

The Public Utilities Commission of Ohio

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12-1772-EL - 1CC

CERTIFICATION APPLICATION FOR AGGREGATORS/POWER **BROKERS**

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-5 Experience). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

APPLICANT INFORMATION

4 1.1 4200 Charman Deed O	ners, Inc.	
Address 1200 Shermer Road, So		
Telephone #_(312) 933-6115	Web site address (if any) VoltzEnergyPartners.com	
List name adduses to	lankana nyumban and wah sita adduses unda	u which Ann
ust name, aduress, tel	ephone number and web site address unde	r which App
will do business in Oni	U	
Legal Name Voltz Energy Part	ners, Inc.	Ų ——
Address same		
Γelephone #	Web site address (if any)	_
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accurate and complete reproduction of a case file

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	Business address				
	Telephone #	Fax #			
	E-mail address (if any)			_	
	, <u> </u>				
A-5	Contact person for Commis	ssion Staff us	e in investigati	ng customer o	complaints
	Name Brandon Kortgard				
	Title Controller Business address 1200 Shermer Road				
	Business address 1200 Shermer Road	Suite 300, Northbrook	k, IL 60062		
	Telephone # (773) 299-0932	Fax #	(847) 714-9834	_	
	Business address 1200 Shermer Road Telephone # (773) 299-0932 E-mail address (if any) brandon@	voltzglobai.com			
A-6	Applicant's address and tol	l-free numbe	r for customer	service and c	omplaints
	Customer Service address 1200 S	nermer Road, Suite 30	0, NOrthbrook, IL 60062		
	Customer Service address 1200 St Toll-free Telephone #		Fax # (847) 714-9	834	
	E-mail address (if any)		Turi (Company)		
A-7	Applicant's federal employe	er identificati	ion number#		
			-		_
A-8	Applicant's form of owners	hip (check or	ie)		
	••	• `	,		
	■ Sole Proprietorship	□ Part	tnership		
	□ Limited Liability Partnership			mpany (LLC)	
	□ Corporation □		er		
	•		-		
A-9	(Check all that apply) Ide	ntify each ele	ectric distributi	on utility cert	ified territory in
	which the applicant intends t	•			-
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	part of a national account in one or		omer who consum	les more man 700	,,000 kwii/yeai oi is
	part of a national account in one of	more states):			
	□ First Energy				
	□ Ohio Edison	□ Residential	Commercial	Mercantile	■ Industrial
	Toledo Edison	■ Residential	Commercial	Mercantile	■ Industrial
	☑ Cleveland Electric Illuminating		■ Commercial	■ Mercantile	☑ Industrial
	Cincinnati Gas & Electric	□ Residential	☑ Commercial	Mercantile	□ Industrial
	☑ Monongahela Power	□ Residential	Commercial	Mercantile	■ Industrial
	American Electric Power Ohio Bossess	m Danidaratat	- Cammunist	■ Manages*1-	m Inducation
	 Ohio Power Columbus Southern Power 	■ Residential Residential	☑ Commercial☑ Commercial	MercantileMercantile	☑ Industrial ☑ Industrial
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A-10 Provide the approximate start date that the applicant proposes to begin delivering services

October 1, 2013

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-11 <u>Exhibit A-11 "Principal Officers, Directors & Partners"</u> provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-12 "Corporate Structure," provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.
- A-13 <u>Exhibit A-13 "Company History,"</u> provide a concise description of the applicant's company history and principal business interests.
- A-14 Exhibit A-14 "Articles of Incorporation and Bylaws," if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.
- A-15 <u>Exhibit A-15 "Secretary of State."</u> provide evidence that the applicant has registered with the Ohio Secretary of the State.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1 Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2 Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

- B-3 Exhibit B-3 "Summary of Experience," provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).
- B-4 <u>Exhibit B-4 "Disclosure of Liabilities and Investigations,"</u> provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.
- **B-5** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☑ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-6 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

No □ Yes

If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. <u>APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE</u>

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- C-1 Exhibit C-1 "Annual Reports," provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.
- C-2 <u>Exhibit C-2 "SEC Filings,"</u> provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

- C-3 <u>Exhibit C-3 "Financial Statements,"</u> provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.
- C-4 <u>Exhibit C-4 "Financial Arrangements,"</u> provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).
- C-5 <u>Exhibit C-5 "Forecasted Financial Statements,"</u> provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.
- C-6 Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 <u>Exhibit C-7 "Credit Report,"</u> provide a copy of the applicant's credit report from Experion, Dun and Bradstreet or a similar organization.
- C-8 <u>Exhibit C-8 "Bankruptcy Information,"</u> provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

C-9	merger or acquisition of the applicant within the five most recent years preceding the application. Signature of Applicant & Title				
KRISTI Notary Pub	Sworn and subscrib	ded before me this did did did did did did did did	Year Keiso No. Jakes . 10 Print Name and Title	tary public	

<u>AFFIDAVIT</u>

State of Illinois	.: NWHU IS 1200K ss.
County of Cork	(Town)
GENE RICCIANDI,	Affiant, being duly sworn/affirmed according to law, deposes and says that:
He/She is the	7/CEO (Office of Affiant) of Voutz Entirely Prairie (Name of Applicant);

- That he/she is authorized to and does make this affidavit for said Applicant,
 - 1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
 - 2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
 - 3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
 - 4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
 - 5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
 - 6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
 - 7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
 - 8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
 - 9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
 - 10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the hoshe expects said Applicant to be able to prove the same	· -
Signature of Affiant & Title	.
Sworn and subscribed before me this day of day of Signature of official administering oath "OFFICIAL SEAL"	Month Year Year Year Year Albert Autory Public Print Name and Title
KRISTINA C YABES Notary Public - State of Illinois Ny commission expires on	96/06/2011
My Commission Expires June 06, 2016	- 01- 04-01-0

EXHIBIT A-11- Principles Officers, Directors



Gene Ricciardi / CEO

Voltz Energy Partners ("Voltz") is 100% owned by Gene Ricciardi.

Address: 1200 Shermer Road, Suite 300, Northbrook, IL 60062

Telephone: (312) 933-6115 Email: gene@voltzglobal.com

Fax: (847) 714-9834

Dave Chiero /SVP

Address: 1200 Shermer Road, Suite 300, Northbrook, IL 60062

Telephone: (847) 840-2124 Email: dave@voltzglobal.com

Fax: (847) 714-9834

Mary Ann Armatys/SVP

Address: 1200 Shermer Road, Suite 300, Northbrook, IL 60062

Telephone: (773) 860-8848

Email: maryann@voltzglobal.com

Fax: (847) 714-9834

Matthew R. Ricciardi/ VP

Address: 1200 Shermer Road, Suite 300, Northbrook, IL 60062

Telephone: (847) 774-0715 Email: matt@voltzglobal.com

Fax: (847) 714-9834

<u>brandon@voltzalobal.com</u> Controller/ Accounting isci@shefskylaw.com **Brandon Kortgard** 773-299-0932 John Sciaccotta 312-836-4181 Legal Counsel **EXHIBIT A-12** mgerstein@voltzglobal.com thomas@voltzalobal.com Tom McManaman **Client Services** Client Services Mark Gerstein 847-565-5799 773-909-2260 maryann@voltzglobal.com gene@voltzglobal.com Mary Ann Armatys Sr. Vice President 773-860-8848 Gene Ricciardi 312.933.6115 majon@voltzalobal.com scott@voltzglobal.com **Client Services** 847-542-1883 Scott Carpinelli **Client Services** 773-401-9930 **Mark Gion** Voltz Energy Partners, Inc. ILLINOIS ELECTIC SUPPLY MARKET **Corporate Organizational Chart** dave@voltzglobal.com matt@voltzalobal.com VP/ Sales Manager Sr. Vice President 847-840-2124 Matt Ricciardi David Chiero 847-774-0715

15 Salesperson staff that service accounts in addition to the management provided above. Voltz are registered Agents for Suppliers noted below:

Client Services

AEP ENERGY, AMEREN ENERGY, CONSTELLATION ENERGY, CENTERPOINT ENERGY, DIRECT ENERGY, ENCOA ENERGY, ENERGY ME, GLACIAL ENERGY HUDSON ENERGY, INTEGRYS ENERGY, LIBERTY POWER CO., MC SQUARED ENERGY, MID AMERICAN ENERGY, NORDIC ENERGY, SPARK ENERGY

EXHIBIT A-13 Company History



The principal and staff has completed and negotiated several hundred energy supply contracts for a variety of clients from a single independently owned user to multiple locations and even publicly traded corporations.

After 2 years as an exclusive agent for Glacial Energy, Mr. Ricciardi left to form Voltz Energy Partners in 2010.

Mr. Ricciardi's background has been in commercial real estate sales in downtown Chicago for 29 years prior to starting Voltz Energy.

Presently, all of our business is in Illinois. We are currently licensed in Illinois and presently completing applications for additional licenses to service states as needed.

There are 15 agents (part and full time) that are part of the Voltz team of consultants.

Through acquiring experienced salespersons and providing extensive energy services training, our consulting services has grown exponentially with enrollments of 30-50 accounts per month.

Clients include; factories, school districts, restaurants, medical offices, hotels, nursing homes, industrial warehouses, high rise office towers and a variety of individual business.

Voltz has several hundred million kWh of combined client usage under contract.

FÓRM **BCA 2.10** (rev. Dec. 2003) ARTICLES OF INCORPORATION **Business Corporation Act**

EXHIBIT A-14

Jesse White, Secretary of State Department of Business Services 501 S. Second St., Rm. 350 Springfield, IL 62756 217-782-9522 217-782-6961 www.cyberdriveillinois.com

FILED

MAR 1 0 2010

Remit payment in the form of a cashler's check, certified check, money order or an Illinois attorney's or CPA's check payable to Secretary of State.

shares of each class are:

If more space is needed, attach additional sheets of this size.

JESSE WHITE SECRETARY OF STATE

	chise Tax \$ <u>25.60</u> Total \$	TS.60 File # 4711.	•
Corporate Name:	Voltz Energy Partners, Inc.	k	
The Cor	rporate Name must contain the word *C	Corporation," "Company," "Incorporate	ed," "Limited" or an abbreviation thereof.
Initial Registered	Agent: Eugene	G.	Ricciardi
	First Name	Middle Initial	Last Name
Initial Registered	Office: 1200 Shermer Road, Si	uite 300	L (DO D
	Number	Street Suite N	No. (P.O. Box alone is unacceptable)
	Northbrook City	IL 60062 ZIP Code	Cook County
The transaction of	f any or all lawful businesses fo	r which corporations may be	incorporated under the Illinois Busin
Corporation Act.	f any or all lawful businesses fo y consulting services.	r which corporations may be	incorporated under the Illinois Busin
Corporation Act. To provide energy Paragraph 1 — Al	y consulting services. uthorized Shares, Issued Share Number of Shares Authorized	s and Consideration Receive Number of Shares Proposed to be Issue	ed: Consideration to be
Corporation Act. To provide energy Paragraph 1 — Ar	y consulting services. uthorized Shares, Issued Share Number of Shares	s and Consideration Receive Number of Shares	ed: Consideration to be

(cont. on back)

Paragraph 2 — The preferences, qualifications, timitations, restrictions and special or relative rights in respect of the

ITEMS 5, 6 AND 7 ARE OPTIONAL

5. á	a. Number of Directors constituting the initial bo b. Names and Addresses of persons serving a	pard of directors of as directors until th	the corporatio e first annual	n: 1 meeting of shareh	olders or until their
	successors are elected and qualify: Name	Address		City, Stat	e 71P
		ndy Hill Drive		Northbrook, IL 60	
6. ;	a. It is estimated that the value of the property	to be owned by the	e corporation		
	for the following year wherever located will t	be:		\$	
	 It is estimated that the value of the property of Illinois during the following year will be: 	To be located with	III lile State	\$	
•	 It is estimated that the gross amount of bus the corporation during the following year will 		ransacted by	\$	
(d. It is estimated that the gross amount of bus		ansacted	Ψ	
	from places of business in the State of Illino	ois during the follow	ving year will b	oe: \$	
١	Other Provisions: Attach a separate sheet of Incorporation (e.g., authorizing preemptive right ity requirements, fixing a duration other than pe	ts, denying cumula			
	NAME(S) & ADD	RESS(ES) OF INC	ORPORATOR	R(S)	· · · · · · · · · · · · · · · · · · ·
	The undersigned incorporator(s) hereby declare ing Articles of Incorporation are true.	(s), under penaltie	s of perjury, th	at the statements	made in the forego-
i	Dated 3-5 Month & Day	2010 Year			
	Signature and Name			Address	
	1. Jun) V	1.	1511 Windy I		
	Signature Eugene Ricciardi		Northbrook	Street IL	60062
	Name (type or print)		City/Town	State	ZIP Code
	2.	2.			
	Signature			Street	
	Name (type or print)		City/Town	State	ZIP Code
;	3. Signature	3.		Street	
	Signature			Stieet	
	Name (type or print)		City/Town	State	ZIP Code
may	natures must be in BLACK INK on an origina y only be used on conformed copies. TE: If a corporation acts as incorporator, the nar the execution shall be by a duly authorized of	me of the corporati	on and the sta	te of incorporation	shall be shown and
NOT	y only be used on conformed copies. TE: If a corporation acts as incorporator, the nar the execution shall be by a duly authorized content. Te: If a corporation acts as incorporator, the nar the execution shall be by a duly authorized content.	me of the corporaticorp orate officer. Ty	on and the sta be or print office No	te of incorporation	shall be shown and beneath signature.
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May NO	y only be used on conformed copies. TE: If a corporation acts as incorporator, the nar the execution shall be by a duly authorized counters. The initial franchise tax is assessed at the rate of (\$1.50 per \$1,000) on the paid-in capital representation.	me of the corporation of the corporation of the corporate officer. Type the corporation of 15/100 of 1 percent of 15/100 of 15/1	on and the sta oe or print office No	te of incorporation er's name and title ete 2 — Return to Voltz Energy Pa Firm n Eugene Ric	shall be shown and beneath signature. triners, Inc. ame cciardi
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City, State, ZIP Code

Printed by authority of the State of Illinois. March 2007 — 10M — C 162.26

Voltz Energy Partners consulting services

EXHIBIT A-15 Secretary of State

Registration has been sent to the Secretary of State in Ohio.

13-53-2447B 03-2008

Bank of America

Cashier's Check

No. 1090148

Nutice to Purchaser. In the event this check is lost, misplaced or stolan, a sworm statement and 99-day waiting period will be required prior to replacement. This check should be negatiated within 90 days.

Date

AUGUST 08, 2013

30-1/1140 NTX

Banking Center

NORTHBROOK

8898207 00005

0001090148

VOLTE ENERGY PARTNERS INC Remitter (Purchased By)

125.00

Tilielnew D

Pay ** ONE HUNDRED TWENTY FIVE DOLLARS AND OO CENTS**

 T_0 The

13-53-2447B 03-2008 Order Of

OHIO SECRETARY OF STATE

Bank of America, N.A. San Antonio, Texas

VOID AFTER 90 DAYS

■ THE ORIGINAL DOCUMENT HAS REFLECTIVE WATERMARK ON THE BACK

THE ORIGINAL DOCUMENT HAS REFLECTIVE WATERMARK ON THE BACK



Jon Husted Ohio Secretary of State

180 East Broad Street, Suite 103 (ground floor) • Columbus, Ohio 43215 Toll Free: (877) SOS-FILE (767-3453) Central Ohio: (614) 466-3910 www.OhioSecretaryofState.gov • busserv@OhioSecretaryofState.gov

Please return t	e return the approval certificate to:		
Name:	Voltz Energy Partners, Inc.		
	(Individual or Business Name)		
To the attention	of:		
	(if necessary)		
Address: 1200 Shermer Road, Suite 300			
City: Northbrook			
State:	Illinois ZIP Code: 60062		
Phone Number:	312.933.6115 E-mail Address: gene@voltzglobal.com		
I/\	if you would like to receive important notices via e-mail from the Ohio Secretary of State's ding Business Services.		
being create	if you would like to be signed up for our Filing Notification System for the business entity ed or updated by filing this form. This is a free service provided to notify you via e-mail when ent is filed on your business record.		
Type of Service	Being Requested: (PLEASE CHECK ONE BOY BELOW)		

Regular Service: Only the filing fee listed on page one of the form is required and the filing will be processed in approximately 3-7 business days. The processing time may vary based on the volume of filings received by our office.

Expedite Service 1: By including an Expedite fee of \$100.00, in addition to the regular filing fee on page one of the form, the filing will be processed within 2 business days after it is received by our office.

Expedite Service 2: By including an Expedite fee of \$200.00, in addition to the regular filing fee on page one of the form, the filing will be processed within 1 business day after it is received by our office. This service is only available to walk-in customers who hand deliver the document to the Client Service Center.

Expedite Service 3: By including an Expedite fee of \$300.00, in addition to the regular filing fee on page one of the form, the filing will be processed within 4 hours after it is received by our office, if received by 1:00 p.m. This service is only available to walk-in customers who hand deliver the document to the Client Service Center.

Preclearance Filing: For the purpose of advising as to the acceptability of the proposed filing, a form that is to be submitted at a later date for processing may be submitted for examination for a fee of \$50.00. The Preclearance will be complete within 1-2 business days.



Form 530A Prescribed by: Ohio Secretary of State JON HUSTED Ohio Secretary of State

Central Ohio: (614) 466-3910
Toll Free: (877) SOS-FILE (767-3453)

www.OhioSecretaryofState.gov Busserv@OhioSecretaryofState.gov

Mail this form to one of the following:

Regular Filing (non expedite) P.O. Box 670 Columbus, OH 43216

Expedite Filing (Two-business day processing time requires an additional \$100.00).
P.O. Box 1390
Columbus, OH 43216

Foreign For-Profit Corporation Application for License Filing Fee: \$125 (151-FLF)

		emporary Licens	e (valid for six months)
ttach Certificate of Good Standing from the	jurisdiction of formation ((see instructions	s)
lame of Corporation Voltz Energy Partners, Inc			
Name must match the name) ssumed name under which the corporation will	on the Certificate of Good Sta do business, if its corporate	-	ilable in Ohio
Must attach "Resolution of Foreign Corporation Voltz Energy Partners, Inc.	to Qualify Under An Assum		
Voliz Energy Fatthers, Inc	···		
Inder the Laws of the Jurisdiction of	Illinois		
	Jurisdiction of Formation	າ	
ate of Incorporation in Jurisdiction of Formation	3/10/2010		natch the date provided or
	the Certificate of Good Standing) Date of Incorporation		
he location of the principal office (non-Ohio) is:			
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			
Mailing Address			
		State	ZIP Code
Mailing Address	ion is	State	ZIP Code
Mailing Address City f there is a principal office within Ohio, the locat	ion is	State	ZIP Code
Mailing Address City	ion is	State	ZIP Code
Mailing Address City f there is a principal office within Ohio, the locat	ion is	State	ZIP Code
Mailing Address City f there is a principal office within Ohio, the locat Mailing Address			
Mailing Address City f there is a principal office within Ohio, the locat Mailing Address City	e exercised within Ohio		

	Appointment of Agent
	poration hereby appoints the following as its statutory agent upon whom process against the ion may be served in Ohio.
Timot	hy D. Garry
Name	
5555	US HWY 42, PO Box 69
Mailin	ng Address
Moun	t Gilead Ohio 43338
City	State ZIP Code
· · · · · · · · · · · · · · · · · · ·	If the agent is an individual and using a P.O. Box, check this box to confirm the agent is an Ohio resident. The entity above irrevocably consents to service of process on the agent listed above as long as the authority of the agent continues, and to service of process upon the Ohio Secretary of State if: A. an agent is not appointed, or B. an agent is appointed but the authority of that agent has been revoked, or C. the agent cannot be found or served after the exercise of reasonable diligence.
oplicati e instri	It to Ohio Revised Code 1703.29 (A), a foreign corporation is required to pay an additional \$250 fee if the on is being made to enable the corporation to prosecute or defend a legal action. Please see Ohio Revised Code o uctions for more information.
	No, the corporation is not filing for this purpose and an additional fee is not included.
	Yes, the application is being filed for this purpose and the additional \$250 fee is included with the filing fee.
i i	If yes then: Pursuant to Ohio Revised Code 1703.29(B), a foreign corporation that began transacting business in Ohio prior to 2009 without a license may be required to provide a certificate from the tax commissioner which states that the corporation has paid all franchise taxes which it should have paid had it qualified to do business in this state.
I	Did the corporation begin transacting business in Ohio prior to 2009?
	Yes, the D4 certificate from the tax commissioner is attached.
	No, the corporation began transacting business in 2009 or later, therefore, a D4 certificate is not required.

Gene Ricciardi	heir	ng first duly sworn, deposes and says that he/she is the
Name of Officer	ı , beli	ing mot daily sworth, deposes and says that horsite is the
President/CEO	of	Voltz Energy Partners, Inc.
Officer Title	J	Corporation
the corporation described in the foregoing app and correct to best of my knowledge and belie		on, and that the statements contained in said application are true
Name Gene Ricciardi	7_	<u> </u>
Signature (2		
1,12	7013	
Date		
NOTARY SEAL	Pupilic	Expiration Date of Notary's Commission
"OFFICIAL SEAL" KRISTINA C YABES Notary Public - State of Illinois My Commission Expires June 06, 2016	çummış	Date

Last Revised: 1/9/12



EXHIBIT B-1 Jurisdiction of Operation

Voltz Energy Partners ("Voltz") is a full service consulting/brokerage firm licensed for brokerage and consulting services with the State if Illinois.

Current Territories include:

Illinois:

Serving Utilities: AmerenCILCO, AmerenCIPS, AmerenIP, ComEd

New York:

Serving Utilities: CENHUD, Coned, NYSEG, NIMO, OrangeRL_NY, REGE

LICENSE ATTACHED.

STATE OF ILLINOIS

ILLINOIS COMMERCE COMMISSION

Voltz Energy Partners, Inc.

:

Application for Licensure of Agents, Brokers and Consultants under Section 16-115C of the

11-0740

Public Utilities Act.

ORDER

By the Commission:

I. INTRODUCTION

On November 23, 2011, Voltz Energy Partners, Inc. ("Applicant") filed a verified application with the Illinois Commerce Commission ("Commission") requesting a certificate of service authority to operate as an agent, broker, or consultant ("ABC") in Illinois pursuant to Section 16-115C of the Public Utilities Act ("Act"), 220 ILCS 5/1-101 et seq., and 83 Ill. Adm. Code 454, "Licensure of Retail Electric Agents, Brokers and Consultants" ("Part 454"). Applicant requests authority to offer services as an ABC for the procurement or sale of retail electricity supply for third parties in the State of Illinois. The Administrative Law Judge requested additional information and clarification of certain matters contained in the application. Applicant filed a verified response on December 21, 2011.

II. REQUIREMENTS FOR ALL APPLICANTS UNDER SECTION 16-115C OF THE ACT

Applicant is a business organized under the laws of Illinois and licensed to transact business in Illinois. Applicant has certified that it will comply with all applicable regulations; that it will comply with informational and reporting requirements established by Commission rule; that it will comply with informational and reporting requirements pursuant to Section 16-115C of the Act; and that it will comply with all other applicable laws, regulations, terms, and conditions required to the extent they have application to the services being offered by an ABC. Applicant has agreed to ensure that any person who acts on its behalf will comply with all applicable sections of Part 454. Applicant agrees that it will remain in compliance with the provisions of the Act and Part 454, and will ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created. Applicant has agreed to adopt and follow rules and procedures to preserve the confidentiality of its customers' data. Applicant does not currently offer service in any other state, but plans to soon do so in New York.

According to Applicant, New York does not have any licensing requirements. Applicant reports that it has not received any complaints against it in any jurisdiction.

III. FINANCIAL, TECHNICAL, AND MANAGERIAL REQUIREMENTS OF SECTION 16-115C

Applicant is required by Section 454.60 to demonstrate that it meets the managerial qualifications necessary to provide services as an ABC. Applicant must further provide an organizational chart that indicates the position of persons which satisfy the managerial qualification. Applicant has demonstrated in the application and attachments thereto that it meets the managerial qualifications set forth in Section 454.60. In response to the Administrative Law Judge ruling, Applicant provided a corporate organizational chart.

Applicant is required by Section 454.70 to demonstrate that it meets the technical qualifications necessary to provide services as an ABC. Applicant has demonstrated that it meets the technical qualifications set forth in Section 454.70 with the information provided in the application and attachments thereto, which include an exhibit containing occupational background information on the person being used to meet the requirements of Section 454.70(a).

Pursuant to the requirements of Section 454.80 of Part 454, Applicant provided a surety bond in the amount of \$5,000 issued by a qualifying surety authorized to transact business in Illinois. Applicant further agrees to comply with the Code of Conduct for ABCs contained in Section 454.90.

IV. COMMISSION CONCLUSION AND CERTIFICATE OF SERVICE AUTHORITY

The Commission has reviewed the application and attachments along with the supplementary information provided by Applicant regarding the technical, managerial, and financial requirements and all other requirements of the Act and Part 454 and finds that the Applicant sufficiently demonstrates compliance with the requirements. The Commission concludes, therefore, that Applicant's request for a certificate of service authority to operate as an ABC in Illinois should be granted and should include the following authority:

CERTIFICATE OF SERVICE AUTHORITY

IT IS CERTIFIED that Voltz Energy Partners, Inc. is granted service authority to operate as an agent, broker, or consultant for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

V. FINDINGS AND ORDERING PARAGRAPHS

The Commission, having reviewed the entire record, is of the opinion and finds that:

- (1) Applicant, a business organized under the laws of Illinois, seeks a certificate of service authority to operate as an ABC under Section 16-115C of the Act;
- (2) the Commission has jurisdiction over the party hereto and the subject matter hereof:
- (3) the recitals of fact and conclusions reached in the prefatory portion of this Order are supported by the record and are hereby adopted as findings of fact;
- (4) Applicant has demonstrated that it possesses sufficient financial, managerial, and technical resources and abilities to provide services as an ABC for the procurement or sale of retail electricity supply to third parties in the State of Illinois;
- (5) Applicant has complied with Section 16-115C of the Act and Part 454; and
- (6) Applicant should be granted a certificate of service authority to operate as an ABC as specified in this Order.

IT IS THEREFORE ORDERED by the Illinois Commerce Commission that Voltz Energy Partners, Inc. is hereby granted a Certificate of Service Authority authorizing it to operate as an agent, broker, or consultant; said Certificate of Service Authority shall read as that set forth in Section IV of this Order.

IT IS FURTHER ORDERED that Voltz Energy Partners, Inc. shall comply with all applicable Commission rules and orders now and as hereafter amended.

IT IS FURTHER ORDERED that, subject to the provisions of Section 10-113 of the Public Utilities Act and 83 III. Adm. Code 200.880, this Order is final; it is not subject to the Administrative Review Law.

By order of the Commission this 5th day of January, 2012.

(SIGNED) DOUGLAS P. SCOTT

Chairman

Voltz Energy Partners consulting services

EXHIBIT B-2 Experience & Plans

Voltz Energy Partners ("Voltz") is a full service consulting/brokerage firm which was created to help provide organizations with the latest options available in order to take full advantage of the opportunities that now exist in energy management.

Our mission is to assist our clients in creating a comprehensive plan that will result in energy cost reduction through the procurement of reduced rate electric and gas supply.

With Voltz you are NOT restricted to one supplier. All transactions are completed through a bidding process with multiple suppliers competing for the account. Voltz services include:

- INITIATING THE PROPOSAL PROCESS
- ANALYZING PRICING ALTERNATIVES
- PRESENT CLIENT WITH RECOMMENDATIONS
- DEFINING CONTRACT TERMS AND CONDITIONS

There are several layers of management that review and prepare financial analysis savings analysis specific to the client's use.

Voltz is not a primary party to the enrollment contracts signed. However, we review and explain all contract language, pricing, terms and conditions to ensure our customers are well aware and knowledgeable of what is being signed.

An example of a Financial Analysis is attached.

Submission Date: 6/25/13

NEXT 12-36 MONTH PROJECTIONS

ABC Company

Voltz Projected Savings Analysis

Voltz Energy Partners consulting services

Start Date November 2013

ComEd (combined totals) **Account Number:** 1234567899 1234567890 Est. Annual 9,095,637 7,503,612 \$ 0.0650 1,592,025 **\$ 0.0650 \$** 103,482 Usage (kWh) (s/kWh)Avg Rate Current ESTIMATED CHARGES \$ 487,735 \$ 591,216 Estimated Charges Current

Account Address:

1234567899 1234567890 155 Drive, Chicago, Illinois 60714 123 Drive, Chicago, Illinois 60090

Alternative	12 mo.	12 mo.	12 mo.	24 mo.	24 mo.	24 mo.	30 mo.	30 mo.	30 mo.	36 mo.	36 mo.	36 mo.
Supplier Charges	(\$/kWh)	(\$/kWh) Charges	Savings	(\$/kWh)	Charges	Savings	(\$/kWh)	Charges	Savings	(\$/kWh) Charges	Charges	Savings
Spark Energy	\$ 0.05091	\$ 463,059	\$ 128,158	\$ 0.05770	\$ 0.05091 \$ 463,059 \$ 128,158 \$ 0.05770 \$ 1,049,637	₩,	\$ 0.06025	\$ 1,370,030	\$ 108,011	\$ 0.06224	132,796 \$ 0.06025 \$ 1,370,030 \$ 108,011 \$ 0.06224 \$ 1,698,337 \$	\$ 75,312
Hudson Energy	\$ 0.04960 \$ 451,144 \$	\$ 451,144		140,073 \$ 0.05370 :	\$ 976,871	₩	205,561 \$ 0.05590	\$ 1,271,115	\$ 206,926	\$ 0.06195	30 \$ 1,271,115 \$ 206,926 \$ 0.06195 \$ 1,690,424 \$	\$ 83,225
Energy Me	\$ 0.04785	0.04785 \$ 435,226	\$ 155,990	155,990 \$ 0.05185	\$ 943,218	₩	239,215 \$ 0.05725 \$ 1,301,813	\$ 1,301,813	\$ 176,228	\$ 0.06077	\$ 176,228 \$ 0.06077 \$ 1,658,226 \$ 115,424	\$ 115,424
Nordic Energy	\$ 0.04949	\$ 450,143	\$ 141,073	\$ 0.04949 \$ 450,143 \$ 141,073 \$ 0.05443 \$	\$ 990,151 \$		\$ 0.05714	\$ 1,299,312	\$ 178,729	\$ 0.05995	192,282 \$ 0.05714 \$ 1,299,312 \$ 178,729 \$ 0.05995 \$ 1,635,850 \$ 137,799	\$ 137,799

- * Calculations are based upon the average annual kWh from electric bills provided, actual future readings are determined by ComEd.

 * Estimated usage may vary, but the price period "locked in" ELECTRIC SUPPLY rate per (kWh) will remain the same during the specified term selected.

 Submission deadline: Define and the contract of the

Submission deadline: Pricing good through 5:00 pm day of submission

All customers are subject to credit approval requirements.

The pricing is all inclusive relative to supply.

Delivery is provided by ComEd and billed separately as reflected on your existing statement.

Quote include all cost associated with supply (wholesale price of energy, transmission, capacity, congestion, line-loss, renewable energy portfolio requirement, scheduling, PJM imposed administrative fees and all other ancillary service charges).



EXHIBIT B-3 Summary of Experience

Voltz Energy Partners ("Voltz") is a full service consulting/brokerage firm designed to reduce costs paid (per kWh) for electricity and (therms) for natural gas.

REDUCING ENERGY EXPENSES:

Our customers benefit from our energy consultant's expertise in cost reduction and are highly qualified to be your outsourced energy manager. We serve our customers by taking the time to educate and inform them about energy purchasing. When you purchase a volatile and complex commodity like energy, we feel it's critical our clients be informed so they can be in the best position to take advantage of opportunities within the energy market.

CUSTOMER BASE: 300 TRANSACTIONS

Partial list includes:

A Publicly traded, 125 year old Canada based financial services group that operates through the brand name "John Hancock Corporation". Voltz completed energy

Manulife Financial

savings transactions for 4 million square feet of office and industrial buildings, including a 40 story high-rise trophy building at **150 N. Michigan Avenue** and **191 Wacker Drive** in Chicago.

700 N. Michigan Avenue LLC "CHICAGO PLACE"



An office building on the Magnificent Mile that Voltz providing bidding to 10 suppliers and over a 7 day period awarded the building to the lowest bidder that met all of the criteria established and required contract language.

NAI Hiffman is a leading brokerage firm in industrial and commercial property management. Voltz represented the corporate office with energy savings and is an active consultant.





The LBFH is a state of the art 175,000 square foot facility in Barrington, IL is the largest multi-sport and fitness complex in the Midwest. Voltz was able to negotiate a 20% reduction in the monthly electric bill.

Holiday Inn Express is part of the International Hotels Group with more than 4,400 hotels in their portfolio. Voltz was able to provided notable savings to the hotel in Lake Zurich.







Huron Consulting Group is a national business advisory firm headquartered in downtown Chicago with multiple locations. Voltz completed an electricity savings for multiple sites at a substantial combined dollar savings.

This 700 seat restaurant has expanded to become the nation's largest grossing independent restaurant, servicing close to 1 million guests annually. Voltz was able to reduce their sizable electric bill, with a double digit savings, through strategic negotiations involving our reverse auction process.





Bravo Corporation: Voltz assisted in reducing the cost for electricity and gas for the famous Gino's East Pizza Chain, including all of the Edwardo's Natural Pizzeria's stores and Ed Debevic's 50's and 60's style diners. The results were outstanding from the original pricing.

A top national law firm with over 200 practicing attorneys on Wacker Drive in Chicago. Voltz implemented the energy savings program for the headquarters office totaling 6 floors and over 250, 000 square feet of office space and a 30% savings from the original ComEd pricing.





Eddie Z's Blinds and Drapery, a family owned business since 1992, specializes in blinds and drapery for 170,000 customers and the largest custom window treatment retailer in the Midwest. Voltz provided double digit savings for all retail outlets and warehouse locations.

West Northfield School District 31 This is a school district in the Northern suburbs with multiple sites. Voltz was able to provide a savings on electricity charges and secure an attractive "all inclusive" price through a bidding process using multiple suppliers. Voltz also provided a lighting retrofitting savings and green energy efficiently program through an affiliated company.

Northbrook School District #28 Voltz competed in negotiating the best overall terms by establishing competitive bidding for the four buildings with annual usage of over 2 million kWh.





There are hundreds of Piggly Wiggly stores servicing communities in 17 states. Voltz significantly reduced the electricity costs with double digit savings for 3 store locations in Illinois.



EXHIBIT B-4 Disclosure of Liabilities and Investigations

Voltz Energy Partners ("Voltz") is a debt free company with no outstanding loans or financial liabilities.

In addition, there have been no judgments, rulings, investigations, complaints or other matters against Voltz or any of its Agents to impact Voltz's ability to provide services or licensing in Ohio.



EXHIBIT C-1 Annual Reports

See attached Annual Reports for 2012 and 2013

YEAR OF 2013 ∠DEE PRIOR TO 03/01/2013

SECRETARY OF STATE JESSE WHITE STATE OF ILLINOIS **CORPORATE ANNUAL REPORT**

PAGE 1 CORPORATION FILE# D 6711-222-9

(Form CDBCAF - Rev. 09/30/2009)

* * THIS REPORT CAN BE FILED ON-LINE @ www.cyberdriveillinois.com WITH AN EXPEDITED FEE. * * (USE BLACK INK)

VOLTZ ENERGY PARTNERS, INC. % EUGENE G RICCIARDI 1200 SHERMER RD STE 300 NORTHBROOK IL 60062

03/10/2010 Cook County

- 1-4. Verify information is accurate.
- 5. MUST list names and addresses of all officers and directors as of the date of signing. If you are the sole officer, please indicate. If more space is needed, enclose attachment with corporate file number on the attachment.
- 6. Changes to the authorized shares must be completed on form BCA 10.30 for Illinois Corporations. Foreign Corporations must file certified copies of amendment from state of incorporation. If any changes have been made to the issued shares, a BCA form 14.30 must be completed and filed.
- 7. Verify Registered Agent on file is true and accurate. It will be necessary to file in this office form BCA 5.10 in order to make any changes in the Registered Agent's name and or address. BCA 5 10 along with your \$25 fee MUST be submitted TOGETHER with the Annual Report in the SAME envelope. This form can be downloaded from our Internet web site www.evberdriveillinois.com. Click on "Publications".

FILE # D 6711-222-9

- 7a. Insert the principal address of Corporation.
- 7b. This document MUST be signed by an authorized Officer.

Reverse Nide

- 9. Complete preparer information as requested.
- 10. Affirm female or minority status. You must complete annually by selecting appropriate box. TO QUALIFY, 51% OWNERSHIP IS REQUIRED

Check this box if there are any changes in President or Secretary in #5 andMAIL IN THIS PORTION WITH THE ANNUAL REPORT. Your current President and Secretary are:

President:

GENE RICCIARDI 1200 SHERMER RD STE 300 NORTHBROOK 60062

Secretary: SAME

DETACH AT PERFORATION AND SUBMIT WITH PAYMENT. DO NOT SUBMIT PHOTOCOPY FOR FILING

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OLTZ ENERGY PART	NERS. INC.		2) File Number D 6711-222-9	3) State / Country [] [] [] [] [] [] [] [] [] [] [] [] [] [i) inc / Qual Date - 03/10/2010
SPresident Name & Address	& Kinc Alex	TESS SHERWERT	SUITE BOX 1	ioni, rysicopy / ILL	6006Z
Secretary Name & Address Secretary Name & Address Secretary Name & Address					
Officer / Director Name & Address	<u> </u>				
Officer / Director Name & Address Officer / Director Name & Address Officer / Director Name & Address			<u> </u>		
Officer / Director Name & Address					
6) Share information Class	Series	Par Value	Number Authorized	Number Issued as o	12/31/2012
COMMON		.00000	100	100.0	000
	YEAR 2013		ncipal Address of Corporation.		
200 SHERMER RD STE NORTHBROOK IL 6006. Cook County		Tb) Unio	Street Street Street Iter the penalty of perjury and as an auth ne provisions of the Business Corporation Knowledge and behief-true, porrect and STONTERS	onzed officer, I declare that this on Act has been examined by m	Xip Code annual report pursuant he and is, to the best of

YEAR OF 2013 **DUE PRIOR TO 03/01/2013**

sum of the stated Capital and Paid-in surplus accounts)

MUST fill in your choice in box 12.

Enter 1.000000 in box 12c.

located: GROSS ASSETS.

everywhere

D 6711-222-9

Female

9) Prepared by

E-mail Address

Annual Report Yea

2013

Address

Phone #

10)

Illinois: ILLINOIS GROSS ASSETS.

from places of business in the State of Illinois.

decimal places and ENTERED into box 12a

greater of box 11 or 11a by box 12e.

gross receipts, from whatever source derived.

Ha. If box 11 and 11a are different, you MEST file a BCA 14.30

12. The State of Illinois requires all For Profit Corporations to pay a

franchise tax. You must choose the method in which you will

calculate your franchise tax from the 3 options listed below. You

A. All Property of the corporation is in Illinois and ALL business of

the corporation is transacted at or from places of business in Illinois Skip boxes 12a through 12d and Enter 1,000000 in box 12e.

B. The corporation ELECTS to pay franchise tax on the basis of

100% of its total paid-in capital. Skip boxes 12a through 12d and

C. The corporation has assets and or transacts business outside of the State of Illinois, boxes 12a through 12d MUST be completed.

"Property" means gross assets, including all real, personal, tangible and intangible property, without qualification "Business" means

Note: The figures used in 12a) through 12d) will be given as of the close

12a) Enter the value of the property owned by the corporation, wherever

12b) Enter the value of the property owned by the corporation, located in

12d) Enter the gross amount of business transacted by the corporation at or

12c) Enter the gross amount of business transacted by the corporation

12e) Divide (12h ~ 12d) by (12a + 12c). This figure MUST BE 6

12f) Multiply box 11 by box 12e. If the annual report is late, multiply the

12g) Multiply box 12f by 0.001. If this figure is less than \$25.00 enter

☐ Bott

03/01/2013

11) Current Paig-in Capital

12/31/2012

 $A \square$

12b) Gross Assets in Billions

12c1 Total Gross Business

12et Allocation Factor

12a) Total Gross Assets

в 🖾

12ft filmois Capital

1.00000

100

\$25.00. If greater than \$2,000,000.00 enter \$2,000.000.00.

8) RESERVED

SECRETARY OF STATE JESSE WHITE STATE OF ILLINOIS

CORPORATE ANNUAL REPORT

(Form CD8CAB - Rev. 02/20/2008)

PLEASE READ THE INSTRUCTIONS BEFORE COMPLETING THIS FORM

11. Enter Paid-in Capital as of the date listed. (Paid-in Capital reflects the 13. If submitting after due, complete worksheet below. Late annual report Multiply box 12g by 0.10 Late Franchise Tax Multiply box 12g by .02 by number of months late (minimum \$1.00). Enter total in box 13 TOTAL 14) \$75.00 filing fee. 15) Total due: add boxes 12g : 13 : 14 (MINIMUM \$100.00). 16) Make check payable to Secretary of State. Please detach check stub. **CHECKLIST** Boxes 5 and 11 have been completed. Box 12 has been completed and choice for Franchise tax was given. of the corporation's fiscal year on or immediately preceeding the date printed in item 11 Enter date in item 12 FVE. Box 12e has been completed. Box 12g is not less than \$25.00. Box 15 is not less than \$100.00. Box 7b is signed by an officer. Place File number on check. Do not staple or paper clip check to annual report. If submitting a form BCA 14.30, your previous allocation factor is -1.000000Additional forms are located at www.ilsos.net or can be requested by telephone at (217) 782-6961. For questions regarding this form please call 217-782-7808. 100 100 Use decimals in 12a-d f& g (See Note ت ه aise in 13 and 15 \$ Franchise Tax & Fees 2a) Franchise tax (Minimum of \$25) Ŝ 25. 13) Panaity / interest S 126) Total Business in illinois 14) Famo fee \$75.00

PAGE 2

CORPORATION FILE #

D 6711-222-9

Jesse White Secretary of State Department of Business Services 501 S 2nd Street Springfield IL 62756-5510

Minority

15) Total Due (Minimum of \$100 00)

\$100 00

YEAR OF - 2012 DUE PRIOR TO 03/01/2012

SECRETARY OF STATE JESSE WHITE STATE OF ILLINOIS

PAGE 1 CORPORATION FILE # D 6711-222-9

CORPORATE ANNUAL REPORT

(Form CDBCAF - Rev. 09/30/2009)

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VOLTZ ENERGY PARTNERS, INC. % EUGENE G RICCIARDI 1200 SHERMER RD STE 300 NORTHBROOK IL 60062

03/10/2010 Cook County

- 1-4. Verify information is accurate
- 5. MUST list names and addresses of all officers and directors as of the date of signing. If you are the sole officer, please indicate. If more space is needed, enclose attachment with corporate file number on the attachment.
- 6. Changes to the authorized shares must be completed on form BCA 10.30 for Illinois Corporations. Foreign Corporations must file certified copies of amendment from state of incorporation. If any changes have been made to the issued shares, a BCA form 14.30 must be completed and filed
- 7. Verify Registered Agent on file is true and accurate. It will be necessary to file in this office form BCA 5.10 in order to make any changes in the Registered Agent's name and or address. BCA 5.10 along with your \$25 fee MUST be submitted TOGETHER with the Annual Report in the SAME envelope. This form can be downloaded from our Internet web site www.cyberdriveillinois.com. Click on "Publications".

FILE # D 6711-222-9

- 7a. Insert the principal address of Corporation
- 7h. This document MUST be signed by an authorized Officer

Reverse Side

- 9. Complete preparer information as requested.
- 10. Affirm female or minority status. You must complete annually by selecting appropriate box. TO QUALIFY, 51% OWNERSHIP IS REQUIRED.

Check this box if there are any changes in President or Secretary in #5 andMAIL IN THIS PORTION WITH THE ANNUAL REPORT. Your current President and Secretary are:

President:

GENE RICCIARDI 1200 SHERMER RD STE 300 NORTHBROOK 60062

Secretary: SAME

DETACH AT PERFORATION AND SUBMIT WITH PAYMENT. DO NOT SUBMIT PHOTOCOPY FOR FILING

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Ë	VOLTZ ENERGY PART	NERS, INC.		D 6711-222-9		03/10/2010
SION	5President Name & Address GEN	E RICHARI	1200 SHG	rmer rd suite 3cc	, NORTHBROOK, IL	<u> </u>
돐	Secretary Name & Address SON			•		
8	Officer / Director Name & Address					
Ĭ	Officer / Director Name & Address					
2	Officer / Director Name & Address			· · · · · · · · · · · · · · · · · · ·		· · · · · · · · · · · · · · · · · · ·
<u>ಗ</u>	6) Share Information Class	Senes	Par Value	Number Authorized	Number issued as of	12/31/2011
FORE	COMMON		.00000	100	100.00	00
Ē						
ดี						
2	7) Registered Agent	YEAR 2012		7a) Principal Address of Corporation.		
른	EUGENE G RICCIARDI	• • • •		ISCO SHEWNER BD , STE.	See Locatification of the	COLL.
ANNUAL	1200 SHERMER RD STE : NORTHBROOK IL 60062			7b) Under the penalty of perjury and as an air	uthorized officer I declare that this ar	mual report pursuant
굒	Cook County			to the provisions of the Business Corpora my knowledge and belief true correct a		and is to the best of
REPOR				10-1	15chinal	2-14-12
즤				SIGNATURE	Tyric	Date

YÊAR QE 2012 **DUE PRIOR TO 03/01/2012**

SECRETARY OF STATE JESSE WHITE STATE OF ILLINOIS

CORPORATE ANNUAL REPORT

(Form CDBCAB - Rev. 02/20/2008)

PLEASE READ THE INSTRUCTIONS BEFORE COMPLETING THIS FORM

PAGE 2

CORPORATION FILE#

D 6711-222-9

11. Enter Paid-in Capital as of the date listed. (Paid-in Capital reflects the 13. If submitting after due, complete worksheet below sum of the stated Capital and Paid-in surplus accounts) Late annual report Ha, If box 11 and Ha are different, you. MUST file a BCA 14.30. Multiply box 12g by 0.10 Late Franchise Tax 12. The State of Illinois requires all For Profit Corporations to pay a Multiply box 12g by .02 by number of franchise tax. You must choose the method in which you will months late (minimum \$1.00). calculate your franchise tax from the 3 options listed below. You MUST fill in your choice in box 12. Enter total in box 13 TOTAL A. All Property of the corporation is in Illinois and Al.I. business of 14) \$75.00 filing fee the corporation is transacted at or from places of business in Illinois Skip boxes 12a through 12d and Enter 1.000000 in box 12e. 15) Total due, add boxes 12g · 13 · 14 (MINIMUM \$100.00). B. The corporation ELECTS to pay franchise tax on the basis of 16) Make check payable to Secretary of State. Please detach check stub. 100% of its total paid-in capital. Skip boxes 12a through 12d and Enter 1,000000 in box 12e. C. The corporation has assets and t or transacts business outside of the State of Illinois, boxes 12a through 12d MUST be completed. CHECKLIST "Property" means gross assets, including all real, personal, tangible Boxes 5 and 11 have been completed. and intangible property, without qualification "Business" means gross receipts, from whatever source derived. Box 12 has been completed and choice for Note: The figures used in 12a) through 12d) will be given as of the close Franchise tax was given. of the corporation's fiscal year on or immediately preceeding the date printed in item 11 Enter date in item 12 FYE Box 12e has been completed. 12a) Enter the value of the property owned by the corporation, wherever located: GROSS ASSETS. Box 12g is not less than \$25.00. 12b) Enter the value of the property owned by the corporation, located in Illinois: ILLINOIS GROSS ASSETS. Box 15 is not less than \$100.00. 12c) Enter the gross amount of business transacted by the corporation everywhere 12d) Enter the gross amount of business transacted by the corporation at or Box 7b is signed by an officer. from places of business in the State of Illinois. Place File number on check. Do not staple 12e) Divide (12b + 12d) by (12a + 12c). This figure MUST BE 6 or paper clip check to annual report. decimal places and ENTERED into box 12e. 12f) Multiply box 11 by box 12e. If the annual report is late, multiply the If submitting a form BCA 14.30, your previous allocation greater of box 11 or 11a by box 12c. factor is 1,000000 12g) Multiply box 12f by 0.001. If this figure is less than \$25.00 enter Additional forms are located at www.ilsos.net or can be requested by telephone \$25.00. If greater than \$2,000,000.00 enter \$2,000,000.00. at (217) 782-6961. For questions regarding this form please call 217-782-7808. 8) RESERVED 11) Current Paid-in Capital \$100. D 6711-222-9 12/31/2011 100 9) Prepared by 12) FYE (See Note) Use decimals in 12a-d f & o . **A** 🗆 ء ل ا م also in 13 and 15 12a) Total Gross Assets \$ Franchise Tax & Fees 12b) Gross Assets in Binois (20) Franchise tax (Minimum of \$25) 25.00 3j Penalty / Interest E mail Address 12c) Total Gross Business \$ 12d) Total Business in Illinois S 14) Filing fee Micrority *\$75.00* Female 15) Total Due (Minimum of \$100 00) Annual Report Year 12e) Allocation Factor 00000 03/01/2012

12f) lilinois Capital

Jesse White Secretary of State Department of Business Services 501 S 2nd Street Springfield II. 62756-5510

Address

Phone #

2012

7/00,00



EXHIBIT C-2 SEC Filings

Voltz Energy Partners ("Voltz") is not required to file with SEC as it is a privately held corporation and is not involved with the trading or holding of stocks or securities.

EXHIBIT C-3 Financial Statements

These reports have very little relevance or similarity to the operations of Voltz today.

The time period of 2010 through 2012 was an incubation period with customer development and a cash infusion by Mr. Ricciardi to begin the brokerage business.

Voltz has since developed into a profitable brokerage business with sizable growth for 2013 and beyond with 300-400 current signed clients.

Each month enrollment contracts increase and are anticipated to continue for the next several years, particularly with the expansion program being implemented into additional states.

This will add many new enrollments, compounded with current customer contract renewals, which will both average 24-36 month periods.



EXHIBIT C-4 Financial Arrangements

Voltz Energy Partners ("Voltz") does its banking through Bank of America.

The attached letter from Bank of America indicates when the account was opened. It is still open and our only banking partner.

Voltz has no loans, personal guarantees, financial obligations or debt of any kind relative to the daily operation of the business.

There are no credit card obligations or balances as a result of Voltz's business activities.



Bank of America Customer Service & Support P.O. Box 25118 Tampa, FL 33622

April 30, 2012

VOLTZ ENERGY PARTNERS INC 1511 WINDY HILL DR NORTHBROOK IL 60062-3833

To VOLTZ ENERGY PARTNERS INC

Thank you for your recent request for information regarding the status of your deposit accounts at Bank of America, N.A. Our records indicate the following status on your account(s):

TYPE OF	ACCOUNT	CURRENT	AVERAGE	DATE
ACCOUNT	<u>NUMBER</u>	BALANCE	BALANCE	OPENED
CHECKING	XXXXX 7335	\$12,420.85	\$4,010.33	3/30/2010

Average balance information for accounts, if reported, is based on the previous three months. Average balance information is not available for time deposit accounts.

We trust that this confidential information will be of assistance to you.

Sincerely,

Bank of America Customer Service & Support 1.800.862,1111 - Model Ref: SS235758

Our response is commensurate with the purpose and amount of your inquiry. The information provided is strictly confidential and intended for use solely by the requesting party and in reliance on your statement of intended purpose or use. The information is furnished as a matter of courtesy without a duty to do so and without responsibility, liability or warranty, express or implied, on the part of Bank of America to you or any third party. Information is obtained from electronic data sources, which may not contain all information in Bank of America's possession. Information is not guaranteed to be accurate and may be a matter of opinion. We do not accept any responsibility for errors, omissions or alterations after delivery. The information is constantly changing and therefore subject to change without notice. Bank of America will not update this response unless another written inquiry is received. This information applies to the name of the subject of the inquiry as styled in your request and does not include any indirect or related accounts or obligations, unless expressly specified in our response. Bank of America encourages you to contact more than one credit reference prior to making any credit decision. If you received this response by FAX, and you are not the intended recipient or an agent responsible for delivering it to the intended recipient, you are hereby notified that you have received this document in error, and that any review, dissemination, distribution or copying of the information contained in this message is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone and return the message to us by mail.

VOLTZ ENERGY PARTNERS, INC.

Forecast Balance Sheet

EXHIBIT C-5

FORECAST ES	ST. <u>2013 Est.</u>	2014 Est.
ASSETS		
Current Assets		
Checking/Savings	30,000	50,000
Bank of America C	hecking25,000	35,000
Total Checking/Savings	55,000	85,000
Account Receiveables	350,000	475,000
Inventory/ Equipment	20,000	35,000
Total Current Assets	425,000	595,000
TOTAL ASSETS	\$ 425,000	\$ 595,000
LIABILITIES & EQUITY		
Liabilities		
Current Liabilities		
Notes Payab	le	
Total Notes	Payable 0	0
Wages/ 1099 Contr	actors 280,000	425,000
Taxes Payable	25,000	45,000
Total Current Liabilities	305,000	470,000
Equity		
Net Income	120,000	125,000
Total Equity	425,000	595,000
TOTAL LIABILITIES & EQUITY	\$ 425,000	\$ 595,000

Assumptions include:

Current client activity continues as it has over the past 12 -24 months. Enrolled customers fulfill the obligations of the energy contracts. Number of agents servicing Voltz customers increases by 20%.

Prepared by: Gene Ricciardi / CEO



EXHIBIT C-6 Credit Rating

Voltz Energy Partners ("Voltz") is rated with Dunn & Bradstreet - duns number 01-143-6620.

EXHIBIT C-7 Credit Report

D&B Credit Rating is pending and available shortly online. There are no creditors, loans outstanding or financial liability for Voltz other than a cash advance by the sole owner and stock holder, Mr. Ricciardi.

EXHIBIT C-8 Bankruptcy Information

None. Not applicable.



Public Utilities Commission of Ohio -Docketing Division

180 E. Broad Street Columbus, OH 43215-3793

Docketing Division:

Thank you for considering our application to enter the energy brokerage services business in Ohio. Attached is our application to be a Broker for the Ohio territory.

Voltz has been very successful with the deregulated market in the Illinois area since 2010 and now are expanding into other states.

We are professionally staffed with a great reputation. Voltz has completed transactions totaling several hundred million combined kWh of customers without incident.

It would be a pleasure to expand in this area with our services.

Sincerely,

Gene Ricciardi President/CEO



To all to whom these Presents Shall Come, Greeting:

I, Jesse White, Secretary of State of the State of Illinois, do hereby certify that

VOLTZ ENERGY PARTNERS, INC., A DOMESTIC CORPORATION, INCORPORATED UNDER THE LAWS OF THIS STATE ON MARCH 10, 2010, APPEARS TO HAVE COMPLIED WITH ALL THE PROVISIONS OF THE BUSINESS CORPORATION ACT OF THIS STATE RELATING TO THE PAYMENT OF FRANCHISE TAXES, AND AS OF THIS DATE, IS IN GOOD STANDING AS A DOMESTIC CORPORATION IN THE STATE OF ILLINOIS.



Authentication #: 1322001582

Authenticate at: http://www.cyberdriveillinois.com

In Testimony Whereof, I hereto set my hand and cause to be affixed the Great Seal of the State of Illinois, this 8TH

day of

AUGUST

A.D.

2013

SECRETARY OF STATE