

NO FILE

23



The Public Utilities Commission of Ohio

PUCO USE ONLY		
Date Received	Case Number	Version
	- EL-AGG	August 2004

14-0509-EL-AGG

CERTIFICATION APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-5 Experience). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

RECEIVED-DOCKETING DIV
28 MAR 28 PM 1:10
PUCO

A. APPLICANT INFORMATION

A-1 Applicant's legal name, address, telephone number and web site address

Legal Name THE BRIO ENERGY CORPORATION
 Address 18530 MACK AVE #343, GROSSE POINTE MI 48236
 Telephone # (800) 727-2035 Web site address (if any) www.brioenergycorp.com

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name THE BRIO ENERGY CORPORATION
 Address 18530 MACK AVE #343, GROSSE POINTE MI 48236
 Telephone # (800) 727-2035 Web site address (if any) www.brioenergycorp.com

A-3 List all names under which the applicant does business in North America

The Brio Energy Corporation _____

A-4 Contact person for regulatory or emergency matters

Name Catherine Trefzer
 Title President

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
 Technician Date Processed MAR 28 2014

Business address 18530 Mack Ave #343, Grosse Pointe MI 48236
 Telephone # (800) 727-2035 Fax # (866) 571-7925
 E-mail address (if any) _____

A-5 Contact person for Commission Staff use in investigating customer complaints

Name Catherine Trefzer
 Title President
 Business address 18530 Mack Ave #343, Grosse Pointe MI 48236
 Telephone # (800) 727-2035 Fax # (866) 571-7925
 E-mail address (if any) ctrefzer@brioenergycorp.com

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address 18530 Mack Ave #343, Grosse Pointe MI 48236
 Toll-free Telephone # (800) 727-2035 Fax # (866) 571-7925
 E-mail address (if any) info@brioenergycorp.com

A-7 Applicant's federal employer identification number # 0 455411418

A-8 Applicant's form of ownership (check one)

- Sole Proprietorship Partnership
 Limited Liability Partnership (LLP) Limited Liability Company (LLC)
 Corporation Other _____

A-9 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).

- | | | | | |
|---|---|--|--|--|
| <input checked="" type="checkbox"/> First Energy | | | | |
| <input checked="" type="checkbox"/> Ohio Edison | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Toledo Edison | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Cleveland Electric Illuminating | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Duke Energy | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Monongahela Power | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> American Electric Power | | | | |
| <input checked="" type="checkbox"/> Ohio Power | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Columbus Southern Power | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input type="checkbox"/> Dayton Power and Light | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |

A-10 Provide the approximate start date that the applicant proposes to begin delivering services

5-5-2014

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-11 **Exhibit A-11 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-12 **Exhibit A-12 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.
- A-13 **Exhibit A-13 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-14 **Exhibit A-14 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.
- A-15 **Exhibit A-15 "Secretary of State,"** provide evidence that the applicant has registered with the Ohio Secretary of the State.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1 **Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2 **Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).

B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-5 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

No Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-6 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

No Yes

If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

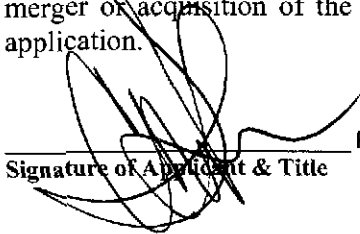
PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

- C-3** **Exhibit C-3 “Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.
- C-4** **Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).
- C-5** **Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant’s CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6** **Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant.
- C-7** **Exhibit C-7 “Credit Report,”** provide a copy of the applicant’s credit report from Experian, Dun and Bradstreet or a similar organization.
- C-8** **Exhibit C-8 “Bankruptcy Information,”** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

C-9 **Exhibit C-9 "Merger Information."** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.



Signature of Applicant & Title President

Sworn and subscribed before me this 27 day of March, 2014
Month Year

Charles A. Zajac Charles A. Zajac
Signature of official administering oath Print Name and Title

My commission expires on 10/20/17

CHARLES A. ZAJAC
Notary Public, State of Michigan
County of Macomb
My Commission Expires Oct. 20, 2017
Acting in the County of Macomb

AFFIDAVIT

State of Michigan :

Macomb Twpss.
(Town)

County of Macomb :

Catherine Trefzer, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the President (Office of Affiant) of The Brio Energy Corporation (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.


_____, President
Signature of Applicant & Title

Sworn and subscribed before me this 21 day of March, 2014
Month Year

Charles A. Zajac
Signature of official administering oath

Charles A. Zajac

Print Name and Title

My commission expires on 10/20/17

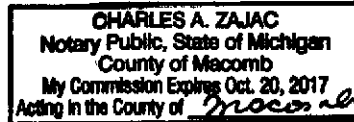


Exhibit Attachments

A-11

Exhibit A-11 "Principal Officers, Directors & Partners"

Principal Officers:

Catherine Trefzer
President/CEO
18530 Mack Ave #343
Grosse Pointe MI 48236
800-727-2035 office
724-905-7256 cellular
866-571-7925 fax

Charles Zajac
Secretary
18530 Mack Ave #343
Grosse Pointe MI 48236
800-727-2035 office
866-571-7925 fax

There are no additional directors or partners.

A-12 A-12 Exhibit A-12 “Corporate Structure”

The Brio Energy Corporation is an S Corporation incorporated in the State of Michigan.

There are no other affiliate and or subsidiary companies that supply retail or wholesale electricity or natural gas to customers and or that aggregate customers in North America.

A-13 Exhibit A-13 “Company History”

Catherine Trefzer principal of The Brio Energy Corporation began as a sales employee to a single alternative electricity supplier in Michigan then late 2010 moved to the Ohio market to expand business development for electricity and natural gas opportunities for business/commercial applications in deregulated areas. In Late, 2010 Catherine Trefzer LLC was formed and Catherine Trefzer began expanding supplier brands and product resource to accommodate the individual needs of its existing and future customers. In June 2012 the Brio Energy Corporation was formed to better assist customers with their energy management needs.

Brio Energy Corporations principal business interest is to facilitate electricity and natural gas bids from suppliers and manage contract renewals on behalf and in partnership with the customer.

A-14 Exhibit A-14 “Articles of Incorporation and Bylaws”

Exhibit A-14 "Articles of
Incorporation & Bylaws

Michigan Department of Licensing and Regulatory Affairs

Filing Endorsement

This is to Certify that the ARTICLES OF INCORPORATION - PROFIT

for

THE BRIO ENERGY CORPORATION

ID NUMBER: 04643K

**received by facsimile transmission on June 2, 2012 is hereby endorsed
Filed on June 4, 2012 by the Administrator.**

**The document is effective on the date filed, unless a
subsequent effective date within 90 days after
received date is stated in the document.**



**In testimony whereof, I have hereunto set my
hand and affixed the Seal of the Department,
in the City of Lansing, this 4TH day
of June, 2012.**

A handwritten signature in black ink, appearing to read "A. Schaffer".

Director

Bureau of Commercial Services

A-15 Exhibit A-15 “Secretary of State”

201408400252

DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
03/25/2014	201408400252	FOREIGN LICENSE/FOR-PROFIT (FLF)	125.00	100.00	.00	.00	.00

Receipt

This is not a bill. Please do not remit payment.

THE BRIO ENERGY CORPORATION
18530 MACK AVE #343
GROSSE POINTE, OH 48236

Exhibit A-15 Secretary of State

**STATE OF OHIO
CERTIFICATE**

Ohio Secretary of State, Jon Husted

2280243

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

THE BRIO ENERGY CORPORATION

and, that said business records show the filing and recording of:

Document(s):

FOREIGN LICENSE/FOR-PROFIT

Document No(s):

201408400252

Effective Date: 03/24/2014

Authorization to transact business in Ohio is hereby given, until surrender, expiration or cancellation of this license.



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio this
25th day of March, A.D. 2014.

Jon Husted

Ohio Secretary of State

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

B-1 Exhibit B-1 “Jurisdictions of Operation”

The Brio Energy Corporation is not certified, licensed, registered, nor is application pending to provide retail or wholesale electric services or aggregated services in any jurisdiction as of the date of filing.

B-2 Exhibit B-2 “Experience & Plans”

Brio Energy aids properly licensed and authorized alternative electricity and natural gas suppliers in obtaining customers who provide the contracted services, billing statements and respond to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 or the Revised Code.

Brio Energy will not take title to electricity or natural gas, directly contract services or bill customers; however will aid its customers in resolving inquiries and complaints with individual suppliers.

B-3 Exhibit B-3 “Summary of Experiences”

Brio Energy has not provided government or collective customer aggregation services(s) to combine electric load and representing customers in the purchase of retail electric services; therefore, number and types of customers served, utility areas, amount of load etc. Historically Brio Energy has only consulted to individual business’s electricity or gas loads independent of each other.

B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations"

There is no known, pending, or past ruling, judgments, contingent liabilities, revocation of authority, regulatory investigations or any other matter that could adversely impact Brio Energy Corporations financial or operational status or ability to provide the services it is seeking to be certified to provide to provide.

B-5 Exhibit B-5

Brio Energy Corp (Applicant) nor predecessor of Brio Energy, nor any principal officer of Brio Energy has ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

B-6 Exhibit B-6

Brio Energy Corp (Applicant) nor predecessor of Brio Energy, nor any principal officer of Brio Energy has not had any certification license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked or cancelled within the past two years.

C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

C-1 Exhibit C-1 “Annual Reports”

Brio Energy is a small business that does not report to shareholders; therefore, no annual reports are available or filed.

C-2 Exhibit C-2 “SEC Filings”

As an agent or broker/agent Brio Energy Corp is not required to file 10-K/8K filings with the SEC . Additionally, there is no parent company affiliations therefore, there are no 10-k/8-K filings.

C-3 Exhibit C-3 “Financial Statements”

The Brio Energy Corporation was formed in June of 2012 with zero earning reported in 2012. There no audited financial statements available for 2012 or 2013. 2013 Profit and Loss statements are attached as Exhibit C-3.

Exhibit C-3 "Financial Statements"

2:39 PM

03/18/14

Cash Basis

Brio Energy Corporation
Profit & Loss
 January through December 2013

	<u>Jan - Dec 13</u>
Ordinary Income/Expense	
Income	
Sales	129,990.66
Total Income	<u>129,990.66</u>
Cost of Goods Sold	
Contract Services	18,523.27
Total COGS	<u>18,523.27</u>
Gross Profit	111,467.39
Expense	
Advertising and Promotion	191.16
Automobile Expense	16,718.00
Bank Service Charges	110.00
Computer and Internet Expenses	2,562.75
Depreciation Expense	1,042.74
Dues and Subscriptions	856.00
Employee Benefits	5,565.91
Insurance Expense	601.00
Interest Expense	41.28
Meals and Entertainment	2,235.82
Office Supplies	3,496.51
Officer Salary	12,300.00
Parking and Tolls	41.00
Payroll Expenses	440.60
Postage and Delivery	44.54
Professional Fees	
Accounting	635.00
Total Professional Fees	<u>635.00</u>
Rent Expense	1,500.00
Repairs and Maintenance	704.92
Taxes on Payroll	1,302.15
Telephone Expense	4,124.11
Travel Expense	2,096.98
Uniforms and Laundry	656.40
Total Expense	<u>57,266.87</u>
Net Ordinary Income	<u>54,200.52</u>
Net Income	<u><u>54,200.52</u></u>

2:40 PM
03/18/14
Cash Basis

Brio Energy Corporation
Balance Sheet
As of December 31, 2013

	<u>Dec 31, 13</u>
ASSETS	
Current Assets	
Checking/Savings	
Chase # 8579	6,566.11
Total Checking/Savings	<u>6,566.11</u>
Total Current Assets	6,566.11
Fixed Assets	
Accumulated Depreciation	-12,796.74
Furniture and Equipment	12,930.74
Total Fixed Assets	<u>134.00</u>
TOTAL ASSETS	<u><u>6,700.11</u></u>
LIABILITIES & EQUITY	
Liabilities	
Current Liabilities	
Credit Cards	
American Express 1-62002	-915.49
Chase - #7946	-8.08
Total Credit Cards	<u>-923.57</u>
Total Current Liabilities	-923.57
Long Term Liabilities	
Loan Payable - Trefzer	601.29
Total Long Term Liabilities	<u>601.29</u>
Total Liabilities	-322.28
Equity	
Capital Stock	500.00
Shareholder Distributions	-47,678.13
Net Income	54,200.52
Total Equity	<u>7,022.39</u>
TOTAL LIABILITIES & EQUITY	<u><u>6,700.11</u></u>

2:40 PM
03/18/14
Cash Basis

Brio Energy Corporation
Profit & Loss Prev Year Comparison
January through December 2013

	<u>Jan - Dec 13</u>	<u>Jan - Dec 12</u>
Ordinary Income/Expense		
Income		
Sales	129,990.66	0.00
Total Income	<u>129,990.66</u>	<u>0.00</u>
Cost of Goods Sold		
Contract Services	18,523.27	0.00
Total COGS	<u>18,523.27</u>	<u>0.00</u>
Gross Profit	111,467.39	0.00
Expense		
Advertising and Promotion	191.16	0.00
Automobile Expense	16,718.00	0.00
Bank Service Charges	110.00	0.00
Computer and Internet Expenses	2,562.75	0.00
Depreciation Expense	1,042.74	0.00
Dues and Subscriptions	856.00	0.00
Employee Benefits	5,565.91	0.00
Insurance Expense	601.00	0.00
Interest Expense	41.28	0.00
Meals and Entertainment	2,235.82	0.00
Office Supplies	3,496.51	0.00
Officer Salary	12,300.00	0.00
Parking and Tolls	41.00	0.00
Payroll Expenses	440.60	0.00
Postage and Delivery	44.54	0.00
Professional Fees		
Accounting	635.00	0.00
Total Professional Fees	<u>635.00</u>	<u>0.00</u>
Rent Expense	1,500.00	0.00
Repairs and Maintenance	704.92	0.00
Taxes on Payroll	1,302.15	0.00
Telephone Expense	4,124.11	0.00
Travel Expense	2,096.98	0.00
Uniforms and Laundry	656.40	0.00
Total Expense	<u>57,266.87</u>	<u>0.00</u>
Net Ordinary Income	<u>54,200.52</u>	<u>0.00</u>
Net Income	<u><u>54,200.52</u></u>	<u><u>0.00</u></u>

C-4 Exhibit C-4 “Financial Arrangements”

The applicant, Brio Energy Corporation is not requesting licensing to conduct “supplier” activities; therefore will not be taking title to power or gas. As a result, there are no financial arrangements, guarantees, bank commitments, contractual arrangements, credit agreements etc. planned or in place.

C-5 Exhibit C-5 “Forecasted Financial Statements”

See attached Exhibit C-5

EXHIBIT C-5 "FORCASTED FINANCIAL STATEMENTS"

BRIO ENERGY CORPORATION FORECASTED FINANCIAL STATEMENTS

	2013		2014		2015
REVENUE					
TOTAL SALES	\$ 129,990.66	\$	229,990.66	\$	400,000.00
GROSS REVENUE	\$ 129,990.66	\$	229,990.66	\$	400,000.00
MICHIGAN	72%		59%		50%
OHIO	25%		35%		40%
OTHER	3%		6%		10%
COST OF GOOD SOLD					
COST OF GOOD SOLD	\$ 18,523.27	\$	45,998.13	\$	100,000.00
TOTAL COST OF GOODS SOLD	\$ 18,523.27	\$	45,998.13	\$	100,000.00
GROSS MARGIN	\$ 111,467.39	\$	183,992.53	\$	300,000.00
% OF NET REVENUE	86%		80%		75%
SALES, GENERAL & ADMIN EXPENSES					
SALES & MARKETING	\$ 3,556.00	\$	7,112.00	\$	14,224.00
TOTAL SALES & MARKETING					
% OF NET SALES	3%		5%		7%
OTHER SG&A EXPENSES					
ADMINISTRATION	\$ 101,200.52	\$	155,000.00	\$	190,000.00
TOTAL OTHER EXPENSES	\$ 101,200.52	\$	155,000.00	\$	190,000.00
TOTAL SG&A EXPENSES	\$ 104,756.52	\$	162,112.00	\$	204,224.00
% NET REVENUE	6%		12%		32%
NET PROFIT (LOSS)	\$ 6,710.87	\$	21,880.53	\$	95,776.00

LIST OF ASSUMPTIONS

2014 & 2015 revenue based on new sales growth and repeat business
 2014 & 2015 cost of goods increase assumed by additional sales persons
 Administration costs are expected to increase as new hires are added
 Sales and marketing expenses are expected to increase as new hires and sales are added
 With increase sales and repeat business Net Revenue is expected to increase

PREPARER:

NAME	Catherine Trefzer
ADDRESS	18530 Mack Avenue #343
EMAIL ADDRESS	ctrefzer@brioenergycorp.com
PHONE NUMBER	800-727-2035

C-6 Exhibit C-6 “Credit Rating”

Due to the age and scope of business (does not take title of gas and electricity) the Brio Energy Corp has no credit history and or credit score. Duns or similar is not available.

C-7 Exhibit C-7 “Credit Report”

Due to the age and scope of business (does not take title of gas and electricity) the Brio Energy Corp has no credit history and or credit score. Duns or similar is not available.

C-8 Exhibit C-8 “Bankruptcy Information”

The applicant, The Brio Energy Corporation nor any officer of the applicant has not filed for any reorganizations, protection from creditors or any other form of bankruptcy filings within the current year or within the tow most recent years preceding this application. Brio Energy Corp does not have a parent or affiliate organization; therefore, parent corporation/affiliate statement is non-applicable.

C-9 Exhibit C-9 “Merger Information”

There are no dissolutions or merger or acquisition of the Brio Energy Corporation within the most recent five years preceding this application.