



PUCO USE ONLY – Version 1.07		
Date Received	Case Number	Certification Number
	- GA-AGG	

## CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS /AGGREGATORS

Please **type or print** all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-16 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

### SECTION A - APPLICANT INFORMATION AND SERVICES

**A-1 Applicant intends to be certified as: (check all that apply)**

☐ Retail Natural Gas Aggregator ☒ Retail Natural Gas Broker

**A-2 Applicant information:**

Legal Name Legend Energy Advisors LLC  
Address 1712 Pioneer Ave Suite 321, Cheyenne, WY 82001  
Telephone No. 844-534-3633 Web site Address [www.LegendEnergyAdvisors.com](http://www.LegendEnergyAdvisors.com)

**A-3 Applicant information under which applicant will do business in Ohio:**

Name Legend Energy Advisors  
Address 1712 Pioneer Ave, Suite 321, Cheyenne, WY 82001  
Web site Address [www.LegendEnergyAdvisors.com](http://www.LegendEnergyAdvisors.com) Telephone No. 844-534-3633

**A-4 List all names under which the applicant does business in North America:**

Legend Energy Advisors

**A-5 Contact person for regulatory or emergency matters:**

Name Daniel Crosby Title Managing Director  
Business Address 1712 Pioneer Ave, Suite 321, Cheyenne, WY 82001  
Telephone No. 844-534-3633 Fax No. 307-316-0406 Email Address [info@LegendEnergyAdvisors.com](mailto:info@LegendEnergyAdvisors.com)

**A-6 Contact person for Commission Staff use in investigating customer complaints:**

Name Daniel Crosby Title Managing Director  
Business address 1712 Pioneer Ave, Suite 321, Cheyenne, WY 82001  
Telephone No. 844-534-3633 Fax No. 307-316-0406 Email Address info@LegendEnergyAdvisors.co

**A-7 Applicant's address and toll-free number for customer service and complaints**

Customer service address 1712 Pioneer Ave, Suite 321, Cheyenne, WY 82001  
Toll-Free Telephone No. 844-534-3633 Fax No. 307-316-0406 Email Address info@LegendEnergyAdvisors.co

**A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee**

Name Title  
Business address  
Telephone No. Fax No. Email Address

**A-9 Applicant's federal employer identification number 46-5455492**

**A-10 Applicant's form of ownership: (Check one)**

☐ Sole Proprietorship ☐ Partnership  
☐ Limited Liability Partnership (LLP) ☒ Limited Liability Company (LLC)  
☐ Corporation ☐ Other

**A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)**

<input checked="" type="checkbox"/> Columbia Gas of Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Dominion East Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Duke Energy Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Vectren Energy Delivery of Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial

**A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.**

☐ **Columbia Gas of Ohio**

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ **Dominion East Ohio**

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ **Duke Energy Ohio**

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ **Vectren Energy Delivery of Ohio**

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

**A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:**



<input checked="" type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date	7/1/2014
<input checked="" type="checkbox"/>	Dominion East Ohio	Intended Start Date	7/1/2014
<input checked="" type="checkbox"/>	Duke Energy Ohio	Intended Start Date	7/1/2014
<input checked="" type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date	7/1/2014

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 Exhibit A-15 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale natural gas or electricity to customers in North America.
- A-16 Exhibit A-16 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-17 Exhibit A-17 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto.
- A-18 Exhibit A-18 "Secretary of State,"** provide evidence that the applicant is currently registered with the Ohio Secretary of the State.

## SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking to be certified to provide (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational



status or ability to provide the services it is seeking to be certified to provide.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No      ☐ Yes

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas.

☒ No      ☐ Yes

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

## **SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED**

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer-certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer-certified financial statements covering the life of the business.
- C-4 Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's current financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)
- C-5 Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRNGS operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.

- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's current credit report from Experian, Dun and Bradstreet, or a similar organization.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or since applicant last filed for certification.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant since applicant last filed for certification.

#### **SECTION D – APPLICANT TECHNICAL CAPABILITY**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.**

- D-1 **Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business functions.
- D-2 **Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 **Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

*[Handwritten Signature]* MANAGING DIR

Sworn and subscribed before me this

4<sup>th</sup>

day of

June

Month

2014

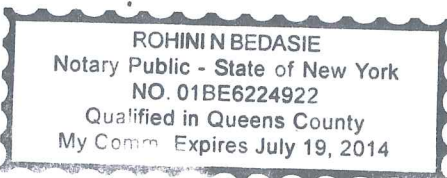
Year

Signature of official administering oath

*[Handwritten Signature: Rohini N. Bedasie]*

Print Name and Title

Rohini N. Bedasie, Notary Public



My commission expires on

July 19, 2014





# The Public Utilities Commission of Ohio

## Competitive Retail Natural Gas Service Affidavit Form (Version 1.07)

In the Matter of the Application of )

Legend Energy Advisors LLC )

for a Certificate or Renewal Certificate to Provide )

Competitive Retail Natural Gas Service in Ohio. )

Case No. - -GA-AGG

County of NEW YORK  
State of NEW YORK

Daniel Crosby

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

*Daniel Crosby*

MANAGING DIR.

Sworn and subscribed before me this

4<sup>th</sup>

day of

June

Month

2014

Year

*Rohini N. Bedasie*

Signature of Official Administering Oath

*Rohini N. Bedasie*

Print Name and Title



My commission expires on

July 19, 2014

(CRNGS Broker/Aggregator -Version 1.07) Page 7 of 7

**Exhibit A-14 Principles Officers, Directors and Partners**

Daniel Crosby, Managing Director, 1 Penn Plaza #6184, New York, NY 10119, 203-517-7553



**Exhibit A-15 Corporate Structure**

Managing Director- Daniel Crosby

Company is currently serving customer in Texas and New York

There are no affiliate or subsidiary companies

### **Exhibit A-16 Company History**

Legend Energy Advisors was formed on April 23, 2014 and provides energy brokerage services to commercial and industrial clients. We have started out in the Texas and New York markets but have clients in those states that have facilities in Ohio and would like us to provide services for them in Ohio.



**Exhibit A-17 Article of Incorporation and Bylaws** . . . . .

**RESOLUTIONS ADOPTED BY ORGANIZER**

**OF**

**Legend Energy Advisors LLC**

The undersigned, being the sole Organizer of the Limited Liability Company hereby adopts the following resolutions:

**RESOLVED**, that a copy of the Certificate of Organization of the Limited Liability Company, together with the original receipt showing payment of the statutory organization tax and filing fee, be inserted in the Minute Book of the Limited Liability Company.

**RESOLVED**, that the form of the Operating Agreement submitted to the meeting be, and the same hereby are, adopted as and for the Operating Agreement of the Limited Liability Company, and that a copy thereof be placed in the Minute Book of the Limited Liability Company.

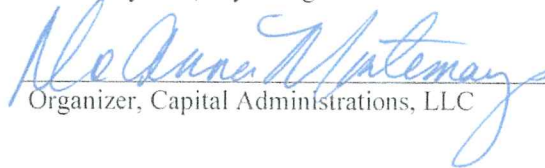
**RESOLVED**, that the following persons be, and hereby are, elected as Managers of the Limited Liability Company, to serve until the first annual meeting, and until their successors are elected and qualify.

**FURTHER RESOLVED**, that the following persons be, and is given full authority to manage, control and operate the said Limited Liability Company.

**RESOLVED FURTHER**, that the following person(s), is authorized to make decisions in the ordinary course of business, including, but not limited to, decisions regarding sales, purchases and employees. As well as the right to open a bank account, lines of credit, contractual agreements, and other financial agreements in the name of the Limited Liability Company for the deposit of funds belonging to the Limited Liability Company, such funds to be withdrawn only by lawful banking transactions signed by authorized parties.

**Daniel Crosby**

**IN WITNESS WHEREOF**, the undersigned has executed this written consent, dated this 18th day of April, 2014, at Cheyenne, Wyoming.

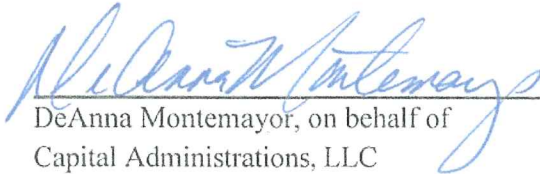
  
Organizer, Capital Administrations, LLC



**CONSENT TO  
APPOINTMENT BY REGISTERED AGENT**

- I. Capital Administrations, LLC, located at 1712 Pioneer Ave. Ste. 115 Cheyenne, WY, 82001, voluntarily consents to serve as the registered agent for Legend Energy Advisors LLC, on the date shown below;
- II. The registered agent certifies that it is a domestic corporation or not-for-profit domestic corporation whose business office is identical with the registered office.
- III. The undersigned by and on behalf of Capital Administrations, LLC, hereby certify that it is in compliance with the requirements of W.S. 17-28-101 through W.S. 17-28-111.

Dated this 18th day of April, 2014.

  
DeAnna Montemayor, on behalf of  
Capital Administrations, LLC

ARTICLES OF ORGAN  
OF  
Legend Energy Advisors LLC  
A LIMITED LIABILITY COMPANY

- I. The name of the limited liability company is Legend Energy Advisors LLC, a Limited Liability Company.
- II. The period of its duration is perpetual from the date of filing Articles of Organization with the Wyoming Secretary of State.
- III. The purpose for which the limited liability company is organized is: any lawful purpose except for the purposes of banking and insurance.
- IV. The name and address of its registered agent is:

Capital Administrations, LLC  
1712 Pioneer Ave. Ste. 115  
Cheyenne, WY 82001

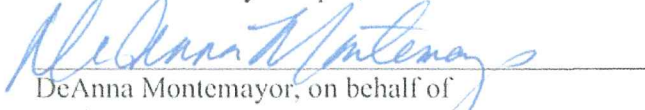
(The registered agent may be an individual resident in this state or a domestic foreign corporation authorized to transact business in this state, having a business office identical with such registered office.)

- V. The mailing address of the principal office:

1712 Pioneer Ave. Ste. 5730  
Cheyenne, WY 82001

- VI. The remaining members of the limited liability company, if any, shall have the right to continue the business on the death, retirement, resignation, expulsion, bankruptcy or dissolution of a member or occurrence of any other event which terminates the continued membership of a member of the limited liability company, unless otherwise stated in the Membership Operating Agreement.

Dated this 18th day of April, 2014.

  
DeAnna Montemayor, on behalf of  
Capital Administrations, LLC, Organizer  
tax@wyomingcompany.com

Received  
APR 18 2014  
Secretary of State  
Wyoming

**STATE OF WYOMING**  
**Office of the Secretary of State**

I, MAX MAXFIELD, SECRETARY OF STATE of the STATE OF WYOMING, do hereby certify that the filing requirements for the issuance of this certificate have been fulfilled.

**CERTIFICATE OF ORGANIZATION**

**Legend Energy Advisors LLC**

Accordingly, the undersigned, by virtue of the authority vested in me by law, hereby issues this Certificate.

I have affixed hereto the Great Seal of the State of Wyoming and duly executed this official certificate at Cheyenne, Wyoming on this 23rd day of April, 2014.



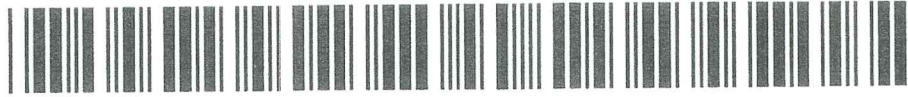
Filed Date: 04/23/2014

  
\_\_\_\_\_  
Secretary of State

By: \_\_\_\_\_ Dave Barker



**Exhibit A-18 Secretary of State** . . . . .



DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
05/15/2014	201413501179	REG. OF FOR. PROFIT LIM. LIAB. CO. (LFP)	125.00	0.00	0.00	0.00	0.00

### Receipt

This is not a bill. Please do not remit payment.

LEGEND ENERGY ADVISORS  
1 PENN PLAZA  
SUITE 6184  
NEW YORK, NY 10119

## STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jon Husted  
2295647

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

**LEGEND ENERGY ADVISORS LLC**

and, that said business records show the filing and recording of:

Document(s)

**REG. OF FOR. PROFIT LIM. LIAB. CO.**

Effective Date: 05/15/2014

Document No(s):

**201413501179**



United States of America  
State of Ohio  
Office of the Secretary of State

Witness my hand and the seal of the  
Secretary of State at Columbus, Ohio this  
15th day of May, A.D. 2014.

*Jon Husted*

Ohio Secretary of State

### **Exhibit B-1 Jurisdictions of Operation**

Legend Energy Advisors is currently authorized to provide retail natural gas and electric services in the following jurisdictions:

- New York
- Texas



## **Exhibit B-2 Experience & Plans**

The principle of the company has been in the retail energy business for almost 10 years and has managed the national and international energy portfolios of Fortune 500 companies. He has a degree in Mechanical Engineering and a back ground in commercial construction and up stream oil and gas before entering the retail energy space. This career has afforded a deep understanding of the energy business from drilling, generation and exploration to demand side energy usage at customer facilities.

The plan for contracting with customer we be initiated by defining a scope of services which Legend will provide over a set period of time. Those services will be provided by Legend as laid out in the contract and Legend will bill clients in accordance with the billing set out in the contract. Legend always strives to exceed client expectation. In the rare event that there is a client concern, Legend will always respond within 24 hours to the client and immediately take action to make sure the clients expectation are not only being met but exceeded.

### **Exhibit B-3 Summary of Experience**

The principal of Legend Energy Advisors has deep experience in deregulated energy as well as the Ohio market. He has managed power and natural gas for large industrial clients ranging from 24-hour manufacturing operation to major interstate natural gas pipelines. He has also advised clients around market issues such as the exceptionally high capacity costs associated with the current auction in ATSI zone. He has provided service in all utilities of the Ohio market and has managed customer gas loads ranging from several thousand dth annually to loads in excess of 1 bcf annually.

**Exhibit B-4 Disclosure of Liabilities and Investigations**

None



**Exhibit C-1 Annual Reports**

N/A The company is newly formed and has no shareholders.

**Exhibit C-2 SEC Filings**

N/A The company is not obligated to file with the SEC

**Exhibit C-3 Financial Statements**

**[FILED UNDER SEAL]**



**Exhibit C-4 Financial Arrangements**

N/A

**Exhibit C-5 Forecasted Financial Statements**

**[FILED UNDER SEAL]**

**Exhibit C-6 Credit Rating**

N/A The company has only been in business for 2 months and is not yet registered with the aforementioned ratings agencies. Legend Energy Advisors is currently in the process of setting up a profile on D&B

**Exhibit C-7 Credit Report**

Legend Energy Advisors is currently in the process of setting up a profile on D&B

**Exhibit C-8 Bankruptcy Information**

Never declared bankruptcy



**Exhibit C-9 Merger Information**

Never been a merger

### **Exhibit D-1 Operations**

Legend Energy Advisors provides energy procurement and management services. This included market monitoring, conduction RFP's, pipeline nomination and balancing services as well as demand side solutions.

### **Exhibit D-2 Operations Expertise**

The principal at Legend Energy Advisors has been providing the services the company provides for almost a decade. This has included market monitoring, conduction RFP's, pipeline nomination and balancing and demand side solutions for companies ranging from small commercial to nation portfolios of hundreds of facilities for Fortune 500 companies.

### **Exhibit D-3 Key Technical Personnel**

Daniel Crosby, Managing Director, [dcrosby@LegendEnergyAdvisors.com](mailto:dcrosby@LegendEnergyAdvisors.com), 844-534-3633

Mr. Crosby has been in the retail energy business for almost 10 years and has managed the national and international energy portfolios of Fortune 500 companies. He has a degree in Mechanical Engineering and a back ground in commercial construction and upstream oil and gas before entering the retail energy space. This career has afforded a deep understanding of the energy business from drilling, generation and exploration to demand side energy usage at customer facilities.

He as deep experience in deregulated energy nationally as well as specific experience in the Ohio market. He has managed power and natural gas for large industrial clients ranging from 24-hour manufacturing operation to major interstate natural gas pipelines. He has also advised clients around market issues such as the exceptionally high capacity costs associated with the current auction in ATSI zone. He has provided service in all utilities of the Ohio market and has managed customer gas loads ranging from several thousand dth annually to loads in excess of 1 bcf annually.

In precious roles at similar companies Mr. Crosby has provided all the operational support for the services the Legend Energy Advisors will be providing.

**This foregoing document was electronically filed with the Public Utilities**

**Commission of Ohio Docketing Information System on**

**6/16/2014 11:15:48 AM**

**in**

**Case No(s). 14-1093-GA-AGG**

Summary: Application for Certification as a Competitive Retail Natural Gas Broker/Aggregator for Legend Energy Advisors LLC electronically filed by Mrs. Gretchen L Lange on behalf of Legend Energy Advisors LLC