

**Public Utilities
Commission**

John Kasich, Governor
Thomas W. Johnson, Chairman

Commissioners
Steven D. Lesser
Asim Z. Haque
Lynn Slaby
M. Beth Trombold

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

Certificate Number:

14-801E (1)

Issued Pursuant to Case Number(s):

14-0446-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to, **FCStone, LLC** whose office or principal place of business is located at **230 South LaSalle - Suite 10-500, Chicago, IL 60604** to provide **aggregation and power broker** services within the State of Ohio effective **April 19, 2014**.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: **April 22, 2014**

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

Barcy F. McNeal, Secretary
Tanowa M. Troupe, Acting Secretary
Holly Karg, Acting Secretary

Certificate Expires: April 19, 2016

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician DWT Date Processed APR 23 2014

CRES AUTOMATIC CASE ACTION FORM

Case No. **14-0446-EL-AGG**

Date Sent: **April 23, 2014**

Effective Date of Certificate: **April 19, 2014**

Certificate Expires: **April 19, 2016**

Company Name and Company Name d/b/a: **FCStone, LLC**

☐ Renewal

Action Needed:

☒ Issue Certificate Number to: **FCStone, LLC** at (address): **230 South LaSalle - Suite 10-500, Chicago, IL 60604**

☒ Certified To Provide the Following Services:

- ☐ Retail Generation
- ☒ Aggregation
- ☐ Power Marketer
- ☒ Power Broker
- ☐ Governmental Aggregation

☐ Renew Certificate No. to

☐ Revise Certificate No. to (check all applicable):

- ☐ Reflect name change from to
- ☐ Reflect address change from to
- ☐ Add new service offering to certificate:
 - ☐ Retail Generation
 - ☐ Aggregation
 - ☐ Power Marketer
 - ☐ Power Broker
 - ☐ Governmental Aggregation
- ☐ Correct Administrative Error
- ☐ Reflect Change of Ownership to:

☐ Cancel Certificate No.

☐ Protect Un-redacted copies until

☐ Close Case File, Case Withdrawn at Applicant's Request

☐ Close Case File

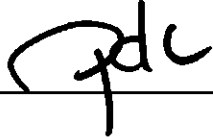
CASE NUMBER: 14-0446-EL-AGG

CASE DESCRIPTION: FCSTONE LLC

DATE OF SERVICE: 4/23/2014

DOCUMENT SIGNED ON: 4 / 23 / 2014

Sign Here: _____

A handwritten signature in black ink, appearing to be 'JDC', is written over a horizontal line.

APPLICANT

PARTY OF RECORD

ATTORNEY

FCStone, LLC

NONE

230 SOUTH LASALLE

STE 10-500

CHICAGO,IL 60604

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Email:WWW.INTFCSTONE.COM
