

14-0703-GA-AGG

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4-15-14

Hello,

After 15 years of doing energy business in Ohio, Energy Cost Savers, Inc. has now been told that we need to get a license to sell energy for Direct Energy. They were our main natural gas marketer for a few years until they sold to Delta, who sold to Hess, who now sold to Direct. We keep doing business with all the above. It is hard to keep up with all these transactions.

I am submitting the Energy Cost Savers, Inc. application as was required of me to do. I ask if you can please handle this request as soon as possible since I cannot do any business without the license.

It seems that a lot of the requested information applies more to the marketer, who takes title and therefore has to be extremely well funded and solid. However; I did all I could to answer all the questions as best that they applied to my business.

Thank you,

Robert P. Wyman

President

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	- Company History). All attachments should bear the cations and all related correspondence with the Public			
	East Broad Street, Columbus, Ohio 43215-3793.	c Ounties Commission	I OI OIIIO, DOCKELIIIg I	JIVI51011,
Thi	is PDF form is designed so that you may directly input		rm. You may also down	nload the form b
	saving it to	your local disk.		
	SECTION A - APPLICANT	INFORMATION AN	D SERVICES	
4-1	Applicant intends to be certified as: (check a	all that annha		
7-1		an mat apply) Natural Gas Broker		
		atural Gas Di Oker		
4-2	Applicant information:			
	Legal Name ENERGY COST SP	AVERSING		O OHIC
	Legal Name ENERGY COST SP Address 3601 GREEN RP.,	SUITE 304	BEACITURE	
	Telephone No. 216-464-5703	Web site Addres	e e	
		www.	energy cos	stsavers
4-3	Applicant information under which applican			
	Name KOBERT F. WYMAN	RO. SHAN	ER HIS C	P oith
	Name ROBERT P. WYMAN Address 23949 LAURELOALS Web site Address WWW. Energy cost Sc			- 1774 A
	Web site Address WWW. ENERGY COSTSC	Telephone No.	216-969	-1210
4-4	List all names under which the applicant doe	es business in North	America:	
	ENERGY COST SAVE	25 INC.		
		¢		
4-5	Contact person for regulatory or emergency	matters:		
	Name ROBERT P-WYMAN	_	es locat	
				HUNCOD
	Business Address BG01 GREEN RC	, SUNTE =	soy Dar	otio 44
	Telephone No. Fax No. 216-464-5703 216-591			
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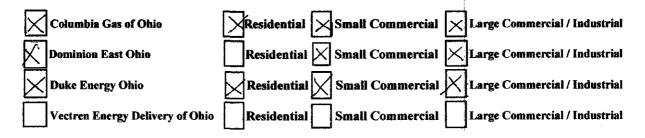
180 East Broad Street • Columbus, OH 43215-3793 • (614) 466-3016 • www.PUCO.ohio.gov The Public Utilities Commission of Ohio is an Equal Opportunity Employer and Service Provider

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A-6 Contact person for Commission Staff use in investigating customer complaints:

	Name ROBERT P. WYMAN	
	Business address 3601 GREEN R	LO SUITE 304 REACHWOOD OHIO
	Telephone No. Fax No. 216-464-5703 216-595-	20. SUITE 304 BEACHWOOD OHIO Email Address 9753 COSTSOVERS@Shcglobal.net
A-7	Applicant's address and toll-free number for cu	istomer service and complaints
	Customer service address 3601 GREEN	RD., BEACHWOOD, OH 44122
¢	Toll-Free Telephone No. Fax No. $300-449-4679$ $216-595$	97153 Costsavense shoglobal.net
A-8		e," in accordance with Section 4929.22 of the Ohio ress, telephone number, and Web site address of the
	Name SUSAN P. WYMAN - OWN Business address 3601 GREEN RC	
A-9	Telephone No.Fax No.216-464-5703216-595-97Applicant's federal employer identification num24-1010	CUSISHOUS & SOC GROOTINC
A-10	34- 1919 523 Applicant's form of ownership: (Check one)	
	Sole Proprietorship	Partnership
	Limited Liability Partnership (LLP)	Limited Liability Company (LLC)
	Corporation	Other

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: residential, small commercial, and/or large commercial/industrial (mercantile) customers. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)



A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

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🗹 Columbia Gas of Ohio				
Residential	Beginning Date of Service	2000	End Date	STILL ACTIVE
Small Commercial	Beginning Date of Service	11	End Date) (
Large Commerciał	Beginning Date of Service	1)	End Date	11
Industrial	Beginning Date of Service	11	End Date	11
Dominion East Ohio			ĺ	
Residential	Beginning Date of Service	2000	End Date	STILL ACTIVE
Small Commercial	Beginning Date of Service	11	End Date	13
Large Commercial	Beginning Date of Service	17	End Date	N }
Industrial	Beginning Date of Service	17	End Date	łĄ
Duke Energy Ohio		TO BE		
Residential	Beginning Date of Service	DETERMINES) End Date	
Small Commercial	Beginning Date of Service		End Date	
Large Commercial	Beginning Date of Service		End Date	
Industrial	Begianing Date of Service		End Date	
Vectren Energy Delivery o	f Ohio			
Residential	Beginning Date of Service		End Date	
Small Commercial	Beginning Date of Service		End Date	
Large Commercial	Beginning Date of Service		End Date	
Industrial	Beginning Date of Service		End Date	

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

Columbia Gas of Ohio	Intended Start Date
Dominion East Ohio	Intended Start Date
Duke Energy Ohio	Intended Start Date
Vectren Energy Delivery of Ohio	Intended Start Date

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 <u>Exhibit A-14 "Principal Officers, Directors & Partners,</u>" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 <u>Exhibit A-15 "Corporate Structure</u>," provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale natural gas or electricity to customers in North America.
- A-16 <u>Exhibit A-16 "Company History</u>," provide a concise description of the applicant's company history and principal business interests.
- A-17 <u>Exhibit A-17 "Articles of Incorporation and Bylaws</u>," if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto.
- A-18 <u>Exhibit A-18 "Secretary of State</u>," provide evidence that the applicant is currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- **B-1** <u>Exhibit B-1 "Jurisdictions of Operation</u>," provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 <u>Exhibit B-2 "Experience & Plans</u>," provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- **B-3** <u>Exhibit B-3 "Summary of Experience</u>," provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking to be certified to provide (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- **B-4** <u>Exhibit B-4 "Disclosure of Liabilities and Investigations</u>," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services it is seeking to be certified to provide.

B-5 <u>Exhibit B-5 "Disclosure of Consumer Protection Violations</u>," disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

No No TYes

If Yes, provide a separate attachment labeled as <u>Exhibit B-5</u> "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas.

No No TYes

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If Yes, provide a separate attachment, labeled as <u>Exhibit B-6</u> "Disclosure of Certification Denial, <u>Curtailment</u>, <u>Suspension</u>, or <u>Revocation</u>," detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 <u>Exhibit C-1 "Annual Reports</u>," provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
- C-2 Exhibit C-2 "SEC Filings," provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 <u>Exhibit C-3 "Financial Statements</u>," provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer-certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer-certified financial statements covering the life of the business.
- C-4 <u>Exhibit C-4 "Financial Arrangements</u>," provide copies of the applicant's current financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)
- C-5 <u>Exhibit C-5 "Forecasted Financial Statements</u>," provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRNGS operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.

- C-6 Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- Exhibit C-7 "Credit Report," provide a copy of the applicant's current credit report from Experion, **C-7** Dun and Bradstreet, or a similar organization.
- Exhibit C-8 "Bankruptcy Information," provide a list and description of any reorganizations, **C-8** protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or since applicant last filed for certification.
- C-9 Exhibit C-9 "Merger Information," provide a statement describing any dissolution or merger or acquisition of the applicant since applicant last filed for certification.

SECTION D - APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEE AS INDICATED.

- Exhibit D-1 "Operations," provide a current written description of the operational nature of the D-1 applicant's business functions.
- Exhibit D-2 "Operations Expertise," given the operational nature of the applicant's business, provide D-2 evidence of the applicant's current experience and technical expertise in performing such operations.
- Exhibit D-3 "Key Technical Personnel," provide the names, titles, email addresses, telephone **D-3** numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

effill man- res. Applicant Signature and Title

Sworn and subscribed before me this 10^{14} day of April Month 2014 Year Jamie L. Clark Signature of official administering oath Print Name and Title My commission expires on December 19, 2015

JAMIE L. CLARK NOTARY PUBLIC . STATE OF OHIO Recorded in Cuyahoga County My commission expires Dec. 19, 2015

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Energy Cost Savers, Inc. Exhibit A-14 " PRINCIPAL UFFICERS"

Treasure

Robert P. Wyman Pres.

Susan P. Wyman

Both at:

23949 Laureldale Rd.

Shaker Hts., Ohio 44122

216-464-7340

Energy Cost Savers, Inc. Exhibit A-15 "CORPORATE STRUCTURE"

We formed Energy Cost Savers, Inc. in 2000. It is a S corp.

Susan P. Wyman is the only shareholder.

Energy Cost Savers, Inc. Exhibit A-16 "ComPANY History"

Energy Cost Savers, Inc. was formed in Ohio in 2000.

We started out selling natural gas contracts as a broker firm. We could only sell GTS contracts on Dominion's system and both GTS and Choice plans on Columbia's system, until Choice plans were also approved for marketers to sell. About 10 years ago we had a short period of time where we could sell Choice electricity plans, which we did. Then three or four years ago we were able to sell electricity plans and that is what we have done more than gas.

About 11, or 12 years ago we took on selling electrical products that mitigate the end users costs. Those products included re-lamping, surge suppression, transformers, and power factor improvement.

Energy Cost Savers, Inc. Exhibit A-IM "ARTICLES OF INCORPORATION AND BYLANS"

Exhibit A-17 Articles of Incorporation and Bylaws are attached.



Prescribed by J. Kenneth Blackwell

Please obtain fee amount and mailing instructions from the Forms Inventory List (using the 3 digit form # located at the bottom of this form). To obtain the Forms Inventory List or for assistance, please

Expedite this form **M**Yes

call Customer Service: Central Ohio: (614)-466-3910 Toll Free: 1-877-SOS-FILE (1-877-767-3453) 2 - 4 - 2

ARTICLES OF INCORPORATION

(Under Chapter 1701 of the Ohio Revised Code) **Profit Corporation**

The undersigned, desiring to form a corporation, for profit, under Sections 1701.01 et seq. of the Ohio Revised Code, do hereby state the following:

FIRST.	The name of said corporation shall be: Energy Cost Savers, Inc.
SECOND.	The place in Ohio where its principal office is to be located is <u>Beachwood</u> (city, village or township) <u>Beachwood</u> (city, village or township)
THIRD.	The purpose(s) for which this corporation is formed is: To engage in any lawful activity for which <u>corportations</u> may be formed under Sections 1701.01 <u>through</u> 1701.98 inclusive, of the Ohio Revised Code.
FOURTH. IN WITNESS	The number of shares which the corporation is authorized to have outstanding is: 850 (Please state whether shares are common or preferred, and their par value, if any. Shares will be recorded as common with no par value unless otherwise indicated.) WHEREOF, we have hereunto subscribed our names, on $01/01/00$ (date)
	By:, Incorporator Name:
	By:, Incorporator Name:
-	SUBABLE MOMMAN SUBABLE MOMMAN SUBABLE HEALTS $Date 12 - 21 - 99$ State
	THE HUNTINGTON NATIONAL ADDRESS DE CONTRACTOR DOLLARS DE CONTRACTOR DOLLARS DE CONTRACTOR DE CONTRAC

Department of the Treasury Imternal Revenue Service CINCINNATI OH

Taxpayer Identitying Number 34-1919523 Form: Tax Period:

> For assistance you may call us at:

1-800-829-1040

45999

ENERGY COST SAVERS INC 25201 CHAGRIN BLVD STE 130 CLEVELAND OH 44122-5635305

NOTICE OF ACCEPTANCE AS AN S-CORPORATION

YOUR ELECTION TO BE TREATED AS AN S-CORPORATION WITH AN ACCOUNTING PERIOD OF DECEMBER IS ACCEPTED. THE ELECTION IS EFFECTIVE BEGINNING APR. 1, 2000, SUBJECT TO VERIFICATION 1F WE EXAMINE YOUR RETURN.

IF YOUR EFFECTIVE DATE IS NOT AS REQUESTED, IT WILL HAVE BEEN CHANGED FOR ONE OF TWO REASONS. EITHER YOUR ELECTION WAS MADE AFTER THE 15TH DAY OF THE THIRD MONTH OF THE TAX YEAR TO WHICH IT APPLIES, BUT BEFORE THE END OF THAT TAX YEAR, OR THE ELECTION WHEN SUBMITTED WAS INCOMPLETE, AND REQUESTED INFORMATION WAS RECEIVED AFTER THE FILING PERIOD. IN EITHER CASE, YOUR ELECTION IS INVALID FOR THE TAX YEAR REQUESTED AND HAS THEREFORE, BEEN TREATED AS THOUGH IT WERE MADE FOR THE NEXT TAX YEAR.

PLEASE KEEP THIS NOTICE IN YOUR PERMANENT RECORDS AS VERIFICATION OF YOUR ACCEPTANCE AS AN S-CORPORATION.

IF YOU HAVE ANY QUESTIONS ABOUT THIS NOTICE OR THE ACTIONS WE HAVE TAKEN, PLEASE WRITE TO US AT THE ADDRESS SHOWN ABOVE. IF YOU PREFER, YOU MAY CALL US AT THE IRS TELEPHONE NUMBER LISTED IN YOUR LOCAL DIRECTORY. AN EMPLOYEE THERE MAY BE ABLE TO HELP YOU; HOWEVER, THE OFFICE AT THE ADDRESS SHOWN ON THIS NOTICE IS MOST FAMILIAR WITH YOUR CASE.

IF YOU WRITE TO US, PLEASE PROVIDE YOUR TELEPHONE NUMBER AND THE MOST CONVENIENT TIME FOR US TO CALL SO WE CAN CONTACT YOU TO RESOLVE YOUR INQUIRY. PLEASE RETURN THE BOTTOM PART OF THIS NOTICE TO HELP US IDENTIFY YOUR CASE.

THANK YOU FOR YOUR COOPERATION.

341919523 YQ 00 000000

> INTERNAL REVENUE SERVICE CINCINNATI OH 45999

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Energy Cost Savers, Inc. Exhibit A-18 "SECRETARY OF STATE" ----------

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TRADE NAME OFIGINAL FLING (FINO)

EXPED FLING 50.00

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PENALTY .00

CERT

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Receipt

This is not a bill. Please do not remit payment.

ENERGY COST SAVERS, INC. 3601 GREEN RD STE 304 CLEVELAND, OH 44122

STATE OF OHIO CERTIFICATE **Ohio Secretary of State, J. Kenneth Blackwell** 1643043 It is hereby certified that the Secretary of State of Ohio has custody of the business records for **FRESH START DINER** and, that said business records show the filing and recording of:

Document(s):

TRADE NAME/ORIGINAL FILING

Date of First Use: **Expiration Date:**

07/27/2006 08/21/2011

ROBERT P. WYMAN 3061 GREEN RD STE 304 BEANCHWOOD, OH 44122

#524

Document No(s):

200623402234



United States of America State of Ohio Office of the Secretary of State Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 21st day of August, A.D. 2006.

Cunet Bachmell

Ohio Secretary of State

Exhibit B-1 "JURISDICTIONS" OF OPERATION"

We have never been asked to get a license before, and we have been doing Business in Ohio, Illinois, and Michigan.

Exhibit B-2 "Experience & PLANS"

Robert Wyman has been in the energy business since 1998 selling for two different companies. Then in 2000 my wife and I decided to go into the energy business for ourselves. Susan had a very good job and we decided that she should stay there. We put together a few investors and formed Energy Cost Savers, Inc. I have always been the primary operator and salesman. We had tried a couple of other sales people over the years, but none made it. A couple of years ago Susan bought out the investors and is now the sole shareholder.

I have always had a good retention record, until a short time ago. I was not paying enough attention to my business, and the competition for selling electricity is way greater than it is for selling gas. After all, both commodities are the same whoever sells them. The only difference is the service and attention we pay to our customers that gives us a chance at a future sale. I am gearing up to get back into doing a better job of staying on top of my customer's needs and getting back to some cold calling to building up our business again. At one time we had well over 1,000,000 MCF on our books.

Energy Cost Savers, Inc. Exhibit B-3 "Summary OF EXPERIENCE"

I pointed out most of the answers to B-3 in B-2.

The customers that we have served include the Greater Cleveland Auto Dealers Association until IGS told them that The Association could do away with ECS and give my commission to the Association. I have had restaurant chains, restaurants, cleaner chains, tire company chains, many apartments and office buildings, developers, including strip centers, industrial plants, group living facilities, and commercial buildings.

Energy Cost Savers, Inc. Exhibit B-4 "Disclosure OF LIABILITIES AND INVESTIGATIONS"

We have never had any such problem.

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Energy Cost Savers, Inc. Exhibit C-1 "ANNUAL REPORTS"

We have never done an annual report

We are including our last two year's tax returns.

Hobe&Lucas

Certified Public Accountants, Inc.

4807 Rockside Road, Suite 510 Tel: (216) 524-8900 Independence, Ohio 44131 Fax: (216) 524-8777 www.hobe.com

ENERGY COST SAVERS, INC. 3601 GREEN ROAD, SUITE 304 CLEVELAND, OH 44122

ENERGY COST SAVERS, INC.:

WE HAVE PREPARED YOUR TAX RETURNS FROM INFORMATION PROVIDED BY YOU. THE RECEIPT OF SUCH INFORMATION CONFIRMS YOUR ACCEPTANCE OF OUR FIRM'S STANDARD POLICIES AND PROCEDURES APPLICABLE TO TAX COMPLIANCE AND PREPARATION SERVICES.

THE FOLLOWING RETURNS WERE PREPARED FOR YOU:

2012 U.S. INCOME TAX RETURN FOR AN S CORPORATION

2012 REGIONAL INCOME TAX AGENCY S CORPORATION INCOME TAX RETURN

EACH ORIGINAL RETURN, NOT FILED ELECTRONICALLY, SHOULD BE SIGNED, DATED AND FILED IN ACCORDANCE WITH THE FILING INSTRUCTIONS. THE COPIES SHOULD BE RETAINED FOR YOUR FILES.

WE SINCERELY APPRECIATE THE OPPORTUNITY TO SERVE YOU. PLEASE CONTACT US IF YOU HAVE ANY QUESTIONS CONCERNING YOUR RETURNS.

SINCERELY,

DAVID S. BALDOZA



	IRS e-file Signature	Authorizati	ion for For	1111200	i	MB No. 1545-1863
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	income (loss) (Form 11205, Schedule K,				4	
5 Income (loss) reconci	liation (Form 1120S, Schedule K, line 18)				5	-277
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Form	1	1	2	0	S
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Department of the Treasury Internal Revenue Service

U.S. Income Tax Return for an S Corporation Do not file this form unless the corporation has filed or is

attaching Form 2553 to elect to be an S corporation.

Information about Form 1120S and its separate instructions is at www.irs.gov/form1120s.

2012

CLIENT COPY

For	calent	tar year 2012 or ta	ax year be	ginning			, and ending		·····	
		on effective date		Name					D Employe	ridentification number
		s activity	TYPE	ENERGY C	OST SAVER	S. INC.			34-	-1919523
C	ode nu	umber structions)	OR		nd room or suite no.		nstructions.		E Date inco	
(1990	PRINT		EN ROAD,					01/2000
CC	heck i	f Sch. M-3		City or town, state	, and ZIP code					ets (see instructions)
a	ttache	d 🗌			D, OH 44	122			\$	20,770.
G	is the	corporation electin	ng to be a	n S corporation begin	nning with this tax ye	ar? 🛄 Yes	X No If "Yes,"	attach Form	2553 if not a	Iready filed
										termination or revocation
	Caut		trade or	business income a	ind expenses on li	nes 1a through 2	1. See the instruct	ions for mo	re informati	
	1	a Gross receipts or sales	1	<u>00,998.</u> b¦	letum and Illowances		Bal. Subtract line 1b fro	m line 1a 🛄 🖡	► <u>1</u> c	100,998.
ne	2	Cost of goods s	sold (attac	ch Form 1125-A) 🛛					2	
ncome	3						· · · <i>·</i> · · · · · · · · · · · · · · ·			100,998.
<u> </u>	4	Net gain (loss) 1	from Forr	n 4797, line 17 <i>(atta</i>	ch Form 4797)				4	
	5	Other income (I	loss) <i>(att</i>	ach statement)			STATEMEN	<u>ŗ 1</u>	5	7.
	6	Total income (l	ioss). Add	d lines 3 through 5		<u></u>		<u>,</u>	▶ 6	101,005.
(îs	7	Compensation (of officers	;			STATEMEN	Г 2	7	51,750.
ion	8	Salaries and wa	iges (less	employment credits')				8	
itat	9	Repairs and ma	intenance)				·	9	
lî n	10	Bad debts			·····				10	
for	11	Rents							11	4,500.
SU	12	Taxes and licen:	ses		•••••••••••••••••••		STATEMEN	Г З	12	4,133.
ctio	13	Interest						•••••	13	
ţŢ	14	•					52)			
ius.	15	Depletion (Do	not dedu	ct oil and gas deplet	lion.)				15	
8	16	Advertising							16	335.
ŝ	17	Pension, profit-	sharing, e	etc., plans				•••••	17	
ũö	18	Employee benef	fit prograa	ms					18	
licti	19	Other deduction	ns (attach	n statement)			STATEMEN	г 4	19	40,364.
Deductions (See instructions for limitations)	20	Total deduction	1s. Add Iir	nes 7 through 19 👘	•••••••••••••••••••••••••••••••••••••••				20	101,082.
<u></u>	21								21	<u> </u>
	22 a			ne or LIFO recapture						
	b	Tax from Sched	lule D (Fo	orm 1120S)			22b			
	C		-						220	
ıts	23 a	2012 estimated	tax paym	ents and 2011 overp	ayment credited to 2	012	23a			
Ter	b						23b	··· <u>·</u> ······	-	
МP,	C	Credit for federa	al tax paid	on fuels (attach Fo				· ·		
Tax and Paymen	d	I Add lines 23a th	-					·····	23d	······
କ୍ଷ	24			e instructions). Chec					24	
Ta)	25						owed			
	26	•••		-		4, enter amount o	verpaid		26	
	27			6 Credited to 2013 e				efunded	27	
<u>.</u>	be	effet, it is true, correct,	ny, i declare and comple	ste. Declaration of prepa	is return, including acco rer (other than taxpayer)	mpanying schedules is based on all inform	and statements, and to th ation of which preparer ha	is any knowled	owledge and ge.	May the IBS discuss
Sig						•				May the IRS discuss this return with the preparer shown below (see instr.)?
He	e				D_4	───₽	*****			
	ľ	Signature of offi	icer		Date		Title			
	Print/T	ype preparer's name			Preparer's signature	Rallans	Date			PTIN
Paio	יזגח	ID S. BA	LDOZ.	Δ	DAVID S.	BALDØZA	2/2	/is self-	[[""]	P00394564
parer				A LUCAS C		DUDDOUR	- Mark		ioyed []	81-1488076
Use Only		N 1		ROCKSIDE		0				1 1100070
	Firm's			ENDENCE, (~		Pho	^{ne no.} 216	-524-8900
JWA	5.			Notice, see separat						Form 1120S (2012)
2117		o capermont neur	racion AGI	munee, ace achaigi	o manuenona.					

01-02-13

Hobe&Lucas

Certified Public Accountants, Inc.

4807 Rockside Road, Suite 510 Independence, Ohio 44131

Tel: (216) 524-8900 Fax: (216) 524-8777 それない、それなどなどはなどがあっていたのであった。 おおおく ためで おおお さまし たんない たいたいかん いちたい かんしょう ほうかい たいかい たいかい たいしょう かいしょう ひょうしん ひょうかい しょうかい しょうかい しょうかい しょうかい しょうかい しょうかい しょうかい しょうかい しょうかい

www.hobe.com

ENERGY COST SAVERS, INC. 3601 GREEN ROAD, SUITE 304 CLEVELAND, OH 44122

ENERGY COST SAVERS, INC.:

WE HAVE PREPARED YOUR TAX RETURNS FROM INFORMATION PROVIDED BY YOU. THE RECEIPT OF SUCH INFORMATION CONFIRMS YOUR ACCEPTANCE OF OUR FIRM'S STANDARD POLICIES AND PROCEDURES APPLICABLE TO TAX COMPLIANCE AND PREPARATION SERVICES.

THE FOLLOWING RETURNS WERE PREPARED FOR YOU:

2013 U.S. INCOME TAX RETURN FOR AN S CORPORATION

2013 REGIONAL INCOME TAX AGENCY S CORPORATION INCOME TAX RETURN

EACH ORIGINAL RETURN, NOT FILED ELECTRONICALLY, SHOULD BE SIGNED, DATED AND FILED IN ACCORDANCE WITH THE FILING INSTRUCTIONS. THE COPIES SHOULD BE RETAINED FOR YOUR FILES.

WE SINCERELY APPRECIATE THE OPPORTUNITY TO SERVE YOU. PLEASE CONTACT US IF YOU HAVE ANY QUESTIONS CONCERNING YOUR RETURNS.

SINCERELY,

DAVID S. BALDOZA



		С	LIENT COPY
8879-S	IRS e-file Signature Authorization for Form 112	os	OMB No. 1545-1863
ment of the Treasury	 Do not send to the IRS. Keep for your records. Information about Form 8879-S and its instructions is at www.irs.gov/form88 	79s	2013
f Revenue Service	For calendar year 2013, or tax year beginning , 2013, ending , 20		dentification number
			919523
	urn Information (Whole dollars only)	<u> </u>	/1/525
	les less returns and allowances (Form 1120S, line 1c)	1	99,558.
	120S, line 3)		99,558.
	come (loss) (Form 1120S, line 21)		6,172.
Net rental real estate	e income (loss) (Form 1120S, Schedule K, line 2)		
	iliation (Form 1120S, Schedule K, line 18)	5	5,972.
	tion and Signature Authorization of Officer (Be sure to get a copy of t , I declare that I am an officer of the above corporation and that I have examined a copy of		
return, and the finance 38-353-4537 no later sessing of the electron ment. I have selected	financial institution account indicated in the tax preparation software for payment of the cor cial institution to debit the entry to this account. To revoke a payment, I must contact the U. than 2 business days prior to the payment (settlement) date. I also authorize the financial in sic payment of taxes to receive confidential information necessary to answer inquiries and re a personal identification number (PIN) as my signature for the corporation's electronic incor t to electronic funds withdrawal.	S. Treasu stitution solve is	ury Financial Agent at s involved in the sues related to the
cer's PIN: check one	box only		
X authorize HC		enter m	
as my signature	ERO firm name e on the corporation's 2013 electronically filed income tax return.		do not enter all zeros
	the corporation, I will enter my PIN as my signature on the corporation's 2013 electronically	filed inc	ome tax return.
ær's signature 🕨	Date Title PRE	SIDE	NT
rt III Certific	ation and Authentication		,
D's EFIN/PIN. Enter y	our six-digit EFIN followed by your five-digit self-selected PIN. 34544544131 do not enter all zeros		
ve. I confirm that I am	imeric entry is my PIN, which is my signature on the 2013 electronically filed income tax retu submitting this return in accordance with the requirements of Pub. 3112 , IRS <i>e-file</i> Applica -File (MeF) Information for Authorized IRS <i>e-file</i> Providers for Business Returns.		
i's signature 🕨	Date 🕨		
·	ERO Must Retain This Form - See Instructions Do Not Submit This Form to the IRS Unless Requested To Do S	o	
Paperwork Reduction	on Act Notice, see instructions.		Form 8879-S(2013)
4			

50m 1120S

Department of the Treasury Internal Revenue Service

第二人の主要には、「「「「「」」」

U.S. Income Tax Return for an S Corporation > Do not file this form unless the corporation has filed or is

attaching Form 2553 to elect to be an S corporation.

Information about Form 1120S and its separate instructions is at www.irs.gov/form1120s.

OMB No. 1545-0130

CLIENT COPY

2013

For	<u>calend:</u>	<u>ar year 2013 or t</u>	ax year beginning		<u>, and en</u>	ding			
		n effective date	Name				D	Employ	er identification number
_		1/2000 s activity	ENERGY	COST SAVERS, INC				34	-1919523
ંદ	ode nu	mber		room or suite no. If a P.O. box, see	· ·····		╘		corporated
(54 see inst	tructions) 1990	₩ 3601 GR	EEN ROAD, SUITE			: [/01/2000
		Sch. M-3		or province, country, and ZIP or fore			F		sets (see instructions)
	ttached		CLEVELA	ND, OH 44122			\$		32,832.
G	is the	corporation electi		n beginning with this tax year?					
H		if: (1) 🛄 Fin		ime change 🛛 (3) 🗔 Address ch					
L				reholders during any part of the tax					
	T		trade or business inc	ome and expenses on lines 1 a ti	hrough 21. See t	he instructions fo	r more	informe	
	1:	• or sales	99,558	Beturn and allowances	C Bal. Subtr	act line 1b from line 1a	🏲	10	99,558.
Income	2	-		A)				2	00 550
00 20	3		Subtract line 2 from line 1					3	99,558.
-	4	Net gain (loss)	(trom Form 4797, line 1) (leas) (stass is stated	7 (attach Form 4797)	ሮሞል፣	דידיאדיאייי 1		4	16.
	5							5	99,574.
_	7			gh 5 ons - attach Form 1125-E)				7	54,000.
for limitations)	8			predits)				8	54,000.
atic	9						1	9	
<u>nit</u>	10							10	
2	11							11	4,418.
lis f	12	Taxes and lice	nses	·····	STA	TEMENT 2		12	4,486.
tior	13							13	
S.	14	Depreciation n	ot claimed on Form 112	5-A or elsewhere on return (attach)	Form 4562)			14	
nst	15	Depletion (D	o not deduct oit and gas	depletion.)	····			15	
ê	16	Advertising						16	162.
Š	17	Pension, profi	t-sharing, etc., plans					17	
õ	18							18	
	19	Other deduction	ons (attach statement)		STA	TEMENT 3	·····	19	30,336.
Deductions (See instructions	20			19				20	93,402.
	21			tract line 20 from line 6		····	· · · <u>- · · · · · · · · · · · · · · · ·</u>	21	6,172.
	22 a			apture tax (see instructions)					
	{ b				22b				
	C						•••••	220	
ŝ	23 a			2 overpayment credited to 2013					
Tax and Payme	h h								
ay.	C			ach Form 4136)					
P	d		•			► [<u> </u>	23d	
Ā	24			••••••				24	
Ę.	25			an the total of lines 22c and 24, enten 1 the total of lines 22c and 24, enter				25 26	
	26		from line 26 Credited to		amount overpaio	Refunder	· •	27	
				mined this return, including accompanying of preparer (other than taxpayer) is based of	schedules and statem				d
Si	gn ^{bi}	elief, it is true, correc	t, and complete. Declaration	of preparer (other than taxpayer) is based of	on all information of wh	ich preparer has any kr	owledge		May the IRS discuss this return with the
	ərə				PRES	IDENT			preparer shown below (see instr.)?
		Signature of o	fficer	Date	Title				X Yes No
) Print/	Type preparer's nam		Preparer's signature	· - ***		1		,
		uthe historiel 2 ligu	7	richater 2 siñusinte		Date	Check	if	PTIN
Pair Pre		ID S. B	ALDOZA	DAVID S. BAI	DOZA	3/10/14	self- emplo	[]	P00394564
Dan Use	Firms		OBE & LUCAS			· · ·	Firm's		31-1488076
Onl				E ROAD, #510			Phone		
_		I	NDEPENDENCE	, OH 44131				216	5-524-8900
JW	A F	or Paperwork Re	duction Act Notice, see	separate instructions.					Form 1120S (2013)
311	701 18-13								

Energy Cost Savers, Inc. Exhibit C-2 "SEC FiliN65"

We have never done a SEC Filing. We have never been public.

Exhibit C-3 "FRANCIAL STATENSENTS"

i.

The only thing I can think of is the two years of tax returns I have attached to exhibit C-1.

Energy Cost Savers, Inc. Exhibit C-4 "FINANCIAL ARRANGEMENTS"

With our brokerage business we do not take title to either natural gas or electricity commodities and so we do not require any financial help. Our customers are billed by the regulated utilities, or the marketers. We never are involved with any money transfers.

Energy Cost Savers, Inc. EXHIBIT C-5 "FORECASTED FINANCIAL STATEMENTS"

As you can see by looking at the last two years of our tax return we are fairly steady in our annual business. I am planning to get back to more prospecting this year and so I hope we will increase our sales. Again, we do not need financing to do our business even if it were to greatly increase.

Energy Cost Savers, Inc.

Exhibit C-6 Credit Rating

I have attached the credit report that I received from S and P. I contacted all the names you listed in C-6. For a small private company like ours they all use Credit, Inc. So when I finally reached someone at Moody's who said that they would call me back, but never did I called Credit, Inc. (not on your list) and said I struck out trying to get another credit report form the others on the list he told me that they all use Credit, Inc. for companies like ours.

Credit Report

I have no idea how they came up with a negative credit report. We have never had an issue with a late payment in 14 years. We have zero debt. We have a very simple business with virtually no accounts receivable and very little of ever billing for any electrical products, which have NEVER been paid in arrears.

We keep a bank balance of between \$25,000 and \$30,000 all the time. We do so little buying and virtually no billing to form any kind of record, but whatever we do is always clean.

		port		Page 1 of 2
······································			standondty	102
			Your rep is Dale Medinger (888) 274-5325	Log-out
[infogrou]	p/Cred	it.net	Email: <u>dale.medinger@infoUSA.com</u>	
			ut Us Testimonials Sample Report My	Credit Account
ergy Cost Sa 14/2014	avers Inc C	leveland, OH / Busi	iness Credit Report as of	
.,			Address: 3601 Green Rd # 304	
	A+ = 95+ A = 90 - 94 B+ = 85 - 89	Business Credit Rating Unknown	City: Cleveland	
69	B = 80 - 84 C+ = 75 -79 C = 70 - 74 U = Unknown	Recommended Credit No Recommendation	Line ofEnergy Conservation Business: Prode-Svcs-Systems Phone: (216) 464-5703 Map &Cikck for <u>Map and</u> Directions: <u>Directions</u>	
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View busin Just mouse over timogram photo.	Need C Help?	collection Payment 슈슈아 Rais this bus	슈스 학습승규요 Pate this business * About	
Click "Pate th		a send comments on	your payment or customer	
p: experiences w	vith this comp	any - or see comment	ts from others.	
	ndil this O	Print Report C Notes		
orporate Informa No. of Emr	ition Novees: 1-4		Years in Database: 10+	
			$I \cup I \cup$	
Type of Bu	Il Sales: Less Than Isiness: Privately C In Type: Single Loc)wned Co	ar of Incorporation: N/A orporate Affiliation: None IK Carda Accepted: N/A	
Type of Bu Locatio Metr	isiness: Privately C in Type: Single Loo ro Area: County: Cuyahoga	Dwned Co Cred	ar of Incorporation: N/A orporate Affiliation: None it Carda Accepted: N/A Ticker Symbol: None Annual Report: No report available	
Type of Bu Locatio Metr (View W infol	isiness: Privately C in Type: Single Loc ro Area:	live Cred	ar of Incorporation: N/A orporate Affiliation: None Ht Cards Accepted: N/A Ticker Symbol: None	
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Credit.net - Business Credit Report

Page 2 of 2

No UCC Filings are currently in our database for this company.

However, we currently do not have UCC Information from every state. For details on our UCC coverage, click About UCC Codes.

The data has been obtained from the Secretaries of State, or other governing bodies of the various states. Neither the states, nor infoUSA warrant the completeness or accuracy of the data. For certified copies of UCC filings, make a request from the appropriate Secretary of States office.

Similar Local Businesses

<u>A E Power Group</u> 274 Kercheval Ave Grosse Pte Farms, MI 48236-3106 Credit Score: U (66)	Eco Green Energy LLC 17401 E 10 Mile Rd Eastpointe, Mi 48021-1256 Credit Score: U (66)	Energy Efficient Light Sitns 19151 Birmingham St Rossville, Mi 48068-4122 Credit Score: C+ (76)	<u>Bio Gas</u> <u>Technologies</u> LLC 2025 George St Sandusky, OH 44870-1742 Credit Score: C+ (76)	<u>Clean Energy</u> 8924 Lake Rd Seville, OH 44273-9003 Credit Score: C (71)
Good Cents 6670 W Snowville Rd # 5 Bracksville, OH 44141-4300 Credit Score: C+ (76)	Patriot Energy LLC 1574 Mein St Cuyahoga Falis, OH 44221-4937 Credit Score: C (71)	<u>United Resource</u> <u>Group inc</u> 29299 Clemens Rd # 1a Westlake, OH 44145-1051 Gredit Score: B (84)	Pioneer Engrav Products Inc 671 Colbert Ave Oli City, PA 16301-2288 Credit Score: B (83)	<u>Pure Nrg</u> 2454 Mili St Aliquippa, PA 15001-2237 Credit Score: C (70)

Tip: Check other companies as a source for additional vendors or customer prospects.

Nearby Businesses

Abagus Solutions Erie 3601 Green Rd # 316 Beachwood, OH 44122	Jane R Buder- Shapiro MD 3601 Green Rd # 210 Cleveland, OH 44122	<u>C Gi Voluntary</u> 3601 Green Rd Cleveland, OH 44122	Camp Ho Mita KODA Inc 3601 Green Rd # 100 Cleveland, OH 44122	<u>Care Management</u> <u>Svc Inc</u> 3601 Green Rd # 202 Cleveland, OH 44122
Clarion Management <u>LTD</u> 3601 Green Rd # 308 Cleveland, OH 44122	<u>Cleveland</u> <u>Psychologists</u> 3601 Green Rd Cleveland, OH 44122	Psychologists 3601 Green Rd #	Cohesant Inc 3601 Green Rd # 308 Cleveland, OH 44122	<u>Cura Flo Franchising</u> Ing 3601 Green Rd # 308 Cleveland, OH 44122

Company News

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Energy Cost Savers, Inc.

Exhibit C-7

I was told that all credit reports on small very little complicated business all use Credit, Inc. so it is already supplied in exhibit C-6. Energy Cost Savers, Inc. EXHIBIT C-8 "BANKRUPTCY INFORMATION"

Energy Cost Savers, Inc. has never had any bankruptcy issues.

Energy Cost Savers, Inc. EXHIBIT C-9 "MERGER INFORMATION"

Energy Cost Savers, Inc. has not had any dissolution or merger issues.

Energy Cost Savers, Inc. EXHIBIT D-1 "OPERATIONS"

Energy Cost Savers, Inc. (ECS) is a broker of natural gas and electricity, as well as some products that deal with mitigating electricity costs to the end user. Our primary job is to act on behave of the end users to find the best plan, or product. We do the shopping for them and provide answers to their concerns. We work for the customer, not any one provider. We do not add any extra cost to the customer since we get paid a commission by the provider who wins the sale. The only time we ever handle money is if we do a re-lamping, or a transformer, or the like, which is a very small part of our business. Energy Cost Savers, Inc.

Exhibit D-2 and D-3

I suppose that I am more experienced in doing energy work than most of my competitors. I have had 15 years of doing this business. For example, when I was awarded the Auto Dealer's Association I had offered to energy survey any of their buildings free. I wound up surveying over 200 buildings and learned from the ground floor up about all kinds of energy issues. I also worked with the Cuyahoga County Energy Manager, who was an engineer and a lighting expert as well as other knowledgeable people.