



PUCO USE ONLY – Version 1.07		
Date Received	Case Number	Certification Number
	13-1454 - GA-CRS	

## CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS SUPPLIERS

Please **type or print** all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-16 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

### SECTION A - APPLICANT INFORMATION AND SERVICES

**A-1 Applicant intends to be certified as: (check all that apply)**

☒ Retail Natural Gas Aggregator    ☒ Retail Natural Gas Broker    ☒ Retail Natural Gas Marketer

**A-2 Applicant information:**

Legal Name      Western Reserve Energy Services, LLC  
Address          3867 West Market St, Suite 268, Akron, OH, 44333  
Telephone No.    855-973-6374      Web site Address    www.wrenergy.biz

**A-3 Applicant information under which applicant will do business in Ohio:**

Name              Western Reserve Energy Services, LLC  
Address          3867 West Market St, Suite 268, Akron, OH, 44333  
Web site Address    www.wrenergy.biz      Telephone No.      855-973-6374

**A-4 List all names under which the applicant does business in North America:**

**A-5 Contact person for regulatory or emergency matters:**

Name      Ryan Wiegner      Title      President  
Business Address    3867 West Market St, Suite 268, Akron, OH, 44333  
Telephone No.    330-865-3778      Fax No.           Email Address    rwiegner@wrenergy.biz

**A-6 Contact person for Commission Staff use in investigating customer complaints:**

Name Ryan Wiegner Title President  
Business address 3867 West Market St, Suite 268, Akron, OH, 44333  
Telephone No. 330-865-3778 Fax No. Email Address rwiegner@wrenergy.biz

**A-7 Applicant's address and toll-free number for customer service and complaints**

Customer service address 3867 West Market St, Suite 268, Akron, OH, 44333  
Toll-Free Telephone No. 855-WRENERGY Fax No. Email Address support@wrenergy.biz

**A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee**

Name Ryan Wiegner Title President  
Business address 3867 West Market St, Suite 268, Akron, OH, 44333  
Telephone No. 330-865-3778 Fax No. Email Address rwiegner@wrenergy.biz

**A-9 Applicant's federal employer identification number** 27-3434722

**A-10 Applicant's form of ownership: (Check one)**

- |  |   |
|--|---|
| <input type="checkbox"/> Sole Proprietorship                 | <input type="checkbox"/> Partnership                                |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation                         | <input type="checkbox"/> Other                                      |

**A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: residential, small commercial, and/or large commercial/industrial (mercantile) customers.** (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<input checked="" type="checkbox"/>	Columbia Gas of Ohio	<input checked="" type="checkbox"/>	Residential	<input checked="" type="checkbox"/>	Small Commercial	<input checked="" type="checkbox"/>	Large Commercial / Industrial
<input checked="" type="checkbox"/>	Dominion East Ohio	<input checked="" type="checkbox"/>	Residential	<input checked="" type="checkbox"/>	Small Commercial	<input checked="" type="checkbox"/>	Large Commercial / Industrial
<input checked="" type="checkbox"/>	Duke Energy Ohio	<input checked="" type="checkbox"/>	Residential	<input checked="" type="checkbox"/>	Small Commercial	<input checked="" type="checkbox"/>	Large Commercial / Industrial
<input checked="" type="checkbox"/>	Vectren Energy Delivery of Ohio	<input checked="" type="checkbox"/>	Residential	<input checked="" type="checkbox"/>	Small Commercial	<input checked="" type="checkbox"/>	Large Commercial / Industrial

**A-12** If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

☐ Columbia Gas of Ohio

<input type="checkbox"/>	Residential	Beginning Date of Service		End Date	
<input type="checkbox"/>	Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Industrial	Beginning Date of Service		End Date	

☐ Dominion East Ohio

<input type="checkbox"/>	Residential	Beginning Date of Service		End Date	
<input type="checkbox"/>	Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Industrial	Beginning Date of Service		End Date	

☐ Duke Energy Ohio

<input type="checkbox"/>	Residential	Beginning Date of Service		End Date	
<input type="checkbox"/>	Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Industrial	Beginning Date of Service		End Date	

☐ Vectren Energy Delivery of Ohio

<input type="checkbox"/>	Residential	Beginning Date of Service		End Date	
<input type="checkbox"/>	Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Industrial	Beginning Date of Service		End Date	

**A-13** If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:



<input checked="" type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date	11/1/2013
<input checked="" type="checkbox"/>	Dominion East Ohio	Intended Start Date	11/1/2013
<input checked="" type="checkbox"/>	Duke Energy Ohio	Intended Start Date	4/1/2014
<input checked="" type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date	4/1/2014

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 **Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 **Exhibit A-15 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale natural gas or electricity to customers in North America.
- A-16 **Exhibit A-16 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-17 **Exhibit A-17 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto.
- A-18 **Exhibit A-18 "Secretary of State,"** provide evidence that the applicant is currently registered with the Ohio Secretary of the State.

## SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 **Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 **Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking to be certified to provide (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services it is seeking to be certified to provide.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No    ☐ Yes

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas.

☒ No    ☐ Yes

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

## **SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer-certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer-certified financial statements covering the life of the business.
- C-4 Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's current financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)
- C-5 Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRNGS operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.



- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's current credit report from Experion, Dun and Bradstreet, or a similar organization.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or since applicant last filed for certification.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application, or at any time as a participant in the Ohio Natural Gas Choice programs.

## SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 **Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business. Please include whether the applicant's operations will include the contracting of natural gas purchases for retail sales, the nomination and scheduling of retail natural gas for delivery, and the provision of retail ancillary services, as well as other services used to supply natural gas to the natural gas company city gate for retail customers.
- D-2 **Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 **Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

 President

Applicant Signature and Title

Sworn and subscribed before me this 18 day of September Month 2013 Year



Signature of official administering oath

Danyelle Adkins Clerk

Print Name and Title

My commission expires on June 26 2018



# The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service  
Affidavit Form  
(Version 1.07)

In the Matter of the Application of

Western Reserve Energy Services, LLC

for a Certificate or Renewal Certificate to Provide  
Competitive Retail Natural Gas Service in Ohio.

Case No. 13 - 1954 -GA-CRS

County of Summit  
State of Ohio

Ryan Wiegner

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

*Ryan Wiegner* President

Sworn and subscribed before me this 18 day of September Month 2013 Year

*Danyelle Adkins*

Signature of Official Administering Oath

*Danyelle Adkins*

Print Name and Title

My commission expires on June 26 2018

(CRNGS Supplier -Version 1.07) Page 7 of 7

**Exhibit A-14**  
**Principal Officers, Directors & Partners**

Ryan Wiegner  
President & Chairman  
3867 West Market Street, Suite 268  
Akron, OH 44333  
(330) 865-3778

Edward Wiegner  
Vice-Chairman  
3867 West Market Street, Suite 268  
Akron, OH 44333  
(330) 865-3778



## **Exhibit A-15**

### **Corporate Structure**

Western Reserve Energy Services, LLC (WRES) is a privately held Ohio limited liability company. WRES has no subsidiaries.

## **Exhibit A-16**

### **Corporate History**

Western Reserve Energy Services, LLC (WRES) was formed in 2010 as an Ohio limited liability company. WRES obtained a FERC power marketer license with an effective date of June, 2011. WRES received its retail electric license in Ohio 5/17/2012. WRES will principally target small and medium sized business customers via a direct sale channel. WRES will eventually expand to residential customers as well as mass market and agent sales channels.

**Exhibit A-17**  
**Articles of Incorporations and Bylaws**

See Attached.





DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
08/24/2010	201023500937	ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO. (LCP)	125.00	.00		.00	.00

**Receipt**

This is not a bill. Please do not remit payment.

LEGALZOOM.COM, INC.  
ATTN:C. MOSELEY  
7083 HOLLYWOOD BLVD.,#180  
HOLLYWOOD, CA 90028

# STATE OF OHIO CERTIFICATE

**Ohio Secretary of State, Jennifer Brunner****1957756**

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

**WESTERN RESERVE ENERGY SERVICES, LLC**

and, that said business records show the filing and recording of:

Document(s)

**ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO.**

Document No(s):

**201023500937**

United States of America  
State of Ohio  
Office of the Secretary of State

Witness my hand and the seal of  
the Secretary of State at Columbus,  
Ohio this 19th day of August, A.D.  
2010.

Ohio Secretary of State



Form 533A Prescribed by the:  
Ohio Secretary of State

Central Ohio: (614) 466-3910  
Toll Free: (877) SOS-FILE (767-3453)

www.sos.state.oh.us  
Busserv@sos.state.oh.us

Expedite this form: (select one)  
Mail form to one of the following:

☐ Expedite PO Box 1390  
Columbus, OH 43216

\*\*\* Requires an additional fee of \$100 \*\*\*

☒ Non Expedite PO Box 670  
Columbus, OH 43216

**ARTICLES OF ORGANIZATION FOR A DOMESTIC  
LIMITED LIABILITY COMPANY**  
Filing Fee: \$125.00

(CHECK ONLY ONE (1) BOX)

<p>(1) <input checked="" type="checkbox"/> Articles of Organization for Domestic For-Profit Limited Liability Company (115-LCA) ORC 1705</p>	<p>(2) <input type="checkbox"/> Articles of Organization for Domestic Nonprofit Limited Liability Company (115-LCA) ORC 1706</p>
--	--

2010 AUG 19 PM 12:18

Name of limited liability company

Western Reserve Energy Services, LLC

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "ltd.," or "ltd."

Effective Date  
(Optional)

mm/dd/yyyy

(The legal existence of the limited liability company begins upon the filing of the articles or on a later date specified that is not more than ninety days after filing)

This limited liability company shall exist for  
(Optional)

Period of Existence

Purpose  
(Optional)

☐ Check here if additional provisions are attached

**ORIGINAL APPOINTMENT OF AGENT**

The undersigned authorized member(s), manager(s) or representative(s) of

Western Reserve Energy Services, LLC

Name of Limited Liability Company

hereby appoint the following to be Statutory Agent upon whom any process, notice or demand required or permitted by statute to be served upon the limited liability company may be served. The name and address of the agent is

United States Corporation Agents, Inc.

Name of Agent

3250 West Market Street, Suite 205

Mailing Address

Fairlawn

City

Ohio

State

44333

Zip Code

☐ If the agent is an individual and using a P.O. Box, check this box to certify the agent is an Ohio resident.

**ACCEPTANCE OF APPOINTMENT**

The undersigned, named herein as the statutory agent for

Western Reserve Energy Services, LLC

Name of Limited Liability Company

hereby acknowledges and accepts the appointment of agent for said limited liability company

Jacob Varghese

Agent's Signature

Authorized Representative, United States Corporation Agents, Inc.



By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document on behalf of the limited liability company identified above.

**REQUIRED**

Articles and original appointment of agent must be authenticated (signed) by a member, manager or other representative.

Signature

8/18/2010

Date

Sheila Dang, Assistant Secretary, Legalzoom.com, Inc.  
Print Name

Signature

Date

Print Name

Signature

Date

Print Name

(See Instructions Below)

**Exhibit A-16**  
**Secretary of State**

See Attached.

UNITED STATES OF AMERICA  
STATE OF OHIO  
OFFICE OF THE SECRETARY OF STATE

*I, Jon Husted, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show WESTERN RESERVE ENERGY SERVICES, LLC, an Ohio For Profit Limited Liability Company, Registration Number 1957756, was organized within the State of Ohio on August 19, 2010, is currently in FULL FORCE AND EFFECT upon the records of this office.*



*Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 17th day of September, A.D. 2013.*

A handwritten signature in dark ink that reads "Jon Husted".

Ohio Secretary of State

Validation Number: 201326001742



## **Exhibit B-1**

### **Jurisdictions of Operation**

Western Reserve Energy Services, LLC has obtained its FERC power marketer license. Western Reserve Energy Services, LLC also has a retail electric marketer license in Ohio. WRES has no other licenses.

## **Exhibit B-2**

### **Experience & Plan**

Western Reserve Energy Services, LLC's executive team has two decades of experience in small and large regulated and unregulated utility companies.

#### **Ryan Wiegner, CEO and Chairman**

Ryan Wiegner has 13 years of operations experience in small and large telecommunications companies. For six years, he has been the Vice President of Network Operations for First Communications, a national facilities based competitive telecommunications carrier based in Akron, Ohio. Previously, he was the Director of Network Operations for Expedient, a regional Internet service provider and data center provider that was based in Cleveland, Ohio. He also previously was the Manager of Network Optimization for Focal Communications based in Chicago, Illinois (now part of Level3 Communications).

Ryan has held primary executive responsibility in various areas of operations including IT operations and development, supplier negotiation and purchasing, network operations, customer service, billing, as well as providing operational, financial and regulatory support related to 10 acquisitions. While at Expedient, Ryan was the principal customer service escalation contact in addition to having responsibility for the customer service organization. At First Communications, he serves as an escalation contact for key wholesale and large business customers. At both First Communications and Expedient, he provided cost structure models, retail rate structure analysis, and competitive advantage development in support of large sales opportunities.

He holds a Bachelors of Science in Computer Engineering from Northwestern University.

#### **Edward Wiegner, Vice Chairman**

Edward Wiegner has 12 years experience as Senior and Executive Vice President, and Director, with Wisconsin Power and Light Company and American Natural Resources in Detroit both at the time NYSE listed energy companies providing regulated and competitive energy services in the midwest. His responsibilities included customer service, government and financial affairs and overall corporate leadership. He has also served as Director of Coamerica Bank and as Director of Great Lakes Gas Transmission, a Canadian/American competitive energy supplier

Ed later served as Senior Vice President Finance and planning of publicly listed multinational Household International in Chicago and then Executive Vice President and later a Group President for Progressive Insurance based in Cleveland. More recently he is a lead investor in several U.S. based startups.

He holds a Bachelor of Business Administration (Finance) and a Ph.D. (Economics) from The University of Wisconsin - Madison.

## **Exhibit B-3**

### **Summary of Experience**

Western Reserve Energy Services, LLC has been servicing residential, commercial and industrial electric customers in Ohio since 2012. WRES is expanding its offering by providing natural gas services to new and existing customers. WRES will continue to use the same back office processes it uses to service its electric customers to service its new gas customers. WRES has taken the appropriate financial and operational steps to be able to successfully provide services to our Ohio customers as evidenced in this application.



**Exhibit B-4**  
**Disclosure of Liabilities and Investigations**

There are no past or present rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status of Western Reserve Energy Services, LLC.

## **Exhibit C-1**

### **Annual Reports**

Western Reserve Energy Services, LLC is a privately held company and does not publish an annual report.

## **Exhibit C-2**

### **SEC Filings**

Western Reserve Energy Services, LLC is a privately held company and is not required to make SEC filings.

## **Exhibit C-6**

### **Credit Rating**

Western Reserve Energy Services, LLC is not currently rated by any rating agency.



## **Exhibit C-7**

### **Credit Report**

Western Reserve Energy Services, LLC, does not have a material credit rating from D&B or any other similar rating agency.

## **Exhibit C-8**

### **Bankruptcy Information**

Neither Western Reserve Energy Services, LLC nor its officers have filed for reorganization, protection for creditors, or any other form of bankruptcy.

## **Exhibit C-9**

### **Merger Information**

Western Reserve Energy Services, LLC has not had any merger or dissolution activity.

## **Exhibit D-1**

### **Operations**

Western Reserve Energy Services, LLC (WRES) intends to provide retail gas supply services to customers located in the Columbia Gas, Dominion, Duke and Vectren service territories. WRES already provides electric services in most areas of Ohio. WRES will use utility consolidated billing wherever possible to simplify billing and collections. WRES will outsource utility electronic bonding requirements to EC Infosystems. EC Infosystems provides similar services to WRES today for electric operations and provides gas electronic bonding services to other suppliers in Ohio and the rest of the country. WRES also has contracted ESCO advisors to provide certain consulting services including wholesale gas operations.



## **Exhibit D-2**

### **Operations Expertise**

Western Reserve Energy Services, LLC (WRES) currently operates in the retail electric supply business in Ohio. This includes contracting, enrolling, and billing customers as well as wholesale operations with PJM and the various local distribution utilities. WRES will be using the same processes for serving customers as it does today on the electric supply side. WRES will be using utility consolidated billing wherever possible and has contract EC Infosystems to provide electronic data exchange with the local distribution utilities. WRES is familiar with dealing with local distribution utilities from the electric side including registration, EDI testing, and financial collateral requirements. WRES has contracted ESCO Advisors to bridge the gap in terms of wholesale gas operations.

### **Exhibit D-3**

## **Key Technical Personnel**

WRES currently provides back office electric supply operations through its in house staff. In addition to this experience, WRES has contract EC Infosystems to provide electronic bonding with the local distribution utilities as well as ESCO Advisors for gas wholesale operations support.

**This foregoing document was electronically filed with the Public Utilities**

**Commission of Ohio Docketing Information System on**

**9/18/2013 7:49:59 PM**

**in**

**Case No(s). 13-1954-GA-CRS**

Summary: Application for natural gas supplier/broker/aggregator license for Western Reserve Energy Services, LLC electronically filed by Mr. Ryan M Wiegner on behalf of Western Reserve Energy Services, LLC