

NC
FILE

14-0405-EL-AGG

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M3 CONSULTING, LLC/M3 ENERGY

Phone: 330-334-0498
FAX: 888-214-0388
Email:
deb.meager@gmail.com

PO Box 54
Wadsworth OH 44281

March 12, 2014

Public Utilities Commission of Ohio
Docketing Division
180 East Broad Street
Columbus Ohio 43215-3793

Dear Commission,

Enclosed please find the Certification Application for Aggregators/Power
Brokers and the associated Exhibits.

Please contact me with questions or concerns.

Sincerely yours,

Debra L. Meager, President

RECEIVED-DOCKETING DIV
2014 MAR 13 PM 3:22
PUCO

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Technician JE Date Processed MAR 13 2014



The Public Utilities Commission of Ohio

14-0405-EL-AGG

PUCO USE ONLY		
Date Received	Case Number	Version
	EL-AGG	August 2004

CERTIFICATION APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-5 Experience). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

**This PDF form is designed so that you may input information directly onto the form.
You may also download the form, by saving it to your local disk, for later use.**

A. APPLICANT INFORMATION

A-1 Applicant's legal name, address, telephone number and web site address

Legal Name M3 Consulting, LLC dba M3 Energy
Address PO Box 54, Wadsworth, OH 44281
Telephone # (330) 334-0498 Web site address (if any) _____

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name M3 Energy
Address PO Box 54, Wadsworth, OH 44281
Telephone # (330) 334-0498 Web site address (if any) _____

A-3 List all names under which the applicant does business in North America

M3 Consulting, LLC
M3 Energy

A-4 Contact person for regulatory or emergency matters

Name Debra L. Meager
Title President

RECEIVED DOCKETING DIV
2014 MAR 13 PM 3:22

PUCO

Business address PO Box 54, Wadsworth, OH 44281
Telephone # (330) 334-0498 Fax # (888) 214-0388
E-mail address (if any) deb.meager@gmail.com

A-5 Contact person for Commission Staff use in investigating customer complaints

Name Debra L. Meager
Title President
Business address PO Box 54, Wadsworth, OH 44281
Telephone # (330) 334-0498 Fax # (888) 214-0388
E-mail address (if any) deb.meager@gmail.com

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address PO Box 54, Wadsworth, Ohio 44281
Toll-free Telephone # (330) 334-0498 Fax # (888) 214-0388
E-mail address (if any) deb.meager@gmail.com

A-7 Applicant's federal employer identification number # 203260833

A-8 Applicant's form of ownership (check one)

- | | |
|--|--|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

A-9 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).

- | | | | | |
|--|--------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| <input type="checkbox"/> First Energy | | | | |
| <input type="checkbox"/> Ohio Edison | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Toledo Edison | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Cleveland Electric Illuminating | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Duke Energy | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Monongahela Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> American Electric Power | | | | |
| <input type="checkbox"/> Ohio Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Columbus Southern Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Dayton Power and Light | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |

- A-10 Provide the approximate start date that the applicant proposes to begin delivering services
ASAP
-

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-11 **Exhibit A-11 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-12 **Exhibit A-12 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.
- A-13 **Exhibit A-13 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-14 **Exhibit A-14 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.
- A-15 **Exhibit A-15 "Secretary of State,"** provide evidence that the applicant has registered with the Ohio Secretary of the State.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1 **Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2 **Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).

B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-5 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.
☐ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-6 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.
☐ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

- C-3 **Exhibit C-3 “Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.
- C-4 **Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).
- C-5 **Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant’s CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 **Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 “Credit Report,”** provide a copy of the applicant’s credit report from Experion, Dun and Bradstreet or a similar organization.
- C-8 **Exhibit C-8 “Bankruptcy Information,”** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

C-9 **Exhibit C-9 "Merger Information."** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

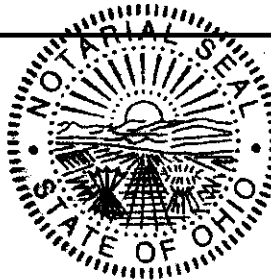
Debra L. Meager, President
Signature of Applicant & Title

Sworn and subscribed before me this 12 day of March, 2014
Month Year

Brooke M Bonelli
Signature of official administering oath

Brooke M Bonelli
Print Name and Title

My commission expires on N/A



BROOKE M. BONELLI
Attorney At Law
NOTARY PUBLIC
STATE OF OHIO
My Commission Has
No Expiration Date
Section 147.03 O.R.C.

AFFIDAVIT

State of Ohio :

Wadsworth ss.
(Town)

County of Medina :

Debra L. Meager, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the President (Office of Affiant) of M3 Consulting LLC,
dba M3 Energy (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

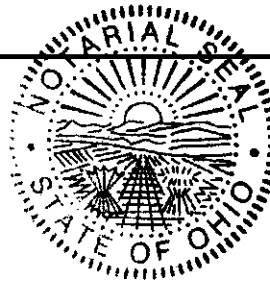
Debra L. Meager, President
Signature of Affiant & Title

Sworn and subscribed before me this 12 day of March, 2014
Month Year

Brooke M. Bonelli
Signature of official administering oath

Brooke M. Bonelli
Print Name and Title

My commission expires on NA



BROOKE M. BONELLI
Attorney At Law
NOTARY PUBLIC
STATE OF OHIO
My Commission Has
No Expiration Date
Section 147.03 O.R.C.

M3 Consulting, LLC dba M3 Energy

Exhibit A-11

"Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

Debra L. Meager, MBA Case Western Reserve University, Ohio Certified Business Advisor,
Member, Women Business Enterprise National Council (WBENC); Woman Owned Small
Business (WOSB)

President, M3 Consulting, LLC/M3 Energy
731 Red Rock Drive
Wadsworth OH 44281

Exhibit A-12

"Corporate Structure," provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.

M3 Consulting LLC was incorporated in the State of Ohio the 25 day of July, 2005, filed with Articles of Organization/Dom. LLC. Articles of Incorporation are on file with the State of Ohio.

M3 Consulting, LLC filed a fictitious name/original filing as M3 Energy on the 11th of January 2010.

Exhibit A-13

"Company History," provide a concise description of the applicant's company history and principal business interests.

M3 Consulting LLC was incorporated in the State of Ohio the 25 day of July, 2005, filed with Articles of Organization/Dom. LLC as a business consulting organization by president Debra L. Meager.

After earning an Executive MBA from Case Western Reserve University, the Weatherhead School of Management, Debra Meager worked as a business advisor for Ohio Small Business Development centers and later as a consultant and business advisor at M3 Consulting, LLC.

In 2010 M3 Consulting, LLC filed a fictitious name/original filing as M3 Energy to do business in the gas and electric markets in Ohio.

M3 Consulting, LLC and M3 Energy assists clients securing electricity in the open supply market working with other licensed Ohio brokers: RD Energy, Inc. and OnDemand Energy.

Exhibit A-14

"Articles of Incorporation and Bylaws," if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.

See Attached.

M3 Consulting, LLC dba M3 Energy



DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
07/27/2005	200520703446	ARTICLES OF ORGANIZATION/DOM. LLC (LCA)	125.00	.00	.00	.00	.00

Receipt

This is not a bill. Please do not remit payment.

JOY S WAGNER & ASSOCIATES
507 W PARK AVE
BARBERTON, OH 44203

STATE OF OHIO
CERTIFICATE
Ohio Secretary of State, J. Kenneth Blackwell

1558530

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

M3 CONSULTING, LLC

and, that said business records show the filing and recording of:

Document(s)

ARTICLES OF ORGANIZATION/DOM. LLC

Document No(s):

200520703446

United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of
the Secretary of State at Columbus,
Ohio this 25th day of July, A.D.
2005.

J. Kenneth Blackwell
Ohio Secretary of State



Prescribed by **J. Kenneth Blackwell**

Ohio Secretary of State
 Central Office: (614) 466-3910
 Toll Free: 1-877-SOS-FILE (1-877-767-3453)

www.state.oh.us/sos
 e-mail: busserv@sos.state.oh.us

Expedite this Form: <small>(must file)</small>	
Mail Form to one of the Following:	
<input type="radio"/> Yes	PO Box 1380 Columbus, OH 43216 <small>Requires an additional fee of \$100**</small>
<input checked="" type="radio"/> No	PO Box 670 Columbus, OH 43216

**ORGANIZATION / REGISTRATION OF
 LIMITED LIABILITY COMPANY**
 (Domestic or Foreign)
 Filing Fee \$125.00

THE UNDERSIGNED DESIRING TO FILE A:

(CHECK ONLY ONE (1) BOX)

(1) <input checked="" type="checkbox"/> Articles of Organization for Domestic Limited Liability Company (115-LCA) ORC 1705	(2) <input type="checkbox"/> Application for Registration of Foreign Limited Liability Company (180-LFA) ORC 1706 (Date of Formation) _____ (State) _____
---	---

Complete the general information in this section for the box checked above.

Name M3 Consulting, LLC

☐ Check here if additional provisions are attached

* If box (1) is checked, name must include one of the following endings: limited liability company, limited, Ltd, L.L.d., L.L.C., L.L.C.

Complete the information in this section if box (1) is checked.

Effective Date (Optional) _____ Date specified can be no more than 90 days after date of filing. If a date is specified, the date must be a date on or after the date of filing.
(mm/dd/yyyy)

This limited liability company shall exist for Perpetual
(Optional) (Period of existence)

Purpose Consulting services to for profit and non-profit entities
(Optional)

The address to which interested persons may direct requests for copies of any operating agreement and any bylaws of this limited liability company is:

(Optional) Debra I. Meager
(Name)
731 Red Rock
(Street)
Wadsworth Ohio 44281
(City) (State) (Zip Code)

NOTE: P.O. Box Addresses are NOT acceptable.

ORIGINAL APPOINTMENT OF AGENT		
The undersigned authorized member, manager or representative of <div style="border-bottom: 1px solid black; margin: 5px 0;">M3 Consulting, LLC</div> <div style="text-align: center; font-size: small;">(name of limited liability company)</div>		
hereby appoint the following to be statutory agent upon whom any process, notice or demand required or permitted by statute to be served upon the limited liability company may be served. The name and address of the agent is:		
<div style="border-bottom: 1px solid black; margin: 5px 0;">Debra L. Meeger</div> <div style="text-align: center; font-size: small;">(Name of Agent)</div>		
<div style="border-bottom: 1px solid black; margin: 5px 0;">731 Red Rock</div> <div style="text-align: center; font-size: small;">(Street) NOTE: P.O. Box Addresses are NOT acceptable. </div>		
<div style="border-bottom: 1px solid black; margin: 5px 0;">Wadsworth</div> <div style="text-align: center; font-size: small;">(City) </div>	<div style="border-bottom: 1px solid black; margin: 5px 0;">Ohio</div> <div style="text-align: center; font-size: small;">(State) </div>	<div style="border-bottom: 1px solid black; margin: 5px 0;">44201</div> <div style="text-align: center; font-size: small;">(Zip Code) </div>
Must be authenticated by an authorized representative		
<div style="border: 1px solid black; padding: 5px; text-align: center;"> </div> <div style="text-align: center; font-size: small;">Authorized Representative</div>		<div style="border: 1px solid black; padding: 5px; text-align: center;"> 7-20-2005 </div> <div style="text-align: center; font-size: small;">Date</div>
<div style="border: 1px solid black; height: 40px; margin: 5px 0;"></div> <div style="text-align: center; font-size: small;">Authorized Representative</div>		<div style="border: 1px solid black; height: 40px; margin: 5px 0;"></div> <div style="text-align: center; font-size: small;">Date</div>
ACCEPTANCE OF APPOINTMENT		
The undersigned, named herein as the statutory agent for <div style="border-bottom: 1px solid black; margin: 5px 0;">M3 Consulting, LLC</div> <div style="text-align: center; font-size: small;">(name of limited liability company)</div>		
hereby acknowledges and accepts the appointment of agent for said limited liability Company.		
<div style="border-bottom: 1px solid black; margin: 5px 0;"> </div> <div style="text-align: center; font-size: small;">(Agent's signature) </div>		

PLEASE SIGN PAGE (3) AND SUBMIT COMPLETED DOCUMENT

[illegible]

M3 Consulting, LLC dba M3 Energy



DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
01/19/2010	201001501084	FICTITIOUS NAME/ORIGINAL FILING (NFO)	90.00	.00	.00	.00	.00

Receipt

This is not a bill. Please do not remit payment.

M3 CONSULTING, LLC
731 RED ROCK DR
WADSOWRTH, OH 44281

STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jennifer Brunner

1907514

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

M3 ENERGY

and, that said business records show the filing and recording of:

Document(s)

FICTITIOUS NAME/ORIGINAL FILING

Expiration Date: 01/11/2015

Document No(s):

201001501084

M3 CONSULTING, LLC
731 RED ROCK DR
WADSWORTH, OH 44281



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of
the Secretary of State at Columbus,
Ohio this 11th day of January, A.D.
2010.

Ohio Secretary of State



Form 534A Prescribed by the:
Ohio Secretary of State

Central Office: (614) 465-3876
Toll Free: (877) 606-FLE (767-3462)

www.sos.ohio.gov
Secretary@sos.ohio.gov

Expedite this form: (select one)
Mail form to one of the following:

PO Box 1288
Columbus, OH 43216

☐ Expedite

--- Register as additional filer of 2011 ---

PO Box 676
Columbus, OH 43216

☒ Non Expedite

NAME REGISTRATION
Filing Fee \$50

RECEIVED

JAN 11 2013

(CHECK ONLY ONE (1) BOX)

☐ Trade Name
(167-RNO)

Date of first use: _____

☒ Fictitious Name
(169-NFO)

SECRETARY OF STATE

Name being registered or reported: M3 Energy

Name of the Registrant: M3 Consulting, LLC

NOTE: If the registrant is a foreign corporation licensed in Ohio under an assumed name, provide the assumed name and the name as registered in its jurisdiction of formation.

The Registrant is a(n): (Check only one (1) box)

☐ Individual

☐ Unincorporated Association

☐ Partnership

Registration #, if any: _____

☐ Professional Association

☐ Limited Partnership

Registration # _____

☐ Other

If foreign, Jurisdiction of Formation: _____

☐ Limited Liability Partnership

Registration # _____

If foreign, Jurisdiction of Formation: _____

☒ Limited Liability Company

Registration # 1558530

If foreign, Jurisdiction of Formation: _____

☐ Ohio Corporation

Charter # _____

☐ Foreign Corporation

Ohio license # _____

Jurisdiction of Formation: _____

All registrants must complete the information in this section			
Business address: <u>731 Red Rock Drive</u>			
Mailing Address			
<u>Wadsworth</u>	<u>OH</u>	<u>44281</u>	
City	State	Zip Code	
The general nature of the business conducted by the registrant:			
<u>consulting</u>			

Complete the information in this section if registrant is a partnership not registered in Ohio	
Provide the name and address of at least one general partner:	
Name	Address
<u></u>	<u></u>
<u></u>	<u></u>
<u></u>	<u></u>
<small>NOTE: Pursuant to OAG 89-081, if a general partner is a foreign corporation, it must be licensed to transact business in Ohio; if a general partner is a foreign corporation licensed in Ohio under an assumed name, please provide the assumed name and the name as registered in its jurisdiction of formation.</small>	

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.	
REQUIRED	
<small>(Must be authenticated (signed) by the registrant or an authorized representative)</small>	
<u>M3 Consulting, LLC by</u>	
<u>Debra L. Meager</u>	<u>1/9/2010</u>
Signature	Date
<u>Debra L. Meager</u>	
Print Name	
<u></u>	
Signature	Date
<u></u>	
Print Name	

M3 Consulting, LLC dba M3 Energy

Exhibit A-15

"Secretary of State," provide evidence that the applicant has registered with the Ohio Secretary of the State.

See attachments to Exhibit A-14 for evidence that the applicant has registered with the Ohio Secretary of State.

Exhibit B-1

"Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.

M3 Energy works mostly with customers in Ohio, and particularly Northeast Ohio. We also work with customers in Pennsylvania and other states.

Exhibit B-2

"Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

M3 Consulting, LLC, dba M3 Energy has been working under another aggregator/power broker helping customers secure electricity rates since 2010. M3 Energy currently manages approximately 30-50 million kWh per year through another aggregator/power broker.

M3 Energy has developed relationships and conducted market assessments identifying electricity suppliers to supply potential customers once M3 Energy is licensed. In order to realize savings for customers and clients, M3 Energy needs a direct relationship to the electric suppliers.

M3 Energy will assist customers to secure the best value and rates by querying electricity suppliers and providing volume, negotiating terms as necessary, and comparing the best of the market at that given time. The customer then chooses the timeframe and company from which to buy their supply depending on market factors and geographical concerns. The final decision to buy and legal responsibility will reside with the customer. They will be billed by the supplier, not M3 Energy.

Customer inquiries and complaints will be handled by M3 Energy at telephone number 330-334-0498, FAX 888-214-0388, or email to deb.meager@gmail.com.

Exhibit B-3

"Summary of Experience," provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).

M3 Consulting, LLC/M3 Energy currently supplies about 40,000,000 kilowatt hours annually to approximately 10 customers in Ohio through another aggregator/power broker. Those customers include the State of Ohio Department of Corrections, Toledo and Grafton; several nursing homes, manufacturers, churches, and others.

M3 has worked with customers and suppliers through other brokers in several service areas including First Energy, AEP Energy, Duke Energy and other Ohio electric suppliers. We review bills and usage with customers and maintain letters of authorization to use that information for quoting and analyzing purposes. We provide look back and look forward analysis to help the customer with strategic planning.

Since 2009, M3 Consulting, LLC/M3 Energy has been providing Ohio customers a way to save on electricity supply cost and provide a level of stability in the utility sector of their costs. M3 Energy aggregates the clients usage, sometimes over several locations, and puts that total kwh to bid with suppliers (through another broker). To provide the best service to the customer, M3 Energy will develop direct relationships with the electric suppliers.

Exhibit B-4

"Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

There are no existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

M3 Consulting, LLC dba M3 Energy

Exhibit C-1

“Annual Reports,” provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

M3 Consulting, LLC is a Sole Proprietor Limited Liability Corporation; Exhibit C-1 is not applicable because M3 is not a C Corporation and is privately held.

Exhibit C-2

“SEC Filings,” provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

M3 Consulting, LLC is a sole proprietor Limited Liability Company and M3 Energy is a dba under M3 Consulting LLC. M3 is exempt from filing 10-K/8-K filings with the SEC because we are not a corporation.

Exhibit C-3

“Financial Statements,” provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

Attached are officer (President) certified financial statements for M3 Consulting, LLC and M3 Energy.

Exhibit C-4

“Financial Arrangements,” provide copies of the applicant’s financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).

M3 Consulting, LLC and M3 Energy is applying to be a Broker and will not be taking the power.

CONTRACTS: M3 Consulting, LLC and M3 Energy currently has working relationships with several natural gas and electric suppliers and would develop direct buying relationships with the those suppliers as approved. We currently hold natural gas contractual arrangements with Constellation/MX Energy, Hess/Direct Energy, Integrys/RD Energy and will expand that to electricity if approved.

Exhibit C-5

“Forecasted Financial Statements,” provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant’s CRES operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.

See attached for Forecasted Financial Statements.

Exhibit C-6

“Credit Rating,” provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant.

The applicant does not have a credit rating as a normal course of business operations.

M3 Consulting, LLC dba M3 Energy

Exhibit C-7

“Credit Report,” provide a copy of the applicant’s credit report from Experion, Dun and Bradstreet or a similar organization.

The applicant does not have a credit report as a normal course of business operations.

Exhibit C-8

"Bankruptcy Information," provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

NONE.

M3 Consulting, LLC and M3 Energy have never filed bankruptcy or partnered with anyone known to us to have filed bankruptcy. We have no affiliates or parent company. This excludes companies to which we supply or contract electricity as we have no control over their fiscal responsibility.

Exhibit C-9

“Merger Information,” provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

None. The applicant has no dissolutions, mergers, or acquisitions within the five most recent years preceding the application.