be managed in a timely manner.

Exhibit B-3

Summary of Experience

Atlas Commodities LLC currently serves over 4,000,000 Dth in term volume across the United States for larger industrial and commercial customers. Advisory services include procurement, sustainability projects, and data management.

Currently, Atlas does not have any customers for gas brokerage services but have customers in the electricity sector (Certificate Number 13-653E(1)) in the PUCO jurisdiction. Atlas hopes to continue the gas licensure in order to offer our current and new clients additional services while building long lasting relationships and market knowledge. The service areas are Columbia Gas of Ohio, Dominion East Ohio, Duke Energy Ohio, and Vectren Energy Delivery of Ohio.

Exhibit B-4

Disclosure of Liabilities and Investigations

N/A Applicant does not have any existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any matter that could adversely impact the applicant's financial or operation status or ability to provide the services it is seeking to be certified to provide.

Exhibit C-1

Annual Reports

N/A Applicant is a private company and is not required to provide annual reports.

Exhibit C-2

SEC Filings

N/A Applicant is not required to file with the SEC as SEC does not govern our products or are we taking a position.

EXHIBIT C-3

Financial Statements

The applicable financial statements of Atlas Commodities, LLC have been filed under seal in this case.

Exhibit C-4

Financial Arrangements

Atlas Commodities, LLC was established in 2006 as a wholesale intermediary; anonymously matching up buyers and sellers of financial and physical energy products. The build out of the power broker/consulting department is financed by our wholesale department. Atlas' renewal application is being finance by the wholesale department as well as operating costs until the consulting department is able to contribute to expenditures.

EXHIBIT C-5

Forecasted Financial Statements

The applicable forecasted financial statements of Atlas Commodities, LLC have been filed under seal in this case.

Exhibit C-6

Credit Rating

Please see C-7 (Credit Report) for credit rating

EXHIBIT C-7

Credit Report

The Dun & Bradstreet Credit Report for Atlas Commodities, LLC has been filed under seal in this case.

Exhibit C-8

Bankruptcy Information

N/A. Applicant has never filed for bankruptcy.

Exhibit C-9

Merger Information

N/A There has not been a merger or acquisition in the Applicant's history to date.

Exhibit D-1

Operations

We provide a complete solution to organizations energy and sustainability issues by helping them manage the core elements of energy procurement, price risk management, energy efficiency and sustainability reporting. Processes include prequalification of customers (making sure they can benefit from our offerings), load analysis, running procurement RFPs, discussing options and risks involved, facilitating contract terms between supplier and customer, and client management throughout life of contract.

Exhibit D-2

Operations Expertise

Please see following page showcasing operations expertise

Ngoc Tran

24 E. Greenway Plaza, Suite 445 Houston, TX 77046 | 281.407.2438 | ngoc.tran@atlascommodities.com

WORK EXPERIENCE

Atlas Commodities (Houston, TX)

October 2012 – Present

Senior Account Manager, Retail Brokerage Services

- Responsible for customer satisfaction and provide consulting services that include risk management, budgeting & forecasting, product recommendation, strategic procurement analysis
- Develop internal platform to expand services for procurement, accounting, and inventory reporting needs
- Establish and maintain relationships with all market players and clients
- Implement and maintain gas and electricity broker licensure for all deregulated markets

Amerex Energy Services (Sugar Land, TX)

February 2009 – March 2012

Account Executive, Retail Brokerage Services

- Responsible for consultative sales, contracting and servicing of corporations, SMBs and public institutions across 12 states. Retained 100% of clients and produced 110% revenue renewal
- Analyzed customer profiles, market conditions, pricing trends, data management, and performance reports
- Produced RFPs, marketing materials, customer presentations and month-end reports
- Gained in-depth knowledge of gas and electricity brokering in ERCOT, PJM, MISO, NY-ISO, ISO-NE ranging from low to high load profiles

EDUCATION

University of Houston 2008 (Houston, TX)

Bachelor of Arts: Mathematics with minor in Business Management

- Served in numerous leadership roles including Vice President of the Chi Omega Fraternity
- Attended several national leadership conferences and oversaw implementation of local programs

University of the Basque Country (Bilbao, Spain) 2008

- Studied conversational Spanish and business management as part of a study abroad program.

QUALIFICATIONS

- Analytical and critical thinker with a structured approach to problem solving
- Innate curiosity and desire to understand how things work (and how to improve them)
- Natural organizer, planner and multi-tasker; comfortable managing many tasks at once
- Outgoing personality with strengths in relationship building and exceptional customer service

PERSONAL

- Born in Vietnam; raised in Philippines and Houston, TX
- Worked in family businesses since age 12

Exhibit D-3

Key Technical Personnel

Ngoc Tran
Senior Account Manager
Ngoc.Tran@atlascommodities.com
281-407-2438

Ngoc Tran has over six years of account management experiences in the retail energy consulting/brokering industry; managing clients ranging from small to large business profiles with simple, customized, and hybrid products.

Mike Wirkus
Director of Operations
Michael.Wirkus@atlascommodities.com
203-693-2724

Mike Wirkus has over eight years of experience in the retail energy consulting/brokering industry in all deregulated markets and provides operational services such as: running RFPs for procurement on behalf of customers, load analysis, discussing options and risks, facilitating contract terms between supplier and customer. In addition to operations, Mr. Wirkus also manage gas contract negotiations, scheduling, and customized products.

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Summary: Application (Renewal) of Atlas Commodities, LLC electronically filed by Ms. Rebecca L Hussey on behalf of Atlas Commodities, LLC