

NE
FILE

14-1138-GA-AGG

BMB Legal Consulting, LLC

577 Red Rock Drive
Wadsworth, Ohio 44281
Phone: 330-603-8375
E-Mail: brooke.bonelli@gmail.com

33

June 19, 2014

Public Utilities Commission of Ohio
Docketing Division
180 East Broad Street
Columbus, Ohio 43215-3793


RECEIVED-DOCKETING DIV
2014 JUN 23 PM 4:08
PUCO

To Whom It May Concern:

Enclosed please find the original and three copies of the Application for Competitive Retail Natural Gas Suppliers along with all exhibits and attachments for Agent Energy, Inc. Also, enclosed for filing is a Motion for Protective Order for Exhibits C-3 and C-5.

Please contact the undersigned with any questions or concerns.

Sincerely,


Brooke Bonelli
Attorney at Law

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician DM Date Processed JUN 23 2014



FILE

PUCO USE ONLY - Version 1.07		
Date Received	Case Number	Certification Number
	- GA-AGG	

CERTIFICATION APPLICATION 14-1138-GA-AGG
COMPETITIVE RETAIL NATURAL GAS BROKERS /AGGREGATORS

Please type or print all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-16 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

A-1 Applicant intends to be certified as: (check all that apply)

☒ Retail Natural Gas Aggregator ☒ Retail Natural Gas Broker

A-2 Applicant information:

Legal Name Agent Energy, Inc.
Address 667 Huntley Drive, Medina, Ohio 44256
Telephone No. 216-570-5042 Web site Address n/a

A-3 Applicant information under which applicant will do business in Ohio:

Name Agent Energy, Inc.
Address 667 Huntley Drive, Medina, Ohio 44256
Web site Address n/a Telephone No. 216-570-5042

A-4 List all names under which the applicant does business in North America:

Agent Energy, Inc.

A-5 Contact person for regulatory or emergency matters:

Name John J. Verdile Title President
Business Address 667 Huntley Drive, Medina, Ohio 44256
Telephone No. 216-570-5042 Fax No. 800-856-1905 Email Address Agentenergyinc@aol.com

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name John J. Verdile Title President
Business address 667 Huntley Drive, Medina, Ohio 44256
Telephone No. 216-570-5042 Fax No. 800-856-1905 Email Address agentenergyinc@aol.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address 667 Huntley Drive, Medina, Ohio 44256
Toll-Free Telephone No. Fax No. 800-856-1905 Email Address agentenergyinc@aol.com

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name John J. Verdile Title President
Business address 667 Huntley Drive, Medina, Ohio 44256
Telephone No. 216-570-5042 Fax No. 800-856-1905 Email Address agentenergyinc@aol.com

A-9 Applicant's federal employer identification number

A-10 Applicant's form of ownership: (Check one)

- | | |
|--------------------------------------------------------------|----------------------------------------------------------|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input checked="" type="checkbox"/> Corporation | <input type="checkbox"/> Other |

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<input checked="" type="checkbox"/> Columbia Gas of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Dominion East Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Duke Energy Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Vectren Energy Delivery of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

☐ Columbia Gas of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Dominion East Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Duke Energy Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Vectren Energy Delivery of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

<input type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date
<input type="checkbox"/>	Dominion East Ohio	Intended Start Date
<input type="checkbox"/>	Duke Energy Ohio	Intended Start Date
<input type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 Exhibit A-15 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale natural gas or electricity to customers in North America.
- A-16 Exhibit A-16 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-17 Exhibit A-17 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto.
- A-18 Exhibit A-18 "Secretary of State,"** provide evidence that the applicant is currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking to be certified to provide (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services it is seeking to be certified to provide.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations,"** detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

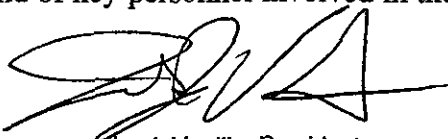
- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer-certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer-certified financial statements covering the life of the business.
- C-4 Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's current financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)
- C-5 Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRNGS operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.

- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's current credit report from Experian, Dun and Bradstreet, or a similar organization.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or since applicant last filed for certification.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant since applicant last filed for certification.

SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 **Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business functions.
- D-2 **Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 **Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.



Applicant Signature and Title John J. Verdile, President

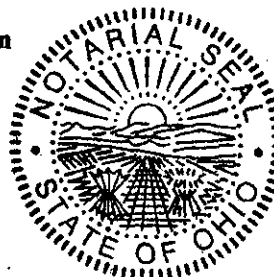
Sworn and subscribed before me this 19th day of June Month 2014 Year



Signature of official administering oath

Brooke M. Bonelli
Print Name and Title

My commission expires on



BROOKE M. BONELLI
Attorney At Law
NOTARY PUBLIC
STATE OF OHIO
My Commission Has
No Expiration Date
Section 147.03 O.R.C.



The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service
Affidavit Form
(Version 1.07)

In the Matter of the Application of)

Agent Energy, Inc.)

for a Certificate or Renewal Certificate to Provide)

Competitive Retail Natural Gas Service in Ohio.)

Case No. - -GA-AGG

County of Medina
State of Ohio

John J. Verdile

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

John J. Verdile, President

Affiant Signature & Title

Sworn and subscribed before me this 19th day of June Month 2014 Year

Brooke M Bonelli
Signature of Official Administering Oath

Brooke M Bonelli
Print Name and Title

My commission expires on

(CRNGS Broker/Regulator Version 1.0)
BROOKE M. BONELLI
Attorney At Law
NOTARY PUBLIC
STATE OF OHIO
My Commission Has
No Expiration Date
Section 147.03 O.R.C.

Exhibit A-14

"Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

John J. Verdile, President
667 Huntley Drive
Medina, Ohio 44256
(216) 570-5042

Exhibit A-15

"Corporate Structure," provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.

Agent Energy, Inc. is an Ohio chartered C corporation. Agent Energy, Inc. is an energy advisory services provider engaged in supply side energy management services. Services include natural gas procurement and natural gas management services.

To engage in any lawful act or activity for which corporations may be formed under sections 1701.01 and 1701.98, inclusive, of the Ohio Revised Code.

Exhibit A-16

"Company History," provide a concise description of the applicant's company history and principal business interests.

Agent Energy, Inc. was founded on September 25, 2013.

Agent Energy, Inc. was formed to focus on reducing the cost of energy for its clients. Services include energy procurement, preparation of requests for proposal, evaluation of bids, contract development and implementation and negotiations with suppliers and utilities.

Agent Energy, Inc. offers supply side management services including natural gas supply procurement and natural gas management.

Exhibit A-17

"Articles of Incorporation and Bylaws," if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.

See attached.



Form 532A Prescribed by:

JON HUSTED
Ohio Secretary of State

Central Ohio: (614) 466-3910

Toll Free: (877) SOS-FILE (767-3453)

www.OhioSecretaryofState.gov

Busserv@OhioSecretaryofState.gov

Mail this form to one of the following:

Regular Filing (non expedite)
P.O. Box 670
Columbus, OH 43216

Expedite Filing (Two-business day processing
time requires an additional \$100.00).
P.O. Box 1390
Columbus, OH 43216

Initial Articles of Incorporation

(For Profit, Domestic Corporation)

Filing Fee: \$125

(113 - ARF)

First: Name of Corporation
(Name must include the following word or abbreviation: company, co., corporation, corp., incorporated, or inc.)

Second: Location of Principal office in Ohio

City State

County

Effective Date (Optional)
mm/dd/yyyy (The legal existence of the corporation begins upon the filing of the articles or on a later date specified that is not more than ninety days after filing)

Third: The number of shares which the corporation is authorized to have outstanding.
(Please state if shares are common or preferred and their par value, if any.)

<input type="text" value="1,500"/>	<input type="text" value="Common"/>	<input type="text" value="No Par Value"/>
Number of Shares	Type	Par Value

Fourth: If the corporation is to have an initial stated capital, please state the amount of that stated capital

Amount

****Note:** ORC Chapter 1701 allows additional provisions to be included in the Articles of Incorporation that are filed with this office. If including any of these additional provisions, please do so by including them in an attachment to this form.**

ORIGINAL APPOINTMENT OF STATUTORY AGENT

The undersigned, being at least a majority of the incorporators of Agent Energy, Inc.
hereby appoint the following to be statutory agent upon whom any process, notice or demand required or permitted by
statute to be served upon the corporation may be served. The complete address of the agent is

Matthew A. Heinle

Name

75 East Market Street

Mailing Address

Akron

City

Ohio

State

44308

Zip Code

Must be signed by the
Incorporators or a
majority of the
incorporators

Matthew A. Heinle
Signature_____
Signature_____
Signature

ACCEPTANCE OF APPOINTMENT

The Undersigned, Matthew A. Heinle, named herein as the
Statutory Agent Name

Statutory agent for Agent Energy, Inc.
Corporation Name

hereby acknowledges and accepts the appointment of statutory agent for said corporation.

Statutory Agent Signature

Matthew A. Heinle

Individual Agent's Signature/Signature on Behalf of Corporate Agent

☐ If the agent is an individual and using a P.O. Box, check this box to confirm the agent is an Ohio resident.

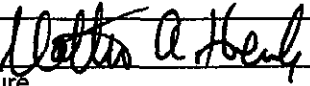
By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

Required

Articles and original appointment of agent must be signed by the incorporator(s).

If the incorporator is an individual, then they must sign in the "signature" box and print his/her name in the "Print Name" box.

If the incorporator is a business entity, not an individual, then please print the entity name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print his/her name and title/authority in the "Print Name" box.


Signature

By

Matthew A. Heinle
Print Name

Signature

By

Print Name

Signature

By

Print Name

Exhibit A-18

"Secretary of State," provide evidence that the applicant has registered with the Ohio Secretary of the State.

See attached.

201326900236

DATE: 09/26/2013	DOCUMENT ID 201326900236	DESCRIPTION DOMESTIC ARTICLES FOR PROFIT (ARF)	FILING 125.00	EXPED 200.00	PENALTY .00	CERT .00	COPY .00
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Receipt

This is not a bill. Please do not remit payment.

DIAMOND ACCESS
ATTN: LISA VAIDO
887 SOUTH HIGH STREET
COLUMBUS, OH 43206

**STATE OF OHIO
CERTIFICATE**

Ohio Secretary of State, Jon Husted

2232958

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

AGENT ENERGY, INC.

and, that said business records show the filing and recording of:

Document(s)
DOMESTIC ARTICLES FOR PROFIT

Document No(s):
201326900236

Effective Date: 09-25-2013



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio
this 26th day of September, A.D. 2013.

Jon Husted

Ohio Secretary of State

Exhibit B-1

"Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.

At the date of this filing, Agent Energy, Inc., is not certified, licensed, or registered to provide retail or wholesale natural gas services including aggregation services in any jurisdiction.

Exhibit B-2

"Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

Agent Energy, Inc., has been in the energy consulting business since September 25, 2013. The ownership of the company has been in the energy business for 24years.

Agent Energy, Inc., provides unbiased energy advisory services to its clients and will continue to provide services including energy procurement, preparation of requests for proposal, evaluation of bids, contract development and negotiations with suppliers and utilities.

End-users enter into an agreement with a third party natural gas supplier. Agent Energy, Inc. will negotiate and administer these relationships.

The owner of Agent Energy, Inc. has managed energy aggregation programs for both public and private sector clients. Aggregations include large higher education institutions, private companies and Fortune 500 companies. By combining several facilities natural gas usage, the group will benefit from negotiated energy and transportation rates quite often resulting in a discount to their individual rate. Gathering load data and converting it into useful information to use for creating aggregated load profiles per LDC for pricing, negotiating master agreements and monitoring the market for opportunities are all services provided. In addition, Mr. Verdile has managed energy portfolios as large as \$800 million in annual spend.

As the administrator for and end user group or aggregation program, Agent Energy Inc., will not invoice the end-user. End-users will be invoiced by their natural gas utility or the third party supplier. Agent Energy, Inc. will make service calls to utility and/or third party supplier to address all information needs to provide program management.

Exhibit B-3

"Summary of Experience," provide a concise summary of the applicant's experience in providing the service(s) for which it is seeking to be certified to provide (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.)

Agent Energy's Ownership has been the energy consultant for several public sector clients including higher education and county government. Ownership also provides energy consulting services to several private sector companies ranging in size from \$1 million in sales to over \$6 billion in sales.

Exhibit B-4

"Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

Agent Energy, Inc. has had no past rulings, judgments, revocations of authority or regulatory investigations that would adversely impact its financial or operational status or ability to provide services.

No Complaints.

Exhibit C-1

"Annual Reports," provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

Agent Energy, Inc. is a privately-held company and is not required to prepare annual reports.

Exhibit C-2

"SEC Filings," provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

Agent Energy, Inc. is not required to file with the Securities and Exchange Commission, as it is not a publicly traded company.

Exhibit C-3

"Financial Statements," provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

Applicant was formed September 9, 2013, and does not have a 2012 balance sheet, income statement, or cash flow statement.

See Attached. **File under seal.**

Exhibit C-4

"Financial Arrangements," provide copies of the applicant's financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)

Agent Energy, Inc. has no guarantees, bank commitments, financial contract arrangements, or credit agreements to conduct CRNGS as a business activity. Agent Energy, Inc.'s banking relationship is with PNC Bank, 35 Montrose, Akron, Ohio 44321.

Exhibit C-5

"Forecasted Financial Statements," provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.

See attached. **File under seal.**

Exhibit C-6

"Credit Rating," provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.

The applicant does not have a credit rating as a course of normal business operations.

Exhibit C-7

"Credit Report," provide a copy of the applicant's credit report from Experion, Dun and Bradstreet or a similar organization.

The applicant does not have a credit report as a normal course of business operations.

Exhibit C-8

"Bankruptcy Information," provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

Agent Energy, Inc., along with its officers and subsidiary companies, has never filed for reorganization or bankruptcy protection.

Exhibit C-9

"Merger Information," provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

Agent Energy, Inc. has not been involved in any dissolution, merger, or acquisition in the past five years.

Exhibit D-1

"Operations" provide a current written description of the operational nature of the applicant's business functions.

Agent Energy, Inc. is an independent energy broker. Agent Energy is not affiliated with any utilities or suppliers, allowing the company to create competition among suppliers to serve clients natural gas needs.

Agent Energy provides customers with a natural gas usage profile analysis and an estimate of potential savings. Additionally, Agent Energy assembles a supplier bid lists, solicits natural gas bids, reviews and analyzes the bids, and presents the bid responses to customers. Agent Energy also manages the contracting procedures and monitors the natural gas market for opportunities.

Exhibit D-2

“Operations Expertise” given the operational nature of the applicant’s business, provide evidence of the applicant’s current experience and technical expertise in performing such operations.

Over the past 24 years, John J. Verdile, President and Founder of Agent Energy, Inc., has partnered with clients to develop energy programs that address price, operational and process efficiency goals. Mr. Verdile has been involved in managing energy programs that range in size from less than \$1 million dollars to over \$100 million dollars in annual energy spend. As Director of Energy Consulting for the one of the nation’s largest investor owned utility companies, he was responsible for managing an \$800 million dollar natural gas and electric power portfolio. Mr. Verdile has developed demand side energy efficiency programs that range in size from \$100,000 to over \$10 million dollars.

In September 2013, Mr. Verdile formed Agent Energy, Inc., a company focused on reducing energy costs for its clients. Services include energy procurement strategies, energy price risk management, preparation of requests for proposal, evaluation of bids, contract development and implementation and negotiations with suppliers and utilities.

Services Offered

Supply Side Management – Energy Portfolio Management

Boards and Committees

Board of Directors, Cleveland Engineering Society 1998 - 2007

The Visiting Committee, Fenn College of Engineering at Cleveland State University 2003 –2005

The Manufactures Education Council Energy Steering Committee

Featured Speaker on Energy Management

Ohio Hospital Association (OHA)

MAGNET – Manufacturing Advocacy & Growth Network

The Cleveland Engineering Society (CES)

Manufactures Education Council (MEC)

Ohio Public Facility Managers Association (OPFMA)

Association of Energy Engineers

American Society of Heating, Refrigeration and Air-Conditioning Engineers (ASHRAE)

Ohio Association of Realtors

Ohio Public Utilities Commission (PUCO)

General Electric Lighting Institute

Building Owners and Managers Association (BOMA)

Council of Smaller Enterprises (COSE)

Exhibit D-3

"Key Technical Personnel" provide names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

John J. Verdile, President
667 Huntley Drive
Medina, Ohio 44256
216-570-5042
xpensesolution@aol.com

See Exhibit D-2 for background of key personnel.