### RENEWAL APPLICATION FOR GOVERNMENTAL AGGREGATORS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-4 Opt-Out Form). All attachments should bear the legal name of the Applicant and should be included on the electronic copy provided. Applicants should file completed applications and all related correspondence with: Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, OH 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

## A. RENEWAL INFORMATION

A-1 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name Symmes Township

Address 9323 Union Cemetery Rd., Symmes Township, OH 45140

PUCO Certificate # and Date Certified 10-253E)2 | August 27, 2012

Telephone # (513) 683-6644 Web site address (if any) http://www.integrysenergy.com/symmes

- A-2 <u>Exhibit A-2 "Authorizing Ordinance"</u> provide a copy of the ordinance or resolution authorizing the formation of a governmental aggregation program adopted pursuant to Section 4928.20(A) of the Revised Code.
- A-3 Exhibit A-3 "Operation and Governance Plan" provide a copy of the applicant's current plan for operation and governance of its aggregation program adopted pursuant to Section 4928.20(C) of the Revised Code. The Operation and Governance Plan explained in Exhibit A-3 should include:
  - Terms and conditions of enrollment including:
    - Rates
    - Charges
    - Switching fees, if any
  - Policies associated with customers moving into/out of aggregation area
  - Billing procedures
  - Procedures for handling complaints and disputes including the toll-free telephone number and address for customer contacts

A-4 Exhibit A-4 Automatic Aggregation Disclosure-"Opt-out Form" provide a copy of the disclosures/"opt-out" required by Section 4928.20(D) of the Revised Code, if its aggregation program provides for automatic aggregation in accordance with Section 4928.20(A) of the Revised Code. If the opt-out is in draft form, docket the final opt-out (including beginning and ending dates of the 21-day -out period and the selected CRES supplier) with the Commission within 10 days prior to providing or offering service. See #12 in the attached Affidavit.

<b>A-5</b>	Contact person for regulatory or e	mergency matters
	Name Brian Eliff	
	Title Township Administrator	<del></del>
	Business address 9323 Union Cemetery I	Rd., Symmes Township, OH 45140
	Telephone #_(513) 683-6644 E-mail address (if any)_belliff@symmesto	ownship.org
<b>A-6</b>	Contact person for Commission St	aff use in investigating customer complaints
	Name Julia Hall	·
	Title Ohio Aggregation Program Manage	r
	Business address 300 W. Wilson Bridge	Rd. Suite 350 Worthington, OH 43085
	Telephone #_(614) 844-4309 E-mail address (if any) jdhall@integryse	Fax #_(614) 844-4305
	E-mail address (if any) jdhall@integryse	nergy.com
<b>A-7</b>		umber for customer service and complaints  Bridge Rd. Suite 350 Worthington, OH 43  Fax #
	The of Applicant & Title	
Sworn Month	and subscribed before me this $\frac{23M}{2}$ d	Year  LINDA L. KEELEY  Print Name and Title
T	prac 7. Hely	LINDA L. KEELEY
Signat	ure of official administering oath	Print Name and Title
-	My commission expire	2/5/10



Linda L Keeley Notary Public, State of Ohio My Commission Expires 03-05-2018

# <u>AFFIDAVIT</u>

State of OHIO:
(Town) ss.
County of HAMILTEN:
Brian Elliff, Affiant, being duly sworn/affirmed according to law, deposes and says that:
He/She is the Administration (Office of Affiant) of Symmes Tup (Name of Applicant);

- That he/she is authorized to and does make this affidavit for said Applicant,
  - 1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
  - 2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
  - 3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
  - 4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
  - 5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
  - 6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
  - 7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
  - 8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
  - 9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
  - 10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

- 11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.
- 12. The Applicant herein, attests that if the opt-out is in draft form, the Applicant will docket the final optout (including beginning and ending dates of the 21-day -out period and the selected CRES supplier) with the Commission within 10 days prior to providing or offering service.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Domini strater

Sworn and subscribed before me this  $18^{72}$  day of 3014Year Month

And L. Kulley
Signature of official administering oath

Print Name and Title

My commission expires on

3/5/18

Linda L Keeley Notary Public, State of Ohio My Commission Expires 03-05-2018

## EXHIBIT A-2 AUTHORIZING ORDINANCE

# AUTHORIZING ORDINANCE CERTIFICATE OF RESULT OF THE ELECTION

**PAGES** 

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## Eshibit A-Z SYMMES TOWNSHIP Authorizing ORDINANCE HAMILTON COUNTY, OHIO BOARD OF TRUSTEES

9323 UNION CEMETERY ROAD SYMMES TOWNSHIP, OHIO 45140-9386

(513) 683-6644 (513) 683-6626 (Fax) www.symmestownship.org

PHILIP J. BECK KENNETH N. BRYANT KATHRYN P. WAGNER

FISCAL OFFICER JOHN C. BORCHERS

ADMINISTRATOR GERALD'L. BECKMAN

# RESOLUTION G-0915

A RESOLUTION AUTHORIZING ALL ACTIONS NECESSARY TO EFFECT A GOVERNMENTAL ELECTRICITY AGGREGATION PROGRAM WITH OPT-OUT PROVISIONS PURSUANT TO SECTION 4928.20, OHIO REVISED CODE, DIRECTING THE HAMILTON COUNTY BOARD OF ELECTIONS TO SUBMIT A BALLOT QUESTION TO THE ELECTORS AND AUTHORIZING THE EXECUTION OF AN AGREEMENT WITH INDEPENDENT ENERGY CONSULTANTS, INC. FOR SUCH PURPOSES AND DECLARING AN EMERGENCY.

WHEREAS, the Ohio Legislature has enacted electric deregulation legislation ("Am. Sub. S.B. No. 3") which authorizes the legislative authorities of townships to aggregate the retail electrical loads located in their respective jurisdictions and to enter into service agreements to facilitate for those loads the purchase and sale of electricity;

WHEREAS, governmental aggregation provides an opportunity for residential and small business customers collectively to participate in the potential benefits of electricity deregulation through lower electric rates which they would not otherwise be able to have individually;

WHEREAS, this Board of Trustees seeks to establish a governmental aggregation program with opt-out provisions pursuant to Section 4928.20 of the Ohio Revised Code (the "Aggregation Program") for the residents, businesses and other electric consumers in the Township and in conjunction jointly with any other political subdivision of the State of Ohio, as permitted by law.

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF TRUSTEES OF SYMMES TOWNSHIP OF HAMILTON COUNTY AND STATE OF OHIO, THAT:

SECTION 1. This Board of Trustees finds and determines that it is in the best interest of the Township, its residents, businesses and other electric consumers located within the corporate limits of the Township to establish the Aggregation Program in the Township. Provided that this Resolution and the Aggregation Program is approved by the electors of the Township pursuant to Section 4 of this Resolution, the Township is hereby authorized to aggregate in accordance with Section 4928.20 of the Ohio Revised Code, the retail electrical loads located within the Township.

<u>SECTION 2.</u> For the purpose of the aggregation, the Township is authorized pursuant to Section 4928.20 of the Ohio Revised Code to enter into service agreements, individually or jointly with other political subdivisions of the State of Ohio, to facilitate for those loads the sale and purchase of electricity.

SECTION 3. The aggregation will occur automatically for each person owning, occupying, controlling, or using an electric load center proposed to be aggregated and will provide for the opt-out rights described in Section 5 of this Resolution.

SECTION 4. The Board of Elections of Hamilton County is hereby directed to submit the following question to the electors of the Township at the general election on November 9, 2009:

Shall Symmes Township have the authority to aggregate the retail electric loads located in the Township, and for that purpose, enter into service agreements to facilitate for those loads the sale and purchase of electricity, such aggregation to occur automatically except where any person elects to opt-out, all in accordance with Section 4928.20 of the Ohio Revised Code and Resolution Number G-0915 adopted by Symmes Township Board of Trustees.

The Fiscal Officer of this Board of Trustees is instructed immediately to file a certified copy of this Resolution and the proposed form of the ballot question with the Hamilton County Board of Elections not less than seventy-five (75) days prior to November 9, 2009. The Aggregation Program shall not take effect unless approved by a majority of the electors voting upon this Resolution and the Aggregation Program provided for herein at the election held pursuant to this Section 2 and Section 4928.20, Ohio Revised Code.

SECTION 5. Upon the approval of a majority of the electors voting at the general election provided for in Section 4 of this Resolution, this Board of Trustees individually or jointly through the Township's consultant shall develop a plan of operation and governance for the Aggregation Program. Before adopting such plan, this Board of Trustees shall hold at least two public hearings on the plan. Before the first hearing, notice of the hearings shall be published once a week for two consecutive weeks in a newspaper of general circulation in the Township. The notice shall summarize the plan and state the date, time, and location of each hearing. No plan adopted by this Board of Trustees shall aggregate the electrical load of any electric load center within the Township unless it in advance clearly discloses to the person owning, occupying, controlling, or using the load center that the person will be enrolled automatically in the Aggregation Program and will remain so enrolled unless the person affirmatively elects by a stated procedure not to be so enrolled. The disclosure shall state prominently the rates, charges, and other terms and conditions of enrollment. The stated procedure shall allow any person enrolled in the Aggregation Program the opportunity to opt-out of the program every two years, without paying a switching fee. Any such person that

opts-out of the Aggregation Program pursuant to the stated procedure shall default to the standard service offer provided under division (a) of Section 4928.14 or division (d) of Section 4928.35 of the Ohio Revised Code until the person chooses an alternative supplier.

SECTION 6. That this Board of Trustees finds and determines that all formal actions of the Board of Trustees concerning and relating to the adoption of this Resolution were taken in an open meeting of this Board of Trustees and that all deliberations of this Board of Trustees and of any committees that resulted in those formal actions were in meetings open to the public in compliance with the law.

BE IT FURTHER RESOLVED BY THE BOARD OF TRUSTEES OF SYMMES TOWNSHIP OF HAMILTON COUNTY AND STATE OF OHIO, THAT this Resolution is declared to be an emergency measure necessary for the immediate preservation of the public health, safety and welfare of the Township, and for the further reason that this Resolution is required to be immediately effective in order to file a certified copy of this Resolution and the proposed form of the ballot question with the Board of Elections of Hamilton County not later than seventy-five (75) days prior to the November 9, 2009 election, as provided herein; wherefore, this Resolution shall be in full force and effect immediately upon its adoption and certification.

ADOPTED JUNE 2, 2009

Vote Record: Mr. Bryant Mr. Beck Mrs. Wagner Aye

**BOARD OF TRUSTEES:** 

Kenneth Bryant, President

Kathryn P. Wagner, Vice-President

Philip J. Beck, Trustee

ATTEST:

APPROVED AS TO FORM:

Jolin C. Borchers, Fiscal Officer

Robert P Malloy Law Direct

## SYMMES TOWNSHIP

HAMILTON COUNTY, OHIO

9323 UNION CEMETERY ROAD SYMMES TOWNSHIP, OHIO 45140-9386

> (513) 683-6644 (513) 683-6626 (Fax) www.symmestownship.org

Exhibit A - Zhorizing

L Authorizing

BOARD OF TRUSTEES

HAMILTON COUNTY PHILIP J. BECK BOARD OF ELECTIONSKENNETH N. BRYANT 2009 JUN - 5 AM 10: 19 KATHRYN P. WAGNER

> FISCAL OFFICER JOHN C. BORCHERS

ADMINISTRATOR GERALD L. BECKMAN

#### RESOLUTION G-0915

A RESOLUTION AUTHORIZING ALL ACTIONS NECESSARY TO EFFECT A
GOVERNMENTAL ELECTRICITY AGGREGATION PROGRAM WITH OPT-OUT
PROVISIONS PURSUANT TO SECTION 4928.20, OHIO REVISED CODE, DIRECTING
THE HAMILTON COUNTY BOARD OF ELECTIONS TO SUBMIT A BALLOT QUESTION
TO THE ELECTORS AND AUTHORIZING THE EXECUTION OF AN AGREEMENT WITH
INDEPENDENT ENERGY CONSULTANTS, INC. FOR SUCH PURPOSES AND
DECLARING AN EMERGENCY.

WHEREAS, the Ohio Legislature has enacted electric deregulation legislation ("Am. Sub. S.B. No. 3") which authorizes the legislative authorities of townships to aggregate the retail electrical loads located in their respective jurisdictions and to enter into service agreements to facilitate for those loads the purchase and sale of electricity;

WHEREAS, governmental aggregation provides an opportunity for residential and small business customers collectively to participate in the potential benefits of electricity deregulation through lower electric rates which they would not otherwise be able to have individually;

WHEREAS, this Board of Trustees seeks to establish a governmental aggregation program with opt-out provisions pursuant to Section 4928.20 of the Ohio Revised Code (the "Aggregation Program") for the residents, businesses and other electric consumers in the Township and in conjunction jointly with any other political subdivision of the State of Ohio, as permitted by law.

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF TRUSTEES OF SYMMES TOWNSHIP OF HAMILTON COUNTY AND STATE OF OHIO, THAT:

SECTION 1. This Board of Trustees finds and determines that it is in the best interest of the Township, its residents, businesses and other electric consumers located within the corporate limits of the Township to establish the Aggregation Program in the Township. Provided that this Resolution and the Aggregation Program is approved by the electors of the Township pursuant to Section 4 of this Resolution, the Township is hereby authorized to aggregate in accordance with Section 4928.20 of the Ohio Revised Code, the retail electrical loads located within the Township.

# CERTIFICATE OF RESULT OF ELECTION ON QUESTION OR ISSUE Revised Code, Section 3501.11

State of Ohio County of	Hamilton		_}	
The Board	d of Elections o	f	Hamilton	County hereby
certifies that at th	e election held	in the	Symnies Town	ship (Name of Subdivision)
	day of	November	, 2009	, the vote cast on the following issue was
as follows:				
Proposed	electric aggre		niscellaneous question	n, etcdescribe fully)
	(		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	, o.e,
MMMMM accommodation or conservation or a service and conservation of selection	······································			
VotesYes				2,810
(For, yes, etc	:as on ballot)			(Number)
Votes No				1,549
(No, against,	etcas on ballot)			(Number)
Total vote cast on	issue:			4,359
				(Number)
IN WI	TNESS WHER	EOF, we have he	ereunto subscribed	four names officially atCincinnati
Ohio, this 21st	day	November		
			Alway	M. Burer Chair
Sac Atlest:	llzg	Crusa	Charles H.	Radford

BOARD OF ELECTIONS

Hamilton	County,	Ohio

# EXHIBIT A-3 OPERATION AND GOVERNANCE PLAN

## RESOLUTION TO ADOPT PLAN AFFAIDAVIT OF PUBLICATION COPY OF PLAN

**PAGES** 

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Exhibit A.3. to Adopt Pleasymmes township Assolution Board of trustees Phillip J. BECK

HAMILTON COUNTY, OHIO

9323 UNION CEMETERY ROAD SYMMES TOWNSHIP, OHIO 45140-9386

> (513) 683-6644 (513) 683-6626 (Fax) www.symmestownship.org

JODIE L. LEIS

FISCAL OFFICER

JOHN C. BORCHERS

KENNETH N. BRYANT

ADMINISTRATOR GERALD L. BECKMAN

### RESOLUTION G2010-19

Resolution Adopting The Natural Gas and Electric Aggregation Programs' Plans of Operation and Governance

WHEREAS, the voters of Symmes Township have authorized the pursuit of Governmental Aggregation for Natural Gas and Electric Utilities; and

WHEREAS, the plans of operation and governance for natural gas Governmental Aggregation and Electric Governmental Aggregation set forth in general terms the plans for coordinating with residents and utility suppliers.

NOW THEREFORE, BE IT RESOLVED by the Board of Trustees of Symmes Township, Hamilton County, Ohio that:

Section 1. The Board of Trustees hereby authorize and approve the plan of operation and governance for natural gas Governmental Aggregation as set forth in attachment one and the plan of operation and governance for Electric Aggregation set forth on attachment two.

Section 2. This Resolution shall become effective from and after the earliest period allowed by law.

ADOPTED JULY 6, 2010

Vote Record: Mr. Beck AVE Mr. Bryant Ave Mrs. Leis Que

### RESOLUTION G2010-19

ATTEST:

John C. Borchers, Fiscal Officer

BOARD OF TRUSTEES:

Philip J. Beck, President

Kenneth Bryant, Vice-President

Jodie Leis, Trustee

APPROVED AS TO FORM:

Robert P. Malloy, Law Director

### CERTIFICATE OF FISCAL OFFICER

IT IS HEREBY CERTIFIED that the foregoing is a true and correct transcript of a resolution G2010-19 adopted by this Board of Symmes Township in regular session the 6th day of July, 2010.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the Official Seal of the Office of the Fiscal Officer of Symmes Township this 6th day of July, 2010.

John C. Borchers, Fiscal Officer

# EXHIBIT A.3

## Affidavit of Publication

Publisher's Fee 1,070.80 Affidavit Charge 10.00

State of Ohio	}
	}
	} SS
	}
Hamilton County	}

Personally appeared Janice Coston

Of the The Enquirer, a newspaper printed in Cincinnati, Ohio and published in Cincinnati, in said County and State, and of general circulation in said county, and as to the Kentucky Enquirer published in Ft. Mitchell, Kenton County, Kentucky, who being duly sworn, deposeth and saith that the advertisement of which the annexed is a true copy, has been published in the said newspaper 2 times, once in each issue as follows:

5/03/10 5/10/10

✓ Cincinnati Enquirer

☐ Kentucky Enquirer

Cincinnati.Com

Public Notice: Public Hearing on Symmes Township's Electric Aggregation Program Plan of Operation and Governance

Symmes Township will hold two public hearings on Symmes Township's Electric Aggregation Program Plan of Operation and Governance. The hearings will be neld at 8:00 p.m. on May 27, 2010, both meetings to be held at the Symmes Township. Safety Service Center, 8871 Weekly Lane, Cincinnati, Ohi 45140.

nati, OH 45140. In November 2008; Symmes Township voters passed Electric Aggregation, which authorized the Township to form a governmental electric aggregation for the purchase of electricity on behalf of Symmes Township residents. Symmes Township shall submit its aggregation Plan of Operation for Electric Aggregation to the Fublic Utilities Commission pursuant to PUCO Regulation and Ohio law Symmes Township shalf develop an opt-out aggregation program following the procedures set forth in Ohio Revised Code Section 4928.08 Integrys Energy Services, Inc. (Integrys) is the consultant arranging for the retail efective service provider to the aggregate. Subject to the final approval of the Symmes Township Board of Trustees, will enter into an Electric Aggregation Supply Agreement with a certified supplier. Pricing for the program may provide a fixed rate, a variable rate, and/or a guaranteed percent savings for eligible customers that provide more price certainty and stability than the rates currently offered by Duke Energy. The offer is estimated to begin in August or September of 2010.

or September of 2010.

All eligible customers (Dulce Energy customers) to be included in the Township's aggregate will be included unless they opt-out. All customers eligible to be included in the Township's aggregate will receive a separate mailing notifying them of their right to opt-out. The Notice will fully explain the rates, terms and conditions and general information regarding the Program. If a customer does not wish to be included in the program, the customer will have 21 days to opt-out of the program free of charge by returning an enclosed post card or calling a toll free telephone number. New customers moving in Symmes Township will be included in the aggregate unless they exercise their right to opt-out of the aggregate. Customers moving within the Township will retain their status at the original rate. Aggregate Customers

Tence Colston

AFFIANT Sworn to before me, this

May 11,2010 Poberta D. Hyde

Notary Public of Ohio

My O

Roberta D. Hyde Notary Public, State of Ohio My Commission Expires 05-19-2013

# EXHIBIT 4.9 of Plan

## SYMMES TOWNSHIP, HAMILTON COUNTY, OHIO

## **ELECTRIC AGGREGATION PROGRAM**

## PLAN OF OPERATION AND GOVERNANCE

#### For More Information Contact:

Mr. Gerald L. Beckman Symmes Township Administrator 9323 Union Cemetery Road Symmes Township, Ohio 45140-9386 513-683-6644

### PLAN OF OPERATION AND GOVERNANCE Electric Governmental Aggregation

Introduction. On November 3, 2009, a majority of the voters in the Township of Symmes, OH, in the County of Hamilton, approved a referendum that authorized Symmes Township (the "Township") to pursue Automatic Governmental Aggregation. After the Township held two public hearings on the matter, the Township approved this Plan of Operation and Governance as prescribed by Section 4928.20 of the Ohio Revised Code. The Township has developed this Plan of Operation and Governance ("Plan of Operation") in accordance with the governmental aggregation provisions in Sections 4901:1-21-16 Ohio Administrative Code. Once certified as a Governmental Aggregator, the Township will be authorized to combine multiple retail electric customer loads within its geographic boundaries (the "Aggregation") for the purpose of facilitating the purchase of electric supply in Ohio's competitive retail electric market.

Governmental Aggregation Services. The Township, as a Governmental Aggregator, will serve as purchasing agent for the Aggregation. As purchasing agent, the Governmental Aggregator shall (i) select a Competitive Retail Electric Service Provider ("Provider") to supply the Aggregation, (ii) negotiate the terms of supply between the Provider and each Aggregation participant, and (iii) oversee the enrollment procedures administered by the Provider.

Additional Agreements. The Supply Agreement to be negotiated by the Governmental Aggregator for the Aggregation shall be for firm, all-requirements supply. The Government Aggregator and Supplier recognize that the Supply Agreement and the Automatic Aggregation Program Agreement shall contain terms and provisions in addition to those set forth herein. Each Aggregation participant will be individually bound to the Supplier by the terms of the Supply Agreement, and will be solely responsible for payment and performance. The electric supply charges for the Aggregation are included in the Supply Agreement that will be negotiated by the Governmental Aggregator. The electric supply charges will take the form of either a fixed price or a variable price. All electric supply charges will be fully and prominently disclosed in consumer enrollment materials (such as the Opt-out Notice), available on the Supplier's website, and available by calling the Provider's toll free customer service telephone number. The surcharge authorized under Section 4928:20 (I) will not be charged. Nothing in this Plan nor in the Supply Agreement shall be construed as establishing any obligation by the Government Aggregator to pay for any amounts that may become due by individual participants in the Aggregation.

Eligibility, Opt-out Disclosures, and Pooling Accounts. Section 4901:1-21-06 of the Ohio Administrative Code requires the Utility to use its best efforts to provide the Governmental Aggregator with an account list of eligible customers, including the names, account numbers, and service and mailing addresses for all eligible customers residing within the Governmental Aggregator's boundaries. The following customers are not eligible: customers already under contract with a certified electric services company; customers that have a special contract with the Utility; customers that are not located within the Governmental Aggregator's boundaries; customers on the Percentage of Income Payment Plan (PIPP); customers that have past due amounts owing to the Utility; and mercantile customers. In addition, the Township intends to include in the Aggregation only those residential and non-mercantile customers with a demand of less than 100 KW and a load profile consistent with ordinary residential and small commercial use. Using this list of eligible accounts, the Provider, with the assistance of the Governmental Aggregator, will review the list to verify that the eligible accounts are located within the geographic boundaries of

the Township and that an area within the Township boundaries has not been inadvertently filtered from the list. The Provider will also remove the eligible list, those customers who appear on the "do not aggregate" list maintained under division (c) of section 4928.21 of the Revised Code. Finally the Provider will reduce the list by removing those accounts in rate classes that indicate (i) a higher demand than targeted for the Aggregation or (ii) a usage profile that is not consistent with ordinary residential and small commercial use.

Within thirty (30) days of receipt of the list from the Utility, the Provider, with assistance from the Governmental Aggregator, will prepare and mail an "Opt-out Notice" to each account that remains on the eligible list after it has been reduced as noted above. The Opt-out Notice will inform the eligible account holder that the Governmental Aggregator has formed an automatic (or "Opt-out") aggregation, provide the price for the electric supply to the Aggregation and other terms and conditions of service, and explain how the account holder can decline participation in the Aggregation. In the event the Township determines the Aggregation participants should not purchase stand-by service from the Utility, that fact would be prominently disclosed in the Opt-out Notice with a description of how it would impact the Aggregation participants.

As required by 4901:1-21-17 of the Ohio Administrative Code, the Opt-out Notice will indicate that the account holder has 21 days to affirmatively respond by telephoning a toll-free number or returning a postcard to the provider that is included in the Opt-out Notice.

The Provider will receive all Opt-out requests and any Opt-out Notices that were undeliverable by mail, and will remove those accounts from the eligible account list. Upon completion of the 21 day Opt-out period, the Provider will notify the Utility of the remaining accounts that will form the Aggregation, and through an electronic data interchange transaction, enroll the Aggregation. Upon enrollment, each participant will receive an enrollment notice from the Utility that will indicate that the enrollee may rescind its participation in the Aggregation without penalty by contacting the Utility within seven (7) business days from the date of the enrollment.

In addition to the initial 21-day Opt-out period, each participant will be provided an opportunity to opt-out every two (2) years without paying an early termination fee. The Provider shall document all notices in accordance with PUCO Regulations.

Billing. Aggregation participants will receive a single, monthly bill from the Utility, which will include charges from the Provider for its electric supply, as well as the Utility distribution charges. Aggregation participants will be billed according to their Utility billing cycle. In order to maintain flexibility for Aggregation participants to return to Utility service on a full requirements basis without paying additional charges to the Utility or being subject to market-based rates, the Aggregation participants will be billed by the Utility for stand-by service within the meaning of division (B)(2)(d) of section 4928.143 of the Revised Code.

<u>Credit, Collections and Deposits.</u> The Utility's credit and collection policy and policies regarding deposits will apply to the Aggregation participants and shall be administered by the Utility. Neither the Governmental Aggregator, nor the Provider will implement additional policies with respect to credit, deposits and collections.

Concerns and Complaints. Aggregation participants will have multiple means of expressing concerns and reporting complaints. As a general rule, concerns regarding service reliability and billing should be directed to the Utility. The Utility will continue to read meters, handle billing, and generally have the most information about the physical service to a location or account. Questions regarding the administration of the Aggregation should be directed to the Provider. The Provider's customer service center is available by telephone 24 hours per day, 7 days per week. Any unresolved disputes should be directed to the Public Utilities Commission of Ohio and/or the Ohio Consumers Council. As a convenience, below is a list of helpful toll free telephone numbers.

Natural of Complaint	Contact	Phone Number
Outages/Emergencies	Utility	1-800-634-4300
Service turn on/off	Utility	1-800-544-6900
Billing Disputes	Utility	1-800-544-6900
Price/Joining/Leaving Program	Provider Customer Service	To be provided
Program Regulatory Questions	Provider Customer Service	To be provided
Unresolved Disputes	Public Utilities Commission	1-800-686-7826
Unresolved Disputes	Ohio Consumers Council	1-877-742-5622

The Provider will attempt to resolve all customer complaints in a timely and good faith manner. The Provider shall investigate and provide a status report to the customer when the complaint is made directly to them and/or the Township within three (3) business days following receipt of the complaint. Or in the case of a Public Utilities Commission of Ohio ("PUCO") complaint the Provider will investigate and provide a status report to the customer and PUCO staff within three (3) business days following receipt of the complaint. If an investigation into a complaint received from the customer or a complaint referred by the PUCO is not completed within ten (10) business days, then a status report will be given to the customer, and, if applicable, the PUCO. These status reports will be given every three (3) business days until the investigation is complete, unless the action that must be taken takes longer than three (3) business days and the customer has been notified. Final results of a Commission-referred complaint will be provided to the PUCO either orally (phone) or in writing (e-mail, written correspondence), no later than three (3) business days after the investigation is completed. The final results will be provided in writing to the customer no later than three (3) business days after the investigation is completed. Customers retain the right to contact the PUCO regarding complaints and disputes. All customers have the right to contact the PUCO by writing to Public Utilities Commission of Ohio, ATTN: IAD, 180 E. Broad St., 43215-3793; by fax to (614) 752-8351; through their website at www.puc.state.oh.us or by calling toll free (800) 686-7826 (VOICE) or (800) 686-1570 (TTY-TDD). Records of customer complaints will be retained by the Provider for two (2) years after the occurrence of the complaint. A copy of the complaint record will be provided to the PUCO within three (3) business days, if requested.

Moving within the Township. Aggregation participants that move from one location to another within the Township boundaries and retain the same account number will remain an Aggregation participant and will receive the same price they would have received if their location had not moved.

Aggregation participants who move from one location to another within the Township boundaries and are assigned a new account number may enroll their new account in the Aggregation

and receive the same price as they would have received if their location had not moved, provided the new account is eligible for Aggregation. Participants who move and receive a new account number may be dropped from the Aggregation by the Utility, but they will not be charged an early termination fee from the Provider. If a participant is dropped from the Aggregation due to a move within the Township, the participant should contact the Provider to be re-enrolled.

Moving outside of the Township. Aggregation participants who move out of the Township boundaries will no longer be eligible to participate in the Aggregation, but they will not be charged an early termination fee from the Provider.

Enrolling after the Opt-out Period. Residential and small business accounts located within the Township's boundaries that were initially eligible to join the Aggregation, but chose to Opt-out of the Aggregation, or otherwise weren't included in the Aggregation, may join the Aggregation after the expiration of the initial Opt-out Period by contacting the Provider. The rate for those joining the Aggregation after the expiration of the Opt-out Period may be different from the rate negotiated for the Aggregation by the Governmental Aggregator.

In the event that the Provider is able to offer to newly eligible customers the same price that is provided to the current Aggregation participants, the Provider may refresh the Aggregation by providing those who move in to the Township the opportunity to be included automatically, rather than waiting until the next pricing term of the Contract. The process for refreshing the Aggregation with new enrollments would follow the process noted above for determining eligibility, providing Opt-out Notices, and pooling the accounts. (Current Aggregation participants and those who previously declined participation would not receive the Opt-out Notice intended only for newly eligible customers.)

761571.1

# A SUPPLY AGREEMENT SHALL BE NEGOTIATED AND ENTERED INTO AFTER CERTIFICATION.

# EXHIBIT A-4 AUTOMATIC AGGREGATION DISCLOSURE NOTIFICATION

LETTER AND OPT-OUT NOTICE

**PAGES** 

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# Symmes Township Electric Aggregation Program



November 12, 2013

Customer Name Mailing Address1 Mailing Address2 City State Zipcode

#### Regarding service at:

Premise address
Premise address cont.

Dear Symmes Township Customer,

In November of 2009, Symmes Township voters authorized by majority vote the creation of an Electric Aggregation Program by the Township to seek lower electricity rates for eligible residential and small business customers.

Recently, Symmes Township selected Integrys Energy Services, Inc. to supply electricity to the Township's Electric Aggregation Program. As a resident or small business owner of Symmes Township, you are eligible to participate in this program.

You will be automatically enrolled in the program unless you opt out by December 3, 2013.

#### **How You Benefit**

The Township has negotiated a competitive price of 5.29¢/kWh. Integrys' rate is fixed from your first meter read on or after December 3, 2013 meter read through your December 2016 meter read. A fixed price offers budget stability. Please see the enclosed Terms and Conditions for full details.

# Three things you should know



- ✓ If you do nothing, you will be automatically enrolled in Symmes Township's Program.
- ✓ Duke Energy-Ohio will continue sending monthly bills, responding to outages, and delivering your electricity.
- ✓ No one from Symmes Township's program will ever come to your door or call you to ask you to switch to a new supplier. Anyone who comes to your door or calls you asking you to switch is not with Symmes' program.

## **Billing / Service Delivery Unchanged**

Other than the price and supplier, nothing regarding your electricity service will change. You will continue to receive one monthly bill from Duke Energy-Ohio. Duke Energy-Ohio will continue to deliver your electricity, restore power following an outage, and be responsible for maintaining the system that delivers power to your home.

#### **Enrollment Information**

After your enrollment is finalized, Duke Energy-Ohio will send you a letter confirming your enrollment. As required by law, this letter will inform you of your ability to rescind your enrollment without penalty.

#### **How to Opt-Out**

If you do not wish to participate in the Symmes Township Electric Aggregation Program, you must opt-out by DECEMBER 3, 2013. To opt out, return the enclosed postcard. You may also opt-out by contacting Integrys toll-free at 877-780-1153 or online at www.integrysenergy.com/symmes. If you do not opt-out, you will be enrolled in the Symmes Township Electric Aggregation Program. At the end of this current three year term in December 2016, you will again be provided with an opportunity to opt-out of the Township's Aggregation Program, or you may terminate the agreement at any time without penalty per Section 10 of the Electricity Purchase and Sale Terms and Conditions.

If you have any questions, please refer to the Frequently Asked Questions on our website at www.integrysenergy.com/symmes or contact Integrys toll-free at 877-780-1153. Representatives are available 24 hours a day, 7 days a week.

Sincerely,

Integrys Energy Services, Inc.

**Enclosure: Terms and Conditions** 



# Symmes Township Electric Aggregation Program



### **Electricity Purchase and Sale Terms and Conditions Opt-Out Aggregation**

The Symmes, Ohio ("Township"), pursuant to the aggregation authority conferred upon it by electorate vote, which passed by a majority vote on November3, 2009, establishing the program, selected Integrys Energy Services, Inc. ("Seller") to supply the aggregation and to administer enrollments as described below. You, the account holder (also referred to as "Buyer") for the eligible account associated with the service address referenced on the letter accompanying these Electricity Purchase and Sale Terms and Conditions (the "Account"), and Seller agree to the following terms and conditions. Seller and Buyer (individually referred to as "Party" and collectively as "Parties") agree to the following Electricity Purchase and Sale Terms and Conditions ("Agreement"), as of October 31, 2013 (the "Effective Date"):

- 1. Opt-Out Enrollment: Enrollment is automatic for those who are eligible, but participation is voluntary. IF YOU DO NOT WISH TO PARTICIPATE, YOU MUST OPT-OUT BY (1) RETURNING THE POSTCARD POSTMARKED NO LATER THAN DECEMBER 3, 2013, (2) BY CALLING 1-877-780-1153 BY DECEMBER 3, 2013 OR (3) BY OPTING-OUT ON SELLER'S WEBSITE AT WWW.INTEGRYSENERGY.COM/OH-SYMMES. If you choose to opt-out, you will be served by the standard service offer of Duke Energy Ohio (the "Utility") or until you choose an alternative supplier of electric service.
- **2. Eligibility:** To be eligible for opt-out aggregation, Buyer and the Accounts to be served (i) must be located within the Township's jurisdictional boundaries, (ii) must be served by the Utility, (iii) may not be under contract with another competitive supplier, (iv) may not be on the Public Utilities Commission of Ohio ("PUCO") "do not aggregate" list, (v) must be in good standing with the Utility (including payment history), and (vi) may not be under a Utility special arrangement or percentage of income payment plan (PIPP).
- **3. Term and Renewal:** This Agreement shall become binding on the Effective Date, provided however, the obligation of Seller to sell and schedule electricity for delivery to Buyer and the obligation of Buyer to purchase, take and pay for electricity is contingent upon: (a) eligibility of Buyer and the Accounts, and (b) passage of the Rescission Period without effective cancellation by Buyer. Service will commence on meter read dates in January 2014 and shall remain in effect through the December 2016 meter read ("Initial Term"), unless terminated pursuant to the terms of this Agreement. In the event ineligibility is determined after service commences, Seller shall provide notice of the same to Buyer and return Buyer to the Utility.
- **4. Rescission Period:** The Utility will send Buyer a letter confirming transfer of service upon processing of Buyer's enrollment and Buyer will have 7 days from the postmark date of that letter to cancel its enrollment, without penalty, ("Rescission Period") by calling the Utility on the toll-free number provided in the letter or by providing written notice to the Utility.
- **5. Price:** For each billing cycle of Initial Term, Buyer shall pay a Fixed Rate of \$0.0529 per kWh, multiplied by the billing cycle usage for the Accounts. Both Parties recognize that Seller's charges include tariff charges that are set forth by the Utility, transmission provider, regional transmission organization or independent system operator ("RTO/ISO"), the Federal Energy Regulatory Commission, PUCO, and/or any other state or governmental agency having jurisdiction (each an "Authorized Entity"). Seller may pass through to Buyer, without markup as a separate line item or as an updated Fixed Rate, (a) any increase in such tariff charges or (b) other increase in Seller's cost to provide electricity that result from an addition to, a change in, or change in interpretation by an Authorized Entity of, or change in administration by an Authorized Entity of, tariffs, operating protocols, laws, regulations, or other requirements of an Authorized Entity, as applicable. Buyer will also incur delivery and other additional service charges from the Utility. Switching fees may apply when service is established with Seller (which will be reimbursed by Seller up to \$5, if applicable, and further provided Buyer contacts Seller, and requests reimbursement therefore), Buyer will not be charged separately by Seller for a switching fee.
- **6. Billing and Payment:** Buyer will be invoiced by the Utility for both Seller's charges and the Utility's delivery charges. Such billing and payment (including fees associated with late payments) shall be subject to the applicable Utility rules regarding billing and payment procedures. Seller may cause the Utility to correct previous invoices in the event of invoicing errors. Seller does not offer budget billing.
- **7. Taxes:** Any tax levied against Seller by any governmental entity, exclusive of Seller's income tax or taxes levied on Seller's real or personal property, that must be paid by Seller shall be passed through to and borne and reimbursed by Buyer. Buyer must provide Seller with any applicable exemption certificates. Buyer shall pay any such taxes unless Seller is required by law to collect and remit such taxes, in which case Buyer shall reimburse Seller for all amounts so paid. Seller represents and warrants that there are no known additional taxes as of the date of this Agreement.

- 8. Limitations: ALL ELECTRICITY SOLD HEREUNDER IS PROVIDED "AS IS", AND SELLER EXPRESSLY DISCLAIMS ALL OTHER WARRANTIES, WHETHER EXPRESS OR IMPLIED, INCLUDING BUT NOT LIMITED TO ANY IMPLIED WARRANTY OF MERCHANTABILITY OR FITNESS FOR PARTICULAR PURPOSE. IN NO EVENT WILL EITHER PARTY BE LIABLE UNDER THIS AGREEMENT, WHETHER IN AGREEMENT, IN TORT (INCLUDING NEGLIGENCE AND STRICT LIABILITY), OR OTHERWISE, FOR INDIRECT, INCIDENTAL, CONSEQUENTIAL, SPECIAL, OR PUNITIVE DAMAGES.
- **9. Environmental Disclosure:** The disclosure provided herewith describes the generation resource mix and environmental characteristics of the electricity. To receive this disclosure by e-mail, Buyer may request such by contacting Seller.
- **10. Termination; Remedies:** Seller may terminate Buyer's service under this Agreement for non-payment with at least 14 days written notice. Failure to pay Utility invoices may result in Buyer being disconnected in accordance with the Utility tariff. Buyer may terminate this Agreement **AT ANY TIME WITHOUT PENALTY.**
- **11. Force Majeure:** Except for Buyer's obligation to pay Seller timely, neither Party shall be liable to the other for failure to perform an obligation if the non-performing Party was prevented from performing due to an event beyond the reasonable control, that could not be remedied by the exercise of due diligence and that was not reasonably foreseeable, including without limitation, acts of God, a condition resulting in the curtailment of electricity supply or interruption or curtailment of transmission on the electric transmission and/or distribution system, interruption of Utility service, terrorist acts or wars, and force majeure events of the Utility or RTO/ISO.
- 12. Questions, Complaints and Concerns: Buyer may contact Seller 24 hours per day, 7 days per week at 1-877-780-1153. Seller's mailing address is 1716 Lawrence Drive, DePere, WI 54115, and its website is www.integrysenergy.com. Seller will attempt to resolve all customer complaints in a timely manner and will respond to all complaints within 5 business days of receipt. If Buyer's complaint is not resolved after Buyer has called Seller and/or the Utility, or for general utility information, residential and business customers may contact the PUCO for assistance at 1-800-686-7826 (toll-free), or for TTY toll free at 1-800-686-1570 (toll-free), from 8a.m. to 5:00p.m. weekdays, or at www.PUCO.ohio.gov. Residential customers may also contact the Ohio Consumers' Counsel for assistance with complaints and utility issues at 1-877-742-5622 (toll free) from 8:00 a.m. to 5:00 p.m. weekdays, or at www.pickocc.org.
- 13. Miscellaneous: Buyer hereby authorizes the Utility to release data to Seller regarding Buyer's historical or current billing and usage data. This Agreement shall be governed by and construed in accordance with the laws of the State of Ohio and any applicable Utility tariffs. Buyer appoints Seller as its agent for the purposes of effectuating delivery, including for receipt of billing and usage data from the Utility. Title, possession, control of the electricity, and risk of loss will pass from Seller to Buyer at the interconnect between the applicable RTO/ISO's transmission system and the Utility's distribution system. Subject to regulatory approvals and notice from Seller, Seller may assign this Agreement without Buyer's consent. Buyer may assign this Agreement only with Seller's prior written consent. This Agreement constitutes the entire agreement between the Parties, superseding all verbal and written understandings. The Parties acknowledge and agree that (a) this Agreement constitutes a "forward contract" and/or "forward agreement" within the meaning of title 11 of the United States Code (the "Bankruptcy Code"), (b) each Party is a "forward contract merchant" within the meaning of the Bankruptcy Code, (c) for purposes of this Agreement, each Party is not a "utility" within the meaning of Section 366 of the Bankruptcy Code, and (d) each Party agrees to waive and not to assert the applicability of Section 366 of the Bankruptcy Code in any bankruptcy proceeding wherein such Party is a debtor, and (e) each Party further agrees to waive the right to assert that the other Party is a provider of last resort. This Agreement shall only be amended in a writing signed by both Parties or pursuant to Section 4 hereof. By agreeing to the terms and conditions herein, Buyer warrants the he or she is authorized to enter into this Agreement on behalf of the Party and Accounts for which it was made. Buyer should contact the Utility in the event of an electricity emergency. Seller is prohibited from disclosing Buyer's social security number and/or account number(s) without Buyer's affirmative written consent, except for the purpose of (i) Seller's collections and credit reporting, (ii) participation in programs funded by the universal service fund, (iii) pursuant to section 4928.54 of the Ohio Revised Code, or (iv) assigning this Agreement to another certified retail electric provider. Buyer may request from Seller, twice within a 12-month period, up to 24 months of Buyer's payment history without charge.

# EXHIBIT A-5

2 PAGES

**EXPERIENCE** 

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### EXHIBIT A-5 EXPERIENCE

Integrys Energy Services, Inc.'s ("Integrys Energy") ability to successfully serve as a CRES Provider is amply demonstrated through our past and current performance as both a retail electric and natural gas provider. We have been successful to-date in the Ohio retail electric and gas markets. Our efforts comply with the requirements of Commission rules adopted pursuant to the Ohio Revised Code. This includes such activities as telephone solicitation of customers, customer enrollment methods, customer service activities and response to customer concerns.

Integrys Energy, through our office in Worthington, OH has participated in Ohio Choice Programs since 1986 as Fuel Services Group, since 1994 under WPS Energy Services, Inc., since February 2007 as Integrys Energy Services, Inc. and as Integrys Energy Services-Natural Gas, LLC (since April 2010). Integrys Energy currently serves over 113,000 Ohio Choice customers and delivers approximately 18 BCF/year for these Choice accounts.

Integrys Energy Services is currently the supplier to residential and small commercial customers under the Ohio Choice Program in all four gas utilities and all four electric utilities in the state of Ohio. Additionally Integrys serves natural gas and electric aggregation programs throughout the State of Ohio.

A key element of our success in Ohio has been following our "regional office business model". This model involves establishing a local office and hiring local talent to coordinate the gas or electric supply, delivery and customer service functions. Billing is accomplished with a combination of billing through the local distribution utility and billing using our own proprietary billing system.

Our operations in Ohio follow the regional office business approach. For our local presence in the retail gas area we have built upon our existing Worthington office and staff. We have added customer service support provided through local and/or toll free phone access. Integrys Energy Services has established a fully staffed and trained 24/7 call center to handle all customer questions and needs. Customer complaints are handled first through normal customer service channels, but appropriately raised for management attention when circumstances dictate. An established internet site provides customer education content, program information and customer service access. This site contains links to specific information regarding the various communities we serve. Secure internet and telephone based enrollment options are available. Additional internet-based services include online access to consumption data, energy consulting and accounting services, and energy efficiency product sales. We plan, over time, to provide a variety of billing services and options such as budget billing, summary billing and specialized billing services.

Integrys Energy's performance in the natural gas and electric market, including our significant Ohio operations, shows our dedication to reliable service, our commitment to customer satisfaction, and our overall quality of energy supply service.

Integrys Energy Services is the nonregulated subsidiary of Integrys Energy Group, Inc. (NYSE; TEG), a Fortune 1000® company, which currently manages assets of over \$11 billion in the energy industry and has a heritage that dates back to 1855. In addition to the nonregulated subsidiary, Integrys Energy Group operates several regulated natural gas and electric utilities throughout Minnesota, Wisconsin, Illinois, and Michigan. In 2010, 2009, 2007 and 2006, Fortune® has named our family of companies among the top five "Most Admired Energy Companies in America."

This foregoing document was electronically filed with the Public Utilities

**Commission of Ohio Docketing Information System on** 

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in

Case No(s). 10-1008-EL-GAG

Summary: Application for renewal of Governmental Aggregator certification electronically filed by Ms. Julia D Hall on behalf of Symmes Township