BEFORE

THE PUBLIC UTILITIES COMMISSION OF OHIO

In the Matter of the Application of the : Case No. Earnings Test under Section : 14-831-EL-UNC

4928.143(F), Ohio Revised Code, and Rule 4901:1-35-(C)(10),: Ohio Administrative Code for The Dayton Power and Light Company.

PROCEEDINGS

Before Bryce McKenney, Attorney Examiner, held at the offices of the Public Utilities Commission of Ohio, 180 East Broad Street, Hearing Room 11-A, Columbus, Ohio, on Tuesday, September 9, 2014, at 10:00 A.M.

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      APPEARANCES:
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             The AES Corporation
 4
             1065 Woodman Drive
             Dayton, Ohio 45432
 5
                  On behalf of The Dayton
                  Power and Light Company.
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 7
             Mr. Thomas W. McNamee
 8
             Principal Attorney
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             Assistant Attorney General
             180 East Broad Street
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             Columbus, Ohio 43215
                  On behalf of the Staff of the
11
                  Public Utilities Commission
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                  of Ohio.
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1 Tuesday Morning, 2 September 9, 2014. 3 4 ATTORNEY EXAMINER: The Public Utilities Commission of Ohio calls for hearing 5 6 at this time and place Case No. 14-831-EL-UNC being in the matter of the determination of the 7 8 existence of significantly excessive earnings 9 for 2013 under the Electric Security Plan of The 10 Dayton Power and Light Company. 11 My name is Bryce McKenney, I am the 12 Attorney Examiner assigned by the Commission to 13 hear this case. At this time I will take the 14 appearances of the parties beginning with the 15 Company. 16 MS. SOBECKI: Good morning, your 17

MS. SOBECKI: Good morning, your Honor. Judi Sobecki on behalf of The Dayton Power and Light Company, 1065 Woodman Drive, Dayton, Ohio 45432.

20 ATTORNEY EXAMINER: Thank you.

21 Staff.

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MR. MCNAMEE: On behalf of the Staff of the Public Utilities Commission of Ohio, Mike DeWine, Attorney General of the State of Ohio, William L. Wright, Chief, Public Utilities

Section, and I am Thomas W. McNamee, Assistant Attorney General. The address is 180 East Broad Street, Columbus, Ohio.

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ATTORNEY EXAMINER: Thank you, Mr. McNamee. At this time I would like to note for the record that a stipulation and recommendation was filed in this case. Ms. Sobecki, is the Company ready to proceed?

MS. SOBECKI: We are, Your Honor.

Your Honor, first I would like to mark some
exhibits that I would like to introduce today.

First is Joint Exhibit No. 1 will be the stipulation and recommendation. It was filed in this case on July 22nd of this year.

Exhibit No. 1 which is the application in this case. Your Honor, I would note that the application contains as an exhibit the testimony of Craig Forestal, but it also includes in that testimony, or as an exhibit to the testimony, an Exhibit CAF-5. This exhibit was filed under seal subject to a motion for protective order, and we would like to keep this exhibit confidential and kept under seal.

And then the last exhibit would be

7 Company Exhibit No. 2 which is the testimony of 1 Dona Seger-Lawson, and that was filed on August 2 29th of 2014. 3 4 ATTORNEY EXAMINER: Thank you. 5 MS. SOBECKI: And with that, Your Honor, I would call our first witness. 6 7 ATTORNEY EXAMINER: All right. 8 (WITNESS SWORN) 9 10 DONA R. SEGER-LAWSON 11 called as a witness on behalf of the Company, 12 being first duly sworn, testified as follows: 13 DIRECT EXAMINATION 14 By Ms. Sobecki: 15 Q. Good morning. Could you please 16 state your name? 17 Α. My name is Dona Seger-Lawson. 18 Ο. And by whom are you employed and in 19 what capacity? 2.0 I am employed by The Dayton Power 2.1 and Light Company as the Director of Regulatory 22 Operations. 23 Ms. Seger-Lawson, you have some Ο. 24 exhibits in front of you today. Could you

please identify those exhibits?

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- A. I have Joint Exhibit 1 which is the stipulation and recommendation.

 I have Company Exhibit 1 which is the application the Company filed in this case.
- And I have Company Exhibit No. 2 which is my testimony.
 - Q. With respect to Company Exhibit No. 2, do you have changes or corrections that you would like to make to your prefiled testimony?
 - A. No, I do not.
- 11 Q. And if I were to ask you
 12 the questions in your prefiled testimony here
 13 today would your answers be the same?
 - A. Yes.
 - O. Would those answers be truthful?
- 16 A. Yes.

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- MS. SOBECKI: Your Honor, I have nothing further.
- 19 ATTORNEY EXAMINER: Thank you.
- 20 Anything from the Staff?
- MR. MCNAMEE: I have no questions.
- 22 ATTORNEY EXAMINER: I have just one quick question.
- Was DP&L's current ESP in effect in 25 2013 at the time this test was taken forward?

9 THE WITNESS: No. DP&L's current 1 2 ESP took effect January 1st of '14. 3 ATTORNEY EXAMINER: Thank you. 4 Nothing further. 5 THE WITNESS: Thank you. MS. SOBECKI: Your Honor, I have no 6 7 further witnesses. With that I would move to 8 admit Joint Exhibit No. 1 and Company Exhibits 1 and 2.9 10 ATTORNEY EXAMINER: Joint Exhibit 1 will be so marked. Company Exhibits 1 and 2 11 12 will also be marked. 13 Any objection from Staff to the admission of the exhibits? 14 15 MR. MCNAMEE: Not at all, Your 16 Honor. 17 ATTORNEY EXAMINER: They will be so admitted. 18 19 (EXHIBITS HEREBY ADMITTED INTO 2.0 EVIDENCE) 2.1 ATTORNEY EXAMINER: The motion for protective order is noted. It will be ruled on 22

2.4 Anything further from Staff? 25 MR. MCNAMEE: Nothing.

at a subsequent date.

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                  ATTORNEY EXAMINER: Thank you.
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                  MS. SOBECKI: Thank you, Your Honor.
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                  ATTORNEY EXAMINER: We are
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      adjourned.
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                  (At 10:05 A.M. the hearing was
 7
      concluded)
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CERTIFICATE I do hereby certify that the foregoing is a true and correct transcript of the proceedings taken by me in this matter on September 9, 2014, and carefully compared with my original stenographic notes. Michael O. Spencer, Registered Professional Reporter. 2.2

This foregoing document was electronically filed with the Public Utilities

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in

Case No(s). 14-0831-EL-UNC

Summary: Transcript in the matter of The Dayton Power and Light Company hearing held on 09/09/14 electronically filed by Mr. Ken Spencer on behalf of Armstrong & Okey, Inc. and Spencer, Michael O. Mr.