



PUCO USE ONLY – Version 1.07		ORIGINAL CRS Case Number 11-4170-GA-CRS
Date Received	Renewal Certification Number	

RENEWAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS SUPPLIERS

Please **type or print** all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-16 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

A-1 Applicant intends to renew its certificate as: (check all that apply)

☐ Retail Natural Gas Aggregator ☐ Retail Natural Gas Broker ☒ Retail Natural Gas Marketer

A-2 Applicant information:

Legal Name Energy Plus Natural Gas LLC
Address 3711 Market Street, Suite 1000, Philadelphia, PA 19104

Telephone No. (866)-698-0912 Web site Address www.energypluscompany.com

Current PUCO Certificate No. 11-222G(1) Effective Dates 8/5/2011 to 8/5/2013

A-3 Applicant information under which applicant will do business in Ohio:

Name Energy Plus Natural Gas LLC
Address 3711 Market Street, Suite 1000, Philadelphia, PA 19104

Web site Address www.energypluscompany.com Telephone No. (866)-698-0912

A-4 List all names under which the applicant does business in North America:

Energy Plus Natural Gas LLC

A-5 Contact person for regulatory or emergency matters:

Name John Holtz Title Director, Market Development

Business Address 3711 Market Street, Suite 1000, Philadelphia, PA 19104

Telephone No. (609) 280-7701 Fax No. (866) 857-8014 Email Address john.holtz@greenmountain.com

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name Marion Stabile Title Director, Customer Care
Business address 3711 Market Street, Suite 1000, Philadelphia, PA 19104
Telephone No. (267) 295-5533 Fax No. (866) 857-8014 Email Address mstable@energypluscompany.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address Energy Plus Natural Gas LLC, P.O. Box 38815, Philadelphia, PA, 19104
Toll-Free Telephone No. (866)-698-0912 Fax No. (866) 857-8014 Email Address OHSupport@EnergyPlusCompany.com

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name Nancy Gaughan Title Marketing Associate
Business address 1015 Newcastle Drive, Cincinnati, OH 45231
Telephone No. (513) 477-6642 Fax No. (866) 857-8014 Email Address OHSupport@EnergyPlusCompany.com

A-9 Applicant's federal employer identification number 27-3309340

A-10 Applicant's form of ownership: (Check one)

- | | |
|--|---|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other |

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<input checked="" type="checkbox"/> Columbia Gas of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Dominion East Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Duke Energy Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Vectren Energy Delivery of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input type="checkbox"/> Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

☒ **Columbia Gas of Ohio**

<input checked="" type="checkbox"/> Residential	Beginning Date of Service	9/2011	End Date
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	9/2011	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service		End Date
<input type="checkbox"/> Industrial	Beginning Date of Service		End Date

☒ **Dominion East Ohio**

<input checked="" type="checkbox"/> Residential	Beginning Date of Service	9/2011	End Date
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	9/2011	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service		End Date
<input type="checkbox"/> Industrial	Beginning Date of Service		End Date

☒ **Duke Energy Ohio**

<input checked="" type="checkbox"/> Residential	Beginning Date of Service	9/2011	End Date
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	9/2011	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service		End Date
<input type="checkbox"/> Industrial	Beginning Date of Service		End Date

☐ **Vectren Energy Delivery of Ohio**

<input type="checkbox"/> Residential	Beginning Date of Service		End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service		End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service		End Date
<input type="checkbox"/> Industrial	Beginning Date of Service		End Date

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

<input type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date	
<input type="checkbox"/>	Dominion East Ohio	Intended Start Date	
<input type="checkbox"/>	Duke Energy Ohio	Intended Start Date	
<input checked="" type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date	January 2014

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 Exhibit A-15 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale natural gas or electricity to customers in North America.
- A-16 Exhibit A-16 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-17 Exhibit A-17 "Articles of Incorporation and Bylaws,"** provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, *only if the contents of the originally filed documents changed since the initial application.*
- A-18 Exhibit A-18 "Secretary of State,"** provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer-certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer-certified financial statements covering the life of the business.
- C-4 Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's current financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)
- C-5 Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRNGS operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.

- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's current credit report from Experion, Dun and Bradstreet, or a similar organization.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or since applicant last filed for certification.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant since applicant last filed for certification.

SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 **Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business. Please include whether the applicant's operations will include the contracting of natural gas purchases for retail sales, the nomination and scheduling of retail natural gas for delivery, and the provision of retail ancillary services, as well as other services used to supply natural gas to the natural gas company city gate for retail customers.
- D-2 **Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 **Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

[Handwritten Signature], Resident

Applicant Signature and Title

Sworn and subscribed before me this 2nd day of July Month 2013 Year

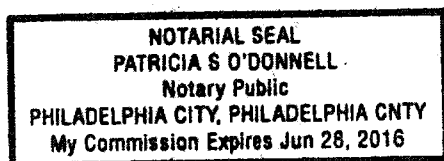
[Handwritten Signature]

Signature of official administering oath

Print Name and Title

Patricia S. O'Donnell

My commission expires on 6/28/16





The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service
Affidavit Form
(Version 1.07)

In the Matter of the Application of)

Energy Plus Natural Gas LLC)

for a Certificate or Renewal Certificate to Provide)

Competitive Retail Natural Gas Service in Ohio.)

Case No. 11-4170-GA-CRS

County of Philadelphia

State of PA

Stephen Barnes

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Stephen Barnes, President
Affiant Signature & Title

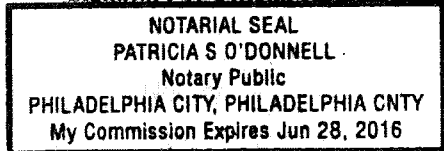
Sworn and subscribed before me this 2nd day of July Month 2013 Year

Patricia S. O'Donnell

Signature of Official Administering Oath

Stephen Barnes, President, Energy Plus Natural Gas LLC

Print Name and Title Patricia S. O'Donnell



My commission expires on 6/28/16

(CRNGS Supplier Renewal)

Page 7 of 7

Exhibit A-14 – Principal Officers, Directors & Partners

Stephen Barnes
President
Energy Plus Natural Gas LLC
3711 Market Street, Suite 1000
Philadelphia, PA 19104
267-295-0634
sbarnes@energypluscompany.com

Denise Wilson
Vice President
Energy Plus Natural Gas LLC
3711 Market Street, Suite 1000
Philadelphia, PA 19104
609-524-4501
denise.wilson@nrgenergy.com

Paul Ricci
Chief Financial Officer
Energy Plus Natural Gas LLC
3711 Market Street, Suite 1000
Philadelphia, PA 19104
267-298-2748
pricci@energypluscompany.com

Jamey Seely
General Counsel & Secretary
Energy Plus Natural Gas LLC
3711 Market Street, Suite 1000
Philadelphia, PA 19104
267-295-0632
jseely@energypluscompany.com

G. Gary Garcia
Vice President and Treasurer
NRG Energy
211 Carnegie Center
Princeton, NJ 08540
609-524-4501
gary.garcia@nrgenergy.com

Daniel Keane
Vice President
NRG Energy
211 Carnegie Center
Princeton, NJ 08540
609-524-4501
daniel.keane@nrgenergy.com

Lynn Przychodzki
Assistant Secretary
NRG Energy
211 Carnegie Center
Princeton, NJ 08540
609-524-4501
lynn.przychodzki@nrgenergy.com

Hugh Scott
Chief Information Officer
Energy Plus Natural Gas LLC
3711 Market Street, Suite 1000
Philadelphia, PA 19104
267-298-5463
hscott@energypluscompany.com

Exhibit A-15 – Corporate Structure

Please see Energy Plus Natural Gas LLC's corporate structure, including the graphical depiction of the structure.

For additional information regarding Affiliates that supply retail or wholesale electricity or natural gas to customers in North America, please see the most recent Form 10-K and Form 10-Q filings of NRG Energy, Inc., found at www.sec.gov (CIK# 0001013871). A list of subsidiaries of NRG Energy, Inc. is included in NRG Energy, Inc.'s most recent 10K filing.

EXHIBIT A-15 ENERGY PLUS NATURAL GAS LLC

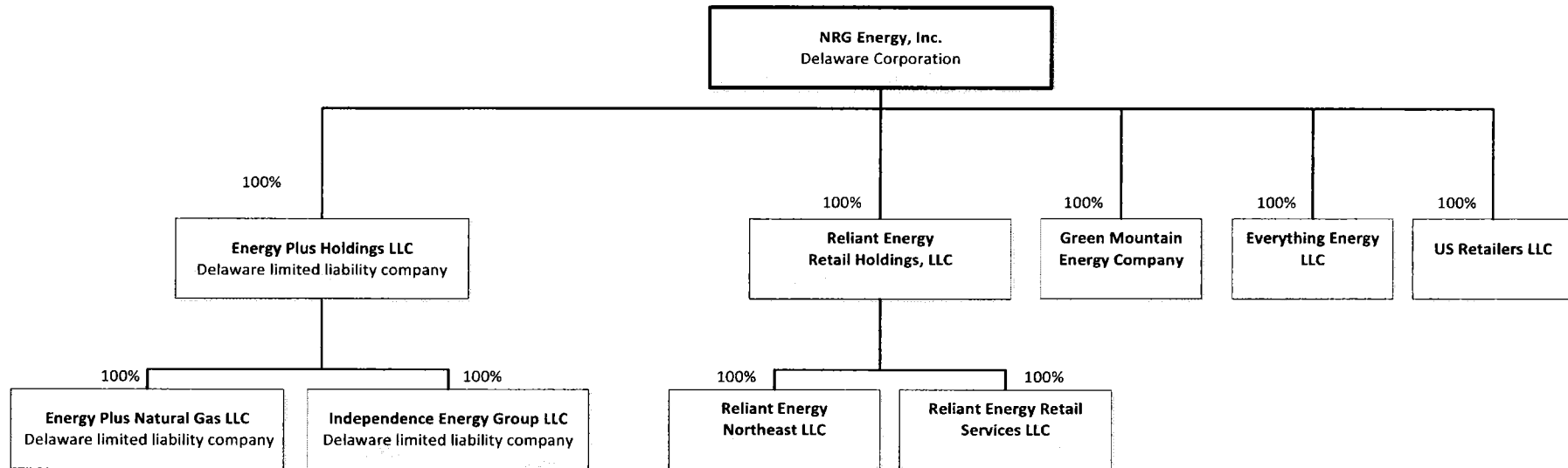


Exhibit A-16 – Company History

Energy Plus Natural Gas LLC was formed and began supplying natural gas in 2010 in New York. Energy Plus Natural Gas LLC has expanded its natural gas supply service to include New Jersey, Maryland and Ohio.

Energy Plus Holdings LLC, parent company of Energy Plus Natural Gas LLC, was founded in 2007 and initially began providing retail electricity supply service in New York. Since then, the company has grown to provide electricity supply service in nine states – Connecticut, Illinois, Maryland, Massachusetts, New Jersey, New York, Ohio, Pennsylvania and Texas.

Exhibit A-17 – Articles of Incorporation and Bylaws

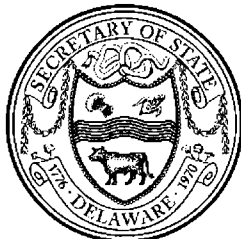
In November 2011, Energy Plus Natural Gas LP underwent a conversion from a limited partnership to a limited liability company under the laws of the State of Delaware, and, in connection with this, changed its name to "Energy Plus Natural Gas LLC." Please find the enclosed from the Secretary of State of the State of Delaware reflecting this change.

Delaware

PAGE 1

The First State

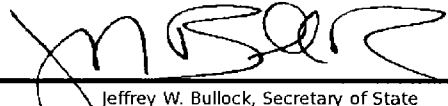
I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF CONVERSION OF A DELAWARE LIMITED PARTNERSHIP UNDER THE NAME OF "ENERGY PLUS NATURAL GAS LP" TO A DELAWARE LIMITED LIABILITY COMPANY, CHANGING ITS NAME FROM "ENERGY PLUS NATURAL GAS LP" TO "ENERGY PLUS NATURAL GAS LLC", FILED IN THIS OFFICE ON THE TWENTY-FIRST DAY OF NOVEMBER, A.D. 2011, AT 3:43 O'CLOCK P.M.



4863237 8100V

111217801

You may verify this certificate online
at corp.delaware.gov/authver.shtml


Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 9173242

DATE: 11-22-11


STATE OF DELAWARE
CERTIFICATE OF CONVERSION
FROM A LIMITED PARTNERSHIP TO A
LIMITED LIABILITY COMPANY PURSUANT TO SECTION 18-214 OF
THE LIMITED LIABILITY COMPANY ACT

1. The jurisdiction where the Limited Partnership was first formed is:
Delaware.
2. The jurisdiction immediately prior to filing this Certificate of Conversion
is: Delaware.
3. The date the Limited Partnership first formed is: August 23, 2010.
4. The name of the Limited Partnership immediately prior to filing this
Certificate is: Energy Plus Natural Gas LP.
5. The name of the Limited Liability Company as set forth in the Certificate
of Formation is: Energy Plus Natural Gas LLC.

IN WITNESS WHEREOF, the undersigned being duly authorized to sign on behalf of the converting Limited Partnership has executed this Certificate on the 21 day of November 2011.

ENERGY PLUS NATURAL GAS LP

By: Energy Plus Holdings LLC
Its General Partner

By: 
Name: LYNNE PRYCHODKO
Title: ASSISTANT SECRETARY

Delaware

PAGE 2

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF CERTIFICATE OF FORMATION OF "ENERGY PLUS NATURAL GAS LLC" FILED IN THIS OFFICE ON THE TWENTY-FIRST DAY OF NOVEMBER, A.D. 2011, AT 3:43 O'CLOCK P.M.



4863237 8100V

111217801

You may verify this certificate online
at corp.delaware.gov/authver.shtml


Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 9173242

DATE: 11-22-11

CERTIFICATE OF FORMATION
OF
ENERGY PLUS NATURAL GAS LLC


This Certificate of Formation of Energy Plus Natural Gas LLC (the "LLC") has been duly executed and is being filed by the undersigned, as an authorized person, to form a limited liability company under the Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.).

FIRST. The name of the limited liability company formed hereby is Energy Plus Natural Gas LLC.

SECOND. The address of the registered office of the LLC in the State of Delaware is c/o The Corporation Trust Company, Corporation Trust Center, 1209 Orange Street, Wilmington, New Castle County, Delaware 19801.

THIRD. The name and address of the registered agent for service of process on the LLC in the State of Delaware is The Corporation Trust Company, Corporation Trust Center, 1209 Orange Street, Wilmington, New Castle County, Delaware 19801.

IN WITNESS WHEREOF, the undersigned has duly executed this Certificate of Formation as of this 21 day of November, 2011.

By: 
Name: LYNNE PRZYCHOSZKI
Title: ASSISTANT SECRETARY

**United States of America
State of Ohio
Office of the Secretary of State**

I, Jon Husted, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show ENERGY PLUS NATURAL GAS LLC, a Delaware For Profit Limited Liability Company, Registration Number 1984333, filed on December 21, 2010, is currently in FULL FORCE AND EFFECT upon the records of this office.



*Witness my hand and the seal of the
Secretary of State at Columbus, Ohio
this 2nd day of July, A.D. 2013*

Jon Husted

Ohio Secretary of State

EXHIBIT B-1: JURISDICTION OF OPERATIONS ENERGY PLUS NATURAL GAS LLC

State	Energy Plus Natural Gas LLC	Energy Plus Holdings LLC	Independence Energy Group LLC	Reliant Energy Northeast LLC	Reliant Energy Retail Services LLC	US Retailers LLC	Everything Energy LLC	Green Mountain Energy Company
NY	Licensed - no license # given	Licensed - no license # given	Licensed - no license # given	Licensed - no license # given				Licensed - no license # given
CT		Docket 09-01-21	Docket 11-11-04	Dkt. No. 11-05-20				
TX		10172				10007	10177	10009
PA		A-2009-2139745	A-2011-226337	A-2010-2192350			10178	A-2011-2229050
NJ	GSL-0100	ESL 0087	ESL-0100	ESL-0093				ESL 0098
MA		CS-072		CS-081				EB-083
MD	# IR-2216	IR-1805		IR-2058				IR-2790, IR-2345
IL		Docket # 10-0497	Docket #11-0277	DOCKET NO. 11-0504				Docket Nos. 12-0477, 11-0326
DE		Order #7698		Order #7894/8035				Docket No. 11-313, Order No. 8036
DC		ESA 11-6-5		EA 10-15				Docket EA 11-16, Order No. 16445
OH	11-222G(1)	11-341E (1)	12-552E(1)	11-401E(1)				

Exhibit B-2 – Experience & Plans

Energy Plus Natural Gas LLC utilizes a mix of marketing channels to reach potential customers and utilizes utility consolidated billing to provide billing statements to customers. Energy Plus has an in-house customer service team reachable via a toll free phone number to respond to customer inquiries and/or complaints. Services provided by the call center include handling calls regarding inquiries related to bills, awards, discretionary service orders, and other routine matters.

In addition, the team at Energy Plus has worked closely with the Public Utility Commissions in each state to ensure both adherence to, and contribution toward, policies and regulations that will continue to promote the competitive landscape in the states of Ohio, Connecticut, New Jersey, New York, Pennsylvania, Maryland, Texas, Illinois, Ohio, and Massachusetts.

As customer service and satisfaction are core principles of Energy Plus's business model, Energy Plus takes the necessary actions to ensure that it is in compliance with all regulatory requirements. Energy Plus includes the following core principles into its business operations:

- Call center management has the necessary skills and qualifications that meet Energy Plus' standards on customer service and support for both email and call-in inquiries. This entails having a hands-on management team that is focused on developing, implementing, and managing the appropriate processes to ensure delivery and compliance with all rules, including providing service to any qualified applicant without discrimination, delivering the appropriate documents to customers, and accurately communicating and adhering to customer rights policies.
- Call center personnel receive appropriate training to ensure knowledge of, and compliance with, all rules. In addition to initial training, representatives will be provided with additional training as needed to maintain knowledge of current rules. Floor supervisors and managers are also available to respond to emails or assist customers who call in as necessary.
- Energy Plus has designed and implemented specific processes supporting the collection, tracking, and resolution of customer's complaints in a timely manner. Additional processes have been developed to ensure compliance of the necessary policies and timeframes.
- Energy Plus has made the Anti-Discrimination Rules easily accessible by the company's employees on a regular basis.
- Energy Plus has made customers aware of the process to file a complaint with Energy Plus and how to contact the Public Utilities Commission of Ohio.

Exhibit B-3 – Summary of Experience

Energy Plus Natural Gas LLC has acquired over 45,000 residential and small commercial natural gas customers in 12 utilities across four states. Please see below for a listing of utility service areas by state currently being served by Energy Plus Natural Gas LLC.

Maryland	New Jersey	New York	Ohio
BGE	New Jersey Natural Gas	Consolidated Edison	Columbia
Washington Gas	PSE&G	National Fuel Gas	Dominion
		National Grid	Duke
		NYSEG	
		Orange & Rockland	

Exhibit C-1 – Annual Reports

Energy Plus Natural Gas LLC, is a subsidiary of NRG Energy, Inc. Energy Plus Natural Gas LLC is not a publicly-held company, but NRG Energy, Inc. is and all financials are reported by NRG Energy, therefore we are reporting as a publicly held company.

Please find the link below for NRG Energy, Inc.'s two most recent Annual Reports.

<http://phx.corporate-ir.net/phoenix.zhtml?c=121544&p=irol-reportsannual>

Exhibit C-2 – SEC Filings

Energy Plus Natural Gas LLC is a subsidiary of Energy Plus Holdings LLC. Please see Energy Plus Holdings LLC's parent company, NRG Energy, Inc.'s, most recent 10-K Filings with the SEC.

<http://www.sec.gov/Archives/edgar/data/1013871/000144530512000493/0001445305-12-000493-index.htm>

Exhibit C-3 –Financial Statements

This exhibit contains confidential and proprietary information and has been submitted under seal.

Exhibit C-4 – Financial Arrangements

This exhibit contains confidential and proprietary information and has been submitted under seal.

Exhibit C-5 – Forecasted Financial Statements

This exhibit contains confidential and proprietary information and has been submitted under seal.

Exhibit C-6 – Credit Rating

Energy Plus Natural Gas LLC (DUNS# 96-396-6069) does not have a credit rating, nor does Energy Plus Holdings LLC (DUNS# 80-489-1849), but the parent company of Energy Plus Holdings LLC, NRG Energy, Inc.'s credit ratings from Moody's and Standard & Poors are attached.

MOODY'S

[Site Tour](#) | [About Moody's](#) | [Regulatory Affairs](#) | [Careers](#) | [Contact Us](#) | [Help](#) | [Terms of Use](#)

Find ratings, research, analysts and more

GO

Welcome Stacy Conn **M** ▾ |[Profile](#)
[Research & Ratings ▾](#) | [Products & Solutions ▾](#) | [News & Events ▾](#)
[My Portfolios](#) | [My Alerts](#) | [My Events](#) | [Log Out](#)

NRG Energy, Inc.

 Ticker: **NRG** Moody's Org ID: **806927478**
Long Term Rating > **Ba3, Possible Downgrade** CorporatesST Issuer Level Rating > **SGL-2, Possible Downgrade** Market Segment: **ENERGY: UNREG - ELECTR**Outlook > **Ratings Under Review** **PRODUCTION**Other Debts on Watch?: **Yes** Industry: **Power Companies**Peer Group: **UNITED STATES**

Domicile:

Analyst

William Hunter

Analyst:

Research	Ratings	Family Tree	Peer Group	Market Signals	
Rating Class Detail Debt List					Export Results: 7
Rating Class	Rating	Date	Rating Action	Watch Status	
LT Corporate Family Ratings (Domestic)	Ba3	06 Jan 2006	RATING RAISED	Possible Downgrade, 14 Dec 2012	
Senior Unsecured (Domestic)	B1	06 Jan 2006	RATING ASSIGNED	Possible Downgrade, 14 Dec 2012	
Senior Secured Bank Credit Facility (Domestic)	Baa3	20 Jul 2011	DEFINITIVE RATING ASSIGNED	Possible Downgrade, 14 Dec 2012	
Speculative Grade Liquidity Rating	SGL-2	11 May 2012	RATING LOWERED	Possible Downgrade, 14 Dec 2012	
LGD Senior Secured Bank Credit Facility (Domestic)	LGD2 - 15%	05 Oct 2012	RATING ADJUSTMENT	Possible Downgrade, 14 Dec 2012	

Rating Class History: **LT Corporate Family Ratings (Domestic)**

Export Results: 8

Date	Currency	Rating	Rating Action
14 Dec 2012	domestic	ON WATCH	Possible Downgrade
23 Jul 2009	domestic	Ba3	Confirm Only (P.R.)
12 Nov 2008	domestic	ON WATCH	Possible Upgrade
06 Jan 2006	domestic	Ba3	Upgrade
10 Dec 2004	domestic	B1	Upgrade
15 Nov 2004	domestic	ON WATCH	Possible Upgrade
17 Dec 2003	domestic	B2	Confirm Only (P.R.)
15 Dec 2003	domestic	B2	New

¹ This date does not capture any Confirmations or Watch actions. Please refer to the rating history section for additional information.

For credit ratings that are derived exclusively from an existing credit rating of a program, series, category/class of debt, support provider or primary rated entity, or that replace a previously assigned provisional rating at the same rating level, Moody's publishes a rating announcement on that series, category/class of debt or program as a whole, on the support provider or primary rated entity, or on the provisional rating, but often does not publish a specific rating announcement on each subsequent bond or note for which the credit rating is derived from the existing credit rating. Rating announcements are usually press releases classified as Rating Actions on www.moody.com. Please refer to the Research tab on the issuer/entity page for the rating announcement.

HOME

RATINGS

S&P INDICES

S&P CAPITAL IQ

ABOUT US

STANDARD & POOR'S

RATINGS SERVICES

Find a Rating

ACTIONS & CRITERIA

Ratings Actions
New Ratings
Ratings Criteria
Presale Reports
Requests for Comment

ABOUT CREDIT RATINGS

Understanding Ratings
Definitions & FAQs
Default Studies, Credit & Economic Trends
Events & Training

ISSUER SUPPORT

My Credit Profile

REGULATORY

Disclosures
Regulatory Updates
Form NRSRO
Policies & Code of Conduct
Ratings History Information
SEC Rule 17g-7 Disclosure Reports & Benchmarks

[Browse All Ratings](#)

NRG Energy Inc.

Issuer Credit Rating

	Ratings	Rating Date	Regulatory Identifiers	Last Credit Rating Action
Foreign Long Term	BB-	22-May-2009	EE	19-Dec-2012 Outlook STABLE
Local Long Term	BB-	22-May-2009	EE	19-Dec-2012 Outlook STABLE

[SEE MORE DEBT TYPES](#)

[RATINGS DEFINITIONS](#)

Contact Client Services

1-877-SPCLIENT

1-877-772-5436

[Call Tree Options](#)

[Contact Us](#)

Related Credit News and Research

[NRG Energy Inc. Ratings Are Affirmed, GenOn Energy Inc. Ratings Raised After Merger Closes: Off Watch, Outlook Stable](#)
19-Dec-2012 13:15

NRG Energy and GenOn Energy have completed their merger, creating the largest independent power producer in the U.S. GenOn is now a subsidiary of NRG of some strategic importance, but GenOn's debt remains nonrecourse to NRG. We affirmed our corporate...

[U.S. Regulated Utilities' Credit Stability Continues Amid Good Risk Management And Capital Access, Report Says](#)
19-Nov-2012 00:37

NEW YORK (Standard & Poor's) Nov. 19, 2012--The outlook for the credit quality of U.S. regulated utilities is broadly stable, as borrowers' effective management of regulatory risk and easy access to capital markets offsets the impact of a still-fragi...

[New York Power Markets Are Still Under Stress, Report Says](#)
18-Oct-2012 00:30

NEW YORK (Standard & Poor's) Oct. 18, 2012—Where it once looked like a September 2012 Federal Energy Regulatory Commission (FERC) order would bring some much-needed transparency and certainty back to the New York State's power markets, the New York r...

Premium Resources from RatingsDirect

The following premium resources are available from RatingsDirect - the real-time, Web-

Introducing CreditMatters Asia-Pacific E-Newsletter

Regional news, research, summary rating actions and multimedia and opinions from Standard & Poor's Ratings Services.

[Subscribe >>](#)

[Hide](#)

13, 2011 on RatingsDirect, we discussed our views on what constitutes a credit...

[Recovery Report: GenOn Energy Inc.'s Recovery Rating Profile](#)
26-Dec-2012 17:28

We are updating GenOn Energy Inc.'s recovery ratings based on a lower enterprise value of coal plants generally given weaker long-term market conditions and additional plant retirements since our assessment in late 2011 and also on the revised capita...

[Summary: NRG Energy Inc.](#)
06-Dec-2012 10:35

Standard & Poor's Ratings Services' 'BB-' corporate credit rating on NRG Energy are on CreditWatch, where we placed them with negative Implications on July 23, 2012. The CreditWatch listing followed the announcement that NRG and GenOn Energy Inc. hav...

Exhibit C-7 – Credit Report

Energy Plus Holdings LLC's (DUNS# 80-489-1849), parent company of Energy Plus Natural Gas LLC, D&B credit report is attached.



DNBi®

Printed By: Mike Starck
Date Printed: January 09, 2013

Live Report : ENERGY PLUS HOLDINGS LLC



D-U-N-S® Number: 80-489-1849

Trade Names: (SUBSIDIARY OF NRG ENERGY, INC., PRINCETON, NJ) - ENERGY PLUS - NRG ENERGY PLUS

D&B Address

Address 3711 Market St Ste 1000
Philadelphia, PA - 19104

Location Type Headquarters
(Subsidiary)

Phone 877 320-0356

Web www.nrgenergy.com

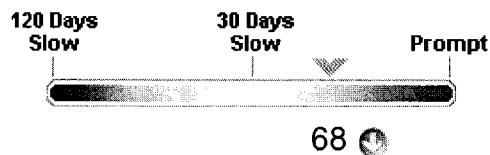
Fax

Last View Date: 01/09/2013

Company Summary

Trade Payments - Timeliness of Historical Payments

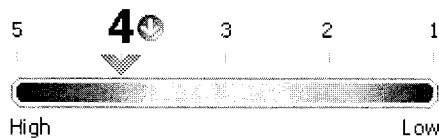
When weighted by amount, Payments to suppliers average 17 days beyond terms



This assessment is based on D&Bs 12-month PAYDEX® Score

Predictive Indicators - Risk of Financial Stress

High To Medium risk of severe financial stress over the next 12 months.



This assessment is based on D&Bs Financial Stress Score

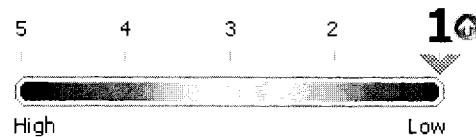
History & Operations

This is a headquarters (subsidiary) location

Branch(es) or Division(s) exist Y

Mailing Address PO BOX 38815

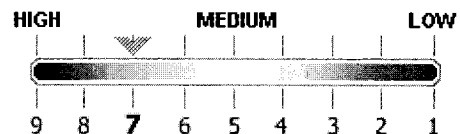
Predictive Indicators - Risk of Payment Delinquency



This assessment is based on D&Bs Commercial Credit Score.

Predictive Indicators - Supplier Evaluation Risk

High risk of supplier experiencing severe financial stress over the next 12 months.



This assessment is based on D&Bs Supplier Evaluation Risk Rating

Predictive Indicators - Credit Capacity

D&B RATING : 1R4

Number of employees: 1R indicates 10 or more employees

Composite credit appraisal: 4 is limited

This assessment is based on D&Bs D&B Rating.

	PHILADELPHIA,PA19104
Chief Executive	KEVIN KLEINSCHMIDT, MBR
Year Started	2007
Management Control	2011
Employees	200 (UNDETERMINED Here)
Financing	SECURED
SIC	4911
Line of business	Electric services
NAICS	221122
History Status	CLEAR

Public Filings

The following data includes both open and closed filings found in D&B's database on this company.

Record Type	Number of Records	Most Recent Filing Date
Bankruptcies	0	-
Judgments	0	-
Liens	0	-
Suits	3	10/04/12
UCCs	6	10/04/11

The public record items contained herein may have been paid, terminated, vacated or released prior to todays date.

Corporate Linkage

This is a **Headquarters (Subsidiary)** location

ENERGY PLUS HOLDINGS LLC
Philadelphia , PA
D-U-N-S® Number 80-489-1849

The Parent Company is
NRG ENERGY INC.
New Jersey
D-U-N-S® Number 79-342-2213

Corporate Linkage

Parent

Company	City , State	D-U-N-S® NUMBER
NRG ENERGY INC.	PRINCETON , New Jersey	79-342-2213


Subsidiaries (Domestic)

Company	City , State	D-U-N-S® NUMBER
INDEPENDENCE ENERGY GROUP LLC	PHILADELPHIA , Pennsylvania	96-668-7969

Affiliates (Domestic)

Company	City , State	D-U-N-S® NUMBER
GENON ENERGY INC.	HOUSTON , Texas	00-820-0680
NRG LONG BEACH INC.	MINNEAPOLIS , Minnesota	02-822-4637
NRG ASIA-PACIFIC, LTD.	MINNEAPOLIS , Minnesota	02-822-4777
NRG PACGEN INC	MINNEAPOLIS , Minnesota	02-824-5822
NRG PITTSBURGH THERMAL INC	MINNEAPOLIS , Minnesota	02-825-1143
NRG POWER MARKETING LLC	PRINCETON , New Jersey	02-825-5979
NRG SAN DIEGO INC	MINNEAPOLIS , Minnesota	02-825-8890
NRG SERVICES CORPORATION	MINNEAPOLIS , Minnesota	02-826-4344
RELIANT ENERGY RETAIL HOLDINGS, LLC	HOUSTON , Texas	02-969-1099
NRG OPERATING SERVICES, INC.	MINNEAPOLIS , Minnesota	04-974-3193
LOUISIANA GENERATING LLC	BATON ROUGE , Louisiana	06-263-1809
NRG OF SAN DIEGO, INC.	SAN DIEGO , California	08-525-8411
NRG ROCKFORD ACQUISITION, LLC	MINNEAPOLIS , Minnesota	10-347-2010
TEXAS GENCO OPERATING SERVICES LLC	HOUSTON , Texas	12-080-7255
NRG NELSON TURBINES LLC	MINNEAPOLIS , Minnesota	13-211-9111
BERRIANS I GAS TURBINE POWER LLC	MINNEAPOLIS , Minnesota	13-159-6996
NRG FINANCE COMPANY LLC	MINNEAPOLIS , Minnesota	13-159-7119
NRG NEW ROADS HOLDINGS LLC	MINNEAPOLIS , Minnesota	13-159-7176
NRG EASTERN LLC	MINNEAPOLIS , Minnesota	13-159-7226
BIG CAJUN II UNIT 4 LLC	MINNEAPOLIS , Minnesota	13-159-7374
NRG CENTRAL U.S. LLC	MINNEAPOLIS , Minnesota	13-159-7473
NORTHEAST GENERATION HOLDING LLC	MINNEAPOLIS , Minnesota	13-159-7622
NRG SOUTH CENTRAL GENERATING, LLC	MINNEAPOLIS , Minnesota	15-535-7473
NRG NORTHEAST GENERATING LLC	PRINCETON , New Jersey	15-588-4732
NRG TEXAS LP	HOUSTON , Texas	16-845-6049

Predictive Indicators

Currency: Shown in USD unless otherwise indicated 

Credit Capacity Summary

This credit rating was assigned because of D&Bs assessment of the companys creditworthiness. For more information, see the D&B Rating Key

D&B Rating : 1R4

Number of employees: 1R indicates 10 or more employees
Composite credit appraisal: 4 is limited

The Rating was changed on July 5, 2012 because of D & B's overall assessment of the company's financial, payment and history information.

The 1R and 2R ratings categories reflect company size based on the total number of employees for the business. They are assigned to business files that do not contain a current financial statement. In 1R and 2R Ratings, the 2, 3, or 4 creditworthiness indicator is based on analysis by D&B of public filings, trade payments, business age and other important factors. 2 is the highest Composite Credit Appraisal a company not supplying D&B with current financial information can receive.

Below is an overview of the companys rating history since 10-31-2011

Number of Employees
Total: 200 (UNDETERMINED here)

D&B Rating	Date Applied
1R4	07-05-2012
--	10-31-2011

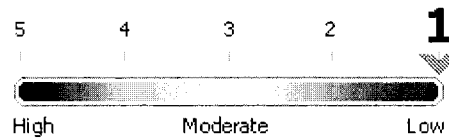
Payment Activity: (based on 13 experiences)

Average High Credit:	32,930
Highest Credit:	200,000
Total Highest Credit:	330,150

D&B Credit Limit Recommendation

Conservative credit Limit 200,000
Aggressive credit Limit: 300,000

Risk category for this business : **LOW**



The Credit Limit Recommendation (CLR) is intended to serve as a directional benchmark for all businesses within the same line of business or industry, and is not calculated based on any individual business. Thus, the CLR is intended to help guide the credit limit decision, and must be balanced in combination with other elements which reflect the individual company's size, financial strength, payment history, and credit worthiness, all of which can be derived from D&B reports.

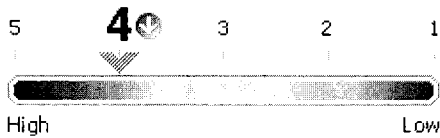
Risk is assessed using D&Bs scoring methodology and is one factor used to create the recommended limits. See Help for details.

Financial Stress Class Summary

The Financial Stress Score predicts the likelihood of a firm ceasing business without paying all creditors in full, or reorganization or obtaining relief from creditors under state/federal law over the next 12 months. Scores were calculated using a statistically valid model derived from D&Bs extensive data files.

The Financial Stress Class of 4 for this company shows that firms with this class had a failure rate of 0.84% (84 per 10,000), which is 1.75 times higher than the average of businesses in D & B's database.

Financial Stress Class :



Moderate to high risk of severe financial stress, such as a bankruptcy, over the next 12 months.

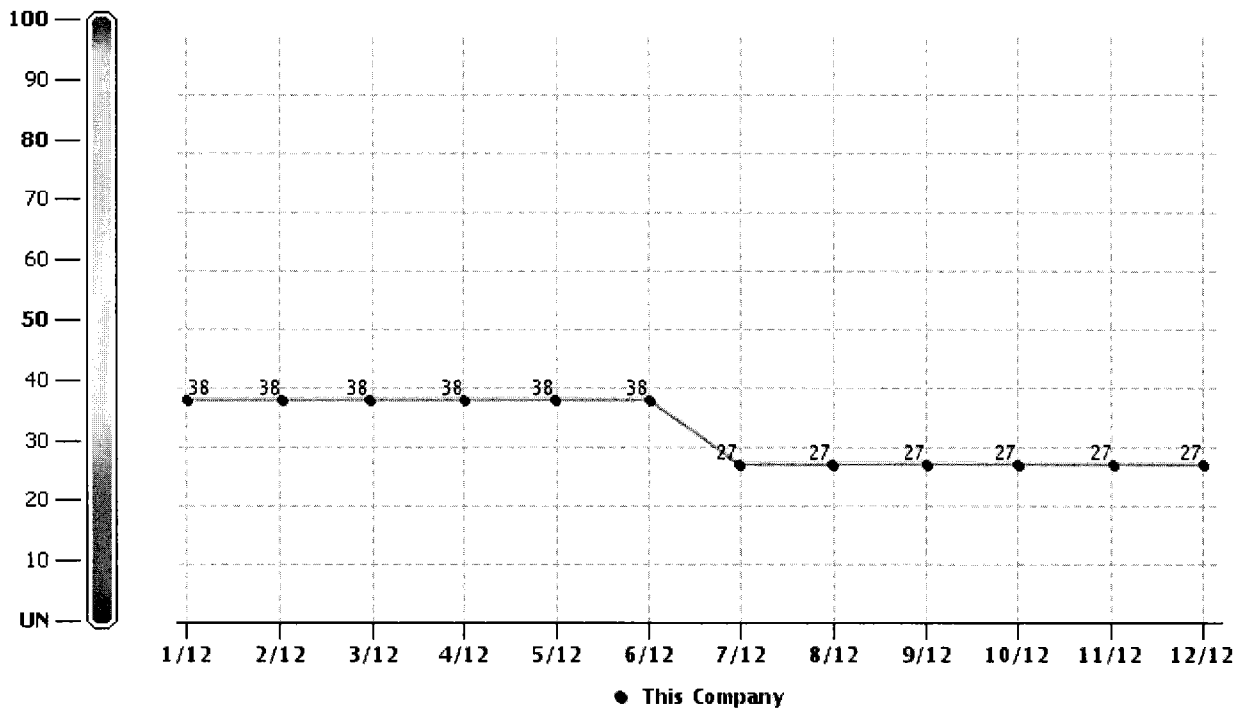
Probability of Failure:

- Among Businesses with this Class: **0.84 %** (84 per 10,000)
- Financial Stress National Percentile : **27** (Highest Risk: 1; Lowest Risk: 100)
- Financial Stress Score : **1430** (Highest Risk: 1,001; Lowest Risk: 1,875)
- Average of Businesses in D&Bs database: **0.48 %** (48 per 10,000)

The Financial Stress Class of this business is based on the following factors:

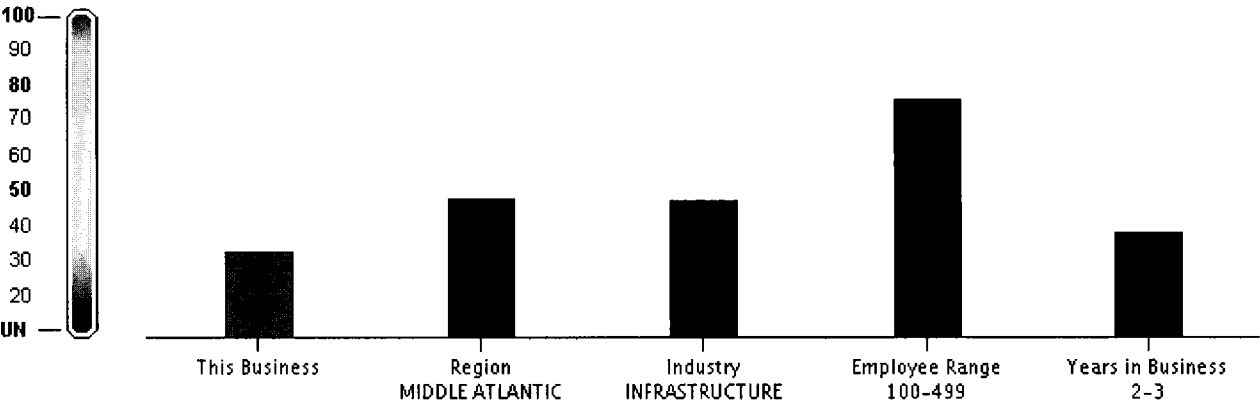
- UCC Filings reported.
- High number of inquiries to D & B over last 12 months.
- Low proportion of satisfactory payment experiences to total payment experiences.
- Unstable Paydex over last 12 months.
- Limited time under present management control.

Financial Stress Percentile Trend:



Notes:

- The Financial Stress Class indicates that this firm shares some of the same business and financial characteristics of other companies with this classification. It does not mean the firm will necessarily experience financial stress.
- The Probability of Failure shows the percentage of firms in a given Class that discontinued operations over the past year with loss to creditors. The Probability of Failure - National Average represents the national failure rate and is provided for comparative purposes.
- The Financial Stress National Percentile reflects the relative ranking of a company among all scorable companies in D&Bs file.
- The Financial Stress Score offers a more precise measure of the level of risk than the Class and Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.



Norms	National %
This Business	27
Region: MIDDLE ATLANTIC	44
Industry: INFRASTRUCTURE	43
Employee range: 100-499	75
Years in Business: 2-3	33

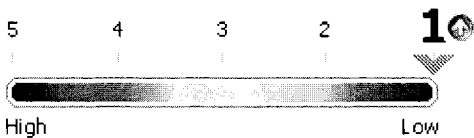
This Business has a Financial Stress Percentile that shows:

- Higher risk than other companies in the same region.
- Higher risk than other companies in the same industry.
- Higher risk than other companies in the same employee size range.
- Higher risk than other companies with a comparable number of years in business.

Credit Score Summary

The Commercial Credit Score predicts the likelihood that a company will pay its bills in a severely delinquent manner (90 days or more past terms), obtain legal relief from creditors or cease operations without paying all creditors in full over the next 12 months. Scores are calculated using a statistically valid model derived from D&B's extensive data files. The Credit Score class of 1 for this company shows that 6.0% of firms with this class paid one or more bills severely delinquent, which is lower than the average of businesses in D & B's database.

Credit Score Class :



Incidence of Delinquent Payment

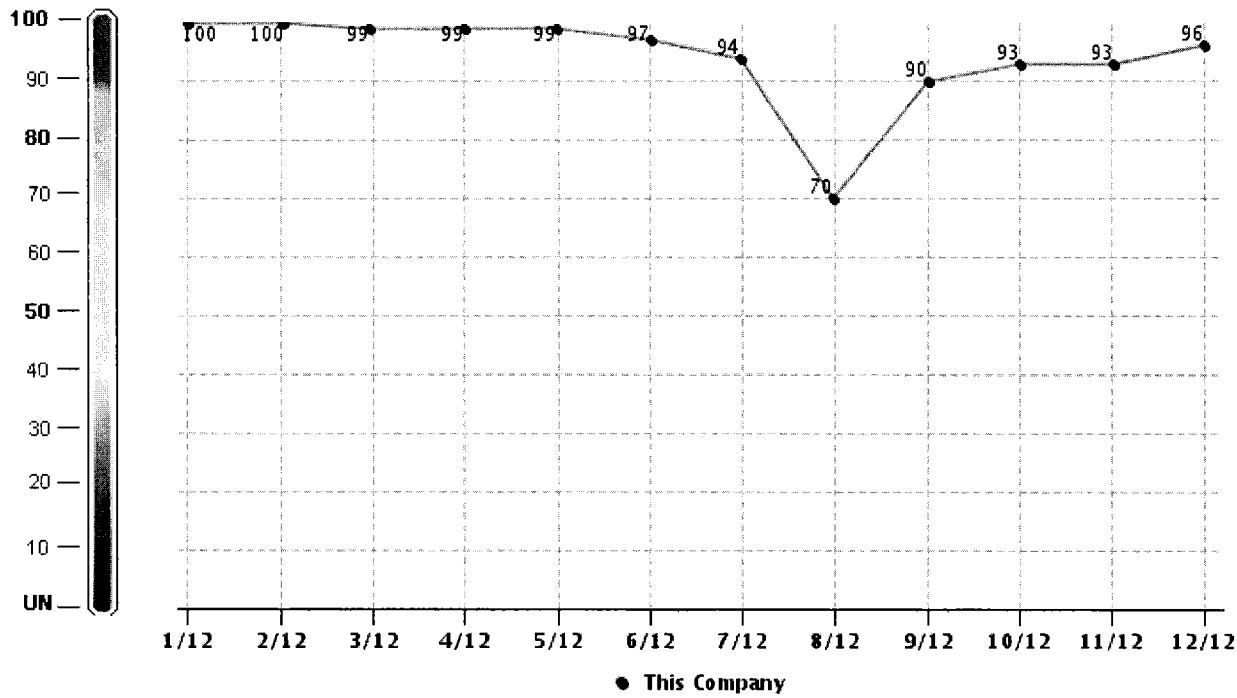
- Among Companies with this Classification: 6.00 %
- Average compared to businesses in D&Bs database: 23.50 %

- Credit Score Percentile : **93** (Highest Risk: 1; Lowest Risk: 100)
- Credit Score : **488** (Highest Risk: 101; Lowest Risk:670)

The Credit Score Class of this business is based on the following factors:

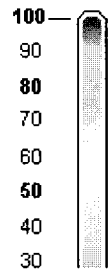
- Insufficient number of payment experiences.
- Low number of satisfactory payments.
- Composite credit appraisal is rated limited.
- Most recent amount past due.
- Business does not own facilities.
- Low proportion of satisfactory payment experiences to total payment experiences.

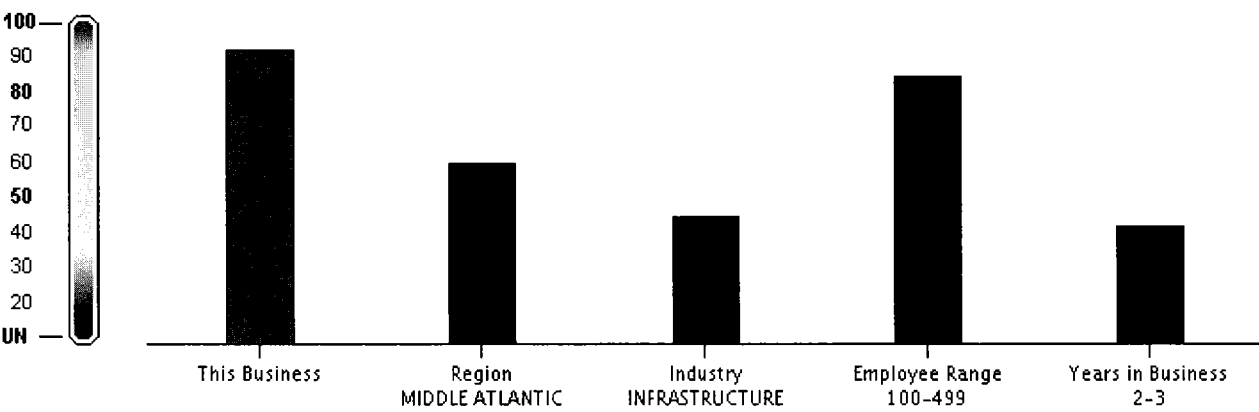
Credit Score Class Percentile Trend:



Notes:

- The Commercial Credit Score Risk Class indicates that this firm shares some of the same business and financial characteristics of other companies with this classification. It does not mean the firm will necessarily experience severe delinquency.
- The incidence of delinquency shows the percentage of firms in a given percentile that are likely to pay creditors in a severely delinquent manner. The average incidence of delinquency is based on businesses in D&B's database and is provided for comparative purposes.
- The Commercial Credit Score percentile reflects the relative ranking of a firm among all scorable companies in D&B's file.
- The Commercial Credit Score offers a more precise measure of the level of risk than the Risk Class and Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.





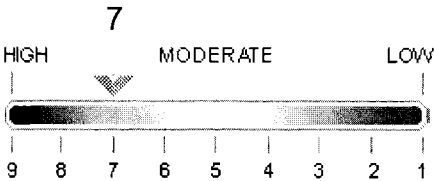
Norms	National %
This Business	93
Region: MIDDLE ATLANTIC	57
Industry: INFRASTRUCTURE	40
Employee range: 100-499	85
Years in Business: 2-3	37

This business has a Credit Score Percentile that shows:

- Lower risk than other companies in the same region.
- Lower risk than other companies in the same industry.
- Lower risk than other companies in the same employee size range.
- Lower risk than other companies with a comparable number of years in business.

Predictive Indicators - Supplier Evaluation Risk Rating


Supplier Evaluation Risk Rating: 7



- Suits, Liens, and/or Judgments are present - see PUBLIC FILINGS section.
- Business under present control less than five years - see HISTORY section.
- Average Payments are 17 day(s) beyond terms.
- Average Industry Payments are 8 day(s) beyond terms.
- UCC Filings present - See PUBLIC FILINGS section.
- Financing secured - See BANK/PUBLIC FILINGS sections.

- Under present management control 2 years.

Trade Payments

Currency: Shown in USD unless otherwise indicated 

Payment Summary

- There are 13 payment experience(s) in D&Bs file for the most recent 24 months, with 11 experience(s) reported during the last three month period.
- The highest Now Owes on file is 15,000 . The highest Past Due on file is 500

Below is an overview of the companys currency-weighted payments, segmented by its suppliers primary industries:

	Total Revd (#)	Total Amts	Largest High Credit	Within Terms (%)	Days Slow <31 31-60 61-90 90> (%) (%) (%) (%)			
Top Industries								
Nonclassified	2	32,500	25,000	100	0	0	0	0
Data processing svcs	2	1,500	1,000	100	0	0	0	0
Scheduled air trans	1	200,000	200,000	50	50	0	0	0
Whol computers/softwr	1	55,000	55,000	50	50	0	0	0
Help supply service	1	35,000	35,000	100	0	0	0	0
Misc equipment rental	1	5,000	5,000	100	0	0	0	0
Mfg misc office eqpt	1	250	250	50	0	50	0	0
Lithographic printing	1	50	50	100	0	0	0	0
Other payment categories								
Cash experiences	2	600	500					
Payment record unknown	1	250	250					
Unfavorable comments	0	0	0					
Placed for collections								
With D&B	0	0	0					
Other	0	N/A	0					
Total in D&B's file	13	330,150	200,000					

Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

Indications of slowness can be result of dispute over merchandise, skipped invoices etc.

Detailed payment history for this company

Date Reported (mm/yy)	Paying Record	High Credit	Now Owes	Past Due	Selling Terms	Last Sale Within (month)
12/12	Ppt	25,000	15,000	0		1 mo
	Ppt-Slow 30	55,000	1,000	500	N30	1 mo
	Ppt-Slow 60	250	0	0	N30	2-3 mos
	(004)	250	0	0	N30	1 mo
11/12	Ppt	1,000	1,000	0		1 mo
	Ppt	500	500	0		1 mo
	Ppt-Slow 30	200,000	0	0		2-3 mos
	(008) Cash own option .	500			Cash account	1 mo
10/12	Ppt	5,000	50		Lease Agreeemnt	
	Ppt	50				1 mo
	(011)	100			Cash account	1 mo
09/12	Ppt	7,500	0	0		6-12 mos
10/11	Ppt	35,000	0	0		4-5 mos

Payments Detail Key: ■ 30 or more days beyond terms

Payment experiences reflect how bills are paid in relation to the terms granted. In some instances payment beyond terms can be the result of disputes over merchandise, skipped invoices, etc. Each experience shown is from a separate supplier. Updated trade experiences replace those previously reported.

Public Filings

Currency: Shown in USD unless otherwise indicated 

Summary

The following data includes both open and closed filings found in D&B's database on this company.

Record Type	# of Records	Most Recent Filing Date
Bankruptcy Proceedings	0	-
Judgments	0	-
Liens	0	-
Suits	3	10/04/12
UCCs	6	10/04/11

The following Public Filing data is for information purposes only and is not the official record. Certified copies can only be obtained from the official source.

UCC Filings

Collateral	Accounts receivable including proceeds and products
Type	Original
Sec. Party	NEW YORK STATE ELECTRIC AND GAS CORPORATION, BINGHAMTON, NY ROCHESTER GAS AND ELECTRIC CORPORATION, BINGHAMTON, NY

Debtor	ENERGY PLUS HOLDINGS LLC
Filing No.	1011056092980
Filed With	SECRETARY OF STATE/UCC DIVISION, ALBANY, NY
Date Filed	2010-11-05
Latest Info Received	11/15/10

Collateral	Accounts receivable including proceeds and products
Type	Original
Sec. Party	NEW YORK STATE ELECTRIC AND GAS CORPORATION, BINGHAMTON, NY ROCHESTER GAS AND ELECTRIC CORPORATION, BINGHAMTON, NY
Debtor	ENERGY PLUS HOLDINGS LLC
Filing No.	2010110504131
Filed With	SECRETARY OF STATE/UCC DIVISION, HARRISBURG, PA
Date Filed	2010-11-05
Latest Info Received	11/10/10

Collateral	RIGHTS
Type	Original
Sec. Party	CON EDISON OF NEW YORK, INC., NEW YORK, NY
Debtor	ENERGY PLUS HOLDINGS LLC
Filing No.	2008 2558078
Filed With	SECRETARY OF STATE/UCC DIVISION, DOVER, DE
Date Filed	2008-07-25
Latest Info Received	08/22/08

Collateral	RIGHTS
Type	Original
Sec. Party	CON EDISON OF NEW YORK, INC., NEW YORK, NY
Debtor	ENERGY PLUS HOLDINGS LLC
Filing No.	0807255839791
Filed With	SECRETARY OF STATE/UCC DIVISION, ALBANY, NY
Date Filed	2008-07-25
Latest Info Received	08/04/08

Type	Original
Sec. Party	DEUTSCHE BANK TRUST COMPANY AMERICAS, AS PARITY COLLATERAL TRUSTEE, JERSEY CITY, NJ DEUTSCHE BANK TRUST COMPANY AMERICAS, AS PRIORITY COLLATERAL TRUSTEE, JERSEY CITY, NJ
Debtor	ENERGY PLUS HOLDINGS LLC
Filing No.	2011 3815845
Filed With	SECRETARY OF STATE/UCC DIVISION, DOVER, DE
Date Filed	2011-10-04
Latest Info Received	11/17/11

Type	Amendment
Sec. Party	SHELL ENERGY NORTH AMERICA (US), L.P.
Debtor	ENERGY PLUS HOLDINGS LLC
Filing No.	2009 0248069
Filed With	SECRETARY OF STATE/UCC DIVISION, DOVER, DE
Date Filed	2009-01-26
Latest Info Received	02/25/09
Original UCC Filed Date	2008-05-28
Original Filing No.	2008 1814571

Government Activity

Activity summary

Borrower (Dir/Guar)	NO
Administrative Debt	NO
Contractor	NO
Grantee	NO
Party excluded from federal program(s)	NO

Possible candidate for socio-economic program consideration

Labour Surplus Area	YES (2012)
Small Business	YES (2011)

8(A) firm

N/A

The details provided in the Government Activity section are as reported to Dun & Bradstreet by the federal government and other sources.

History & Operations

Currency: Shown in USD unless otherwise indicated 

Company Overview

Company Name:	ENERGY PLUS HOLDINGS LLC
Doing Business As :	(SUBSIDIARY OF NRG ENERGY, INC., PRINCETON, NJ) , ENERGY PLUS , NRG ENERGY PLUS
Street Address:	3711 Market St Ste 1000 Philadelphia , PA 19104
Mailing Address:	PO Box 38815 Philadelphia PA 19104
Phone:	877 320-0356
URL:	http://www.nrgenergy.com
History	Is clear
Present management control	2 years


SIC & NAICS

SIC:
Based on information in our file, D&B has assigned this company an extended 8-digit SIC. D&B's use of 8-digit SICs enables us to be more specific about a company's operations than if we use the standard 4-digit code.
The 4-digit SIC numbers link to the description on the Occupational Safety & Health Administration (OSHA) Web site. Links open in a new browser window.
4911 9901 Distribution, electric power

NAICS:

221122 Electric Power Distribution

Financials

Currency: Shown in USD unless otherwise indicated 

Key Business Ratios

D & B has been unable to obtain sufficient financial information from this company to calculate business ratios. Our check of additional outside sources also found no information available on its financial performance.

To help you in this instance, ratios for other firms in the same industry are provided below to support your analysis of this business.

Based on this Number of Establishments

22

Industry Norms Based On 22 Establishments

	This Business	Industry Median	Industry Quartile
Profitability			
Return on Sales %	UN	7.0	UN
Return on Net Worth %	UN	9.1	UN
Short-Term Solvency			
Current Ratio	UN	1.2	UN
Quick Ratio	UN	0.5	UN
Efficiency			
Assets to Sales %	UN	244.4	UN
Sales / Net Working Capital	UN	13.9	UN
Utilization			
Total Liabilities / Net Worth (%)	UN	236.6	UN

UN = Unavailable

This information may not be reproduced in whole or in part by any means of reproduction.

Exhibit C-8 – Bankruptcy Information

There are no reorganizations, protection from creditors or any other form of bankruptcy filings made by Energy Plus Natural Gas LLC, Energy Plus Holdings LLC, NRG Energy, Inc. or any affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding Energy Plus Natural Gas LLC's application.

Exhibit C-9 – Merger Information

On September 30, 2011, Energy Plus Holdings LLC and its subsidiaries, including Energy Plus Natural Gas LLC, were acquired by NRG Energy, Inc.

Exhibit D-1 – Operations

Energy Plus Natural Gas LLC is supplying information on a key vendor relationship to emphasize and demonstrate the knowledge and experience that the company has agreed to leverage to ensure operational and technical success.

Energy Plus Natural Gas LLC has partnered with Energy Services Group (ESG), a well known and highly regarded vendor in the retail energy space, to assist with its operations in Ohio. ESG is performing all EDI transactions and is providing billing and CIS services.

Energy Services Group (ESG) was founded in 1997 and serves large and small retail energy suppliers as well as utilities to meet their transaction management (EDI), billing and CIS, and wholesale energy services needs. ESG serves more than 90 retail energy suppliers and utilities in the US who in turn, market or deliver energy to over 4.6 million customers in 20 states.

Energy Plus relies on ESG to provide EDI and CIS support. ESG's services and systems address all of the retail energy business process needs that do not require end use customer interaction (ESG does not provide call center or bill print services). ESG's expertise in serving clients in retail markets allows Energy Plus to focus on the customer experience while knowing that a reliable and scalable back office system serves as the foundation for its business.

Energy Plus Natural Gas LLC leverages in-house gas analysts to provide all scheduling and nominating functions to deliver gas to the city gates for all utilities. Our analysts are well trained in natural gas markets and pipeline systems throughout United States and Canada. We specialize in managing the entire energy stream from production and generation to consumption. This also includes providing support to our finance and marketing departments by submitting monthly imbalance and usage reports. In addition to managing the energy stream, our analysts work to create strategies for storage management optimization.

Exhibit D-2 – Operations Expertise

Energy Plus has the necessary technical and managerial resources to comply with all scheduling, operating, planning, reliability, customer registration and settlement policies, rules, guidelines and procedures to operate as a retail electric and natural gas supplier. As an active retail energy provider in the states of New York, Connecticut, Massachusetts, Illinois, Texas, Pennsylvania, New Jersey, Maryland, and Ohio, Energy Plus has firsthand knowledge of the customer operations and utility business management and will leverage its technical and managerial expertise, in combination with its relationships with business partners described in Exhibit D-1 to successfully develop and implement the infrastructure, systems, and processes to reliably provide service to Ohio consumers. As described in the details provided in Exhibit D-3, Energy Plus intends to also leverage key internal staff.

Exhibit D-3 – Key Technical Personnel

Stephen H. Barnes, President and Chief Executive Officer
3711 Market Street, Suite 1000; Philadelphia, PA 19104
sbarnes@energypluscompany.com; 1-267-295-0634

Mr. Barnes joined Energy Plus Holdings LLC in February 2009. Previously, Mr. Barnes was with Novitas Capital, an early stage venture capital firm, where he focused on investments in Technology-based companies. In addition to sourcing deals, performing due diligence on investments, structuring investment transactions, and serving on boards of directors of portfolio companies, Mr. Barnes served as the Fund's Chief Financial Officer. In that role, Steve was responsible for managing the firm's financial and other reporting to investors, tax strategies and compliance, overseeing the legal function and negotiation of agreements with limited partners, as well as having an integral role in overall portfolio allocation, analysis, and decision making. Prior to joining Novitas Capital, from 1996 to 1999, Mr. Barnes held various financial positions with Voxware, Inc. (Nasdaq: VOXW), a publicly traded speech-recognition technology company, most recently as Director of Finance and Investor Relations. Mr. Barnes earned a Bachelor of Science degree in Accountancy with high honors from Villanova University, and an MBA from the University of Pennsylvania's Wharton School, and is a CPA.

Joe Holtman, Managing Director of Wholesale Supply Operations
3711 Market Street, Suite 1000; Philadelphia, PA 19104
jholtman@energypluscompany.com; 1-267-295-5409

Joe Holtman joined Energy Plus in 2010 and brings extensive industry experience in his role as the Managing Director of Wholesale Supply Operations. Prior to joining Energy Plus, Mr. Holtman spent two years at Liberty Power as the Vice President of Wholesale Supply Operations. In his role at Liberty Power, he led the installation of a state-of-the-art risk management system and developed and implemented the necessary risk controls to ensure the company's strong financial success. In addition, Mr. Holtman was responsible for Liberty's energy procurement program across five major US markets, utilizing physical and financial hedges to protect fixed-price retail sales margins. Prior to his tenure at Liberty Power, Mr. Holtman spent six years at the Consolidated Edison Company of New York where he served as the Director of Electricity Supply. At Con Ed, he was responsible for the purchase of \$3 billion of electricity supply annually, including financial hedging and accounting for four regulated utilities operating in three states. Earlier in his career, he spent fifteen years at Orange and Rockland Utilities where he was responsible for the purchase of \$220 million of electricity and natural gas annually. He also performed electric and gas capacity and energy planning and procurement, supply contracting, accounting, regulatory affairs and risk management.

Exhibit D-3 – Key Technical Personnel (Cont.)

Hugh Scott, Chief Information Officer

3711 Market Street, Suite 1000; Philadelphia, PA 19104

hscott@energypluscompany.com; 1-267-295-5463

Hugh Scott joined Energy Plus in 2010. Previously, Mr. Scott held executive positions in both North America and Europe within the energy, telecommunications and banking industries. Mr. Scott joins Energy Plus from Direct Energy Residential where he was Chief Process Officer and Head of IT. Mr. Scott has received several awards including recognition by CIO Magazine for his leadership in 2010 and 2007. He holds a PhD in Computer Science from Warwick University.

Paul Ricci, Chief Financial Officer

3711 Market Street, Suite 1000; Philadelphia, PA 19104

pricci@energypluscompany.com; 1-267-298-2748

Paul Ricci joined Energy Plus in 2011. Previously, Mr. Ricci was CFO of Epic Research and Senior Director of Finance at Barclaycard US. He holds an MBA from Drexel University and a BS in Finance from Rutgers University.

Amy Gorby, Senior Director of Customer Care

3711 Market Street, Suite 1000; Philadelphia, PA 19104

agorby@energypluscompany.com; 1-267-295-0629

Ms. Gorby joined Energy Plus in 2009 and is responsible for all aspects of delivering superior customer care during the enrollment process and throughout the servicing of a customer's account. Ms. Gorby brings over 10 years of experience managing large-scale domestic and international call center operations in the financial services industry for firms such as Chase and Barclays. Ms. Gorby holds a Bachelor of Science in Marketing from West Chester University.

Heather Farber, Senior Director, Strategic Markets

3711 Market Street, Suite 1000; Philadelphia, PA 19104

hfarber@energypluscompany.com; 1-267-298-5374

Heather Farber joined the company in 2010 and has been responsible for launching the natural gas business and managing key electricity markets. She brings over 18 years experience in program management, marketing, research and development, and operations from companies including Barclaycard US (formerly Juniper Bank), MBNA, and News America Publishing.

This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

7/3/2013 2:55:39 PM

in

Case No(s). 11-4170-GA-CRS

Summary: Application Renewal Certification Application Competitive Retail Natural Gas
Suppliers electronically filed by Mr. Stephen M Howard on behalf of Energy Plus Natural Gas
LLC