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The Public Utilities Commission of Ohio

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Date Received	Case Number	Version
14-1291	- EL-AGG	August 2004

CERTIFICATION APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-5 Experience). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form.
You may also download the form, by saving it to your local disk, for later use.

A. APPLICANT INFORMATION

A-1 Applicant's legal name, address, telephone number and web site address

Legal Name OneSource Energy Solutions LLC
Address 10690 Loveland Madeira Road, Loveland, Ohio 45140
Telephone # (513) 453-4510 Web site address (if any) _____

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name OneSource Energy Solutions LLC
Address 10690 Loveland Madeira Road, Loveland, Ohio 45140
Telephone # (513) 453-4510 Web site address (if any) _____

A-3 List all names under which the applicant does business in North America

OneSource Energy Solutions LLC

A-4 Contact person for regulatory or emergency matters

Name George M. Parker
Title Managing Member

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This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician And Date Processed 7/25/12

Business address 10690 Loveland Madeira Road, Loveland, Ohio 45140
Telephone # (513) 453-4510 Fax # (513) 453-4547
E-mail address (if any) parkergeo@aol.com

A-5 Contact person for Commission Staff use in investigating customer complaints

Name George M. Parker
Title Managing Member
Business address 10690 Loveland Madeira Road, Loveland, Ohio 45140
Telephone # (513) 453-4510 Fax # (513) 453-4547
E-mail address (if any) parkergeo@aol.com

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address 10690 Loveland Madeira Road, Loveland, Ohio 45140
Toll-free Telephone # (844) 458-6456 Fax # (513) 453-4510
E-mail address (if any) parkergeo@aol.com

A-7 Applicant's federal employer identification number # 471216176

A-8 Applicant's form of ownership (check one)

- | | |
|--|--|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

A-9 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).

- | | | | | |
|--|--------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| <input type="checkbox"/> First Energy | | | | |
| <input type="checkbox"/> Ohio Edison | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Toledo Edison | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Cleveland Electric Illuminating | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Duke Energy | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Monongahela Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> American Electric Power | | | | |
| <input type="checkbox"/> Ohio Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Columbus Southern Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Dayton Power and Light | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |

- A-10** Provide the approximate start date that the applicant proposes to begin delivering services

August 18, 2014

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-11** **Exhibit A-11 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-12** **Exhibit A-12 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.
- A-13** **Exhibit A-13 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-14** **Exhibit A-14 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.
- A-15** **Exhibit A-15 "Secretary of State,"** provide evidence that the applicant has registered with the Ohio Secretary of the State.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1** **Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2** **Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).

B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-5 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-6 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

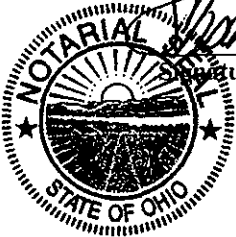
- C-3** **Exhibit C-3 “Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.
- C-4** **Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).
- C-5** **Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant’s CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6** **Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant.
- C-7** **Exhibit C-7 “Credit Report,”** provide a copy of the applicant’s credit report from Experion, Dun and Bradstreet or a similar organization.
- C-8** **Exhibit C-8 “Bankruptcy Information,”** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

Sam M. Paul, Managing Member

Signature of Applicant & Title

Sworn and subscribed before me this 23 day of July, 2014
Month Year



Shannon L. Hackman
Signature of official administering oath
Notary Public

Shannon L. Hackman
Print Name and Title

In and for
the State of Ohio
My Commission Expires 2/19/18

AFFIDAVIT

State of Ohio :

Love/and ss.
(Town)

County of Hamilton :

George M. Parker, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the Managing Member (Office of Affiant) of OneSource Energy Solutions LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Steve M. Paul Managing member
Signature of Affiant & Title

Sworn and subscribed before me this 23rd day of July, 2014
Month Year



Shannon L. Hackman
Signature of official administering oath

SHANNON L. HACKMAN
Notary Public
In and for
the State of Ohio
My Commission Expires

Shannon L. Hackman
Print Name and Title

My commission expires on 8/19/18
8/19/18

VII. Contractual Arrangements for Capability Standards

Applicant asserts that the below answer requires disclosure of privileged, confidential and propriety information.

Richard Bohon of 4558 Sommerville Road, Oxford, Ohio 45056 9734, applies the technical response to Customer needs as an independent contractor. Also as an independent contractor, Rich leads the procurement efforts at another Power Broker/Aggregator with responsibilities of managing several major accounts. Prior to serving customers through that Team as a Leader in its Energy Procurement Department, Rich owned his own service company for 10 years where he excelled at applying new technology to outdated processes to produce cost effective and profitable services. His experience in the technical and service industries is a great asset to our team. Prior to his work in the service industry, Rich spent ten (10) years with Ford Motor Company, as an engineering manager. In the 10 years with Ford Motor Company, he honed his engineering and management skills within Ford's Manufacturing Management Program on both a national and international basis. Richard holds a MS in Engineering from The Ohio State University.

A valid contract exists between the applicant and Richard Bohon for these services.

R. Scott Erickson of 3846 Hanover Drive, Mason, Ohio 45040 supplies the appropriate Customer centered processes and maintains and monitors the relationship between applicant and Client Customers. Scott is a contract Business Development Manager for the another Power Broker/Aggregator and is instrumental with communications, procurement operations, client relation programs and new business development. Scott is an investment and finance professional with a strong proficiency in investment management, strategic planning and solution advancement initiatives. With over twenty-four (24) years of professional experience within the hospitality, Insurance, financial services and now energy management industries, Scott brings a unique perspective to customer strategy. Scott's innate ability to simplify complex solutions in an understandable and pertinent context is an asset to our team.

A valid contract exists between the applicant and R. Scott Erickson for these services.

Although each of the above professionals is associated with another Power Broker/Aggregator, it is not the intent of applicant to "potch" customers or in any way interfere with each professionals activities on behalf of said Power Broker/Aggregator.

Exhibit A-11 Principal Officers, Directors & Partners

**George M. Parker, Sole and Managing Member
10690 Loveland Madeira Road
Loveland, Ohio 45140
(513) 659-6618**

Exhibit A-12 "Corporate Structure"

I, George M. Parker, Sole and Managing Member of the applicant, am affiliated with and serve as a legal consultant for an Ohio Professional Employer Organization named OneSource Employee Management, LLC and will continue to do so while operating this applicant company. Through the relationships that OneSource Employee Management, LLC has created as well as those that I have created in my career as an attorney along with new relationships that applicant intend to create and with the services of professional independent contractors each of whom have Aggregator industry experience (professionals Richard Bohon and R. Scott Erickson see VII above and descriptions below), OneSource Energy Solutions LLC will provide Aggregator and energy use consultation services to Ohioans. APPLICANT ASSERTS that these relationships are *privileged and confidential and proprietary* and are therefore the subject of an accompanying motion for protective order.

Graphical Depiction of structure-

Customer-

OneSource Energy Solutions LLC-

Richard Bohon

George M. Parker < R. Scott Erickson

Richard Bohon of 4558 Sommerville Road, Oxford, Ohio 45056 9734, applies the technical response to Customer needs as an independent contractor. Also as an independent contractor, Rich leads the procurement efforts at another Power Broker/Aggregator with responsibilities of managing several major accounts. Prior to serving customers through that Team as a Leader in its Energy Procurement Department, Rich owned his own service company for 10 years where he excelled at applying new technology to outdated processes to produce cost effective and profitable services. His experience in the technical and service industries is a great asset to our team. Prior to his work in the service industry, Rich spent ten (10) years with Ford Motor Company, as an engineering manager. In the 10 years with Ford Motor Company, he honed his engineering and management skills within Ford's Manufacturing Management Program on both a national and international basis. Richard holds a MS in Engineering from The Ohio State University. A valid contract exists between the applicant and Richard Bohon for these services.

R. Scott Erickson of 3846 Hanover Drive, Mason, Ohio 45040 supplies the appropriate Customer centered processes and maintains and monitors the relationship between applicant and Client Customers. Scott is a contract Business Development Manager for the another Power Broker/Aggregator and is instrumental with communications, procurement operations, client relation

Exhibit A-12 "Corporate Structure" second page

programs and new business development. Scott is an investment and finance professional with a strong proficiency in investment management, strategic planning and solution advancement initiatives. With over twenty-four (24) years of professional experience within the hospitality, Insurance, financial services and now energy management industries, Scott brings a unique perspective to customer strategy. Scott's innate ability to simplify complex solutions in an understandable and pertinent context is an asset to our team.

A valid contract exists between the applicant and R. Scott Erickson for these services.

George M. Parker, as the only present employee of the applicant, prepares the contract between Customer and applicant and maintains contact with Customer and responds to complaints and questions as well as maintains the financial and business records of the company in the manner and for the period of time required by law.

Exhibit A-13 “Company History”

OneSource Energy Solutions LLC is a new business created in Ohio on June 25, 2014 with George M. Parker as the sole and managing member.

Exhibit A- 14 “Articles of Incorporation and Bylaws

OneSource Energy Solutions LLC is an Ohio limited liability company. Attached are Articles of Organization filed in with the Ohio Secretary of State.



Form 533A Prescribed by:

Ohio Secretary of State

JON HUSTED
Ohio Secretary of State

Central Ohio: (614) 466-3910

Toll Free: (877) SOS-FILE (767-3453)

www.OhioSecretaryofState.gov

Busserv@OhioSecretaryofState.gov

Date Electronically Filed: 6/24/2014

Articles of Organization for a Domestic Limited Liability Company

Filing Fee: \$125

CHECK ONLY ONE (1) BOX

(1) ☒ Articles of Organization for Domestic
For-Profit Limited Liability Company
(115-LCA)

(2) ☐ Articles of Organization for Domestic
Nonprofit Limited Liability Company
(115-LCA)

Name of Limited Liability Company **ONESOURCE ENERGY SOLUTIONS LLC**

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "Ltd.," or "Ltd"

Effective Date **6/24/2014**

(Optional)

mm/dd/yyyy

(The legal existence of the limited liability company begins upon the filing of the articles or on a later date specified that is not more than ninety days after filing)

This limited liability company shall exist for
(Optional)

Period of Existence

Purpose
(Optional)

**Note for Nonprofit LLCs

The Secretary of State does not grant tax exempt status. Filing with our office is not sufficient to obtain state or federal tax exemptions. Contact the Ohio Department of Taxation and the Internal Revenue Service to ensure that the nonprofit limited liability company secures the proper state and federal tax exemptions. These agencies may require that a purpose clause be provided.

ORIGINAL APPOINTMENT OF AGENT

The undersigned authorized member(s), manager(s) or representative(s) of

ONESOURCE ENERGY SOLUTIONS LLC

Name of Limited Liability Company

hereby appoint the following to be Statutory Agent upon whom any process, notice or demand required or permitted by statute to be served upon the limited liability company may be served. The name and address of the agent is

GEORGE M. PARKER

Name of Agent

10690 LOVELAND MADEIRA ROAD

Mailing Address

LOVELAND

City

OH

State

45140

ZIP Code

ACCEPTANCE OF APPOINTMENT

The undersigned, _____ named herein as the statutory agent

GEORGE M. PARKER

Statutory Agent Name

for

ONESOURCE ENERGY SOLUTIONS LLC

Name of Limited Liability Company

hereby acknowledges and accepts the appointment of agent for said limited liability company

Statutory Agent Signature

GEORGE M. PARKER

Individual Agent's Signature / Signature on Behalf of Business Serving as Agent

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

Required

Articles and original appointment of agent must be signed by a member, manager or other representative.

If authorized representative is an individual, then they must sign in the "signature" box and print their name in the "Print Name" box.

If authorized representative is a business entity, not an individual, then please print the business name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print their name in the "Print Name" box.

GEORGE M. PARKER
Signature

By (if applicable)

Print Name

Signature

By (if applicable)

Print Name

Signature

By (if applicable)

Print Name

Exhibit A-15 “Secretary of State”

OneSource Energy Solutions LLC is an Ohio limited liability company. Attached is the Certificate from the Ohio Secretary of State showing that the Articles of Organization for the company have been filed.



DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
06/25/2014	201417501925	ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO. (LCP)	125.00	0.00	0.00	0.00	0.00

Receipt

This is not a bill. Please do not remit payment.

GEORGE PARKER
10690 LOVELAND MADEIRA ROAD
LOVELAND, OH 45140

**STATE OF OHIO
CERTIFICATE**

Ohio Secretary of State, Jon Husted
2306218

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

ONESOURCE ENERGY SOLUTIONS LLC

and, that said business records show the filing and recording of:

Document(s)

ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO.

Effective Date: 06/24/2014

Document No(s):

201417501925



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio this
25th day of June, A.D. 2014.

Jon Husted

Ohio Secretary of State

Exhibit B- 1 “Jurisdiction of Operation”

Applicant intends to operate in Ohio and is not certified, licensed, registered or otherwise authorized to provide retail or wholesale electric services including aggregation services in any other jurisdiction.

Exhibit B- 2 “Experience & Plans”

I, George M. Parker, have studied the energy management industry and thoroughly reviewed PJM’s website and intend to utilize relationships and consult with competent professionals to create systems that comply with Section 4928.10 of the Revised Code.

While I have no previous experience in this industry I have legal training and training in the PEO industry that will serve applicant’s customers well in ensuring the process is compliant with all rules, regulations and laws governing our conduct.

Exhibit B- 3 "Summary of Experience"

Applicant has no experience in providing aggregation services but will develop the experience through contracting with competent professionals. The two individuals that applicant will be contracting with have industry experience as follows:

Richard Bohon-obtained B.S in Mechanical Engineering from The Ohio State University in 1989 and obtained Masters Degree in Mechanical Engineering from The Ohio State University in 1991. 11 years with Ford Motor Company in Manufacturing Management Program. For 2 ½ years has consulted in electrical utilities for multiple commercial and industrial customers. Work would range load analysis to rate shopping to PLC management. Continue education through seminars, supplier forums, PJM online training and various other sources.

R. Scott Erickson- Scott is a contract Business Development Manager for the another Power Broker/Aggregator and is instrumental with communications, procurement operations, client relation programs and new business development. Scott is an investment and finance professional with a strong proficiency in investment management, strategic planning and solution advancement initiatives. With over twenty-four (24) years of professional experience within the hospitality, Insurance, financial services and now energy management industries,

George M. Parker-has served as an attorney for various companies in Ohio since obtaining his J.D. from Capital University in 1990. He has assisted many companies in maintaining regulatory compliance.

Exhibit B- 4 “Disclosure of Liabilities and Investigations”

Applicant has no information to disclose as a corporate entity. George M. Parker, sole and Managing member of applicant, has no industry specific information to disclose but does disclose the fact that as a state court judge he received a suspension of his privilege to practice law (and serve as a judge) in Ohio Supreme Court case no. 2007-1157 for the period of October 2007 to May 2009. Nothing in that case or any other matter could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

Exhibit C- 1 “Annual Reports”

This exhibit is not applicable as applicant is a sole member Ohio limited liability company.

Exhibit C- 2 “SEC Filings”

The applicant as a sole member Ohio limited liability company is not required to file with the SEC.

Exhibit C- 3 “Financial Statements”

This exhibit is not applicable as applicant is a sole member Ohio limited liability company. The sole and managing member of applicant has no financial statements to provide as it is not needed in the ordinary course of engaging in the practice of law.

Exhibit C- 4 “Financial Arrangements”

Applicant’s business model does not require the buying or reselling of electricity. It will serve sole in the capacity as consultant/representative for customers with distribution utility.

Exhibit C- 5 “Forecasted Financial Statements”

See attached pro forma prepared by George M. Parker, 10690 Loveland Madeira Road, Loveland, Ohio 45140, parkergeo@aol.com (513) 659-6618.

Pro-Forma Balance Sheet

OneSource Energy Solutions LLC

	2014	2015
ASSETS		
Current Assets		
Cash	\$125	\$5,000
Net accounts receivable	\$20,000	\$60,000
Inventory	\$0	\$0
Temporary investment	\$0	\$0
Prepaid expenses	\$0	\$0
Total Current Assets	\$20,125	\$65,000
Fixed Assets		
Long-term investments	\$0	\$0
Land	\$0	\$0
Buildings (net of depreciation)	\$0	\$0
Plant & equipment (net)	\$0	\$0
Furniture & fixtures (net)	\$3,000	\$3,000
Total Net Fixed Assets	\$3,000	\$3,000
TOTAL ASSETS	\$23,125	\$68,000
LIABILITIES		
Current Liabilities		
Accounts payable	\$15,000	\$45,000
Short-term notes	\$0	\$0
Current portion of long-term notes	\$0	\$0
Accruals & other payables	\$0	\$0
Total Current Liabilities	\$15,000	\$45,000
Long-term Liabilities		
Mortgage	\$0	\$0
Other long-term liabilities	\$0	\$0
Total Long-term Liabilities		
SHAREHOLDERS' EQUITY		
Capital stock	\$0	\$0
Retained earnings	\$0	\$8,125
Total Shareholders' Equity		\$8,125
TOTAL LIABILITIES & EQUITY	\$15,000	\$53,125

Exhibit C- 6 “Credit Rating”

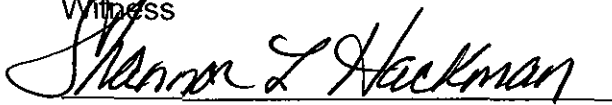
The applicant does not have a credit rating. Attached is a statement of the Sole and Managing Member of Applicant that serves as a guarantee of the obligations of the applicant.

PERSONAL GUARANTY

I, George M. Parker, Guarantor, for and in consideration of OneSource Energy Solutions LLC with its registered office at 10690 Loveland Madeira Road, Loveland, Ohio 45140 ("the company") being granted a certification to serve as an Aggregator/Power Broker by The Public Utilities Commission of Ohio, hereby personally and unconditionally guarantee and promise to pay any obligations of the company I hereby agree to bind myself to pay on demand any sum which may become due to the company, whenever the company shall fail to pay the same. This Personal Guaranty guarantees all debts public and private and extensions of credit including, but not limited to, increases to the credit limit of the company, and is not given in association with any one particular grant of credit or credit application.

It is understood by Guarantor that any defaults, failure to pay when due or credit experience on the part of Guarantor may be reported to a consumer credit reporting agency.

Witness


Shannon L. Heckman


GUARANTOR

Witness



Exhibit C- 7 “Credit Report”

Applicant has no credit history therefore no report.

Exhibit C- 8 “Bankruptcy Information”

Applicant has no information to report.

Exhibit C- 9 “Merger Information”

Applicant has no information to report.